# **SCIENTIFIC REPORT**

# Coincidence of normal tension glaucoma, progressive sensorineural hearing loss, and elevated antiphosphatidylserine antibodies

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**Background:** Recently, in patients with normal tension glaucoma (NTG) elevated levels of antiphosphatidylserine antibodies (APSA), a subgroup of antiphospholipid antibodies (APLA) were found. Progressive sensorineural hearing loss (PSHL) is associated with autoimmune diseases and also the presence of APLA.

**Methods:** To investigate a possible association between NTG and PSHL, 34 patients (age range 31-81 years) with NTG were evaluated for evidence of audiovestibular disorders. Besides ophthalmological standard examinations (slit lamp, IOP, funduscopy, perimetry) scanning laser tomography and polarimetry were performed. From all patients' audiograms, stapedial thresholds and otoacoustic emissions were obtained. The serological testing of patients and controls (40 healthy blood donors older than 50 years) concerned IgG and IgM levels of antibodies against phosphatidylserine (APSA) and  $\beta 2$  glycoprotein.

**Results:** 23 of 34 NTG patients had hearing loss (PSHL n=11; presbyacusis n=12). The NTG patients had significantly higher APSA levels than controls. Elevated APSA concentrations were significantly more frequent in patients with NTG and hearing loss compared with NTG patients with normacusis.

**Conclusions:** These findings show that NTG and hearing loss have a high coincidence. The elevated APSA levels may indicate an association with similar systemic autoimmune processes.

n recent years, the understanding of development and progression of glaucomatous optic nerve damage has changed. There is accumulating evidence for a multifactorial pathogenesis of glaucomatous optic neuropathy. Besides an elevated intraocular pressure (IOP), there is special emphasis on cardiovascular and haematological risk factors and also on genetic and immunological aspects.<sup>1-6</sup> Recently, Kremmer *et al*<sup>7</sup> found elevated levels of a subgroup of antiphospholipid antibodies, antiphosphatidylserine antibodies (APSA), in patients with normal tension glaucoma (NTG) compared to patients with primary open angle glaucoma (POAG) and age matched healthy controls. These findings may be interpreted as a sign for a generalised disease.

Although the pathogenesis of progressive sensorineural hearing loss often remains unclear some research activities have focused on the role of autoantibodies against antigens in the inner ear. This concept was introduced by Lehnhardt<sup>8</sup> and is supported by the fact that hearing loss is associated with different autoimmune diseases such as Cogan

syndrome, rheumatoid arthritis,<sup>9</sup> Sjögren syndrome,<sup>10</sup> and Behçet's disease.<sup>11</sup>

Hisashi *et al*<sup>12</sup> were the first to demonstrate an association between progressive sensorineural hearing loss in patients with lupus erythematodes and antiphospholipid antibodies. They proposed that in patients with lupus erythematodes these antibodies are causative for thrombosis of the labyrinth leading to progressive sensorineural hearing loss.

Phospholipids are constituents of all membranes and are divided in many subspecies such as phosphatidylserine. It has been theorised that APSA can be generated by any pathological conditions shifting phosphatidylserine from the inner membrane leaflets to the external membrane leaflets of cells.<sup>13</sup> This shift is the beginning of the apoptotic mechanism and leads to cell destruction and ischaemia in endothelial cells.<sup>14</sup> This permits a number of phospholipid binding proteins to be presented to the immune system in unique antigenic conformations, giving rise to antibody production.

Antiphospholipid antibodies were found in patients with autoimmune disease and have been associated with arterial and venous thrombosis, thrombocytopenia, fetal loss, and hearing loss and is summarised as antiphospholipid syndrome.<sup>15</sup>

In this study, we therefore wanted to investigate a possible coincidence between NTG and progressive sensorineural hearing loss (PSHL) and the association to APSA.

## PATIENTS AND METHODS

Thirty four consecutive patients with NTG were included in the study. The average age of the patients was 65 years; 23 patients were female and 11 were male. All gave their consent before their inclusion in the study. Studies were performed in accordance with the ethical standards of the Declaration of Helsinki.

The diagnosis of NTG was established by typical optic disc and visual field damage. In addition, the differentiation between POAG and NTG was based on intraocular pressure (IOP) measurements above (POAG) or below (NTG) 21 mm Hg. IOP was measured in our hospital for at least 3 days at different times during day and night. Additionally, the hometown ophthalmologists were interviewed for IOP and follow up data of all patients. Ophthalmological standard examinations included slit lamp, Goldmann applanation tonometry, funduscopy, and perimetry. Additionally scanning laser tomography (TopSS, LDT, USA) and polarimetry (GDx, LDT, USA) were performed. NTG patients had mostly moderate visual field defects with absolute arcuate scotomas

Abbreviations: APLA, antiphospholipid antibodies; APSA, antiphosphatidylserine antibodies; IOP, intraocular pressure; NTG, normal tension glaucoma; POAG, primary open angle glaucoma; PSHL, progressive sensorineural hearing loss

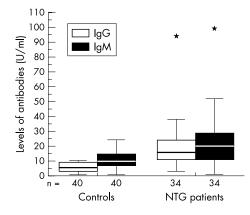


Figure 1 Levels of antiphosphatidylserine IgG and IgM antibodies from 34 normal tension glaucoma (NTG) patients and 40 healthy controls. The bars extend from the 25th percentile to the 75th percentile with a horizontal line at the median. \* p<0.05 v control.

of less than one quadrant (stage 2–3 according to the glaucoma classification of Aulhorn). Visual acuity was better than 20/40.

Additionally, these patients were examined by pure tone audiogram, stapedial thresholds, and transitory otoacoustic emissions. For audiometry a Beomat (Siemens, Erlangen, Germany) and for otoacustic emissions an ILO 88 (Hortman, Leverkusen, Germany) were used.

Hearing loss was classified in low, middle, and high frequency loss and compared to previously established hearing thresholds in patients with normal hearing and presbyacusis.<sup>16 17</sup> Presbyacusis was defined as hearing loss of high frequencies in elderly people<sup>16 17</sup> whereas PSHL was age independent.

As a control group for autoantibody measurements 40 healthy blood donors from the blood bank of the University of Essen (average age 62 years, 23 male and 17 female) were included in our study. They had neither ophthalmological nor otological diseases nor systemic disorders such as arterial or venous thrombosis, thrombocytopenia, autoimmune diseases, or reproductive disorders.

Venous blood samples were drawn from patients and controls and sera obtained after centrifuge. All sera were tested for the presence of antibodies against phosphatidyl-serine (APSA) of the classes IgM, IgG and against  $\beta$ 2 glycoprotein using enzyme immunoassays (Imtec, Berlin, Germany). APSA levels of >15 U/ml and concentrations of  $\beta$ 2 glycoprotein antibodies >5 U/ml were defined as elevated.

For statistical analysis of different frequencies Fisher's exact test was used. ANOVA with post hoc Dunnet test was used for comparison of antibody concentrations of patients and controls. A p value <0.05 was considered as significant.

#### RESULTS

APSA concentrations were significantly higher in NTG patients compared to healthy controls (fig 1).

Interestingly, the frequency of elevated IgG APSA concentrations in the NTG patients with hearing loss was significantly increased as compared to NTG patients with normacusis and healthy controls (table 1).

Twenty three NTG patients (68%) had hearing loss, mostly affecting the high (41%) and the middle frequencies (32%). After excluding presbyacusis in 12 (35%) NTG patients, 11 NTG patients (32%) had a pathological hearing loss and 11 NTG patients (32%) had normacusis defined by age matched controls.<sup>16</sup> Twenty seven NTG patients (79%) showed reproducible levels of transitory otoacoustic emissions indicating normal outer hair cell function. No reproducible transitory otoacoustic emissions were found in seven patients (20%).

Six NTG patients (18%) had a positive history of thromboembolic disease, four of these patients had a pathological hearing loss and two presbyacusis or normacusis.

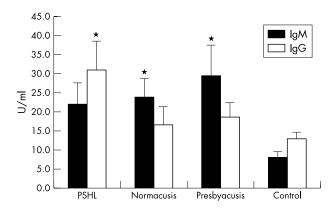
In NTG patients, in the group with presbyacusis and normacusis, levels of IgM APSA concentrations were significantly higher (p<0.05) as in healthy controls, whereas no significant differences of IgM APSA concentrations between NTG patients with normacusis, PSHL, and presby-acusis were found (fig 2).

Levels of IgG APSA concentrations were significantly increased in the NTG subgroup with PSHL (p<0.05) compared to healthy controls.

Levels of anti- $\beta$ 2 glycoprotein were in the normal range and not significantly different between patients and controls.

## DISCUSSION

In the past few years it was shown that autoimmune phenomena are associated with hearing loss. Naarendorp *et al*<sup>18 19</sup> found a correlation between sudden hearing loss and systemic lupus erythematosus. Moreover, progressive hearing loss may be associated with increased autoantibody levels: Tumiati *et al*<sup>10</sup> were able to demonstrate an increased



**Figure 2** Immunoglobulin (Ig) G or M concentrations (SEM) of antiphosphatidylserine antibodies (APSA) in normal tension glaucoma patients with different otoacustic characteristics and in controls; PSHL: progressive sensorineural hearing loss; \*p<0.05 v control.

 Table 1
 Number of elevated antiphosphatidylserine antibody (APSA) levels in 34 patients with normal tension glaucoma (NTG) and different otoacustic characteristics as well as 40 healthy controls. Fishers exact test

Immunoglobulin (Ig) class of APSA	Number of NTG patients with elevated antibody concentrations			
	Presbyacusis (n = 12)	PSHL (n = 11)	Normacusis (n = 11)	Controls (n = 40)
lgG	7 (58%)*†	9 (82%)**‡	3 (27%) **§	3 (7.5%)**¶
lgM	7 (58%)	7 (64%)*‡	7 (64%)	10 (25%)**¶
IgG + M	4 (33%)	5 (45%) <sup>ns</sup> ‡	3 (27%)	11 (27.5%) <sup>ns</sup> ¶

concentration of IgG anticardiolipin antibodies in 30% of patients with Sjögren's syndrome and sensorineural hearing loss.

In principle, two different entities of autoantibodies were found to be associated with glaucoma: autoantibodies against specific proteins of the retina and the optic nerve<sup>20-24</sup> or against more common antigens<sup>25-28</sup> such as extractable nuclear antigens, small heat shock proteins and serum antibodies against neuron specific enolase.

Interestingly, Shokoohi *et al*<sup>29</sup> reported on elevated antiphospholipid antibodies in NTG and POAG patients. Recently, we<sup>7</sup> observed an increase of antibodies against APSA in NTG patients compared with POAG patients and healthy controls. APSA are a subgroup of antiphospholipid antibodies which are one of the hallmarks of the antiphospholipid syndrome. APSA may be important because of their binding specificity to phosphatidylserine molecules which become accessible during apoptosis, which in turn may lead to local thrombosis.

As elevated concentrations of antibodies against phosphatidylserine were markedly increased in NTG but not in POAG and age matched controls we concluded that these findings are a sign of generalised disease. Therefore, we performed inner ear diagnostic tests in some of these NTG patients and found that 68% had pathological audiograms (PSHL in 32% and presbyacusis in 35%).

It is very difficult to find epidemiological data in the literature on PSHL alone because it has various causes. A survey of the US National Center of Health Statistics,<sup>30</sup> however, estimated that 14% of individuals between the ages of 45 and 64 years and 23% of individuals between 65 and 74 years have hearing loss regardless of the underlying pathology. In an Italian study<sup>31</sup> based on a sample of 2216 subjects (>18 years) 22% had sensorineural hearing loss. Most common aetiology was presbyacusis (14.5%) and progressive vascular disorders (3.6%).

Interestingly, a higher prevalence of antiphosphatidylserine antibodies of the IgG class was seen in NTG patients with hearing loss in comparison to NTG patients with normacusis. This finding suggests a similar pathological pathway as a sign for generalised disease.

The increase of the same antibody entity in patients suffering from both, NTG and PSHL may indicate an association with similar systemic autoimmune processes. Interestingly, 35% of the NTG patients and 45% of NTG patients with PSHL had both elevated IgG and IgM APSA levels. This may be indicative of an active (IgM) and persistent (IgG) autoimmune process.

Patients with presbyacusis or PSHL showed a significant difference compared to patients with normacusis, suggesting that some patients with presbyacusis also have elevated antiphosphatidylserine antibodies. This is not surprising because antiphospholipid antibodies increase with age. The smaller occurrence of hearing loss compared to normal tension glaucoma might be explained by the fact that the terminal vessel pathway in the eye seems to be more vulnerable to blood supply disturbances or patients are more sensitive to the sense of vision than to hearing. It has been shown that apoptosis can be induced by antiphosphatidylserine antibodies, which results in occlusion of small vessels by thromboemboli and finally leads to disturbance of the microcirculation in the inner ear and eye.

In contrast with APSA, anti- $\beta$ 2 glycoprotein antibodies were considered as not important for the pathogenesis of normal tension glaucoma.

Based on our findings all patients with NTG or significant hearing loss and elevated levels of IgG and IgM antibodies against phosphatidylserine should have further ophthalmological or otological work up. In a selected group of patients the inner ear is also involved. Interestingly, Hoyng *et al*<sup>32</sup> found spontaneous platelet aggregation in POAG patients with visual field deterioration more increased as in POAG patients without progressive visual field loss and glaucoma suspects. O'Brien *et al*<sup>33</sup> and Matsumoto *et al*<sup>34</sup> found NTG and, to a lesser extent, POAG associated with increased platelet aggregability and they recommended a treatment with low dose acetylsalicylic acid. Additionally, in other diseases with elevated antiphospholipid antibodies a treatment with coumarin derivates and acetylsalicylic acid was successfully applied.<sup>18 19</sup> Further studies must show if such an anticoagulative treatment in patients with elevated APSA levels and NTG and hearing loss might also be an option.

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