

Genome dynamics in a natural archaeal population

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Evolutionary processes that give rise to, and limit, diversification within strain populations can be deduced from the form and distribution of genomic heterogeneity. The extent of genomic change that distinguishes the acidophilic archaeon *Ferroplasma acidarmanus* fer1 from an environmental population of the same species from the same site, fer1(env), was determined by comparing the 1.94-megabase (Mb) genome sequence of the isolate with that reconstructed from 8 Mb of environmental sequence data. The fer1(env) composite sequence sampled $\approx 92\%$ of the isolate genome. Environmental sequence data were also analyzed to reveal genomic heterogeneity within the coexisting, coevolving fer1(env) population. Analyses revealed that transposase movement and the insertion and loss of blocks of novel genes of probable phage origin occur rapidly enough to give rise to heterogeneity in gene content within the local population. Because the environmental DNA was derived from many closely related individuals, it was possible to quantify gene sequence variability within the population. All but a few gene variants show evidence of strong purifying selection. Based on the small number of distinct sequence types and their distribution, we infer that the population is undergoing frequent genetic recombination, resulting in a mosaic genome pool that is shaped by selection. The larger genetic potential of the population relative to individuals within it and the combinatorial process that results in many closely related genome types may provide the basis for adaptation to environmental fluctuations.

Archaea | *Ferroplasma* | population genomics | recombination

The sequencing of microbial isolate genomes has enabled comparison of gene complements from a broad selection of bacterial and archaeal species. Comparative genomic explorations lend insight into the metabolic and ecological diversity of microbial taxa and provide us with the raw materials for inferring evolutionary history among lineages. However, in most cases only a single representative of a particular lineage has been analyzed genomically. Where multiple strain genomes are available, the organisms are typically pathogens, and the strains are derived from distinct localities (1–5). Lacking are comparative population genomic studies that include analysis of an isolate versus an environmental population of the same species obtained from the same site. Such data would enable evaluation of how representative of the population an individual is and would reveal the form and distribution of genetic variability that can give rise to the emergence of new species.

Genetic techniques such as multilocus sequence typing (MLST) (6, 7) of multiple individuals derived from a microbial population can provide a glimpse into the extent of genetic diversity within a natural population and insight into important processes that shape population structure. However, MLST requires isolation of the organisms under investigation and typically surveys only a small (6 to 10) number of loci. Consequently, MLST approaches are limited in the extent to which they can be applied to a wide variety of organisms, and extrapolation of such data to the whole-genome scale may be limited. Similarly, the utility of DNA microarray technologies to survey genetic diversity among closely related strains or environmental populations is inherently limited owing to the fact that only genes shared between reference and test strain(s) can be surveyed (8). Missing from such analyses are genes unique

to the interrogated strains and the inability to extract syntenic information.

An alternative route to assess genetic diversity and reveal microevolutionary processes within natural microbial populations involves the cultivation-independent analysis of sequences from many individuals obtained from environmentally derived shotgun sequence data (9, 10). At the population level, comparison of reconstructed genome fragments and the associated raw sequence data can reveal genomic regions that are invariant as well as those regions that possess heterogeneity.

In the present study, we reconstructed the genome sequence of an isolate, *Ferroplasma acidarmanus* fer1, and compared it with ≈ 103 megabases (Mb) of environmental sequence data obtained directly from a natural biofilm community from the same site. Both the isolate (collected in July 1997) (11) and the environmental biofilm ($\approx 1\text{-cm}^3$ sample collected in March 2002) (9) were recovered from the five-way region of the Richmond Mine at Iron Mountain in Northern California. *F. acidarmanus* fer1 is an extremely acidophilic ferrous iron [Fe(II)]-oxidizing Euryarchaeote (order *Thermoplasmatales*) implicated in acid mine drainage (AMD) generation (11). Isolation of fer1 and description of its habitat have been reported (11). The fer1 strain grows optimally at 42°C, pH 1.2, and is capable of growth at pH 0. It is closely related to *Ferroplasma acidiphilum* (12, 13), based on comparison of 16S rRNA gene sequences (99.8% nucleotide similarity). Detailed phenotypic characterization of *Ferroplasma* species, including *F. acidarmanus* fer1, has been reported (12). To date, fer1 represents the only archaeal isolate recovered from the Richmond Mine.

Here, we investigate the structure and genomic diversity of an environmental population of *F. acidarmanus*, herein referred to as fer1(env), making use of the fer1 isolate genome sequence as a reference. Although both fer1 and the fer1(env) population were obtained from the same site, it cannot be claimed that the fer1 isolate is a member of the fer1(env) population because it was collected >4 years earlier. However, because both fer1 and the fer1(env) population had a very recent common ancestor, we can use the fer1 isolate genome in a comparative approach to quantify genome-wide heterogeneity and deduce the relative rates of the

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Abbreviations: MLST, multilocus sequence typing; Mb, megabase; IS, insertion sequence; R/M, restriction-modification; dN/Ds ratio, ratio of nonsynonymous to synonymous nucleotide substitutions.

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Table 1. Incidence of the documented forms of genome heterogeneity

Genomic feature	Events*	Incidence	
		fer1, %	fer1 (env), %
Acquisition of phage-like genes	103 and 35	5	1.9
Nucleotide polymorphisms	≈58,200	3	3
Gene insertion/deletion	47 and 38	2.4	2
Syntenic deviations (shared 1,792 genes)	32	1.8	1.8
Transposase rearrangements	25	1.13	1.1
Transposase duplication	43 and 10	2	0.5
Transposase acquisition	8	0.40	0.40
Amino acid changes	≈5,570	0.97	0.97
Gene rearrangements (excluding transposases)	7	0.40	0.40
Gene duplications	2	0.10	0.10

*Defined as occurrence per 1,963 genes or 1.94 Mb in fer1 compared with 1,837 genes or 1.79 Mb in the composite fer1(env) genome. Events refer to incidence in fer1 and composite fer1(env) genomes, respectively.

members, the same genomic region will be assembled into two or more discrete fragments (10). The genes most commonly responsible for this phenomenon are transposases. In addition to documenting their presence/absence in composite genome sequences, the insertion of transposases into a subset of population members is evidenced by the localized “stretching” of mate-pairs (paired end reads) across genomic regions.

Integrated elements also contribute to genetic heterogeneity between fer1(env) members. Fig. 2 shows that fer1(env) variant 1 is missing three genes comprising a complete type I restriction-modification (R/M) system. In fer1, this region is adjacent to a tRNA^{Leu} and includes an insertion of 24 putative prophage-associated genes flanked by a partitioned integrase gene. Interestingly, a distinct block of possibly phage-derived genes is also present in the same region in fer1(env) variant 2, suggesting that the same genomic region has been targeted by disparate phage. Consequently, the form of heterogeneity that distinguishes individuals from one another within the fer1(env) population is similar to that which distinguishes fer1 from fer1(env). Whereas the importance of phage as sources of novel genes that differentiate species and strains has been documented (5, 14, 21, 22), our results indicate that the insertion and loss of blocks of genes of probable phage origin occurs

fast enough to differentiate the gene content of members of a coexisting population and may give rise to phenotypic differences. These results extend previous findings documenting extensive genomic variation within natural coexisting bacterial populations (23), including the significance of phenotypic differentiation mediated by phage (24), and serve to highlight the dynamic nature of microbial population structure.

Analyses presented above rely upon comparison between the fer1 isolate and composite fer1(env) sequences. However, greater resolution into the fer1(env) population structure can be achieved because each environmentally derived sequencing read likely originated from a different individual within the natural population (9). We evaluated sequence variability in the population on a gene-by-gene basis by aligning all reads constituting the fer1(env) population with the nucleotide sequence of fer1 (average coverage at each locus is ≈4.5×). Overall, 56% of the genes/gene fragments encoded on fer1(env) reads (out of a total of ≈14,000 reads) have the fer1 sequence type (defined as having ≥99.9% nucleotide-level similarity to the fer1 ortholog). At least one read has the fer1 sequence type at ≈80% of loci (see gene content dendrogram, SI Fig. 9).

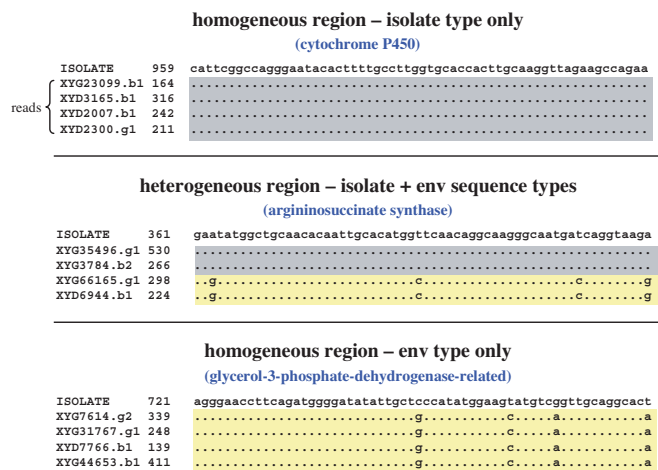


Fig. 3. Range of sequence types observed in the fer1(env) environmental population. Isolate-type sequences are shown in gray; env-type sequences are shown in yellow. Sequence types were quantified for all fer1(env) loci; see also Fig. 4 and SI Fig. 9.

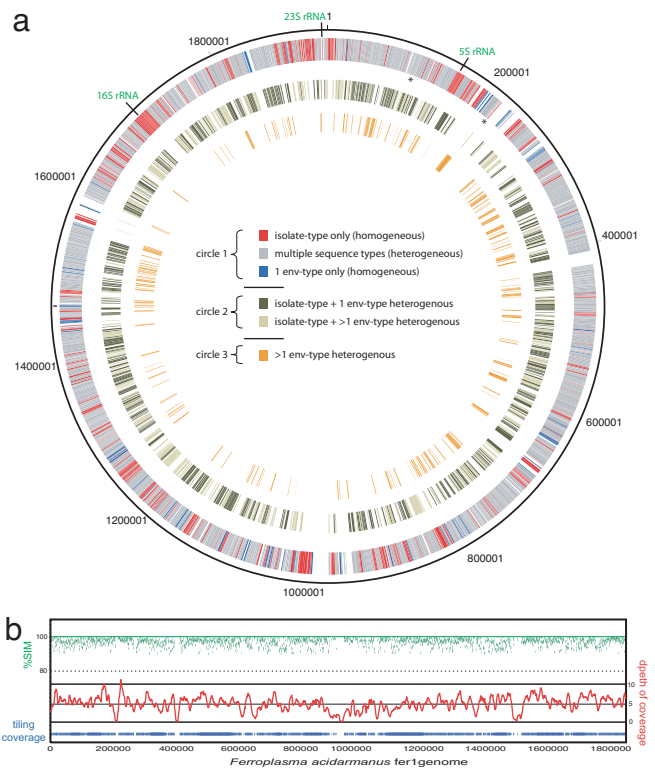


Fig. 4. Form and distribution of sequence-type variation within the fer1(env) population. (a) Circular diagram showing the genome-wide distribution of sequence variation in the fer1(env) population. Data are derived from the gene content dendrogram (SI Fig. 9). Each fer1(env) locus was queried with respect to sequence type present (isolate-type only, isolate plus env-type, or env-type only) by alignment of environmental sequencing reads constituting the fer1(env) population to the orthologous fer1 locus. Isolate-type-only sequences were defined as having an environmental nucleotide identity ≥99.9% to the respective fer1 sequence. Genes <99.9% similar to the fer1 sequence were defined as having env-type sequence. Homogeneous loci represent those possessing a single sequence type; isolate-type only (red) or 1 env-type only (blue). Cumulative heterogeneous loci (gray) possess both isolate-type plus env-type sequences or multiple (>1) env-type sequences, which can be further separated by the sequence composition of those loci (brown, light brown, or orange). The locations of 23S, 16S, and 5S rRNA genes are shown for reference. Segment spanning the two asterisks (*) shows region depicted in Fig. 5. (b) Percentage identity (green), depth of coverage (10-kb window size) (red), and tiling coverage (blue) of fer1(env) reads across the fer1 genome.

Table 2. Genome-wide distribution of gene sequence types in the *fer1(env)* population

Loci	%
Homogeneous isolate type	24
Homogeneous non-isolate type	7
Heterogeneous, isolate type present	56
Heterogeneous, no isolate type	13

We categorized all gene types in the *fer1(env)* population as either homogeneous (one sequence type only, 30.4%) or heterogeneous (multiple variants at one locus, 69.6%) (Figs. 3 and 4). Of the homogeneous group, 78.3% of genes are isolate type (427 genes in total), indicating that a significant number of genes possessing the isolate sequence type have persisted over the >4 years since the isolate was obtained. The genome-wide distribution of sequence variation in the *fer1(env)* population is summarized in Table 2.

To quantify and evaluate the distribution of gene variants within the *fer1(env)* population, we evaluated several >100-kb regions in detail, verifying that mate-pairs carrying environmental variant sequences anchor them into the same genomic context (see 109-gene region in Fig. 5). Of the *fer1(env)* heterogeneous loci, 55% possess two variants and 45% possess three or more variants (these products share on average $\approx 99\%$ amino acid level identity with *fer1* isolate orthologs).

Given the $\approx 4.5\times$ sequencing depth across the *fer1(env)* population, we cannot determine whether regions are homogeneous because variants were absent or simply present in low abundance. However, our results do show the dominance of sequence types present. In the future, PCR-based analyses together with population genomic sampling will provide the sequence depth necessary to more fully resolve the abundance distribution of gene types in the population.

Mate-pair information (Fig. 5*b*; see also SI Fig. 10) and direct examination of nucleotide polymorphism patterns within the reads confirm that the population does not consist of a few dominant clonal genome types that have been sampled to different depths of coverage. Several kilobase long regions characterized by only

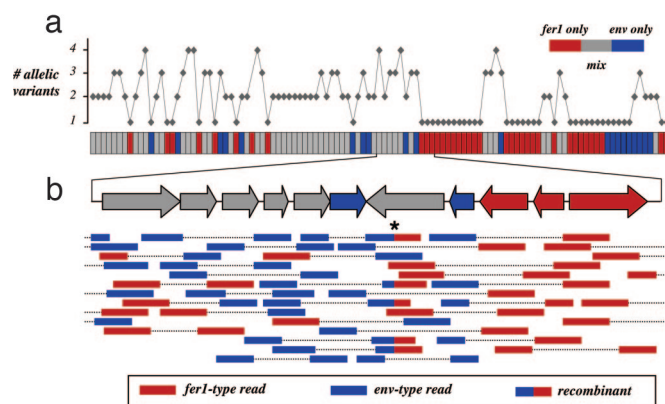


Fig. 5. Detailed view of *fer1(env)* population structure. (a) Genomic region (109 genes) showing the distribution of sequence variability and mosaic structure of the *fer1(env)* population. The majority of loci possess more than one sequence type, and the *fer1* isolate sequence type is present at most loci (red plus gray). Note that env-only type genes (blue) may be homogeneous or heterogeneous depending on the number of allelic variants present. (b) An enlargement of a region illustrating the sampling of environmental sequence types across 11 genes. Individual sequencing reads constituting the region are shown. Note that the sequence at one end of a paired-end clone may be isolate type whereas the other end may be non-isolate type. Example of recombination site (env- to isolate-type sequence) occurring within reads is noted (*).

isolate-type genes transition into regions with several gene variants at each locus (Figs. 4 and 5). Multiple linkage patterns between isolate-type and non-isolate type genes can be identified in single regions and some reads capture the transitions directly (Fig. 5 and SI Fig. 11). Thus, it is evident that the population exhibits a mosaic genome structure inferred to have arisen via recombination between distinct yet closely related strain variant sequence types. Frequently, but not exclusively, the site of recombination occurs at the same nucleotide position in multiple reads indicating that the resulting recombinant sequence has been amplified in the natural community, either by natural selection or by genetic drift.

Extensive recombination has been documented in *Ferroplasma* type II (9). Subsequently, MLST-based analyses provided evidence for genetic recombination in the halophilic archaeon *Haloarubrum* sp (25) and the thermoacidophilic archaeon *Sulfolobus islandicus* (7). Results documenting recombination in *F. acidarmanus* suggest that mosaic genome structure may be a common feature of natural archaeal populations.

The restriction subunit of the type I R/M system described above exemplifies the form of sequence heterogeneity that can exist in the *fer1(env)* population. There are three distinguishable sequence types for the restriction subunit, exhibiting at least five recombination events across the 2,985-bp gene. The *fer1(env)* population also contains a type I specificity subunit gene with several allelic variants that show evidence of recombination among them. Recombination among individuals with distinct sequence types may provide the capacity to generate a large number of novel, hybrid restriction enzymes. Overall, R/M system dynamics may factor significantly in natural population structure and evolution (26–28) as heterogeneity in R/M activity (diversity in molecular recognition or the complete presence/absence of such systems) may lead to “recombination isolation.” Ultimately, the absence of recombination as a cohesive mechanism hindering genetic divergence among population members may result in an altered evolutionary trajectory (e.g., speciation or extinction).

Population Structure. An important question is the role of selection in shaping genetic variation in natural populations. It has been postulated that genetic homogenization can be achieved when an adaptive genotype providing a selective advantage sweeps through a population (29, 30). Cohesion can also be maintained by high levels of genetic exchange between population members (31). As suggested here for the *fer1(env)* population and recently for local populations of *Ferroplasma* type II (9) and *S. islandicus* (7), recombination prevents periodic selection from purging total diversity. Because gene variants are not linked to a single genome type, selection removes only the subset of mosaic genome types with less adaptive gene variants. The removal of sequence variation by incomplete selective sweeps may explain the homogeneous genomic regions within the *fer1(env)* population.

It has been suggested that genetic diversity can be maintained within a clade of very close relatives by one of two mechanisms: (i) the clade contains only a single population, and selection for adaptive genotypes is too weak to purge diversity (23, 32), or (ii) the clade contains multiple ecologically distinct populations (ecotypes) (33). In this latter model, localized chromosomal regions of sequence identity are explained by rare “globally adaptive” mutations, which are beneficial in the context of any ecotype to which they are introduced (29). When these mutations are transferred by recombination between ecotypes, selection may result in homogenization of the recombined region across ecotypes, while leaving the rest of the genome heterogeneous.

We cannot rule out the possibility that some sequence diversity in the *fer1(env)* population is due to the partitioning of specific mosaic genome types into different niches within the biofilm. In support of the multiple-ecotype model, it is clear that recombinant genotypes have been amplified throughout the clade and that heterogeneous loci fall into discrete clusters. However, as our data

indicates that nearly a third of the genes have been involved in recent global selection-like events, it is more likely that the *fer1*(*env*) organisms share a single niche, are subject to very similar selective pressures, and thus represent a single population. Furthermore, high rates of recombination effectively blurs the distinction between ecotypes (29). Ultimately, definitive discrimination between either model will require additional genomic monitoring of these populations over space and time.

Evidence for Selection. The model for the mosaic population structure requires selection to explain the presence of genes with only one sequence type. To seek evidence for selection we modeled synonymous vs. nonsynonymous nucleotide polymorphism patterns in the *fer1*(*env*) population, both between population variants and against the respective *fer1* ortholog. Pairwise dN/dS ratios (ratios of nonsynonymous to synonymous nucleotide substitutions) among partial and complete orthologous sequences were determined (34). Approximately seventy-nine percent of the 3,745 pairwise comparisons among variable sequence segments exhibited a dN/dS ratio <0.15, indicating that the majority of the genome is under strong purifying selection. No functional bias in distribution of dN/dS values could be detected (data not shown).

Only six cases were identified with dN/dS values >1.0, suggesting that they are under positive selection. Four of these genes encode small hypothetical proteins, one is a conserved hypothetical protein, and one is a predicted siroheme synthase [siroheme, an iron-containing porphyrinoid, serves as a cofactor for sulfite and nitrite reductases (35)]. In many cases, elevated dN/dS values were associated with regions of genes, rather than entire genes. More sensitive methods for identifying positive selection (e.g., on a codon-by-codon basis) will require reconstruction of complete environmental variant gene sequences and the inclusion of sequences from more distantly related species. Whereas it is expected that many genes would display low dN/dS ratios (e.g., housekeeping genes), these results suggest that stringent functional constraints [including codon usage bias, the selection for preferred synonymous codons (36)] are characteristics of adaptation to this environment. These results are consistent with analyses revealing strong functional constraints in thermophilic species as revealed by global dN/dS analyses among closely related organisms (37).

To seek evidence for the basis of selection, we examined the physical and functional distribution of homogeneous and heterogeneous genes within the *fer1*(*env*) data set. Clusters of genes with only one sequence type may be moved in blocks, possibly by recombination events (Figs. 4 and 5). No significant bias in functional gene category representation was detected among homogeneous and heterogeneous regions (SI Fig. 9). Whereas we might predict that genes with a single sequence type may confer metabolic properties that have been important in recent adaptation, greater sequence depth will be required for definitive analysis.

Population Structure in the Context of Environmental and Geologic History.

To understand population dynamics it would be helpful to be able to correlate environmental perturbations with their genomic and evolutionary consequences. Geologic and historic records can provide information about the sequence of geochemical changes at the site. Using magnetism recorded in hematite gossans (products of pyrite oxidation), Alpers *et al.*^{††} determined that ore bodies at Iron Mountain were first exposed by erosion \approx 700,000–1,000,000 years ago. When mining began \approx 150 years ago, the physical fracturing of the system resulted in increased porosity and permeability within the mountain thus accelerating pyrite oxidation. As solution chemistry and temperature are strongly controlled by the

dissolution of pyrite, this process resulted in a decrease in solution pH to values <1.0 and increased temperatures to \approx 40°C in the contemporary underground mine. Seasonal rainfall-driven fluctuations in temperature and ionic strength occur within the mine and have been shown to be factors in species selection (38). These factors may also be important in strain genotype selection.

Our comparative analyses have revealed genomic heterogeneity that may be understood in the context of these environmental changes. First, we determined that the *fer1*(*env*) population consists of mosaic genomes in which a small number of sequence types predominate. One possible scenario is that subsurface populations that were previously evolving in isolation from one another were brought together and began to recombine when different regions of the mountain were physically interconnected by mining. Recombination-driven homogenization of distinct but closely related populations at their geographic boundaries may be a common process in many ecosystems. Perhaps a limited number of mosaic genome type rose in abundance relative to a diverse mosaic pool in a relatively recent clonal expansion (39), possibly induced by environmental changes caused by the onset of mining. Under this scenario, genes with only a single sequence type may have derived from any of the ancestral strains.

As recombination rates are inferred to be very fast relative to nucleotide polymorphism fixation rates (otherwise we would see many closely related variant types), rapid recombinatorial mixing of variants into the mosaic genome pool may maintain population cohesion. However, mechanisms such as phage and IS dynamics contribute to strain-level variation over short time scales via the rapid introduction and distribution of novel genes. Ultimately, accumulated variation may diminish the frequency of genetic recombination, promoting divergence and possibly speciation (31).

A second finding is that almost all genes are under strong stabilizing selection. Environmental sequence types that are nearly identical (\geq 99.9% similar) to the isolate type are found at most loci despite >4 years difference in sample collection time. The exact preservation of a large amount of DNA sequence over 4 years may provide one constraint on rates of nucleotide polymorphism fixation. Such information is needed to quantify rates of genome evolution. At present, it is only possible to speculate about the relationship between strain divergence and site history. One possibility is that all of the observed nucleotide-level heterogeneity arose *in situ* over 700,000–1 million years. If this possibility could be confirmed, the relative rates for the accumulation of the different forms of genome change (Table 1) could be converted to absolute rates. Support for this correlation may be obtained by future genomic monitoring of these populations over space and time and through deductions at other sites for which dates of onset of colonization can be determined. This approach may provide a route to the long-term objective of mapping genome change onto environmental change so as to discover how these processes are interconnected.

Materials and Methods

***F. acidarmanus fer1* Genome Sequencing and Assembly.** *F. acidarmanus fer1* was isolated from enrichment cultures inoculated with mine water and sediment samples collected in July 1997 from the five-way site within the Richmond Mine (11). Details of the *fer1* genome assembly and annotation are presented in *SI Materials and Methods*.

***fer1*(*env*) Genome Assembly and Comparative Analyses.** We compared the isolate *fer1* genome with genomic information obtained by shotgun sequencing small insert libraries constructed from DNA extracted directly from an environmental biofilm. The biofilm was sampled in 2002 from the five-way site of the Richmond Mine at the same location from which the *fer1* isolate was collected. Tyson *et al.* (9) used 76 Mb of environmental sequence data to reconstruct large

^{††}Alpers, C. N., Nordstrom, D. K., Verosub, K. L., Helm, C. M. (1999) Geological Society of America Cordilleran Section Centennial Meeting, Abstracts with Programs, Vol. 31, No. 6, p. A-33 (abstr).

genome scaffolds from five species, one of which was closely related to *F. acidarmanus*. The partial composite genome reported for this fer1(env) population was previously referred to simply as *Ferroplasma* type I (9). In this work, an additional 27 Mb of community small insert library sequence not reported in ref. 9 was coassembled with the initial 76 Mb of data. The total community genome data set used in the present study consisted of 141,312 small-insert library reads (average trimmed length 737 bp) for a total of \approx 103 Mb of environmental sequence data. Assembly was performed by using PHRAP (minmatch 50; penalty -15; minscore 40) (40) and ATLAS (k-mer size 32 bp, MaxMismatch 10%, band size 7; minmatch 12, minscore 20, penalty -2, forcelevel 10) (41). Newly generated genomic contigs were binned by alignment with existing contigs and scaffolds described in Tyson *et al.* (9).

Scaffolds and contigs were initially assigned to fer1(env) based solely on assembly and subsequent analyses including % G+C, read depth, and profiling of nucleotide frequencies using TETRA (42). Subsequently, community genome contigs and scaffolds assigned to fer1(env) were verified by comparison with the *F. acidarmanus* fer1 genome by using BLAST (43) and MUMmer 3.0 software package's NUCmer (44). Approximately 14,000 environmentally derived sequencing reads constituted the genomically sampled fer1(env) population. In total, 172 scaffolds belonging to fer1(env) were used in the comparative analyses totaling >1.79 Mb of assembled sequence. Genome circular diagrams were generated from .embl format genome files exported from ARTEMIS (45) by using "circular diagram.pl" (Pathogen Group, The Wellcome Trust Sanger Institute). Synteny dot plots were generated by alignment of the fer1 genome sequence with all fer1(env) composite contigs and scaffolds by using NUCmer and MUMMERPLOT (44). Orthologous sequences between fer1 and the composite fer1(env) sequences were identified by using the reciprocal smallest distance method described by Wall *et al.* (46). To determine genome-wide sequence variation in the fer1(env) population, all fer1(env) environmental sequencing reads were aligned to fer1

genes by using BLASTN, and sequence identity was analyzed on a gene-by-gene basis. Multiple alignment outputted blast results were also generated, and mate-pair consistency for all reads localized to a given fer1 gene were verified on a gene-by-gene basis to verify that environmental reads aligned to fer1 genes in the correct genomic context.

Analysis of Selection in the fer1(env) Population. To screen for evidence of selection within the fer1(env) population and against the orthologous fer1 sequences, the set of predicted fer1 orfs was queried against all reads from the community genome sequence by using BLASTN with a strict *E* value of e^{-75} . To verify correct assignment of environmental reads to the fer1(env) population, reads comprising independently assembled fer1(env) contigs were extracted and checked for consistency against all blast matches. All alignments were performed in CLUSTALW. dN/dS ratios for pairwise comparisons between fer1 orfs and orthologous fer1(env) reads were determined by using the method of Nei and Gojobori (34) in SNAP (47). Correct assignment of environmental sequences to the fer1(env) genome and the accuracy of alignments were confirmed for all pairwise comparisons resulting in dN/dS values >0.9 by reconstructing variant alleles and estimating dN/dS values in DnaSP (48).

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