

Chemokine receptor expression in the human ectocervix: implications for infection by the human immunodeficiency virus-type I

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SUMMARY

Human immunodeficiency virus-type 1 (HIV-1) is a sexually transmitted pathogen that can infect cells in the female reproductive tract (FRT). The mechanism of viral transmission within the FRT and the mode of viral spread to the periphery are not well understood. To characterize the frequency of potential targets of HIV infection within the FRT, we performed a systematic study of the expression of HIV receptors (CD4, galactosyl ceramide (GalCer)) and coreceptors (CXCR4 and CCR5) on epithelial cells and leucocytes from the ectocervix. The ectocervix is a likely first site of contact with HIV-1 following heterosexual transmission, and expression of these receptors is likely to correlate with susceptibility to viral infection. We obtained ectocervical tissue specimens from women undergoing hysterectomy, and compared expression of these receptors among patients who were classified as being in the proliferative or secretory phases of their menstrual cycle at the time of hysterectomy, as well as from postmenopausal tissues. Epithelial cells from tissues at early and mid-proliferative stages of the menstrual cycle express CD4, although by late proliferative and secretory phases, CD4 expression was absent or weak. In contrast, GalCer expression was uniform in all stages of the menstrual cycle. CXCR4 expression was not detected on ectocervical epithelial cells and positive staining was only evident on individual leucocytes. In contrast, CCR5 expression was detected on ectocervical epithelial cells from tissues at all stages of the menstrual cycle. Overall, our results suggest that HIV infection of cells in the ectocervix could most likely occur through GalCer and CCR5. These findings are important to define potential targets of HIV-1 infection within the FRT, and for the future design of approaches to reduce the susceptibility of women to infection by HIV-1.

Keywords HIV-1; chemokine receptor expression

INTRODUCTION

Heterosexual transmission of human immunodeficiency virus-1 (HIV-1) to women results from viral infection of cells within the female reproductive tract (FRT). HIV-1 is

present in semen from HIV-infected men and is deposited within the vagina in close proximity to the cervix following sexual intercourse. Which cells initially become infected, and how virus replicates and is transmitted to other cells within the FRT and to the periphery, is uncertain. Understanding the mechanism of HIV-1 transmission within the FRT requires definition of the cellular targets for infection, and whether susceptibility to infection varies under different hormonal and inflammatory conditions. We previously demonstrated that expression of the HIV receptors CD4 and galactosyl ceramide (GalCer), and the chemokine receptors CCR5 and CXCR4 on endometrial epithelial cells changes as a function of menstrual cycle stage.¹ Here we report a systematic analysis of HIV receptor and coreceptor expression in the ectocervix, and show that both epithelial

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cells and submucosal leucocytes are likely targets for infection by HIV-1.

Several studies have been carried out to phenotype leucocyte populations in the human cervix. Poppe *et al.*² performed immunohistochemical and morphological analyses of histological sections of ectocervix, transformation zone, and endocervix, using antibodies against human leucocyte antigen (HLA)-DR, CD4, CD22, CD1a and CD8 antigens. Leucocytes in the squamous mucosa and submucosal stroma were found to be predominantly T lymphocytes and dendritic cells (DC). Neither T lymphocyte nor DC numbers or their distribution were found to vary during the menstrual cycle. In a related study, Roncalli *et al.*³ phenotyped cervical leucocytes obtained from the transformation zone of the cervix. They determined that DCs as well as cytotoxic T cells were frequent in both the endocervix and ectocervix, while helper T cells were found to predominate in the ectocervix.

Several reports have identified DC, resting and activated memory CD4⁺ T cells, and macrophages as the earliest cell populations to become positive for simian immunodeficiency virus or HIV RNA following a non-traumatic exposure to the virus.⁴⁻⁷ DC are considered important target cells in HIV infection and transmission, as the cell surface receptor DC-SIGN is thought to be one of the receptors to bind and internalize virus prior to its transmission to CD4⁺ T cells.⁸⁻¹⁰

The envelope glycoprotein gp120 of HIV-1 binds to several cell-surface receptors on target cells. The primary receptor is CD4^{11,12} which is expressed primarily on a subset of T cells and on macrophages. In addition to CD4, GalCer has also been shown to bind gp120.¹³⁻¹⁶ In 1997, Cocchi *et al.* demonstrated that infection of cells with R5-tropic strains of HIV-1 could be blocked by the addition of the CCR5 binding chemokines membrane inflammatory protein-1 α (MIP-1 α), MIP-1 β or regulated on activation, nor-

mal, T-cell expressed and secreted.¹⁷ In addition to CCR5, CXCR4 is important for infection by X4-tropic strains of HIV-1. Several cell types express chemokine receptors including most leucocyte subsets and epithelial cells.^{13,18-21}

Our previous work demonstrated that HIV-1 infects viable tissue sections and isolated cells from both the lower and upper FRT²² suggesting that both epithelial cells and submucosal leucocytes may be targets for initial HIV-1 infection. Moreover, we also demonstrated that uterine epithelial cell lines can be productively infected with X4 strains of HIV-1 and that these cells express CD4, GalCer and CXCR4, but not CCR5. We have also shown that CCR5 and CXCR4 expressing primary human uterine epithelial cells are able to internalize both X4 and R5 strains of HIV, but can only become productively infected by X4 strains.²³ Thus, it is probable that chemokine receptors, by virtue of their selective binding to particular tropic strains of viruses, play a role in the selective uptake and transmission of virus within the FRT. Altered expression of these receptors as a function of menstrual cycle stage could serve to either enhance or inhibit HIV-1 infection of the FRT.¹

In this study, we evaluated the phenotype and localization of cells expressing CD4, GalCer, CXCR4 and CCR5 in the ectocervix. Our objective was to obtain information about HIV receptor and coreceptor expression in the lower FRT, specifically in the squamous epithelium of the human ectocervix (Fig. 1). Our results demonstrated expression of CCR5 and CD4 on basal and parabasal epithelium, and a clear compartmentalization of chemokine receptor expression between the squamous mucosa and submucosal stroma. CCR5- and CXCR4-expressing leucocytes were found exclusively in the submucosal stroma adjacent to the basal lamina. GalCer was expressed by cells of the parabasal and cornified layers, and as such is likely to be the only HIV receptor readily accessible to virus. Moreover, our studies show that CD4⁺ and chemokine receptor-expressing cells

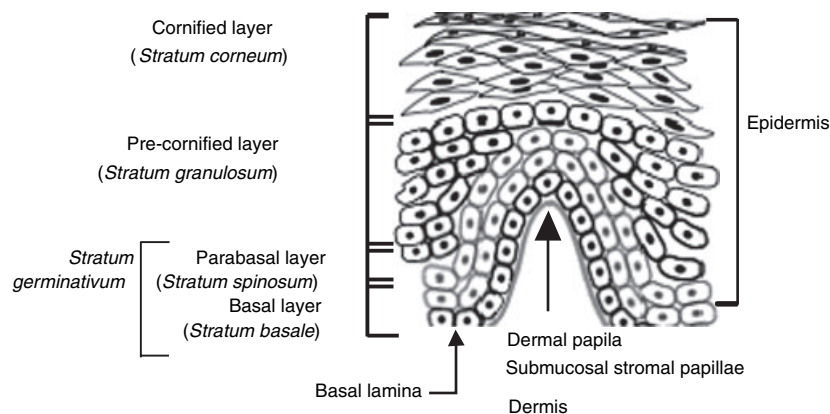


Figure 1. The structure of non-keratinizing squamous epithelium in the ectocervix. The squamous mucosa of ectocervical squamous epithelium is divided into the indicated zones. The basal layer of cells (stratum basale) together with the parabasal cells (stratum spinosum) are where cell division occurs and are collectively termed the stratum germinativum. The parabasal cells differentiate into the cells of the precornified layer or stratum granulosum. Further differentiation occurs forming the cornified layer (stratum corneum); here, the cells take on the characteristic cobblestone appearance of this epithelium. Beneath the basal lamina is the submucosal stroma that contains stromal cells and leucocytes, which traffic in from and out to the periphery. In certain areas, the underlying submucosal stroma exhibits finger like projections into the squamous mucosa known as submucosal stromal papillae that markedly reduce the distance between the submucosal stroma and the luminal surface.

are proximal to the lumen in the dermal papillae. Given the phenotypes present in the papillae, we propose that these structures serve as a potential first site of encounter with infectible leucocytes and, given the large number of antigen-presenting cells present, may represent an antigen sampling structure for the ectocervix and vaginal mucosa.

MATERIALS AND METHODS

Tissues

Cervical tissues were obtained from patients undergoing hysterectomy following informed consent and IRB approval (Table 1). Most patients included in this study were diagnosed with benign diseases including leiomyomata, prolapsed uteri, or benign ovarian disease. None had a postoperative diagnosis of cervical disease. Tissues to be used for immunophenotyping were processed for frozen sections. The menstrual stage of the endometrium from each patient was determined in accordance with accepted histological practice using haematoxylin/eosin stained paraffin sections.²⁴ Evaluations were carried out independently by two pathologists by scoring the degree of stromal oedema and the relative frequency of glandular and stromal mitoses. Tissues were categorized as early, mid-, or late-proliferative, early, mid-, or late- secretory phase, or inactive (postmenopausal).

Immunofluorescent phenotyping of frozen sections

Immunofluorescent staining was carried out essentially as described before.¹ Frozen sections were employed as they preserve epitopes more consistently than paraffin embedded sections.²⁵ The use of fresh vibratome sections (see below) gives enhanced sensitivity as compared to frozen sections. However, their use precludes the use of stored samples and they were therefore used for only a limited number of tissues. Following fixation in acetone for 15 min at room temperature, frozen tissue sections were rehydrated in a

humidity chamber at 4° for 48 hr prior to staining. Monoclonal antibodies (mAb) (Table 2) were applied to the sections at a concentration of 1 µg/100 µl in Blocking Buffer (phosphate-buffered saline (PBS)/1% bovine serum albumin (BSA)/0.1% azide containing 4 mg/ml human immunoglobulin) and incubated in humidity chambers for 2 hr. The specificity of each antibody used in this study was verified by the manufacturer. The anti-GalC antibody used in these studies is specific for GalC²⁶ and has previously been shown to inhibit the infection of colonic epithelia with HIV-1.¹³ Following incubation with primary antibody, the sections were washed three times with PBS containing 1% BSA and 1% azide. Indirect antibody incubations were followed by the addition of fluorochrome-conjugated secondary antibodies for 1 hr, followed by three 15-min washes in PBS/1% BSA/0.1% azide. Frozen sections were first stained with unlabelled indirect antibodies and fluorochrome-conjugated secondary antibodies before the addition of directly labelled antibodies. Following indirect staining, directly labelled antibodies were added in the presence of 5% normal mouse serum to prevent binding of anti-mouse antibodies to the directly conjugated mouse monoclonal antibodies. Unbound antibody was removed from the sections by aspiration followed by three 15-min washes in PBS/1% BSA/0.1% azide. Completeness of blocking was verified by comparing sections of cells stained either directly or indirectly with mAbs, and ascertaining that there were no differences in staining patterns. Washed sections were then fixed overnight at 4° in PBS containing 1% paraformaldehyde. Stained sections were wet-mounted in ProlongTM antifade (Molecular Probes Inc., Eugene, OR), sealed with nail varnish, and stored at 4° in the dark for up to 10 days prior to confocal imaging.

Immunofluorescent staining of vibratome sections

Immunofluorescent staining of endometrial vibratome sections was carried out as previously described.²² Briefly, a

Table 1. Patient summary

#	Menstrual stage	Cervical Pathology	Cervicitis	Main Diagnosis
1013	Early proliferative	None	Chronic	Inflammation
1107	Early proliferative	Squamous metaplasia	Chronic	Benign
1161	Early proliferative	None	Acute	Benign
798	Early proliferative	Squamous metaplasia	Chronic-mild	Endometriosis
530	Mid proliferative	Squamous metaplasia	None	Benign
781	Mid proliferative	Scarring	None	Benign
1271	Mid proliferative	Squamous metaplasia	None	Benign
1015	Late proliferative	None	None	Benign
1398	Late proliferative	Cysts	None	Benign
1347	Early secretory	Squamous metaplasia	None	Benign
1065	Early secretory	None	None	Benign
1293	Early secretory	Squamous metaplasia	None	Benign
1175	Mid secretory	None	None	Benign
1362	Mid secretory	None	Chronic-mild	Benign
675	Mid secretory	None	None	Endometriosis-inflammation
1063	NA	NA	NA	NA
1252	Late secretory	None	Chronic-mild	Benign
1148	Late secretory	Tunnel-clusters	None	Benign

Table 2. Antibodies

Monoclonal Antibodies	Clone	Catalogue #	Label	Source
Anti-CXCR4 (IgG2b)	44716.111	MAB172	CY-5*	R&D Systems, Minneapolis, MI
Anti-CCR5 (IgG2b)	45549.111	MAB183	FITC*	R&D Systems, Minneapolis, MI
Anti-CCR5 (IgG2a)	2D7	36464X	FITC	Pharmingen, San Diego, CA
Anti-CXCR4 (IgG2a)	12G5	36199X	APC	Pharmingen, San Diego, CA
Anti-CD4 (IgG2b)	OKT4		Cy-3*	ATCC, Rockville, MD
Anti-CD8	OKT8		Cy-3*	ATCC, Rockville, MD
Anti-CD14	AML-2-23		Cy3*	ATCC, Rockville, MD
Anti-CD163	248		Cy-3*	Dartmouth Medical School, Lebanon, NH
Anti-CD1a	OKT6		Cy-5*	ATCC, Rockville, MD
Anti-HLA-DR	IVa12	MHLDR01	Cy3*	Caltag, South San Francisco, CA.
Anti-Galactocerebroside (IgG3)	mGaIC	1351621	Purified	Boehringer-Mannheim, Indianapolis, IN
Isotype controls				
IgG2a	20102.1	MAB003	CY-5*	R&D Systems, Minneapolis, MI
IgG2b	20116.11	MA6004	FITC/Cy-5*	R&D Systems, Minneapolis, MI
IgG1	11711.11	MAB002	CY-3*	R&D Systems, Minneapolis, MI
IgG3	FLOPC-21	Mg301	Purified	Caltag, South San Francisco, CA
Second antibodies				
Goat anti-mouse IgG	Polyclonal	115-096-062		Jackson, West Grove, PA

*Labeled in-house.

sample of tissue (150–300 mg) was placed immediately in sterile ice-cold PBS. The blocks of tissue were trimmed of excess myometrium, and 30- to 70- μ m sections were cut using a vibratome (V1000, Technical Products International Inc., St. Louis, MO). These viable, unfixed tissue sections were maintained in ice-cold PBS throughout processing to prevent internalization of surface markers. Vibratome sections were then incubated with mAb as described above for frozen sections. Tissue sections were wet-mounted in ProlongTM on a microscopic slide and sealed as described above.

Confocal scanning laser microscopy

Immunofluorescently labelled sections were imaged using a Bio-Rad MR1024 Confocal Scanning Laser Microscope system equipped with a krypton/argon laser and a 40 \times oil immersion objective. Laser power, PMT gain and enhancement factors were determined for the fluorescein isothiocyanate, cyanin 3 (Cy3), and cyanin 5 (Cy5) channels using the single fluorochrome stained sections to ensure effective cross-channel compensation. The resulting images had dimensions of 240.5 μ m \times 240.5 μ m. Multiple images (five per slide) were stored for offline image analysis and quantitation.

Image analysis and fluorescence quantitation

Images were analysed on an IBM PC compatible computer using Adobe[®] Photoshop[®] version 5.5 (Adobe Systems Inc., San Jose, CA) equipped with plugins from the Image Analysis Tool Kit version 3.0 (Reindeer Games Inc., Raleigh, NC). In order to estimate fluorescence intensity for regions of the tissue, the path tools of Photoshop were used to segment out each region on the basis of morphology. The relative fluorescence/ μ m² of area was then determined for each of the three fluorescent channels. Leucocyte frequency was then scored as negative (–) (0 cells per tissue region per field), \pm (0–1 cell per region), + (2–4 cells), ++ (5–9 cells)

or +++ (10 or more) cells. Scores from a minimum of five fields were averaged for each phenotypic marker.

RESULTS

HIV receptor and coreceptor expression on cervical epithelium

We evaluated the expression of CD4, GalCer, CXCR4 and CCR5 on cells present in the basal, parabasal and cornified layers of the squamous epithelium (see Fig. 1 for histological drawing). This expression was evaluated in frozen sections taken from cervical hysterectomy samples obtained from patients at defined stages of their menstrual cycle.

Epithelial cell CCR5 expression

Expression of CCR5 on epithelial cells was evaluated on all patients and results demonstrated variable staining patterns, with only four of 18 patients (one early proliferative, two mid-proliferative, and one mid-secretory) demonstrating CCR5 expression on the basal and parabasal epithelial cells (Fig. 2a and Fig. 3) when a directly labelled anti-CCR5 antibody was used. CCR5 positivity was confirmed in these four patients using a more sensitive, indirect staining method. This indirect staining protocol confirmed the CCR5 positivity in these four patients, and also revealed very weak positivity in the basal zone of four additional patients who were previously considered negative by direct staining (Fig. 3).

Epithelial cell CXCR4 expression

CXCR4 staining could not be evaluated on the cervical epithelial cells by direct staining with a Cy5 labelled mAb because of the high level of non-specific binding. However,

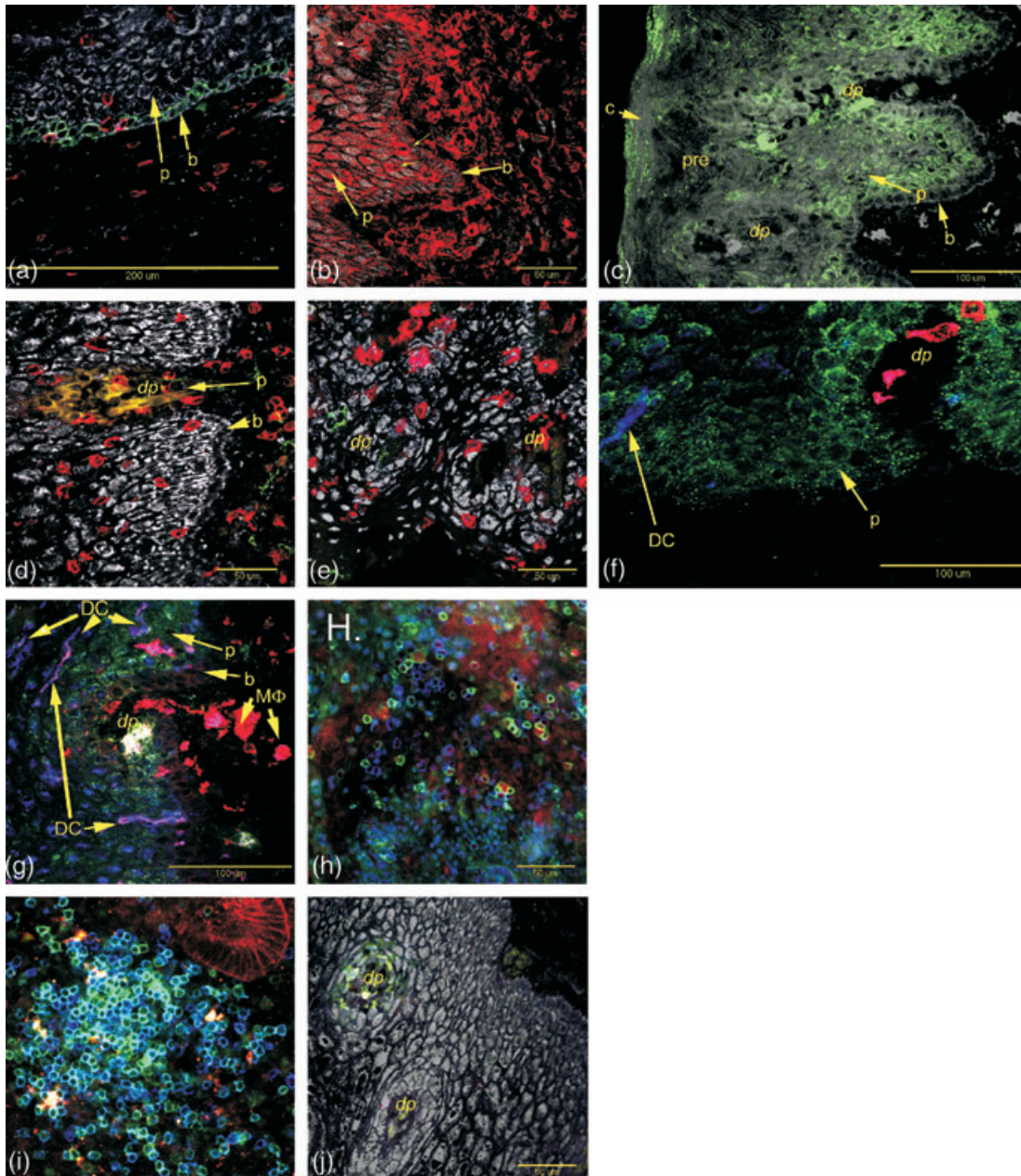


Figure 2. (a) CCR5 (green) is apparent on basal epithelial cells from some patients. CD3⁺ cells (red) in the same section do not express CCR5. This section was stained by the indirect method. (b) CD4⁺ (red cells) cells are located in the stromal layer and squamous epithelium of the ectocervix (thin arrows). The basal (b) and parabasal (p) epithelial cells also show low levels of CD4 expression. (c) GalCer (green) is expressed on parabasal epithelial cells (p) and in the surface regions of the cornified layer (c) but not on the basal layer (b) or precornified layer (pre). (d) CD8⁺ T cells were present in both the submucosal stroma and the squamous epithelium. In comparison to CD4⁺ cells, they penetrated further into the basal and mid-zones. No CCR5 expression was observed on these cells by direct staining. (e) In contrast to CD163 (f), CD14 expression is found on both stroma and squamous epithelium macrophages. CCR5⁺ (green) cells are found in association with the margins of the submucosal stromal papillae (dp). (f) CD163 cells (red) are evident in the submucosal stroma and submucosal stroma papillae but are largely absent from the squamous epithelium. CD1a positive dendritic cells (DC) are present in the squamous epithelium (blue). (g) Concentrations of HLA-DR⁺ cells (red) are associated with the submucosal stromal papillae some of which express GalCer (yellow cells in the papillae). CD1a⁺ HLA-DR⁺ dendritic cells (DC, purple colour) were present in the adjacent squamous epithelium. (h) CCR5⁺ (green) and T cells (CD3, red) are readily detectable in the submucosal stroma using fresh unfixed vibratome sections of ectocervical tissue. (i) Proliferative phase endometrium from a patient exhibiting intense staining for CCR5 (green) on T cells (CD3, blue) appearing turquoise. (j) CCR5 positive cells (green) in submucosal stromal papillae (dp).

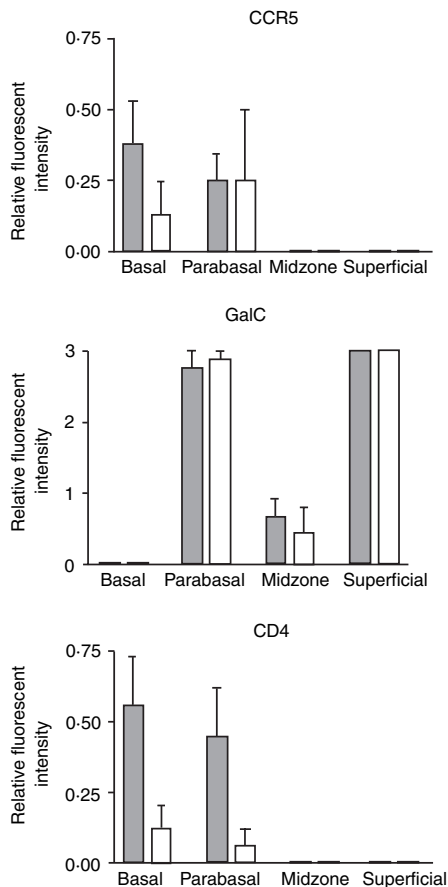


Figure 3. Expression of CCR5, GalCer and CD4 in the different epithelial zones during the proliferative and secretory phases of the menstrual cycle. Proliferative phase tissues, filled bars ($n = 10$), secretory phase tissues, open bars ($n = 8$). Error bars are 1 sd. The data shown for CCR5 were determined using the indirect staining protocol.

using indirect staining with a Cy3-labelled secondary antibody, no expression of CXCR4 on epithelial cells from any of the 18 patient samples from either the proliferative or the secretory phases was observed (data not shown). Positive staining was only evident on individual leucocytes (see below).

Epithelial cell CD4 expression

CD4 expression was localized to particular regions within the ectocervix. Specifically, CD4 was weakly expressed on basal and parabasal epithelial cells, but not in the precornified and cornified layers (Figs 2b and 3). All four early proliferative phase tissues and one of three mid-proliferative phase tissues showed CD4 expression in this region of the epithelium. CD4 expression was completely absent in late proliferative phase tissues, as it was in six of eight secretory phase tissues examined. Expression was weak in two other tissues, one classified as early secretory and one as late secretory stages of the menstrual cycle.

Epithelial galactosyl ceramide expression

GalCer was expressed by parabasal cells and also by cells in the superficial cornified layer of the ectocervical epithelium. Expression was uniformly absent from basal epithelial cells, and was weak or absent in the precornified layer (Figs 2c and 3). In contrast to CD4, GalCer expression was relatively uniform on epithelial cells at all stages of the menstrual cycle.

Phenotypic analysis of cervical leucocytes

CD4-positive leucocytes were present in the stroma, immediately adjacent to the basement membrane, and also in cells from the basal and precornified layers of the squamous epithelium during the early and mid proliferative phases of the menstrual cycle (Table 3 and Fig. 2b). However, with very few exceptions, CD4-positive leucocytes were absent from these locations during the mid-proliferative phase until the end of the secretory phase. Interestingly, we did not observe a positive correlation between CD4 expression on leucocytes and the presence of an inflammatory condition (acute or chronic cervicitis). In six patients with cervicitis, two had high CD4 levels on leucocytes (+ + +), two had intermediate levels (+ or ±), and two showed no evidence of CD4⁺ cells. CD8⁺ cells were also present in greater numbers during the early and mid-proliferative phases and, while still present during the rest of the cycle, they were seen in reduced numbers (Table 3 and Fig. 2d).

Localization of macrophages was accomplished using mAbs to both CD14 and CD163. Both of these receptors were detected on macrophages immediately proximal to the basal epithelium. Although macrophages were easily detectable in the basal and parabasal layers and less frequently in the precornified layer by CD14 positivity (Table 3 and Fig. 2e), few CD163-positive cells were present in the precornified layer (Fig. 2f). Thus, it appears that macrophages lose CD163 expression following transepithelial migration towards the lumen. Neither CD14- nor CD163-positive cell numbers varied significantly with menstrual cycle stage. Dendritic cells, as defined by morphology and by expression of CD1a and HLA class II, were largely absent from the submucosa and basal epithelial cells, but were clearly present in the parabasal cells and in cells from the midzone at all stages of the menstrual cycle (Fig. 2f, g).

Chemokine receptor expression on cervical leucocytes

CXCR4 expression was common on CD4, CD8, CD1a, CD14 and CD163-positive leucocytes located in the submucosal stroma and squamous mucosa. In contrast, CCR5 expression was undetectable by direct staining on all of these cell types in the squamous mucosa. However, using direct staining on frozen sections of endometrium from the same patients and in vibratome sections from other patients, CCR5 expression was readily detected on uterine lymphoid aggregate T cells²² and the uterine glandular and

Table 3. Distribution of leucocytes relative to the epithelium at different stages of the menstrual cycle

	Early proliferative				Mid proliferative			Late proliferative		Early secretory		Mid secretory			Secretory late	
	1013	1107	1161	798	530	781	1271	1398	846	1065	1347	675	1210	1362	1148	1252
CD4																
Submucosal stroma	+++	++	+/-	++++	+/-	+	-	-	-	+	-	-	-	-	-	-
Basal cells	+++	-	-	+/-	-	-	-	-	-	-	+	-	-	-	-	+
Parabasal cells	-	++	+/-	+	+/-	+/-	+/-	-	-	-	-	-	-	-	-	-
Midzone	+	+	ND	+	+/-	+/-	-	-	-	-	-	-	-	-	-	-
CD8																
Submucosal stroma	+/-	-	-	+	-	-	+/-	-	-	-	-	-	-	-	-	-
Basal cells	+/-	+	+	+	-	-	+	+	-	-	-	-	-	-	+/-	+
Parabasal cells	+	++	++	+	++	++	++	++	+	++	++	-	+/-	+/-	+/-	+
Midzone	++	-	-	+	+/-	+/-	-	-	-	+/-	+/-	-	-	-	-	-
CD14																
Submucosal stroma	ND	+/-	+	+	+	+	+	+/-	-	+	+/-	+	-	+	+	+
Basal cells	ND	+/-	-	+	+	+	+	+/-	-	+	+/-	+	-	+	+	+
Parabasal cells	ND	-	+	+	-	+	+	+/-	-	+	+/-	-	-	+	-	-
Midzone	ND	-	-	+	-	-	-	-	-	-	-	-	-	-	-	-
CD163																
Submucosal stroma	ND	+	+	+	+	+	+	+	ND	+	+	+	ND	+	+	+
Basal cells	ND	-	-	+/-	-	-	-	-	ND	-	+/-	-	ND	-	-	-
Parabasal cells	ND	-	-	+/-	-	-	-	-	ND	-	-	-	ND	-	-	-
Midzone	ND	-	-	-	-	-	-	-	ND	-	-	-	ND	-	-	-
CD1a																
Submucosal stroma	-	-	-	+	+/-	+	-	-	ND	-	ND	-	ND	-	-	ND
Basal cells	-	-	-	-	-	+/-	-	-	ND	-	ND	-	ND	-	-	ND
Parabasal cells	-	+	+	+	+	+	+	+	ND	+	ND	+	ND	+	+	ND
Midzone	-	+	+	+	+	+	+	+	ND	-	ND	+	ND	+	+	ND

ND = not determined.

luminal epithelium (Fig. 2i).¹ We have been able to detect both CXCR4 and CCR5 expression on cervical CD8- and CD4-positive cells in the submucosal stroma using direct immunofluorescent staining of fresh unfixed vibratome sections of cervix (Fig. 2h). Taken together, these results indicate that ectocervical dermal lymphocytes express much lower levels of CCR5 than their endometrial counterparts. A consistent exception to this overall pattern was the presence of CCR5-positive leucocytes within the dermal papillae, which were detectable by direct immunofluorescence of frozen sections (see below).

Microanatomical architecture of immune cells and HIV receptors and coreceptors in the ectocervix

An exception to the patterns of leucocyte distribution and HIV receptor and coreceptor expression was evident in the dermal papillae. Papillae are finger-like or fold-like projections of the submucosal stroma into the squamous epithelium. In the ectocervix, these papillae are of the finger type and deeply penetrate the squamous epithelium in such a way that the distance from the submucosal stroma to the luminal surface is greatly reduced (denoted by *dp* in Fig. 2c–g, j). Concentrations of leucocytes were consistently observed in these structures. These leucocytes included both CD4⁺ and CD8⁺ cells, and HLA-DR⁺ CD14⁺ CD163⁺ macrophages. Dendritic cells, as defined by CD1a and

HLA-DR positivity and morphology, were not present on the stromal side beneath the basement membrane, but were evident on the adjacent parabasal epithelial cells (Fig. 2g). HLA-DR expression was high on both the macrophages and the dendritic cells. Furthermore, individual leucocytes within these structures expressed high levels of GalCer (Fig. 2g) and CCR5 (Fig. 2j).

DISCUSSION

The results presented here suggest that, in contrast to the uterus, expression of CD4, CCR5, CXCR4 and GalCer in leucocyte populations from the ectocervix do not greatly vary with the stage of the menstrual cycle. These observations agree with previous studies in rhesus macaques showing that the leucocyte distribution in the cervicovaginal mucosa remains constant throughout the menstrual cycle.^{2,27} In addition, we show that the basal and parabasal epithelial cells in some individuals express both CD4 and CCR5. Expression of CCR5 was infrequent and occurred in tissues from patients in both the proliferative and the secretory phases of the menstrual cycle. We were unable to demonstrate the presence of leucocytes expressing CCR5 within the squamous epithelium.

Recent data indicate that in the colon, GalCer and CCR5 coexpression on epithelial cells in the absence of CXCR4 and CD4 expression is responsible for the selective

uptake and transport of R5 tropic virus to submucosal leucocytes.²⁸ This suggests that the selectivity of particular HIV-1 strains to cross the gastrointestinal tract could occur at the level of the epithelium. However, although R5 tropic viruses are found in the peripheral blood of newly infected persons early during the course of infection, it is not known whether this is caused by the selective mucosal transmission of R5 tropic viruses, or by selective replication of virus of a particular tropism once transmission has occurred. As the majority of the viral population present in semen is R5-tropic, transmission of R5 tropic virus by intestinal epithelial cells may not necessarily reflect an active selective mechanism but rather transmission of the pre-existing pool of seminal virus.⁴

Using a culture system derived from cervical tissue, Gupta *et al.* reported a comparable rate of transmission across the cervical epithelium of cell-free and cell-associated virus of either R5 and X4 tropism.⁴ In addition, although cervical epithelial cells are refractory to HIV-1 infection, recent work of Dezzutti *et al.*²⁹ and Wu *et al.*³⁰ suggest that they behave as viral reservoirs to sequester and transfer virus of X4 and R5 tropism to activated peripheral blood mononuclear cell. In these studies, HIV-1 transmission appeared to occur in a CD4-independent manner.²⁹ As cervical epithelial cells express GalCer, and GalCer-mediated endocytosis of both R5 and X4 viruses has been reported after coculture of HIV-infected cells with intestinal epithelial cells, it is tempting to postulate that HIV entry into the cervical epithelium could be mediated by this receptor. In fact, in our study, GalCer was the only HIV-1 receptor present in the cervical lumen readily accessible to virus. Thus, if the ectocervical epithelium is the primary site of infection, restricted transmission of R5 tropic virus is more likely to be dependent on the presence of susceptible CCR5-positive leucocytes in the submucosal stroma.

Although the occasional CCR5⁺ cell was present in the submucosal stroma, none were found in the squamous mucosa. Only individual cells present within the dermal papillae were consistently found to express CCR5 along with GalCer. Significant numbers of these cells were CD4-expressing macrophages and T cells as well as CD8⁺ T cells. The degree to which the dermal papillae penetrate into the squamous epithelium brings these cells into close proximity with the luminal surface and the intensely GalCer-positive superficial cornified layer. Patterson *et al.* showed a similar distribution of CD4⁺ CCR5⁺ cells but concluded that they were clustered within the squamous mucosa.³¹ It is also possible that inflammatory events could increase or induce expression of CD4 on leucocytes. However, in our study, we did not see a correlation between the presence of an inflammatory condition in the female reproductive tract (cervicitis), and increased CD4 expression on leucocytes in the ectocervix.

We propose that the submucosal stromal papillae may be a portal of entry for HIV-1 into the submucosal stroma. In addition, given the high density of HLA-II positive macrophages within the stromal papillae and HLA-II positive dendritic cells surrounding them, it seems likely that these structures are sites of antigen uptake and

processing in the ectocervix. As such, a high predominance of antigen presenting cells expressing CD4 would likely suggest that this would be a prime target area for infection to occur. In addition, the presence of pathogens that induce an inflammatory response would also increase not only the numbers of antigen presenting cells, but expression of inflammatory markers including chemokine receptors. Thus, it is likely that macrophages and dendritic cells infected in the dermal papillae then disseminate infection via the draining lymph nodes.^{32,33} Both of these possibilities require further studies to determine whether this is indeed the case.

The lack of CCR5-, CXCR4- and CD4-expressing cells accessible to HIV in the lumen of the ectocervix contrasts with our previous findings of HIV-1 receptor and coreceptor expression in the uterus where all three of these receptors are expressed on the luminal aspect of the glandular and columnar epithelium.¹ Thus, on the basis of our findings of HIV-1 infection and HIV-1 receptor and coreceptor expression on uterine epithelial cells, it appears that in comparison to the ectocervix, the uterus is a more likely first site for infection and transmission of virus after vaginal intercourse. In the uterus, HIV-1 transmission could involve secretion of newly synthesized infectious virus from the uterine epithelial cells, or viral transmission by cell-to-cell contact between the infected epithelial cell and a susceptible leucocyte. In contrast, the lack of CD4, CCR5 and CXCR4 expression on ectocervical epithelial cells and the inability of these cells to develop a productive infection by HIV-1²⁹ suggest that HIV-1 transmission in the ectocervix it is more likely to occur through the gradual release of infectious unmodified virus that is then able to infect susceptible submucosal leucocytes. Thus, the presence or lack thereof, of chemokine receptors may play an important role in determining whether the ectocervix is a likely first site of HIV infection within the FRT. It is also known that mutations in chemokine receptors markedly reduces the likelihood of acquiring HIV infection following exposure to virus. The well-described deletion in CCR5 ($\Delta 32$) has been shown to protect against HIV transmission among discordant couples.^{34,35} Thus, further studies in receptor and coreceptor expression will aid in defining the mechanism of viral infection and transmission of HIV-1 within the FRT and should help in our understanding of HIV-1 transmission in women following heterosexual transmission.

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