

# Thalidomide reduces tumour necrosis factor $\alpha$ and interleukin 12 production in patients with chronic active Crohn's disease

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**Background:** Thalidomide improves clinical symptoms in patients with therapy refractory Crohn's disease, as shown in two recent studies. The mechanism of this effect however is still unknown. Suppression of tumour necrosis factor  $\alpha$  (TNF- $\alpha$ ) by thalidomide has been suggested as a possible mechanism. However, effects on other cytokines have not been adequately investigated.

**Aim:** The aim of our study was to investigate the effects of thalidomide on cytokine production in patients with inflammatory bowel disease (IBD).

**Methods:** Ten patients with therapy refractory IBD (nine Crohn's disease, one ulcerative colitis) received thalidomide 300 mg daily in a 12 week open label study. Production of TNF- $\alpha$ , interleukin (IL)-1 $\beta$ , IL-6, and IL-12 was investigated in short term cultures of stimulated colonic lamina propria mononuclear cells (LPMC) and peripheral blood monocytes (PBMC) before and after 12 weeks of treatment. LPMC were also cultured with graded doses of thalidomide.

**Results:** Three patients discontinued treatment because of sedative side effects. In the other patients, disease activity decreased significantly, with four patients achieving remission. Production of TNF- $\alpha$  and IL-12 decreased during treatment with thalidomide: LPMC (TNF- $\alpha$ : 42.3 (8.3) pg/ml v 16.4 (6.3); IL-12: 9.7 (3.3) v 5.0 (2.5);  $p < 0.04$ ) and PBMC (TNF- $\alpha$ : 62.8 (14.6) v 22.5 (9.2);  $p < 0.02$ ). Production of IL-1 $\beta$  and IL-6 did not change significantly. Culturing of LPMC with thalidomide showed a dose dependent decrease in TNF- $\alpha$  and IL-12 production.

**Conclusion:** The clinical effects of thalidomide in Crohn's disease may be mediated by reduction of both TNF- $\alpha$  and IL-12.

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Even with the availability of various immunosuppressive therapies such as azathioprine, mercaptopurine, and methotrexate, the treatment of patients with Crohn's disease (CD) refractory to steroids still remains a clinical challenge.

The inflammatory process in inflammatory bowel disease (IBD) is characterised by increased production of proinflammatory cytokines, including tumour necrosis factor  $\alpha$  (TNF- $\alpha$ ), interleukin (IL)-1 $\beta$ , and IL-6 by intestinal lamina propria mononuclear cells (LPMC) and peripheral blood monocytes (PBMC).<sup>1–4</sup> Production of IL-12, an immune response regulatory cytokine, has recently been demonstrated in patients with active CD.<sup>5</sup>

TNF- $\alpha$  is considered to be centrally involved in the inflammatory process in CD.<sup>6,7</sup> TNF- $\alpha$  displays multiple effector functions, including induction of neutrophil accumulation,<sup>8</sup> granuloma formation,<sup>9</sup> upregulation of adhesion molecules on endothelial cells,<sup>10</sup> procoagulant effects,<sup>11</sup> and induction of increased intestinal permeability.<sup>12</sup> In clinical studies, TNF- $\alpha$  levels in serum<sup>13</sup> as well as in stool<sup>14</sup> were found to be elevated in patients with active CD in comparison with normal controls.

Strong support for a central role of TNF- $\alpha$  comes from clinical studies with infliximab, a humanised chimeric monoclonal antibody of the IgG1 subclass. Infliximab was shown to be effective in at least two thirds of patients with steroid dependent chronic active CD.<sup>6,7</sup>

Thalidomide, another agent with TNF- $\alpha$  suppressive properties, was introduced into the therapy of CD by Wettstein and Meagher<sup>15</sup> who reported remission in a case of steroid dependent CD. Thalidomide was developed in the 1950s as a sedative but was subsequently withdrawn from widespread use in the 1960s because of teratogenicity.<sup>16</sup> After the drug was

banned for more than two decades, *in vitro* studies demonstrating that thalidomide inhibits TNF- $\alpha$  production<sup>17</sup> have led to its use in clinical conditions thought to be mediated by increased production of proinflammatory cytokines, such as refractory cutaneous lupus,<sup>18</sup> chronic graft versus host disease,<sup>19</sup> rheumatoid arthritis,<sup>20</sup> and Behçet's syndrome.<sup>21</sup>

However, selective suppression of TNF- $\alpha$  may not be effective in CD.<sup>22,23</sup> It would therefore be interesting to investigate the effect of thalidomide on other cytokines such as IL-12. Earlier studies showed a reduction in IL-12 by thalidomide<sup>24</sup> but this has not been adequately investigated.

Recently, two open label trials on the treatment of refractory CD with thalidomide have reported response rates of 64% and 70% in patients after a 12 week course.<sup>25,26</sup> To elucidate the possible mechanism of this clinical effect, we investigated production of TNF- $\alpha$ , IL-1 $\beta$ , IL-6, and IL-12 in 10 patients with IBD treated with thalidomide.

## METHODS

### Patients

Nine patients with chronic active CD and one patient with chronic active ulcerative colitis (UC) participated in the study (table 1). Chronic active CD and UC were defined by a CD activity index (CDAI)  $> 200$ , respectively, a colitis activity

**Abbreviations:** CAI, colitis activity index; CD, Crohn's disease; CDAI, Crohn's disease activity index; CDEIS, Crohn's disease endoscopic index of severity; IBD, inflammatory bowel disease; IL, interleukin; LPMC, lamina propria mononuclear cells; PWM, pokeweed mitogen; PBMC, peripheral blood monocytes; TNF- $\alpha$ , tumour necrosis factor  $\alpha$ .

**Table 1** Clinical data of nine patients with Crohn's disease and one patient with ulcerative colitis

Patient	Age (y)	Sex	Duration of disease (y)	CDAI/CAI	CDEIS	Steroid treatment (months)	Prednisone dose (mg)	Azathioprine dose (mg)	Extraintestinal manifestations	Mesalazine (g)
1	38	M	7	342	16.6	12	—*	125	Arthralgia	—
2	22	M	5	308	19.8	8	10	100	—	3.0
3	51	M	2	202	12.8	6	20	—†	—	3.0
4	30	M	2	216	9.8	17	30	100	Arthralgia	—
5	40	F	13	218	10.2	24	30	125	—	4.0
6	33	M	6	425	20.4	15	20	100	—	1.5
7	27	F	4	325	14.2	24	30	—†	—	2.0
8	45	M	5	252	12.5	18	20	100	—	3.0
9	31	M	3	277	14.8	8	20	100	—	3.0
10‡	61	M	5	9	—	12	20	—	—	3.0

\*Budesonide 9 mg; †azathioprine intolerance; ‡ulcerative colitis.

index (CAI) >7 despite prednisone >10 mg daily, and/or azathioprine therapy for at least three months. Diagnosis of CD and UC was established using generally accepted criteria.<sup>27,28</sup>

Exclusion criteria were bacterial or parasitic pathogens in the patients' stools, a positive *Clostridium difficile* toxin test, clinical signs of septicæmia, intestinal perforation, megacolon, signs of stenosis, and active fungal or viral infection. Because thalidomide can cause peripheral neuropathy, patients were also excluded if they had a history or clinical signs of neurological disease. Further exclusion criteria were elevated transaminases (>3 times normal), hyperbilirubinæmia (>2 times normal), signs of renal dysfunction (serum creatinine >33% elevated), or serum cholesterol concentration less than 110 mg/dl. Contraception was mandatory for female participants of childbearing potential. Informed consent was obtained from all patients. The study was granted prior approval by the local ethics review committee.

#### Baseline studies and follow up

A clinic visit was scheduled two weeks before the tentative start of thalidomide treatment and colonoscopy with calculation of the CD endoscopic index of severity (CDEIS)<sup>29</sup> was performed within one week before enrollment. All patients received thalidomide (Grünenthal, Aachen, Germany) at a dose of 300 mg to be taken orally at bedtime. Patients were seen two, four, eight, and 12 weeks after the start of thalidomide treatment, and at each of these times laboratory tests and a physical examination were performed and the CDAI/CAI calculated. After two and 12 weeks, a repeat colonoscopy with calculation of CDEIS was performed. In case of clinical remission, as defined by a decrease in CDAI to below 150, (CAI below 5), prednisolone was tapered. The primary outcome measure was induction of clinical remission.

#### In vitro cytokine studies

Cytokine production was measured in colonic LPMC and PBMC before the start of thalidomide and after two and 12 weeks of treatment. Fetal calf sera and pokeweed mitogen (PWM) were purchased from Gibco (Grand Island, New York, USA). TNF- $\alpha$ , IL-1 $\beta$ , IL-6, and IL-12 p70 ELISA kits were obtained from R&D Systems (Minneapolis, Minnesota, USA). Sensitivity of TNF- $\alpha$  ELISA was 4.4 pg/ml, IL-1 $\beta$  1 pg/ml, IL-6 0.7 pg/ml, and IL-12 p70 0.5 pg/ml. All other chemicals were obtained from Sigma (St Louis, Missouri, USA) unless otherwise specified. PBMC and LPMC were isolated as previously described.<sup>22</sup> In brief, diluted peripheral blood was layered over a Ficoll-Hypaque gradient, and cells from the interface were harvested and incubated in petri dishes with subsequent discarding of non-adherent cells. PBMC were cultured without stimulation and in the presence of lipopolysaccharide (1% vol/vol, 24 hours). For isolation of LPMC, in brief, epithelial cells were removed from biopsies by repeated washing with EDTA, and then biopsies collagenase digested overnight.

After density gradient centrifugation, LPMC were cultured without stimulation and in the presence of PWM (1% vol/vol, 48 hours). Supernatant cytokine levels were determined in duplicate by ELISA.

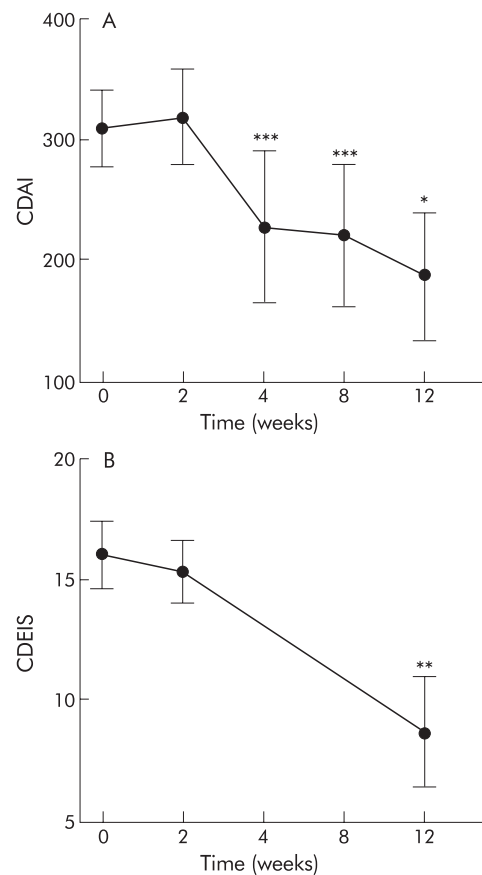
#### Statistics

Results are expressed as mean (SEM). The Wilcoxon signed rank test was used with paired data. A p value <0.05 was considered significant.

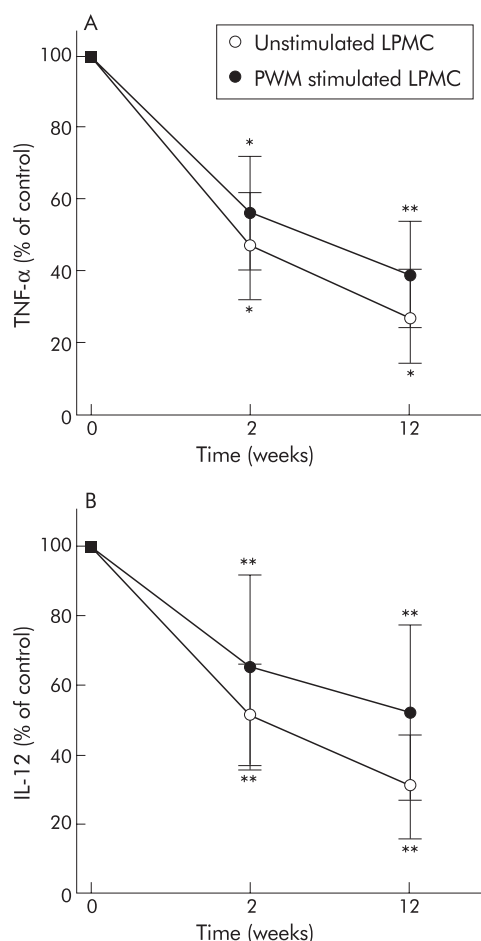
#### Results

##### Effect on clinical activity

Three patients discontinued treatment with thalidomide within two weeks because of sedative side effects. The CDAI of



**Figure 1** Crohn's disease activity index (CDAI) and Crohn's disease endoscopic index of severity (CDEIS) after treatment with thalidomide. CDAI scores (A) decreased from 309 (31) before treatment to 227 (63) after four weeks, 216 (56) after eight weeks, and 187 (53) after 12 weeks of treatment. CDEIS (B) also significantly decreased after treatment with thalidomide. \*p<0.02, \*\*p<0.04, \*\*\*p<0.05.

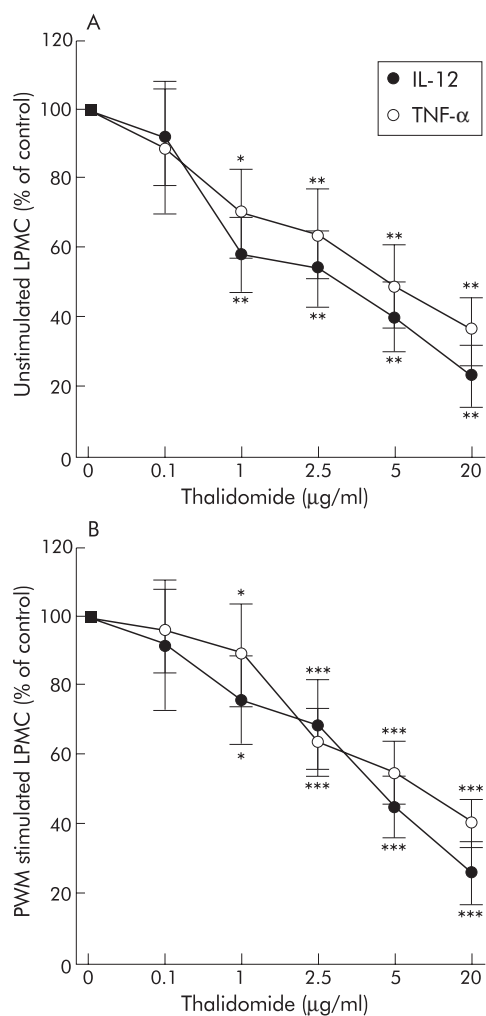


**Figure 2** Production of tumour necrosis factor  $\alpha$  (TNF- $\alpha$ ) (A) and interleukin 12 (IL-12) (B) by pokeweed mitogen (PWM) stimulated and unstimulated lamina propria mononuclear cells (LPMC) after treatment with thalidomide (n=7). TNF- $\alpha$  and IL-12 production by LPMC decreased within two weeks of treatment with thalidomide. Data show cytokine production as a percentage of levels before treatment with thalidomide: TNF- $\alpha$ : 42.3 (8.3) pg/ml (stimulated), 17.0 (3.9) pg/ml (unstimulated); IL-12: 9.7 (3.2) pg/ml (stimulated), 7.3 (1.9) pg/ml (unstimulated). \* $p$ <0.02, \*\* $p$ <0.04.

the remaining six patients with CD decreased from 309 (31) before treatment and 319 (40) after two weeks to 227 (63) after four weeks ( $p$ <0.05), 216 (56) after eight weeks ( $p$ <0.05), and 187 (53) after 12 weeks of treatment ( $p$ <0.02) (fig 1A). Four of these patients achieved remission (two patients at week 4 and two patients at week 12). The CAI of the patient with UC was 9 before thalidomide and 7 after 12 weeks of treatment. CDEIS scores changed from 16.1 (1.4) before treatment to 15.4 (1.3) at week 2 and 8.7 (2.3) at week 12 ( $p$ <0.04) (fig 1B). C reactive protein level decreased from 4.9 (1.1) mg/dl before treatment to 4.5 (1.0) after two weeks, 2.6 (0.7) after four weeks ( $p$ <0.02), 2.3 (0.7) after eight weeks ( $p$ <0.04), and 2.1 (0.9) after 12 weeks ( $p$ <0.04). All patients were receiving steroid therapy at study entry. Three patients were able to discontinue steroid treatment; in one patient prednisolone was reduced from 20 mg to 10 mg daily. In the other patients, steroid doses were unchanged.

#### Effects of thalidomide on cytokine production

TNF- $\alpha$  production by stimulated and unstimulated PBMC and LPMC was studied in all seven patients (six CD, one UC) who completed the study. TNF- $\alpha$  production by stimulated LPMC decreased from 42.3 (8.3) pg/ml before treatment with thalidomide to 24.0 (6.8) at week 2 ( $p$ <0.02) and 16.4 (6.3) ( $p$ <0.04) at week 12 (fig 2A). TNF- $\alpha$  in unstimulated cells decreased from 17.0 (3.9) pg/ml to 8.0 (2.5) (week 2;  $p$ <0.02) and 4.6 (2.2) (week 12;  $p$ <0.02). Similarly, production of



**Figure 3** Titration of lamina propria mononuclear cells (LPMC) with increasing doses of thalidomide. Inhibition by thalidomide of production of tumour necrosis factor  $\alpha$  (TNF- $\alpha$ ) and interleukin 12 (IL-12) was observed in both unstimulated (A) and pokeweed mitogen (PWM) stimulated LPMC (B) (n=8). Data show cytokine production as a percentage of control without thalidomide: TNF- $\alpha$ : 20.8 (5.0) pg/ml (unstimulated), 49.8 (6.9) pg/ml (stimulated); IL-12: 12.0 (2.0) pg/ml (unstimulated), 17.2 (3.3) pg/ml (stimulated). \* $p$ <0.04, \*\* $p$ <0.01, \*\*\* $p$ <0.001 compared with controls.

TNF- $\alpha$  by PBMC decreased from 62.8 (14.6) pg/ml (unstimulated 28.8 (7.2) pg/ml) before thalidomide to 37.3 (9.9) (unstimulated 17.7 (5.6)) at week 2 ( $p$ <0.01,  $p$ <0.02), and 22.5 (9.2) (unstimulated 8.8 (4.6)) at week 12 ( $p$ <0.02). Production of IL-12 by LPMC decreased from 9.7 (3.2) pg/ml (unstimulated 7.3 (1.9)) before thalidomide to 6.3 (2.7) (unstimulated 3.7 (1.1)) after week 2 ( $p$ <0.04) and 5.0 (2.5) (unstimulated 2.3 (1.1)) after 12 weeks of thalidomide ( $p$ <0.04) (fig 2B). Production of IL-1 $\beta$  and IL-6 did not change significantly during treatment with thalidomide. Production of IL-1 $\beta$  (stimulated) by LPMC was 465 (74) pg/ml before treatment versus 417 (49) after 12 weeks. Production of IL-6 (stimulated) by LPMC was 2498 (286) versus 2702 (376) after 12 weeks.

Colonic LPMC of eight patients with CD were incubated with increasing doses of thalidomide. Doses of 0.1, 1, 2.5, 5, and 20  $\mu$ g were added to unstimulated and PWM stimulated  $10^6$  LPMC. Production of TNF- $\alpha$  and IL-12 was detectable in all supernatants without thalidomide. With increasing doses of thalidomide, levels of both TNF- $\alpha$  and IL-12 by unstimulated as well as PWM stimulated LPMC significantly decreased, beginning at a concentration of 1  $\mu$ g/ml (fig 3). At higher

doses, TNF- $\alpha$  and IL-12 were both strongly suppressed by thalidomide; 50% suppression of IL-12 was observed at lower doses of thalidomide than suppression of TNF- $\alpha$  (IC50 for IL-12 ~ 3–4  $\mu$ g/ml; IC50 for TNF- $\alpha$  5–10  $\mu$ g/ml). Production of IL-1 $\beta$  and IL-6 did not change significantly in the presence of thalidomide.

### Side effects

All patients reported transient fatigue. In three patients sedation was so severe that they discontinued treatment within the first two weeks of the study. Peripheral neuropathy was seen in one patient after six weeks and was completely reversible after dose reduction to 200 mg. In one of two patients, in which thalidomide therapy was continued after the 12 week study period, peripheral neuropathy developed after 36 weeks and disappeared after discontinuation of therapy. No pregnancies occurred during the study.

### DISCUSSION

Recently, two open label trials on the treatment of refractory CD with thalidomide reported clinical efficacy with response rates of 64% and 70% in patients after a 12 week course.<sup>25, 26</sup> Our study showed similar results. As TNF- $\alpha$  is considered to be centrally involved in the inflammatory process in IBD and thalidomide has been shown to suppress TNF- $\alpha$  production,<sup>17</sup> this mechanism could be responsible for its clinical efficacy. In fact, we found a strong effect of thalidomide on TNF- $\alpha$  production in LPMC as well as in peripheral monocytes. However, exclusive suppression of TNF- $\alpha$  may not be sufficient to explain the clinical improvement observed, as a recent study with pentoxifylline, another TNF- $\alpha$  suppressor,<sup>23</sup> failed to demonstrate clinical improvement in refractory CD.<sup>22</sup> Also, the effect of anti-TNF- $\alpha$  antibody (infliximab) is attributed not only to its direct effect on TNF- $\alpha$  but rather to the combination with other immunomodulating effects.<sup>25, 30</sup>

To shed more light on the mechanisms by which thalidomide might work, we also investigated the potency of thalidomide in reducing other proinflammatory cytokines. We found a significant reduction in the production of IL-12 by LPMC after treatment with thalidomide.

IL-12, an immunoregulatory cytokine considered to be centrally involved in the induction of cellular immune responses, has been shown to be released by LPMC in patients with CD.<sup>5</sup> Expression of the IL-12 receptor  $\beta$ 2 subunit was also found to be upregulated in CD.<sup>31</sup> Recently, an experimental animal model of colitis has been developed in which anti-IL-12 antibody treatment prevented colitis, suggesting an important role for IL-12 in the pathogenesis of intestinal inflammation.<sup>32</sup>

The effects of thalidomide on TNF- $\alpha$  and IL-12 seem to be rather specific as no change in IL-1 $\beta$  and IL-6 production was seen in our study. A decrease in these two cytokines could have been expected in accordance with reduced disease activity. In addition, suppression of TNF- $\alpha$  and IL-12 preceded clinical improvement; this was already present two weeks after treatment with thalidomide whereas a decrease in disease activity parameters was first noted after four weeks. In vitro incubation of LPMC with thalidomide showed a dose dependent decrease in TNF- $\alpha$  and IL-12 production, supporting this interpretation. It is interesting that thalidomide reduces production of two cytokines which are centrally involved in the inflammatory cascade in IBD. This combination may explain why thalidomide is more effective in these patients than other selective inhibitors of single pathways.<sup>22, 23</sup>

Compared with the other open label trials recently presented,<sup>24, 25</sup> we used a relatively high dose of 300 mg of thalidomide daily, which probably accounts for the high rate of dropouts (3/10 patients) in our study due to sedative side effects. In the study of Ehrenpreis and colleagues,<sup>25</sup> who mainly used 200 mg thalidomide daily, eight of 22 (37%) patients withdrew because of side effects or lack of perceived

improvement. Vasiliauskas and colleagues<sup>26</sup> used low dose thalidomide (50–100 mg) observing less toxicity, allowing all 12 patients to complete the 12 week study phase. Interestingly, despite the different dosage used, both groups had similar response rates (64% and 70%, respectively). Although dose dependent suppression of TNF- $\alpha$  by thalidomide has been demonstrated in vitro,<sup>17</sup> only limited in vivo data are presently available. A study in microsporidiosis patients found a non-significant decrease in faecal TNF- $\alpha$  levels with 100 mg thalidomide daily<sup>33</sup> whereas reduction of TNF- $\alpha$  in whole blood cultures was found in patients with rheumatoid arthritis treated with 100 mg thalidomide daily in combination with pentoxifylline.<sup>34</sup> Further studies are necessary to find the optimal dose in IBD.

Taken together, all three clinical studies on thalidomide in IBD demonstrated that it is less effective than other new therapies—for example, infliximab. However, analogues of thalidomide with enhanced anti-TNF- $\alpha$  activity and supposed less toxic/teratogenic effects have recently been developed<sup>35, 36</sup> which may offer therapeutic options in the future. In the light of our data, it may be important to study not only effects on TNF- $\alpha$  but also on IL-12 for the development of such analogues.

In conclusion, our study indicates that the effects of thalidomide on TNF- $\alpha$  and IL-12 may explain its clinical efficacy. The cytokine suppressive properties and therapeutic potential of thalidomide and its analogues<sup>35, 36</sup> in CD should be further investigated.

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