OESOPHAGUS

Prognostic influence of Barrett's oesophagus and *Helicobacter pylori* infection on healing of erosive gastrooesophageal reflux disease (GORD) and symptom resolution in non-erosive GORD: report from the ProGORD study

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Background: Adequacy of acid suppression is a critical factor influencing healing in gastro-oesophageal reflux disease (GORD). The European prospective study ProGORD was set up to determine the endoscopic and symptomatic progression of GORD over five years under routine care, after initial acid suppression with esomeprazole. We report on factors influencing endoscopic healing and symptom resolution during the acute treatment phase.

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Revised version received 25 June 2004 Accepted for publication 12 July 2004 **Methods:** Patients with symptoms suggestive of GORD underwent endoscopy and biopsies were obtained from the oesophagus for diagnosis of abnormalities, including Barrett's oesophagus (BO). Data from 6215 patients were included in the "intention to treat" analysis, 3245 diagnosed as having erosive reflux disease (ERD) and 2970 non-erosive reflux disease (NERD). ERD patients were treated with esomeprazole 40 mg for 4–8 weeks for endoscopic healing while NERD patients received 20 mg for 2–4 weeks for resolution of heartburn symptoms.

Results: Endoscopic healing occurred overall in 87.7% of ERD patients although healing was significantly lower in those with more severe oesophagitis (76.9%) and in those with BO (72.4%), particularly in *Helicobacter pylori* negative BO patients (70.1%). Age, sex, and body mass index appeared to have no significant impact on healing. Complete heartburn resolution was reported by 70.4% of ERD patients and by 64.8% of NERD patients at the last visit. Only *H pylori* infection had a significant influence on complete heartburn resolution in the NERD group (68.1% and 63.7% for *H pylori* positive and *H pylori* negative, respectively; p = 0.03).

Conclusion: The presence of Barrett's mucosa, as well as severe mucosal damage, exerts a negative impact on healing. *H pylori* infection had a positive influence on healing in ERD patients with coexistent BO but no influence on those without BO.

Gord astro-oesophageal reflux disease (GORD) has become the most common acid related disorder in the Western world, and there are indications of an increasing prevalence over the last few decades.^{1 2} Despite the lower prevalence of GORD in Asia, awareness of the condition has considerably increased in this region also.³ Consequently, the global burden of the disease necessitates further development and refinement of therapies and management strategies.

Heartburn of varying intensity and frequency is the predominant symptom for targeting in GORD and may be associated with an additional spectrum of oesophageal and extraoesophageal symptoms.⁴⁻⁷ More than half of all patients with clinically relevant symptoms of GORD do not have oesophageal mucosal lesions, while in the remainder erosive lesions of differing severity do occur.^{4 8 9} In approximately 10% of patients with GORD related symptoms, Barrett's oesophagus (BO) is detected. Patients with BO have a significantly increased risk of developing oesophageal adenocarcinoma, with an estimated annual incidence varying from 0.4% to 1.8%.^{10 11}

For a definitive assessment and classification of GORD, endoscopic examination remains essential. Based on endoscopic findings, two broad categories of GORD can be distinguished: erosive reflux disease (ERD), where there are mucosal breaks, and non-erosive reflux disease (NERD), where there are reflux symptoms with no erosions.¹² In both categories, endoscopic and/or histological signs of Barrett's mucosa may be present. A strict diagnosis of BO requires an endoscopic examination combined with histological assessment and the finding of specialised (intestinalised) columnar epithelium.¹¹ ¹³ Recently, it has been proposed that within the spectrum of GORD, BO is a separate entity from ERD and NERD, and that there is probably little crossover among these three separate entities.¹⁴ The evidence for this is inconclusive however.

ProGORD is a large ongoing study with the objective of studying the progression of GORD, endoscopically, histologically, and symptomatically, under conditions of routine clinical management. We now report on factors that had an impact on the efficacy of effective acid suppression in the acute healing phase during esomeprazole therapy. In particular, the influence of concurrent Barrett's epithelium

Abbreviations: GORD, gastro-oesophageal reflux disease; BO, Barrett's oesophagus; ERD, erosive reflux disease; NERD, non-erosive reflux disease; RDQ, reflux disease questionnaire; ITT, intention to treat; CRF, case record forms and *Helicobacter pylori* on the GORD healing process is reported and discussed.

MATERIAL AND METHODS Study design

The Progression of GORD (ProGORD) study is a prospective, multicentre, open cohort study currently being conducted in Germany, Austria, and Switzerland, in which patients with symptoms suggestive of GORD are being followed up for five years after they have been treated with esomeprazole. The study was initiated after approval of the ethics committee at the site of the principal investigator (PM) and subsequent approval was obtained from the responsible study sites. Written consent was obtained from each patient prior to inclusion in the study.

In all patients there was an initial symptom assessment using the reflux disease questionnaire (RDQ)¹⁵ and general and medical history was recorded, including medication and epidemiological risk factors. Upper gastrointestinal endoscopy was then performed, during which biopsies were taken both from the stomach and the oesophagus. In accordance with European dosage recommendations, patients with ERD received treatment for 4-8 weeks with 40 mg esomeprazole once daily for endoscopic oesophageal healing and resolution of symptoms, and those with NERD received 20 mg esomeprazole once daily for 2-4 weeks for symptom resolution. During the acute treatment phase, follow up visits for NERD patients were planned at two weeks (and at four weeks if symptoms were unresolved at two weeks) and for ERD patients at two weeks and four weeks (and at eight weeks if not healed at the four week visit). The RDQ was completed by all patients at each visit and endoscopy was repeated in ERD patients at four weeks (and at eight weeks if unhealed at four weeks).

Patients

A total of 6509 patients \geq 18 years of age with ERD or NERD were recruited from 1253 centres in Germany, Austria, and Switzerland from May 2000 until February 2001. Patients were recruited from hospital endoscopy clinics or from specialised endoscopy units where they underwent endoscopy with the objective of distinguishing between ERD and NERD. Similar numbers of patients from each category in blocks of four (that is, two consecutive NERD and two consecutive ERD patients) were included from each centre to facilitate long term comparison of the groups.

Patients diagnosed at the index endoscopy as having BO were allowed to enter the ProGORD study. The main exclusion criteria were: continuous treatment with any acid suppressant drug for more than seven days within the four weeks prior to the study, history of gastrointestinal surgery (except simple closure of an ulcer), gastro-oesophageal malignancies and/or "alarm symptoms" of malignancy, evidence of alcohol or drug addiction, or limited language skills. In addition, *H pylori* eradication therapy was not allowed during the initial treatment phase.

Endoscopy and biopsy

The recent well validated classification system for oesophagitis, the Los Angeles (LA) classification,¹⁶ was used. It was modified slightly in the ProGORD study (a mucosal break was defined as an area of slough with a sharp line of demarcation from adjacent normal mucosa). ERD patients were classified as A–D using this definition of a mucosal break while NERD patients were identified by the presence of heartburn in the absence of mucosal breaks.

Prior to participation in the study, all endoscopists took part in training sessions on how to use the LA classification system for description of ERD and how to diagnose BO. This



Figure 1 Flow chart showing patient populations enrolled and included for each statistical analysis. ERD, erosive reflux disease; NERD, nonerosive reflux disease; BO, Barrett's oesophagus; RDQ, reflux disease questionnaire; IIT, intention to treat. *Number of patients with at least one RDQ. †ERD patients with endoscopic and confirmed diagnoses of BO are included in fig 2.

was considered vital to maintain consistency in assessments made across such a large number of centres. The diagnosis of BO was based either on endoscopic suspicion (that is, indications of any columnar lined epithelium in the oesophagus) or on combined endoscopic and histological proof of Barrett's mucosa (that is, detection of intestinal metaplasia within the columnar epithelium).13 Biopsies of the oesophagus were obtained from 2 cm above the z line, at the z line (optional), and from the cardia, according to a standardised protocol, both at baseline and at four (or eight) weeks. If there was an indication of columnar epithelium, additional biopsies were requested. Doctors were asked to biopsy both normal and abnormal appearing squamocolumnar junction if possible, but this was not mandatory. All biopsies were subsequently analysed by two experienced histopathologists (MS and MV). Biopsies were fixed in 10% buffered formalin, dehydrated in an increasing series of alcohols and xylol, and embedded in paraffin. Histological sectioning was carried out perpendicular to the plane of the mucosal surface. After deparaffinisation, at least eight sections (4 µm) per paraffin block were stained with haematoxylin and eosin. In the stomach, two biopsies were taken from each of the antrum and corpus. Histological assessment of gastric biopsies was performed according to the updated Sydney system for measurement of H pylori colonisation using Warthin Starry staining.17

Questionnaires

Reflux symptoms were recorded by patients at each visit on a slightly modified RDQ.¹⁵ The questionnaire, translated into German and retranslated into English, was tested in a pilot study using a test and retest design (retest reliability coefficient = 0.86). Patients were asked to rate the six RDQ symptoms for frequency (using a five point Likert scale) and severity (using a six point Likert scale) over the previous week. Two of the six RDQ symptoms, a burning feeling and pain behind the breastbone, were considered to reflect heartburn.

Statistical evaluation

Statistical analyses were performed separately for ERD and NERD patients due to the different treatment regimen for each group. ERD patients were further categorised into LA grades A/B and C/D. Additionally, within each of these three patient groups (ERD A/B, ERD C/D, and NERD), evaluations were performed for subgroups: (a) with confirmed BO (based on endoscopy and histology); (b) with endoscopic BO, based

	ERD		NERD		
	n	%	n	%	
All patients	3245	100	2970	100	
Males	1966	60.6	1337	45.0	
Females (***v males)	1279	39.4	1633	55.0	
Age (y) (mean (range))***	54.5 (18–	92) –	53.0 (18–91) –		
BMI (mean (range))***	27.3 (15-65) -		26.6 (15-	-49) –	
BO diagnosed***	453	14.0	69	2.3	
LA grade C/D	611	18.8	-	-	
H pylori positive***	761	23.5	846	28.5	
Ulcer history***	92	2.8	39	1.3	
Previous GORD medication***	2376	73.2	2036	68.6	
Extraoesophageal symptoms**	1269	39.1	1051	35.4	
	LA A/B	LA C/D	NERD	All	
Disease duration (mean y)					
All	5.7***	7.2***	5.1	5.6	
With BO	6.6 NS	9.2*	7.0	7.5	
Without BO	5.6**	6.4***	5.0	5.4	

Table 1 Demographic data and baseline features (intention to treat intention to treat

GORD, gastro-oesophageal reflux disease. *p<0.05, **p<0.01, ***p<0.001, ERD versus NERD.

on endoscopy only; (c) any BO (either confirmed or endoscopic); and (d) with no BO. Groups were also divided into those with and without H pylori infection (fig 1).

Analysis was based on the intention to treat (ITT) population. Data with inadequate source verification or missing informed consent were excluded for regulatory reasons. Additionally, incomplete case record forms (CRF) and those from patients screened but not treated were also excluded. Endoscopic healing was defined as absence of mucosal breaks in ERD patients. Endoscopic healing rates at eight weeks are cumulative in the sense that patients who were healed at the four week endoscopy (and thus had no eight week endoscopy) were counted as healed in the calculation of eight week healing rates. In both ERD and NERD patients, complete heartburn resolution was defined as no heartburn related symptoms during the last seven days according to the RDQ. Calculated endoscopic healing rates and rates of complete heartburn resolution excluded patients with missing data, which means that patients with missing data were assumed to have the same probability of healing as patients with valid data. Crude healing rates were also calculated where patients with missing data (but who received treatment) were considered as unhealed. Results for heartburn resolution in ERD and NERD patients are presented for the last visit (4/8 weeks or 2/4 weeks, respectively). The prognostic influence of baseline LA status (A/B ν C/D), any BO (no v yes), age (continuous), sex, body mass

index (continuous), H pylori infection (no v yes), and H pylori infection in the presence or absence of BO was studied on endoscopic healing rates (at eight weeks) for ERD patients using a logistic regression analysis. In NERD patients, the influence of the same prognostic factors (except LA grade) on complete resolution of heartburn was evaluated for the last visit assessment of RDQ.

RESULTS

Patients and treatment

Figure 1 shows the enrolment, treatment allocation, and analysis of patients in terms of patient subgroups and target evaluations. Of 6509 patients enrolled, 6215 underwent ITT analysis. Data from 294 patients were excluded from the ITT analysis; 213 because of insufficient source data verification, 30 because of missing informed consent, two because of age less than 18 years, 11 due to incomplete CRF data, and 38 were screened and not treated. Demographic data for the ERD and NERD study populations, including the subpopulations, are presented in table 1. Mean age was similar in the two groups. The major differences between the ERD and NERD groups were the higher proportion of males and the higher prevalence of BO in the ERD group. The distribution of BO length within the ERD and NERD groups, respectively, was similar: <1 cm 11% and 17%; 1-2 cm 23% and 23%; 2-3 cm 19% and 17%, and >3 cm 24% and 17%. ERD patients with more severe oesophagitis and those with BO

	4 weeks			8 weeks		
	n	% Healed	95% CI	n	% Healed	95% CI
All patients	2221/2833	78.4	76.8–79.9	2515/2867	87.7	86.5-88.9
LA class A/B	1872/2292	81.7	80.0-83.2	2088/2312	90.3**	89.0-91.5
LA class C/D	349/541	64.5	60.3-68.6	427/555	76.9	73.2-80.4
Hp positive	548/657	83.4	80.3-86.2	603/665	90.7*	88.2-92.8
Hp negative	1645/2133	77.1	75.2-78.9	1877/2157	87.0	85.5-88.4
With BO	232/408	56.9	51.9-61.7	302/417	72.4***	67.9-76.7
Without BO	1989/2425	82.0	80.4-83.5	2213/2450	90.6	89.1-91.5

*p = 0.01, ***p < 0.0001, χ^2 test.

	4 weeks		8 weeks		
	n	% Healed	n	% Healed	
All patients	2221/3245	68.4	2515/3245	77.5	
LA class A/B	1872/2634	71.1	2088/2634	79.3***	
LA class C/D	349/611	57.1	427/611	69.9	
Hp positive	548/761	72.0	603/761	79.2 NS	
Hp negative	1645/2427	67.8	1877/2427	77.3	
With BO	232/453	51.2	302/453	66.7***	
Without BO	1989/2792	71.2	2213/2792	79.3	

***p<0.0001, χ² test.

had a longer history of GORD, the longest duration of disease being associated with coexistence of these conditions. *H pylori* infection was less prevalent in the ERD group (table 1).

Endoscopic healing rates in ERD patients

In the ERD group, endoscopic findings indicated that 78.4% and 87.7% had no oesophagitis at four and eight weeks, respectively (table 2). Endoscopic healing rates are also presented according to baseline grade of oesophagitis, H pylori status, and presence or absence of BO (table 2). Corresponding crude healing rates are presented in table 3. Endoscopic healing rates in LA grade A/B patients were higher, as predicted, than those for LA grade C/D patients at both four and eight weeks. Although healing rates at four and eight weeks were considerably lower for patients with BO than for those without BO, BO patients with LA grade A/B oesophagitis had higher healing rates at both four and eight weeks (64.5% and 78.6%, respectively) than those with grade C/D oesophagitis (45.0% and 63.0%, respectively). This was true whether diagnosis of BO was by endoscopy combined with histology or by endoscopy alone.

The proportions of patients that healed in the different BO subgroups (that is, none, any BO, endoscopic BO, and confirmed BO) are presented in fig 2. Healing was not significantly affected by *H pylori* status although it was numerically slightly higher in *H pylori* positive patients. On subanalysis of the *H pylori* groups, this was found to be attributable to the coexistence of BO (fig 3). In patients with BO, healing was significantly greater (p = 0.02) in *H pylori* positive patients at eight weeks (83.1%) than in those who were *H pylori* negative (70.1%). (BO patients with grade C/D oesophagitis who were *H pylori* negative had an even lower healing rate of 60%.) No such effect of *H pylori* was observed



Figure 2 Endoscopic healing rates (%) at eight weeks for erosive reflux disease patients according to method of diagnosis of Barrett's oesophagus (BO): no BO, any BO (endoscopic+confirmed), endoscopic BO, confirmed BO. p=0.03 for endoscopic BO versus confirmed BO; ***p<0.0001 for no BO versus any BO, endoscopic BO, or confirmed BO. BO.



Figure 3 Endoscopic healing rates (%) at four and eight weeks for erosive reflux disease patients with or without Barrett's oesophagus (BO), according to grade of oesophagitis and *Helicobacter pylori* status. p=0.02 and p<0.0001 for with BO versus without BO comparisons at eight weeks.

for patients without BO where healing rates were virtually identical (91.7% for H pylori positive and 90.1% for H pylori negative, fig 3). No other prognostic factors tested had a significant effect on healing (table 4).

Symptom (RDQ) assessments in ERD and NERD patients

Complete resolution of heartburn was reported in the RDQ by 70.4% of ERD patients and by 64.8% of NERD patients at the last visit. At two weeks, the only time point when ERD and NERD groups can be directly compared (as some NERD patients were already symptom free), values for complete heartburn resolution were 61.1% and 58.5% for the two groups, respectively. A full prognostic analysis at the last visit revealed that the presence of BO did not significantly influence control of heartburn following treatment in the NERD group, and there were no other significant prognostic factors except *H pylori* (p = 0.03, table 4).

DISCUSSION

This study has provided further insight into the factors that may or may not interfere with the healing of oesophageal lesions in ERD or with symptom resolution in both ERD and NERD. Whereas the severity of mucosal lesions was confirmed as a major factor influencing healing in ERD, the

Table 4Prognostic factor analysis on the main end point variables — endoscopic healing
of erosive reflux disease (ERD) by week 8 and complete heartburn resolution in non-
erosive reflux disease (NERD) patients by week 4

	ERD			NERD	
	n*	% Healed	p Value		% CHR
Prognostic factor	2867	87.7		2790	64.8
LA classification				N/A	N/A
A/B	2312	90.3	<0.0001 v C/D		
C/D	555	76.9			
Sex					
Male	1743	87.2	NS	1253	65.0
Female	1124	88.5		1537	64.7
Age (y)					
<60	1710	87.5	NS	1742	64.2
≥60	1157	88.0		1048	65.8
BMI classification			NS		
<30	2240	87.7		2261	64.8
30+	615	87.8		519	64.7
BO diagnosed					
No	2450	90.3		2724	64.9
Yes	417	72.4	<0.0001 v ''No''	66	60.6
Hp positive baseline					
No	2157	87.0		1949	63.7
Yes	665	90.7	NS	791	68.1

BMI, body mass index; BO, Barrett's oesophagus; Hp, Helicobacter pylori; CHR, complete heartburn resolution n*, patients with post-treatment endoscopic healing assessment; n†, patients with post-treatment heartburn assessment.

The prognostic analysis for NERD at the last visit showed p>0.05 in all cases except for Hp where p=0.03.

negative impact of Barrett's mucosa on healing of erosive lesions in a large prospective study is a novel finding. Furthermore, the variable impact of *H pylori* infection on healing in relation to the coexistence of Barrett's mucosa in the oesophagus was unexpected and, to our knowledge, has not been described previously.

The pattern of ERD healing with 40 mg esomeprazole at eight weeks, according to the endoscopic grades of the LA classification, is in line with that observed in large trials conducted in the USA.18-20 However, the crude healing rates in the US studies were higher than those observed in this study, largely due to the higher proportion of missing healing data in our large study (12% v 5% in the US studies). Healing of LA grades A and B were similar across the studies although healing in patients with LA grades C and D was found to be lower in this study. In searching for a possible explanation, the coexistence of BO with erosions emerged as the critical factor accounting for significantly impaired healing of severe oesophageal lesions. Interestingly, the presence of BO reduced healing in ERD patients to a similar extent, regardless of the method of diagnosis, whether by endoscopy with confirmatory histology or by endoscopy alone. We have to accept that there are certain limitations in a study of this size regarding interobserver variability and correct diagnosis of BO if based on endoscopy without histological confirmation. Indeed, in another large trial, the positive predictive value of endoscopy for BO diagnosis was only 34% (with a negative predictive value of more than 90%).²¹ In our series, 60% of the BO suspected by endoscopy was confirmed histologically and this indicates that we complied with the standardised protocol for biopsies. Moreover, the absence of intestinalised metaplasia in the histologically confirmed columnar lined epithelium is not an infrequent finding in patients with endoscopically suspected BO. We may have missed some BO in the more advanced stages of LA grades C and D or we may have overlooked some patients with BO in the NERD group. However, both groups included in the BO population behaved similarly in terms of healing of erosive lesions. The presence of BO did not have an impact on symptom resolution in either ERD or NERD patients.

The greater therapeutic effect in the absence of BO and the higher prevalence of BO in patients with ERD is in accordance with the fact that the amount of acid exposure is correlated with the severity of erosive lesions and the presence of BO mucosa.¹⁶ In addition, patients with more severe lesions and those with BO had a longer history of GORD (table 1). The traditional concept proposes a shifting from non-erosive to erosive and eventually to the metaplastic condition in GORD over time.²² ²³ The observation that BO is more prevalent in ERD would support the hypothesis that the development of BO mucosa, at least in a subset of patients, follows the route of inflammation via mucosal breaks rather than an inflammatory non-erosive pathway. This to some extent challenges the new hypothetical concept of Fass and Offman¹⁴ that NERD, ERD, and BO are distinct entities of GORD, rather than a potentially evolving continuum.

The role of *H pylori* in relation to GORD is complex.²⁴ We found the prevalence of *H pylori* to be lower in ERD versus NERD and this, at first glance, would fuel the opinion that there is some beneficial effect of H pylori against more severe forms of GORD. The higher prevalence of peptic ulcer history and lower *H pylori* prevalence observed in our patients with ERD (table 1) may have several explanations however. It may be that patients with ERD have been treated more frequently for H pylori or that ERD is a consequence of H pylori eradication. However, recent data²⁵⁻²⁷ do not support the claim that ERD may develop as a consequence of H pylori eradication.²⁸ Finally, regarding the inverse relationship between H pylori infection and GORD, there is also the possibility that environmental conditions giving rise to the development of GORD may reduce the risk of H pylori colonisation.

Healing of erosive lesions with proton pump inhibitor treatment is reportedly enhanced in the presence of *H pylori.*²⁹ The suggested mechanisms are either that there is stronger expression of inflammation at the corpus site in the presence of *H pylori*, which augments acid suppression via higher release of IL-1 β ,³⁰ or that *H pylori* causes release of substantial amounts of ammonia, which has an acid buffering effect.³¹ In this study, the positive effect of *H pylori* on healing was

indeed observed but only in ERD patients with concurrent BO. This finding may explain conflicting earlier reports on the role of H pylori in ERD healing where BO was not investigated.^{19 20 29} A possible explanation for this selective effect of *H pylori* may be that BO patients represent the most severe stage of GORD and therefore obtain the greatest benefit from profound acid suppression during a short term treatment course. The clinical benefit of retaining *H pylori* is to date only related to short term treatment in this study and should not be the rationale for avoiding *H pylori* eradication in the long term management of these patients.³² The long term follow up of patients included in this trial should further contribute to our understanding of whether there are distinct entities of GORD or whether they exist as a continuum of the disease. In the clinical setting, when patients present with both severe erosive oesophagitis and BO, we would suggest a higher dose of acid suppressant for optimal healing, especially if these patients are also H pylori negative. However, further controlled prospective studies are warranted to confirm these findings, which may influence management of GORD in the future.

In conclusion, the degree of erosive mucosal damage and, to a major extent, the presence of Barrett's mucosa, exerts a negative impact on healing. The presence of *H pylori* only influenced healing of ERD patients who had coexistent Barrett's oesophagus.

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