# RESEARCH REPORT

# Traffic related air pollution and incidence of childhood asthma: results of the Vesta case-control study

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J Epidemiol Community Health 2004;58:18–23

Study objective: The Vesta project aims to assess the role of traffic related air pollution in the occurrence of childhood asthma. Design and setting: Case-control study conducted in five French metropolitan areas between 1998 and

2000. A set of 217 pairs of matched 4 to 14 years old cases and controls were investigated. An index of lifelong exposure to traffic exhausts was constructed, using retrospective information on traffic density close to all home and school addresses since birth; this index was also calculated for the 0–3 years age

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period to investigate the effect of early exposures. Main results: Adjusted on environmental tobacco smoke, personal and parental allergy, and several confounders, lifelong exposure was not associated with asthma. In contrast, associations before age of 3 were significant: odds ratios for tertiles 2 and 3 of the exposure index, relative to tertile 1, exhibited a positive trend (1.48 (95%CI = 0.7 to 3.0) and 2.28 (1.1 to 4.6)), with greater odds ratios among subjects with positive skin prick tests.

Accepted for publication 19 May 2003 .......................

Conclusions: These results suggest that traffic related pollutants might have contributed to the asthma epidemic that has taken place during the past decades among children.

The prevalence of asthma and allergic diseases has<br>increased worldwide during the past quarter of century<br>among children and adolescents.<sup>1-5</sup> No change common<br>to all sites where this "asthma epidemic" has been observed he prevalence of asthma and allergic diseases has increased worldwide during the past quarter of century among children and adolescents.<sup>1-5</sup> No change common throughout the world has been identified, suggesting that this phenomenon is probably attributable to multiple factors.<sup>3 6–11</sup>

In this work, we investigate the role of exposure to traffic related air pollutants, in addition to and in combination with other asthma enhancers or precipitators. It has been argued that air pollution could hardly be proposed as a plausible contributor to the current asthma epidemic, as concentrations of the ''classic'' air quality indicators (sulphur dioxide, particle mass, or nitrogen dioxide) were reduced during the period when asthma prevalence augmented in developed countries.12 13 However, the nature of the air pollution mix has profoundly evolved, as illustrated by the rapid changes that occurred in East Germany since reunification: while ambient air concentrations of pollutants associated with industrial and house heating combustion have substantially decreased, the number concentrations of ultrafine particles  $(0.01-0.03 \mu m)$  size range) have increased steadily, in part due to more numerous diesel vehicles.<sup>14 15</sup> Several epidemiological studies suggested an association between traffic density close to places of residence of children and prevalence of respiratory symptoms, and more specifically of asthma or allergic rhinitis symptoms.<sup>16-22</sup> Atopy and sensitisation to allergens were shown more prevalent among subjects with greater lifelong exposure to traffic emissions.<sup>23-25</sup>

We hypothesise that long term exposure during infancy to traffic related pollutants, including diesel exhausts, may accelerate or even provoke, among genetically sensitive subjects, disruption of the normal regulatory and repair processes that continuously take place in the lung, eventually contributing to the increase of asthma incidence.

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\*A list of the other Vesta investigators is printed at the end of the paper.

#### METHODS Population

Vesta (five (V) epidemiological studies on transport and asthma) is a case-control multicentre study that was conducted during the period 1998–2000 in five French metropolitan areas: Paris, Nice, Toulouse, Clermont-Ferrand, and Grenoble. These cities were chosen to cover a wide range of climatic and urban settings. The study design has been presented in detail elsewhere.<sup>26</sup> Briefly, the study population was composed of children aged 4 to 14 years who had lived in any of the five study metropolitan areas since birth. Incident cases were subjects with a ''recent'' doctor diagnosis of asthma (at most two years before inclusion into the study), recruited among attendees of the paediatric departments of the local university hospitals (which also offer primary care in their community) and by a network of private paediatricians or general practitioners who volunteered to participate in the study; 10 to 20 practitioners were chosen, according to the study site, so that their practices were evenly scattered across each metropolitan area. Controls were children without asthma or other chronic respiratory symptoms and were recruited, when fulfilling the inclusion criteria, by the participating physicians. Hence, a pool of eligible controls was built, among which pairs were matched when a new incident case was enrolled; matching criteria were city, age  $(\pm 1 \text{ year})$  and gender; also, the case and its matched control were always investigated the same day. The sample size expectation was over 400 children (cases and controls), based on the hypothesis of a 20% difference between average lifelong exposure to traffic related nitrogen oxides.

#### Data collection

As described elsewhere,<sup>26</sup> two cumulative indices of traffic exposure since birth were developed. This paper deals with the index referred to as ''traffic density''; another paper will present the results using the second index. ''Traffic density'' is a time weighted average of the traffic density to road

distance ratio  $(I/D:unit = (vehicle/day)/m)$ , where I and D were calculated for each home and school (or day care locations for infants) address throughout the child's life. For each address, the index road that was chosen to compute this ratio was the one located in a radius of 300 metres that resulted in the greatest I/D ratio. Distance values (D) were calculated on detailed city maps. Data on yearly averages of traffic density (I) were retrieved, for each road, from administrative files in each study site; the seasonal variations of traffic densities were checked and were found to be very narrow. Detailed information on traffic intensity was sometimes lacking (particularly for small inner city roads); in such cases, officers from the local transportation departments were asked to provide an expert judgment on the most appropriate category among the following four: less than 5000 vehicles/day, from 5000 to 10 000, from 10 000 to 20 000 and above, the central value being used to compute the I/D ratio. An age, gender, and city specific average of time spent daily at home and at school (or a corresponding day care location) was used to weigh the school and home I/D ratios, for each year of life, and then to compute weighted ratios over the lifetime. Time activity diaries (15 minutes resolution) were completed by parents during a whole week, to provide this information; data from children aged 4 (the younger age category included in the study) were applied to earlier life periods (in France, more than 80% of children aged 3 attend nursery schools).

Questionnaires filled in by the parents were used to collect data on parental and child allergies, passive smoking before and after birth, attendance of a day care centre, indoor sources of air pollution (air and water heating systems, energy used for cooking), conditions that favour high prevalence of dust mites, fungi, and endotoxins (indications of humidity in the house, or presence of pets at home), in all homes since birth. The home environment was described with questionnaires used for the European Expolis study.<sup>27</sup> Allergy was defined as presence of hay fever, eczema, or urticaria. The atopic status of each child was also evaluated with skin prick tests to the aeroallergens of cat, Dermatophagoïdes pteronyssinus, Alternaria tenuis, timothy grass, Blatta germanica, olive tree, birch tree, pellitory, and ragweed; positive skin tests were defined by a mean wheal diameter of .3 mm. Stringent French regulations as to the protection of volunteers in biomedical research did not allow these tests to be done at home, as planned initially, and unfortunately not all children agreed to come to the study hospitals to undergo skin prick tests.

Standard operating procedures were written for each specific task to ensure the best possible homogeneity of data collection. The study design was approved by the ethic committee of the Grenoble University Hospital.

#### Data analysis

Conditional logistic regression was used to accommodate the matched nature of the data (Stata software). All exposures were censored to the month of asthma diagnosis or the corresponding month for the matched child. Associations between exposure variables and case/control status were assessed for two life segments: cumulated lifelong and during the 0–3 year period, with the hypothesis that earlier exposures might be more influential than later ones. Cumulative exposures were computed along each life segment. For most items, it was the total number of months with the exposure factor present (for example, gas appliances or pets at home), taking into consideration possible changes along each life segment, as declared by the parents; for passive smoking after birth, the exposure variable was the number of cigarettes years reported for the father or for the



mother. Gradients were evaluated by dividing the exposure ranges at the median value or according to tertiles. Procedures for model building were the following: some "sensitive" variables were included in the model irrespective of the value of the Wald statistic (smoking during pregnancy, environmental tobacco smoke (ETS), attendance of day care centres, and social category of parents); for the other variables, p values were set at 15%, not to overlook confounding and interaction. This selection of potential confounders or effect modifiers was done before inclusion of the traffic exposure index. Differential effects according to study centres were assessed.

#### RESULTS

A total sample of 434 children (217 pairs) participated. Six cases were diagnosed with asthma more than three years before the study took place; among 16 other pairs, missing data impeded computation of the traffic index and the corresponding pairs were discarded, leaving a sample size of 195 full pairs. Table 1 compares demographic characteristics of cases and controls, along with the distribution of known or suspected asthma risk factors. Participants were young (average age = 7.3 years (SD = 2.5); 60.2% were less than 8 years old), with a little more boys than girls. Cases and controls differed for social categories: parents of control children had more often a university level education, a feature that was therefore adjusted for in the analysis. As expected, personal or parental allergies were more prevalent among cases; also, skin prick tests were more often positive among cases.

Average lifelong ''traffic density'' differed across cities, with greater values in Paris (fig 1). This indicator was also computed for the first three years of life (fig 2). Although most (62.3%) children had moved at least once since birth, there was a high correlation between the lifelong and 0–3 years values of the exposure index  $(r = 0.88$  between places where children lived before age of 3 and lifelong values, ranging from 0.71 to 0.93 according to the study site), suggesting that moving families stay in general in the same type of environment, as regards traffic. Average background nitrogen dioxide  $(NO<sub>2</sub>)$  and PM10 concentrations were calculated, using fixed monitors data, for the days when the study children were questioned at home—that is, all year long except during holiday periods. The particles figures were similar across cities: 20.0 (SD) =  $5.4 \text{ µg/m}^3$  in Paris, compared with 19.7 (6.9)  $\mu$ g/m<sup>3</sup> in Grenoble, 17.0 (8.3)  $\mu$ g/m<sup>3</sup> in Toulouse, and 18.4 (8.6) in Clermont-Ferrand; no PM measurements were done in Nice when the study took place. Corresponding figures for  $NO<sub>2</sub>$  showed differences between cities, with respectively, 50.9  $\mu$ g/m<sup>3</sup> (11.8) in Paris, 31.6 (8.7) in Grenoble, 31.2 (11.1) in Toulouse, 28.5 (13.1) in Clermont-Ferrand, and 37.1  $\mu$ g/m<sup>3</sup> (8.6) in Nice.

In table 2, associations between the case/control status and exposure are assessed while controlling for a set of covariates. Average lifelong exposure to traffic effluents was not a predictor of asthma in these data (second column). In the third column, data are restricted (for all time dependant variables) to the first three years of life. ''Traffic density'' is now positively associated with asthma. After log transformation, traffic density was introduced as a quantitative predictor and was strongly correlated with asthma ( $OR = 1.30$  ( $95\%$  CI 1.04 to 1.62) for one unit increase of this exposure index before age 3).

In table 3, the effect of exposure to traffic exhausts before age of 3 is studied within a range of children with or without atopy, defined as at least one positive prick test. Despite poor significance of this interaction term ( $p = 20\%$ ), because of sparse data (only children with skin tests were included in these models;  $n = 244$ ), this analysis suggests an increased susceptibility of atopic children, with ORs in the higher "traffic density" exposure category being much greater than among non-atopics.

A set of sensitivity analyses were run to test the following hypotheses: Do these associations differ according to the study site? Does controlling for lifelong exposures to the time dependant predictors modify the output of the 0–3 years model? The results proved robust, with essentially unchanged figures.

#### **DISCUSSION**

The results of this study suggest that, while controlling for known risk factors of asthma, exposure to traffic exhausts during early life is associated with a greater risk of childhood asthma. This association does not hold when exposure is averaged over life. Children with positive skin prick tests seem more susceptible, suggesting a gene-environment interaction; this finding, however, rests on sparse data and needs to be confirmed.



Figure 1 Exposure to traffic ((vehicle/ day)/m) of cases and controls for the lifelong period, and comparison between cities.





Before interpreting these results, strengths and limitations of this study should be discussed. Continuous efforts were made to set and follow quality assurance procedures with a view to ensure a high level of comparability across subjects and cities.28 Many procedures and questionnaires used in the Vesta project followed already published and validated investigation tools.27 28

Selection bias could have occurred if the catchment area of the study university hospitals (where most of the cases were recruited) and the paediatricians' practices (where most of the controls where identified) were dissimilar and related to the exposure variable. The geographical distribution of medical practices and of cases and controls across the metropolitan areas was checked and shown even in all study sites but in the Paris metropolitan area: slightly more cases dwelt in municipalities not contiguous to Paris than controls. To assess whether this putative source of selection bias might have changed the results, children from these cities were excluded (16 cases and 11 controls, and corresponding matched pairs); the 0–3 years OR for one unit increase of log ''traffic density'' was essentially unchanged (1.26 (1.00 to 1.6)).

Controls proved more often born to parents with higher education level than cases. The reason for this is that parents of children with a chronic disease are more prone to accept the constraints of this demanding study than parents of healthy children. Therefore, among controls, volunteering was an important feature of children enrollment, and it is known to be more favourable among educated populations.<sup>29</sup> For this reason, social category was controlled for as a confounder; there was no indication of effect modification according to this variable. Also, the number of siblings, a variable that differentiated cases and controls, proved unrelated to the exposure variable; therefore, it was not a confounder of the association.

Observation bias is unlikely as the traffic related exposure variables were constructed using essentially administrative or map (road distances) data. Time activity questionnaires completed by the parents are not prone to differential information either. Investigators were aware of the asthmatic or control status of the children, because it was not possible to duplicate the work force for this study, for financial and practical reasons. However, all the procedures for collection of data and construction of the exposure variables were very strictly standardised, leaving very little room, if any, to differential ascertainment.

Various approaches were followed in the literature to assess exposure to traffic emissions. Self reported traffic density on the street in front of the home, $17 22$  or the number of vehicles per 24 hours passing along the nearest street by the home or the school<sup>21</sup><sup>23</sup> <sup>24</sup><sup>30</sup> or along the street with the greatest traffic density in the child's school or home area,<sup>20</sup> <sup>25</sup> often served as exposure metrics. Distance between the nearest street and the home has also been accommodated.<sup>18</sup><sup>23</sup><sup>31</sup>



\*The models also included the following variables: parental social category (three classes); ETS during pregnancy (yes/no); number of months of exposure to maternal smoking at home (four classes); day care attendance (yes/ no); number of months of usage of gas for cooking (three classes); number of months with pets at home (three classes); number of months with traces of humidity at home (three classes). †Life long: tertile 2=(10.9 to 29.6), tertile 3>30.3 (vehicle/day)/m.  $\pm$ Before age of 3: tertile 2=(11.2 to 28.8); tertile 3>30.0 (vehicle/day)/m.



Other studies combined traffic and distance data,<sup>32</sup> <sup>33</sup> as does our ''traffic density'' index. In a Danish case-control study of air pollution and childhood cancer, ambient air concentrations of traffic related pollutants were estimated.<sup>34</sup> In Stockholm, retrospective exposure of men to traffic exhausts was reconstructed using historical ambient air measurements at different fixed air quality surveillance sites.<sup>35</sup>

Among the many pollutants that are associated with traffic exhausts, ultra fine particles are a plausible link for a causal interpretation of these results. Diesel particles (DP) are in this size fraction.<sup>36</sup> Nasal DP challenge was shown to increase nasal IgE production, both in atopic and non-atopic asthmatics.37 There is in vitro and in vivo evidence that DP may increase allergic inflammation and airway hyperresponsiveness through alteration of a Th-2 type inflammation.37–39 Maturation of the immune system plays a crucial part in inception of asthma.<sup>1 40 41</sup>. After birth, the newborn immune system encounters inhalant allergens that stimulate the T cell immunity. An increasing body of evidence suggests that environmental factors can modify the immuno-inflammatory processes that occur in early life. Whereas exposure to infections, through contact with older siblings or early attendance at daycare facilities may stimulate enhancement of Th1 mediated response, DP might consolidate the allergen specific Th2 immunity, leading to imbalance between Th1 and Th2 cells<sup>42</sup>; this "Th1conversion failure" occurs more frequently in children with atopic family history,<sup>40-43</sup> which is in accord with our finding of a greater susceptibility of sensitised children. The first two years of life is a critical period for development of postnatal asthma or atopy in relation to exposure to environmental agents,<sup>44</sup> a plausible explanation why exposures during the first life period, in our study, are more strongly associated with occurrence of asthma than later exposures. The period before age of 3 we chose to study the effect of early exposures rests upon this evidence and on statistical power considerations.

#### Key points

- Exposure to traffic exhausts during infancy was shown significantly associated with the occurrence of asthma later on, among children aged 4 to 14 years old.
- Lifelong exposures were not associated with asthma, however.
- These results are in accord with other findings showing that the first years of life are a critical period for the development of postnatal asthma or atopy in relation to exposure to environmental agents.
- They also add evidence to the case that traffic related pollutants might have contributed to the asthma epidemic that has taken place during the past decades among children.

#### **CONCLUSIONS**

Concentrations of the traditional indicators of air quality have shown a dramatic decrease over the second half of the 20th century in developed countries. Modification of the air pollution make up may have been overlooked, however. Because of the steady increase of commuting in urban settings, traffic related emissions have substituted for pollutants of industrial and heating origin. This study adds to the evidence that this evolution might contribute to the recent worldwide rise in asthma prevalence.

#### ACKNOWLEDGEMENTS

Ambient air quality data were provided by the local monitoring networks (ASCOPARG, ORAMIP, QUALITAIR 06, ATMO-AUVERGNE and AIRPARIF). In each site, a network of private physicians contributed to enrollment of families into the study; although they cannot all be cited, their contribution was extremely valuable. The authors are thankful to the children and their families who volunteered for this demanding study.

The other Vesta investigators are: in Grenoble: F Balducci, C Brambilla, J Dechenaux, A Maitre, Ch Pison, J Quentin-Ferran; in Paris: A Grimfeld, F Callais, F Neukirch; in Toulouse: M-P Poilvé, H Varale, P de Castelnau, J Pouey; in Nice: M Bourrier; in Clermont-Ferrand: B Aublet-Cuvelier, Y Glanddier, I Petit; in Nantes: C Sacré, J P Flori.

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Funding: this study was supported by the French Research Program on air pollution (Primequal-Predit), coordinated by the Ministry of Environment. It also received funds from ADEME (French Environment and Energy Agency) and from local authorities in the study sites (Rhône-Alpes and Midi-Pyrénées Regions, metropolitan authorities of Grenoble and Toulouse). Stéphanie GAUVIN received a doctoral grant by ADEME and Union Française des Industries Pétrolières (UFIP). Patrice REUNGOAT also received a doctoral grant from ADEME and INRETS.

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