

Cytokines in Lyme borreliosis: lack of early tumour necrosis factor- α and transforming growth factor- β_1 responses are associated with chronic neuroborreliosis

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SUMMARY

The clinical outcome of the tick born infection Lyme borreliosis seems to be influenced by the type of immune response mounted during the disease, as suggested by various animal models. Here we report the serum and cerebrospinal fluid levels of tumour necrosis factor- α (TNF- α), transforming growth factor β_1 (TGF- β_1) and interleukin-6 (IL-6) in samples drawn at different disease intervals during the course of non-chronic neuroborreliosis ($n=10$), chronic neuroborreliosis ($n=15$), erythema migrans ($n=8$, serum only) and controls ($n=7$). When comparing early neuroborreliosis cerebrospinal fluid samples, significantly higher levels of TNF- α were found in non-chronic patients than in chronic patients ($P<0.05$). Moreover, TGF- β_1 was increased in the early serum samples of non-chronic patients, as compared to chronic patients ($P<0.01$). Elevated serum levels of TGF- β_1 were also found in erythema migrans as compared to neuroborreliosis and controls ($P<0.05$). The high TNF- α levels noted in early cerebrospinal fluid samples of non-chronic patients only, possibly reflects an ongoing pro-inflammatory immune response in the central nervous system, which could be beneficial in eliminating disease. High serum levels of TGF- β_1 probably mirror an anti-inflammatory response, which might play a role in controlling the systemic immune response.

INTRODUCTION

Lyme borreliosis is an infectious disease caused by the spirochaete *Borrelia burgdorferi* (*Bb*).¹ It is a multicomplex disorder characterized by several stages and manifestations, affecting the skin, brain, nerves, joints and heart.² In

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Abbreviations: Bb, *Borrelia burgdorferi*; CNS, central nervous system; CSF, cerebrospinal fluid; ELISA, enzyme-linked immunosorbent assay; EM, erythema migrans; IL, interleukin; MNC, mononuclear cells; NB, neuroborreliosis; OD, optical density; TGF, transforming growth factor; Th, T helper cell; TNF, tumour necrosis factor.

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Europe, neuroborreliosis (NB) is a common manifestation, with a risk of developing into a chronic disease. Several reports suggest the occurrence of persistent or reappearing neurological symptoms in 20–50% of NB patients treated.^{3–5} The difference in clinical outcome, an issue of investigation for many years, is probably influenced by several factors, such as not only virulence of the strain, infectious dose, genetic factors of the host, but also the type of host immune response that is elicited against the invading bacteria. During the course of the disease different cytokines are secreted. These immune signalling molecules have important regulatory and effector functions that shape the ensuing immune response. Tumour necrosis factor- α (TNF- α), for example, is a pro-inflammatory cytokine with important functions in host reactivity against pathogenic bacteria. It stimulates natural killer cells to produce interferon- γ and synergizes to activate the microbicidal activities of macrophages.⁶ Transforming growth factor- β

(TGF- β), on the other hand, is a powerful immunoregulatory cytokine, which modulates the immune response by suppressing B and T cells,⁷ inhibiting the production of pro-inflammatory cytokines and the expression of major histocompatibility complex class II.⁸ TGF- β is also involved in wound healing.⁹ Interleukin-6 (IL-6) is a pleiotropic cytokine with pro-inflammatory, B-cell differentiating, as well as neurotrophic and anti-inflammatory, activities.⁸

In mice, IL-6 was shown to be involved in disease control of Lyme arthritis,¹⁰ and TNF- α seems to have suppressive effects on *B. burgdorferi* infection.¹¹ In murine Lyme arthritis, an early aggressive T helper type 1 (Th1)-like cytokine response, followed by a down-regulating anti-inflammatory response, was shown to be optimal for a benign disease course.¹² Several studies have demonstrated a strong Th1 response in human Lyme NB.^{13–16} However, immune responses have not been longitudinally investigated, and the role of TGF- β_1 appears to be unexplored so far.

In order to evaluate the role of TNF- α , TGF- β_1 and IL-6, we investigated the levels of these cytokines during the disease course in serum and cerebrospinal fluid (CSF) from patients with chronic or non-chronic NB and in serum from patients with erythema migrans (EM). The aim was to relate the cytokine status to clinical outcome.

MATERIALS AND METHODS

Patients and controls

A total of 40 patients were included in the study, 21 women and 19 men. Of these 40, there were 25 patients with NB (mean age 53 years, range 35–79 years). The diagnosis of NB was based on clinically relevant neurological symptoms (see Table 1) and demonstration of *Borrelia*-specific intrathecal antibody production (i.e. positive *Borrelia*-specific CSF antibody index according to Hansen and Lebech¹⁷; $n=23$). In the remaining two NB patients (5 and 7, table 1) the diagnosis was based on a history of tick-bite and EM followed by relevant neurological symptoms and mononuclear pleocytosis in CSF [CSF mononuclear cells, (MNC) $>5.0 \times 10^6/l$] in combination with *Borrelia*-specific antibodies in serum [immunoglobulin (Ig)G and IgM] as measured by enzyme-linked immunosorbent assay (ELISA). The patients with NB all showed CSF-MNC pleocytosis in those samples drawn during the acute phase (in interval 1, see below) except for patient no. 11. The diagnoses were set by two clinicians (co-authors P. F. and M. V.), unaware of the cytokine results. Ten of the patients with NB recovered within 6 months after the onset of neurological symptoms, and were therefore considered non-chronic NB, while 15 had a disease course of neurological symptoms exceeding 6 months, and were diagnosed as having chronic NB.¹⁸ The patients with NB were followed on different numbers of occasions for an average of 16 months (range 1 month to 5 years), and had repeated samples taken during and after the course of the disease. Eight patients had a solitary EM lesion (mean age 51 years, range 40–60 years), and did not develop any neurological symptoms during the follow-up period, which

lasted for 12 months. The EM diagnosis was based on clinical findings, and hence antibody levels were not used as a diagnostic tool in these patients.¹⁹ The seven control subjects (mean age 64.7 years, range 44–77 years) were included in the study while attending elective orthopaedic surgery, and the CSF was drawn prior to spinal anaesthesia. The inclusion criteria for the control group were negative *Borrelia* serology in blood and CSF as well as CSF MNC $<5.0 \times 10^6/l$, and no known history of *Borrelia* infection. The controls contributed with a single sample. All patients were treated with antibiotics according to Table 1.

To be able to study changes in cytokine levels during the disease course, the samples were divided into three different disease intervals according to time after onset of neurological symptoms for NB patients and appearance of EM for patients in the EM-group. Interval 1 included samples taken during the first 3 months, interval 2 included samples taken between 3 and 12 months, and interval 3 comprised samples taken after more than 1 year. These disease intervals do not take into consideration the exact time after primary infection; EM usually appears 1–4 weeks after tick bite,² while the early neurological symptoms appear within weeks or months after infection.¹⁹ One single patient was never represented more than once in each disease interval. When there was more than one sample from a patient in a certain interval, only the first sample in that interval was included. Twelve of the patients with NB and all eight of the patients with EM had samples in more than one disease interval. The samples in disease interval 1 were drawn before treatment started (exceptions were patients no. 1, 20 and 23). Samples included for each patient in the different disease intervals are shown in Table 1.

Serum and CSF samples

Blood and CSF were collected from patients with NB and control subjects, and blood only from patients with EM. Serum was separated from the blood cells after clotting and centrifugation at 1000 *g* for 10 min at room temperature. CSF-MNC were counted by phase-contrast microscopy using a Jessen chamber. CSF cells were then removed by centrifugation at 200 *g* for 10 min at 4°. The samples were immediately frozen and stored at –70° or –20° for up to 3 years. Since these parameters might affect the cytokine levels in the frozen samples and thereby the outcome of the cytokine analyses, plots were made which ensured that the differences seen between groups were not dependent on either of these storing parameters. Before analysis, the samples were thawed at 37°. The CSF samples were added to a protein mixture (Special cytokine pt.0, MEDGENIX, Biosource Europe S.A. Belgium) to achieve a similar protein content as serum. For measurement of total TGF- β_1 , the samples were extracted for 15 min in 2.5 *M* acetic acid, after which buffer was added to the final dilution of 1:52 and/or 1:1350.

Cytokine assays

Human TNF- α , TGF- β_1 and IL-6 levels in serum and CSF were measured using commercially available ELISA

Table 1. Characteristics of patients and control subjects

| Patient no. | Diagnosis | Gender | Age (years) | Known tick-bite | Clinical EM | Borrelia serology (serum)* | | Bb-specific intrathecal ab production* | | CSF- MNC | Neurological symptoms | Therapy | Sample in disease interval: |
|-------------|---------------|--------|-------------|-----------------|-------------|----------------------------|-----|--|-----|----------|--|--------------------------------------|-----------------------------|
| | | | | | | IgG | IgM | IgG | IgM | | | | |
| 1 | nonchronic NB | f | 40 | - | - | - | + | + | - | + | rhizopathy, neck and back pain, headache, fatigue, temperature | tetracycline | 1, 3 |
| 2 | nonchronic NB | m | 67 | + | - | ND | ND | + | - | + | muscle pain | tetracycline | 1, 2 |
| 3 | nonchronic NB | f | 40 | + | - | ND | ND | + | - | + | dizziness, paraesthesia, pain in the back, radiculitis | penicillin-V, cefotaxin, ceftriaxon | 2, 3 |
| 4 | nonchronic NB | m | 38 | - | - | ND | ND | + | + | + | meningitis, headache, stiff neck, temperature | tetracycline | 1, 2 |
| 5 | nonchronic NB | m | 52 | + | + | + | + | + | - | + | radiculitis, migrating pain, fatigue | tetracycline, ceftriaxon | 2 |
| 6 | nonchronic NB | m | 50 | + | - | ND | ND | + | + | + | lumbar pain, numbness in hands | ceftriaxon | 1, 2, 3 |
| 7 | nonchronic NB | m | 66 | + | + | + | + | + | - | + | paraesthesia, hyperaesthesia | tetracycline | 1, 2, 3 |
| 8 | nonchronic NB | m | 36 | - | - | + | + | + | - | + | muscle pain, general pain, fatigue, headache | tetracycline | 1, 2, 3 |
| 9 | nonchronic NB | m | 65 | + | - | + | + | + | + | + | paraesthesia, pain in back and legs, headache | tetracycline | 2 |
| 10 | nonchronic NB | m | 44 | - | - | + | + | + | + | + | fatigue, headache | tetracycline | 1 |
| 11 | chronic NB | m | 64 | - | - | + | + | - | - | - | fatigue, stiff neck | ceftriaxon | 1, 3 |
| 12 | chronic NB | f | 67 | + | - | + | + | + | - | + | numbness, balance disturbances | ceftriaxon, tetracycline | 2, 3 |
| 13 | chronic NB | m | 62 | + | - | + | + | + | + | + | migrating numbness, back pain, paraesthesia | penicillin-G i.v., tetracycline i.v. | 3 |
| 14 | chronic NB | f | 50 | - | + | + | + | + | - | - | pain, fatigue | penicillin-V orally, ceftriaxon | 2, 3 |
| 15 | chronic NB | m | 35 | - | - | ND | ND | + | - | + | facial paresis, numbness, stiff neck, fatigue, neck pain | tetracycline, ceftriaxon, R+S/T | 1, 2, 3 |
| 16 | chronic NB | m | 48 | + | + | + | + | - | - | - | headache, nausea, back and shoulder pain | ceftriaxon, R+S/T | 2 |
| 17 | chronic NB | f | 49 | - | - | + | + | + | + | + | shoulder pain, impaired hearing | tetracycline, ceftriaxon, R+S/T | 2, 3 |
| 18 | chronic NB | f | 79 | + | + | + | + | + | - | + | meningitis, facial paresis, balance disturbances | ceftriaxon | 3 |
| 19 | chronic NB | f | 35 | - | - | + | + | - | - | - | dizziness, nausea, numbness | tetracycline, ceftriaxon | 2 |
| 20 | chronic NB | f | 66 | - | - | - | + | + | + | + | facial paresis, peroneal paresis | tetracycline | 1 |
| 21 | chronic NB | m | 67 | + | - | + | + | + | - | + | facial paresis, sensibility disturbances, depression, speech and visual disturbances | ceftriaxon | 3 |
| 22 | chronic NB | f | 62 | - | + | ND | ND | + | - | + | facial paresis, pain, sensibility disturbances, headache, concentration disturbances | ceftriaxon | 1 |
| 23 | chronic NB | m | 36 | - | + | ND | ND | + | - | + | radicular pain, facial paresis, sensibility disturbances, fatigue, tremor | tetracycline | 1 |
| 24 | chronic NB | f | 54 | + | + | - | + | + | + | + | acute pain in the back of the head, nausea, numbness, loss of sensibility | tetracycline, ceftriaxon | 2 |
| 25 | chronic NB | m | 63 | - | - | ND | ND | + | - | + | radicular pain, hypersensibility | tetracycline | 1 |

RESULTS

The levels of TNF- α , TGF- β_1 and IL-6 in serum and CSF collected during the course of Lyme borreliosis were evaluated in relation to disease manifestation, stage and clinical outcome in terms of chronic and non-chronic neuroborreliosis.

Cytokine levels in relation to disease manifestation

The serum levels of TGF- β_1 were increased in both EM and NB compared to control subjects ($P < 0.001$ for EM during the entire follow-up period, and $P < 0.05$ for NB in intervals 2 and 3) (Fig. 1b). When comparing EM and NB, TGF- β_1 in serum was significantly ($P < 0.05$) higher in EM than in patients with NB in interval 1 (Fig. 1b). No significant differences were detected in the serum for TNF- α or IL-6, even if the levels of IL-6 tended ($P = 0.06$) to be decreased in EM as compared to NB and controls (Fig. 1a,c).

In CSF, the levels of TGF- β_1 were increased in samples from NB patients compared to controls ($P < 0.05$) (Fig. 2b),

while no significant differences were seen in the CSF levels of TNF- α (Fig. 2a). CSF IL-6 in late samples from patients with NB was lower than in control objects ($P < 0.01$) (Fig. 2c).

Cytokine levels during the disease course

To be able to study changes in cytokine levels during the disease course, the samples were divided into three different disease intervals according to time after disease onset (see the Materials and Methods). In serum, TNF- α tended to increase during the disease course of EM and NB, while TGF- β_1 tended to decrease in EM patients. However, no significant changes were seen. The serum levels of IL-6 remained unchanged during the three disease intervals (Fig. 1).

Temporal changes of cytokine levels in CSF were seen after interval 1, where a decline of IL-6 was detected in patients with NB ($P < 0.05$) (Fig. 2c). Regarding CSF-TNF- α , elevated levels were detected in non-chronic NB in interval 1 as compared to interval 2

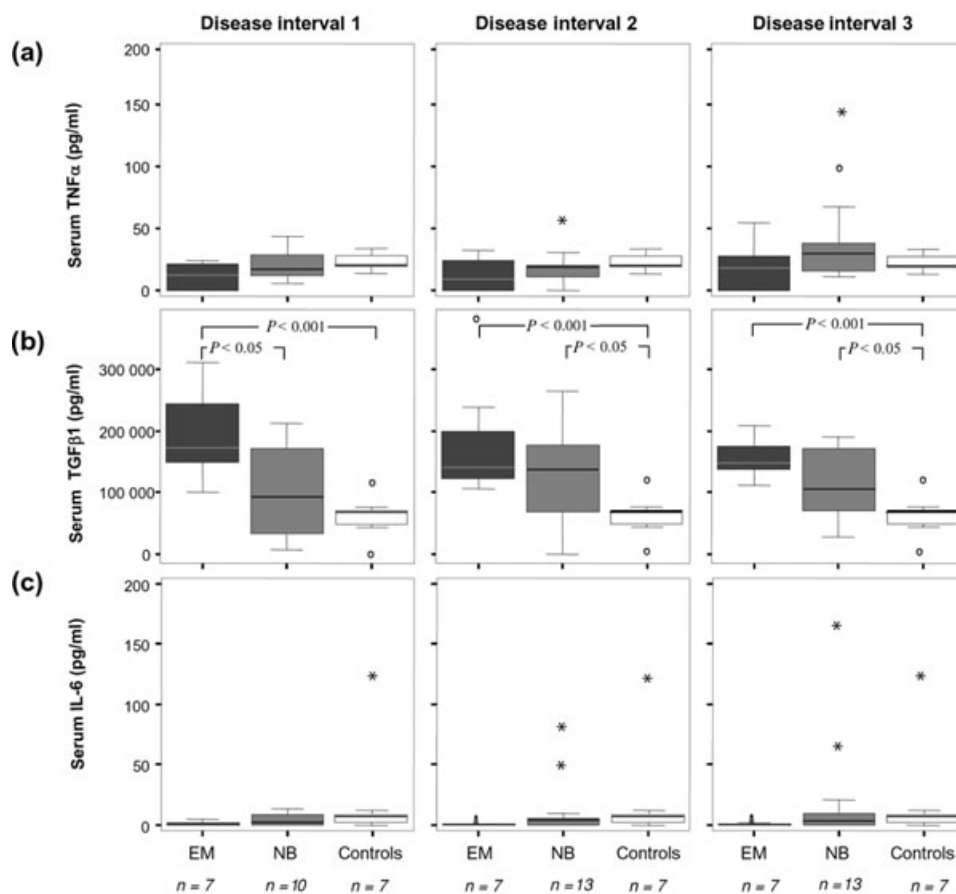


Figure 1. Serum levels of (a) TNF- α , (b) TGF- β_1 and (c) IL-6 in disease intervals 1–3 in NB, EM and control subjects; NB, neuroborreliosis; EM, erythema migrans. Disease interval 1: 0–3 months, disease interval 2: > 3–12 months, disease interval 3: > 12 months. Indicated in the boxplots are median (line), interquartile range (box), 95th percentile (whiskers), outliers (open circles) and extreme values (asterisks).

($P < 0.05$). TGF- β_1 showed elevated CSF levels in NB during the entire follow-up period compared to controls ($P < 0.05$) (Fig. 2b).

Cytokine levels in relation to clinical outcome

When considering the clinical outcome of the patients with NB, comparisons were made between patients recovering within 6 months after onset of neurological symptoms (non-chronic) and patients that did not (chronic). A marked difference in early serum TGF- β_1 levels were seen between these groups, with non-chronic patients showing levels of 60 000–200 000 pg/ml while all chronic patients displayed levels below 100 000 pg/ml ($P < 0.01$) (Fig. 3b). For serum TNF- α and IL-6, no significant differences were seen (Fig. 3a,c). When comparing the CSF levels, however, a significant difference was found for TNF- α , where increased levels were found early in non-chronic NB compared to chronic NB ($P < 0.05$) as well as compared to control subjects ($P < 0.05$) (Fig. 4a). This difference was only seen in interval 1, and not later in the course of the disease. IL-6 also tended to be increased early in non-chronic patients

as compared to chronic patients, but the difference was not statistically significant (Fig. 4c). The CSF levels of TGF- β_1 were similar in both chronic and non-chronic NB patients (Fig. 4b).

DISCUSSION

This study was performed to evaluate the *in vivo* levels of TNF- α , TGF- β_1 and IL-6 in relation to the clinical outcome of Lyme borreliosis, i.e. whether they might differ between the localized stage (EM) and the disseminated stage with involvement of the peripheral or central nervous system (CNS) (NB), or between chronic and non-chronic NB. Such an association might elucidate which type of immune response is of benefit when battling the *Borrelia* infection. For this purpose, we studied the serum and CSF levels of these cytokines during the disease course of patients with EM and patients with NB who, during follow-up turned out to have a non-chronic or a chronic disease. To our knowledge, these cytokines have not been previously studied during the course of Lyme borreliosis, especially

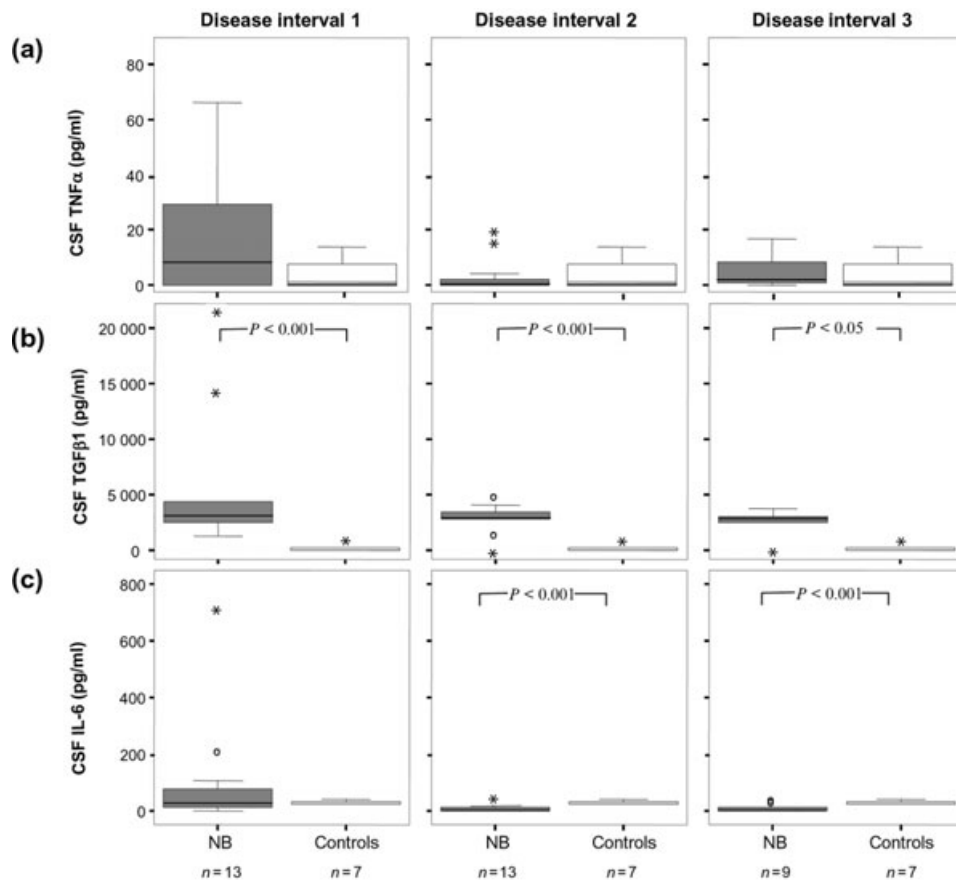


Figure 2. CSF levels of (a) TNF- α (b) TGF- β_1 and (c) IL-6 in disease intervals 1–3 in patients with neuroborreliosis and control subjects; NB, neuroborreliosis; CSF, cerebrospinal fluid. Disease interval 1: 0–3 months, disease interval 2: >3–12 months, disease interval 3: >12 months. Indicated in the boxplots are median (line), interquartile range (box), 95th percentile (whiskers), outliers (open circles) and extreme values (asterisks).

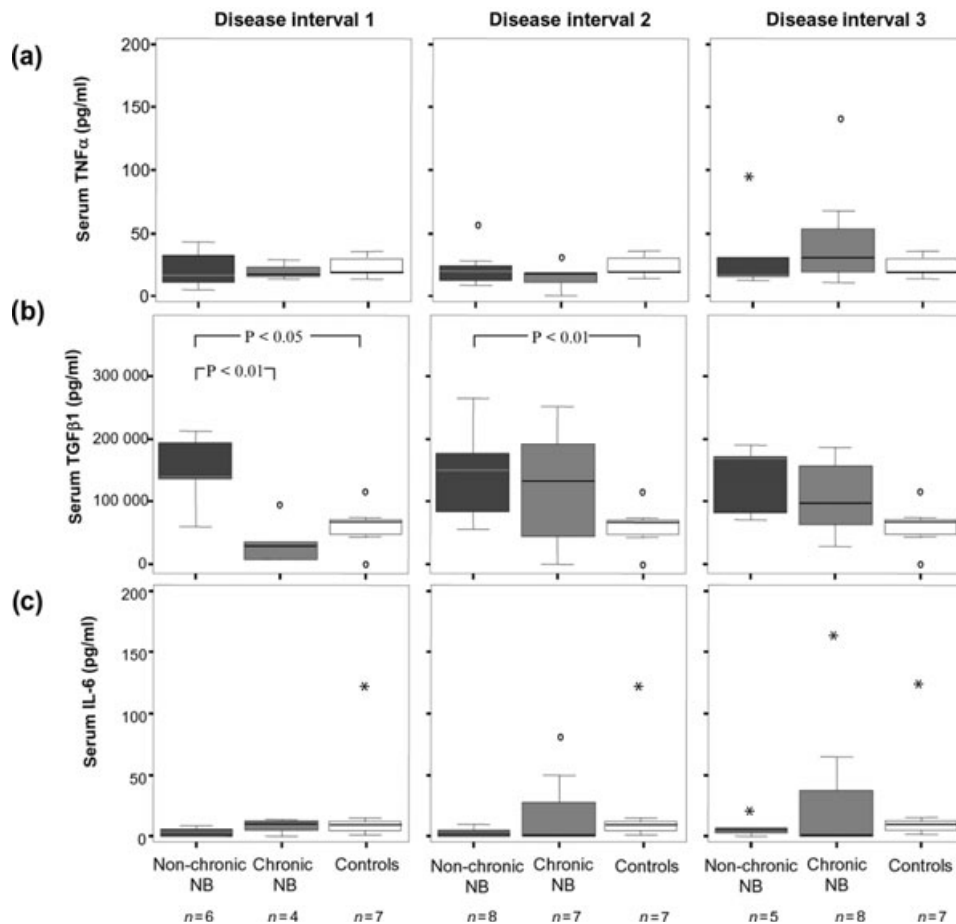


Figure 3. Serum levels of (a) TNF- α (b) TGF- β ₁ and (c) IL-6 in disease intervals 1–3 in relation to clinical outcome. Non-chronic NB: recovery within 6 months, chronic NB, no recovery within 6 months; NB neuroborreliosis. Disease interval 1: 0–3 months, disease interval 2: >3–12 months, disease interval 3: >12 months. Indicated in the boxplots are median (line), interquartile range (box), 95th percentile (whiskers), outliers (open circles) and extreme values (asterisks).

not with respect to clinical outcome, and so far there have been no reports on TGF- β ₁ in human Lyme borreliosis.

Our findings of high TNF- α levels in the CSF of patients that recovered from NB indicate an initial pro-inflammatory aggressive immune response in the CNS, which might contribute to elimination of spirochaetes. According to an experimental model of Lyme arthritis, an early but transient aggressive Th1 response was seen in resistant mice, whereas susceptible animals had an initial weak but gradually increasing Th1 response, as measured *in vitro* in lymphocyte cultures.¹² Our findings are in line with these results since TNF- α is known to be associated with interferon- γ production and the Th1 type of immune response. In addition, the hypothesis is compatible with our previous findings of *Borrelia*-specific subclass distributions in patients with NB, where the complement-activating and presumably Th1-related subclass IgG3 was found to be associated with non-chronic disease.²⁰

Several studies have shown an association of TNF- α responses with severe symptoms in experimental *Borrelia* infections, whereas mild disease correlates with lower levels

or even lack of TNF- α .^{21–23} On the other hand, TNF- α secretion, although potentially harmful, may be necessary for eliminating *B. burgdorferi*, since inability to produce TNF- α was demonstrated in susceptible mice.²⁴ Previous studies of serum TNF- α in human Lyme borreliosis have shown divergent results, ranging from high²⁵ to undetectable levels of TNF- α .²⁶ Studies on CSF were unable to detect TNF- α in patients with NB or EM.^{26,27} The reason that none of these reports found any TNF- α in CSF, whereas we have found remarkably high levels in the early CSF samples of non-chronic patients, may be differences in disease duration of NB at the time of sample collection, or differences in clinical outcome of the material in terms of chronic and non-chronic disease. On the other hand, reports on infectious meningitis revealed very high CSF levels of TNF- α in bacterial meningitis, whereas viral meningitis showed even lower levels than the present material of NB patients.^{28,29} The disease process in NB is located mainly in the CNS, probably reflecting our findings of elevated CSF but normal serum TNF- α levels. Another explanation for the lack of TNF- α in the early serum samples in our material

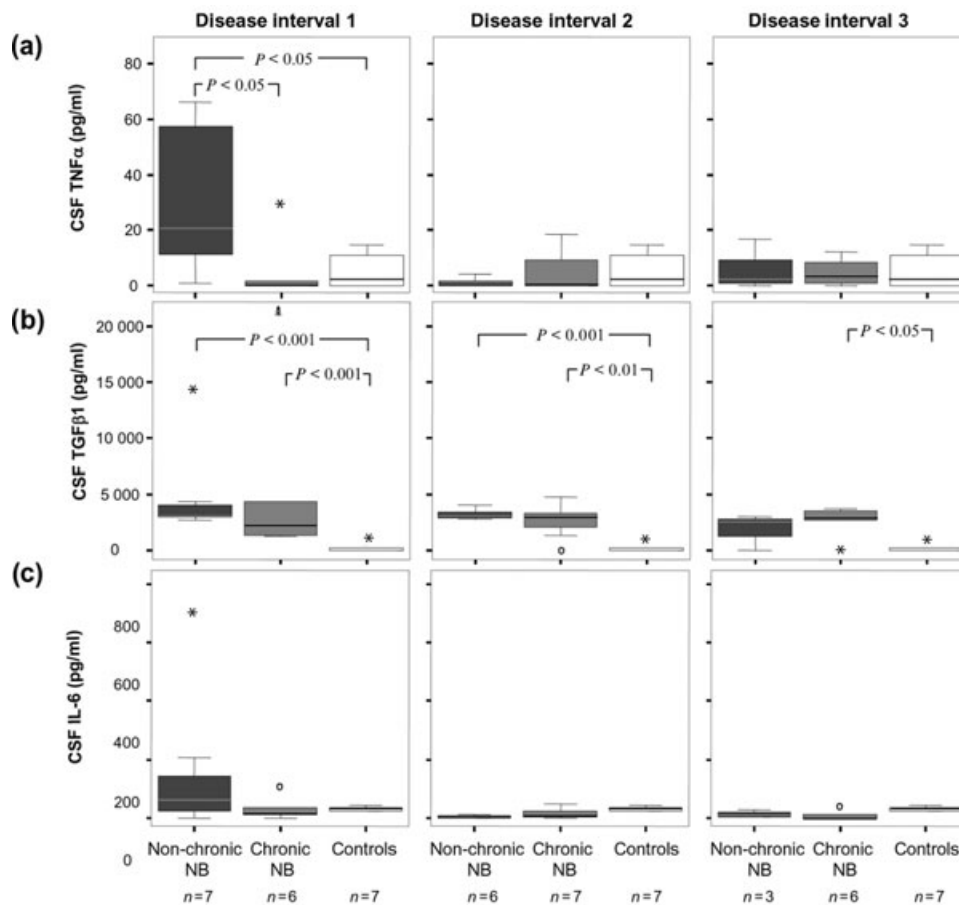


Figure 4. CSF levels of (a) TNF- α , (b) TGF- β ₁ and (c) IL-6 in disease intervals 1–3 in relation to clinical outcome. Non-chronic NB: recovery within 6 months, chronic NB, no recovery within 6 months, NB neuroborreliosis, CSF cerebrospinal fluid. Disease interval 1: 0–3 months, disease interval 2: >3–12 months, disease interval 3: >12 months. Indicated in the boxplots are median (line), interquartile range (box), 95th percentile (whiskers), outliers (open circles) and extreme values (asterisks).

is the possibility that the ‘first line of defence’ occurs even earlier systemically, since the infection starts in the periphery and is spread to the CNS often weeks after primary infection.

TNF α is a powerful cytokine, which activates the inflammatory cellular response and precedes Th1-like responses, and therefore it is an effective antibacterial substance. It was shown that administration of TNF- α , prior to tick-mediated infection of mice with *Borrelia*, protected 95% of the group from *B. burgdorferi* infection.¹¹ Hence, TNF- α is effective for the elimination of spirochetes.

We found very high levels of TGF- β ₁ in the serum from patients with EM and NB. Patients with EM displayed even higher levels than those with NB, which might reflect the benign disease course of EM. The maintenance of elevated serum levels of TGF- β ₁ for more than one year after EM is somewhat surprising, although this suggests that a long-lasting immune regulation needs to take place after this localized disease stage. However, the significance of this finding remains to be evaluated. High serum levels of TGF- β ₁ also seem to be associated with complete healing of

NB, since patients with a non-chronic disease had higher serum levels during the early stage of NB than the chronic patients. According to these results, TGF- β ₁ is associated with recovery and healing of Lyme borreliosis. In CSF, however, both non-chronic and chronic NB had elevated levels of TGF- β ₁. The reason for this discrepancy between serum and CSF remains to be settled. It is suggested that TGF- β ₁ is important in terminating the immune response, e.g. in the self-limiting inflammatory peripheral nerve disease Guillain-Barré syndrome, where high levels of TGF- β ₁ were found³⁰ (C. Dahle, J. Ernerudh, unpublished data), and in relapsing–remitting multiple sclerosis, where high levels of TGF- β were detected in the CSF only during the stable phase, in contrast to TNF- α that was elevated only in the active phase.³¹ Thus, TGF- β ₁ seems to be a key cytokine in recovery from inflammatory neurological diseases, including Lyme NB, possibly by minimizing the deleterious effects of TNF- α . This would be in accordance with findings, e.g. in bacterial meningitis²⁹ where high CSF-TGF- β levels were detected simultaneously with, or closely following an aggressive TNF- α response. The combination

of high TNF- α and high TGF- β_1 in CSF early in our NB patients seems to be associated with a beneficial clinical outcome. However, probably several cytokines and other immune events as well are involved in determining the clinical course.

Our data show low or undetectable IL-6 levels during the entire disease course in the serum of both EM and NB patients. In CSF, however, elevated levels of IL-6 are seen in NB in interval 1, and a significant decrease was detected after this interval ($P < 0.05$). It has previously been reported that increased CSF levels of IL-6 correlate with disease activity in NB and other neurological disorders.²⁶ Interestingly, in paired samples of serum and CSF, levels of IL-6 were significantly higher in the CSF than in serum ($P < 0.001$), suggesting that the IL-6 production is intrathecal, and compartmentalized to the CNS. Similar findings of compartmentalization of immune responses in borreliosis have previously been reported.^{14,15,32} One reason for finding lower IL-6 levels in CSF from patients with Lyme borreliosis compared to controls could be that IL-6 is in fact increased in our controls. These were patients undergoing elective surgery, a suitable control group with respect to absence of infection and neurological disease. However, the patients are under stress, and IL-6 is known to be related to stress.³³

It is well documented that antibiotic treatment may modulate the immune response (reviewed in ref. 34), and this is an important aspect when interpreting the results of the present study, since the type of treatment differed between the three patient groups (see Table 1). Tetracycline has been shown to have strong inhibitory effects on both macrophage and lymphocyte function, including cytokine production. Penicillin was shown to reduce the activity of interferon- γ ,³⁵ and thereby it most likely acts to inhibit the pro-inflammatory response, including TNF- α and IL-6. In contrast, Ceftriaxone was shown *not* to influence cytokine responses.^{36–38} However, the major differences in cytokine production were found in disease interval 1, and with few exceptions the samples in this interval were drawn prior to antibiotic administration.

In conclusion, we found that early increased levels of TNF- α in CSF and TGF- β_1 in the serum of NB patients were associated with a good prognosis. These findings suggest that TNF- α might be involved in early elimination of the infecting *B. burgdorferi* spirochaete in the CNS, and that the immunomodulatory TGF- β_1 contributes to the control of the systemic immune response. Further functional studies are needed to confirm a causative role for TNF- α and TGF- β_1 in the clinical outcome of NB, as suggested by our findings.

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