

# Sensitive ChIP-DSL technology reveals an extensive estrogen receptor $\alpha$ -binding program on human gene promoters

Young-Soo Kwon\*, Ivan Garcia-Bassets<sup>†</sup>, Kasey R. Hutt<sup>†\*</sup>, Christine S. Cheng<sup>†\*</sup>, Mingjie Jin<sup>§</sup>, Dongyan Liu<sup>§</sup>, Chris Benner<sup>\*\*</sup>, Dong Wang\*, Zhen Ye\*, Marina Bibikova<sup>¶</sup>, Jian-Bing Fan<sup>¶</sup>, Lingxun Duan<sup>§</sup>, Christopher K. Glass\*, Michael G. Rosenfeld<sup>†¶</sup>, and Xiang-Dong Fu<sup>\*¶</sup>

\*Department of Cellular and Molecular Medicine, University of California at San Diego School of Medicine, La Jolla, CA 92093-0651; <sup>†</sup>Department of Medicine, Howard Hughes Medical Institute and University of California at San Diego School of Medicine, La Jolla, CA 92093; <sup>\*\*</sup>Bioinformatics Graduate Program, University of California at San Diego, La Jolla, CA 92093; <sup>§</sup>Aviva Systems Biology Corporation, San Diego, CA 92121; and <sup>¶</sup>llumina Inc., San Diego, CA 92121

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ChIP coupled with microarray provides a powerful tool to determine *in vivo* binding profiling of transcription factors to deduce regulatory circuitries in mammalian cells. Aiming at improving the specificity and sensitivity of such analysis, we developed a new technology called ChIP-DSL using the DNA selection and ligation (DSL) strategy, permitting robust analysis with much reduced materials compared with standard procedures. We profiled general and sequence-specific DNA binding transcription factors using a full human genome promoter array based on the ChIP-DSL technology, revealing an unprecedented number of the estrogen receptor (ER $\alpha$ ) target genes in MCF-7 cells. Coupled with gene expression profiling, we found that only a fraction of these direct ER $\alpha$  target genes were highly responsive to estrogen and that the expression of those ER $\alpha$ -bound, estrogen-inducible genes was associated with breast cancer progression in humans. This study demonstrates the power of the ChIP-DSL technology in revealing regulatory gene expression programs that have been previously invisible in the human genome.

breast cancer | genome-wide | promoter array

The elucidation of genomes for humans and other model organisms has made it possible to conduct analysis of gene expression and regulation at the genome scale. Gene expression is generally accompanied by chromatin remodeling activities and histone modifications. An important conceptual advance has been the “histone code” hypothesis, which suggests that histone modifications reflect a sequential action of enzymes associated with the transcriptional machinery such that one prior activity may influence the next during regulated gene expression (1, 2). Histone acetylation results in charge neutralization of modified lysines, which is generally associated with gene activation (3). In contrast, histone methylation on different residues appears to provide binding sites for specific transcription regulators, thereby positively or negatively affecting gene expression (4). Although histone methylation may modulate gene expression in a gene-specific and context-dependent manner, certain site-specific modifications appear to be generally applicable to most genes. The epigenetic markers thus provide a roadmap to identify and characterize functional DNA elements in the genome.

The nuclear receptor (NR) superfamily of transcriptional regulators plays a central role in many developmental and disease processes, and the system has been extensively studied as a model to learn the mechanism for spatial and temporal control of gene expression (5). Individual NRs have consensus binding sites in promoters and enhancers, which have been characterized in detail, but only in a limited number of NR-regulated genes. In the case of the *pS2* gene (also known as *TFF1*), for example, binding by estrogen receptor  $\alpha$  (ER $\alpha$ ) initiates sequential recruitment of a large number of transcription factors onto the promoter to start transcription (6). However, despite extensive mechanistic insights in

transcriptional initiation in this and other well studied cases, little is known about how many genes are direct targets for an NR. Genome-wide ChIP coupled with microarray, known as ChIP-on-chip, offers a solution to this problem by determining promoters bound directly by transcription factors (7–10). Surprisingly, however, recent promoter and tiling array analyses suggest that ER $\alpha$  binds relatively rarely to gene promoters compared with intergenic regions, suggesting a critical role of long-distance enhancers in regulated gene expression in mammalian cells (11–13).

Here we describe an approach to detecting *in vivo* DNA–protein interactions by coupling ChIP with a DNA selection and ligation (DSL) strategy, permitting analysis of many fewer cells than required by the conventional ChIP-on-chip method. We constructed a full genome promoter array based on this ChIP-DSL platform, and our analysis revealed that ER $\alpha$  bound to >3% of human genes in promoter-proximal regions in MCF-7 cells, reinforcing the importance of direct binding events in the promoter-proximal regions during regulated gene expression. Results from built-in tiling arrays allowed direct visualization of binding events even without statistical filtering of raw data, and a comprehensive histone modification profile extended the current histone code hypothesis. These results demonstrate the versatility and accuracy of the ChIP-DSL technology in a genome-wide search for direct target genes by specific transcription factors and in comprehensive analysis of regulatory programs within specific genomic loci. Furthermore, comparison between profiles of ER $\alpha$  binding and 17 $\beta$ -estradiol (E<sub>2</sub>)-induced gene expression in MCF-7 cells revealed a subset of genes whose expression tracks breast cancer progression in humans, which not only suggests the prognostic value of these genes as biomarkers for breast cancer but also illustrates a general strategy for dissecting molecular pathways in cancer.

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M.J., D.L., and L.D. are employees of Aviva Systems Biology Corporation. X.-D.F. is scientific founder of Aviva Systems Biology Corporation and is a member of its board of directors. M.B. and J.-B.F. are employees of Illumina Inc. Both companies market array products used in this paper.

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Abbreviations: DSL, DNA selection and ligation; E<sub>2</sub>, 17 $\beta$ -estradiol; Pol, polymerase; qPCR, quantitative PCR; NR, nuclear receptor.

Data deposition: The genomic coordinates for the annotated human gene promoters and the array data have been deposited in the ArrayExpress database, [www.ebi.ac.uk/aerep](http://www.ebi.ac.uk/aerep) (accession nos. E-MEXP-984 and E-TABM-231).

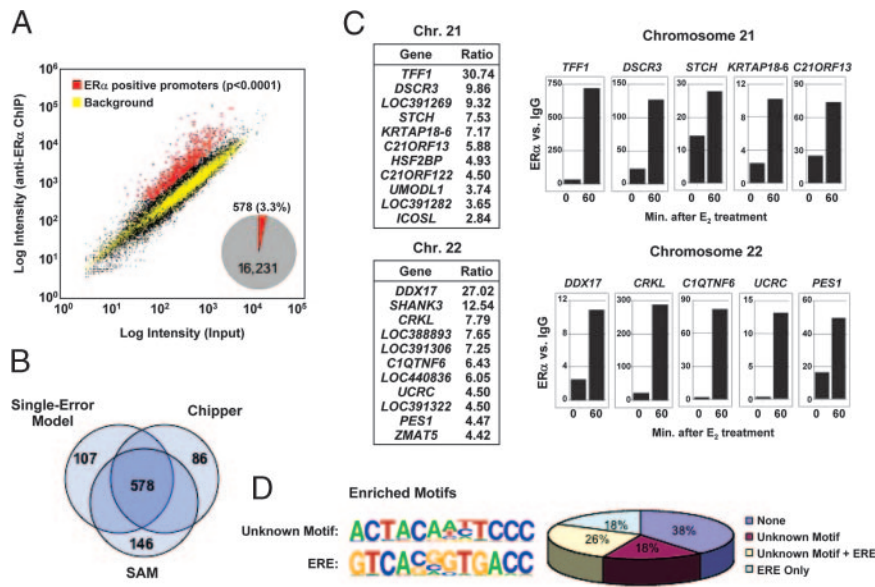
¶To whom correspondence may be addressed. E-mail: [mrosenfeld@ucsd.edu](mailto:mrosenfeld@ucsd.edu) or [xdfu@ucsd.edu](mailto:xdfu@ucsd.edu).

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**Fig. 3.** Promoter profiling of ER $\alpha$  in E<sub>2</sub>-induced MCF-7 cells. (A and B) ER $\alpha$ -bound promoters were identified (red) at  $P < 0.0001$ . The percentage of ER $\alpha$ -bound promoters scored positively by all three analytical methods is shown in A Inset, and additional promoters scored positively by one or two methods are indicated in the peripheries of the Venn diagram in B. (C) Listed are the newly identified ER $\alpha$ -positive promoters on chromosomes 21 and 22. Ratios were deduced from array measurements. Selected promoters were validated by ChIP/qPCR (Right). (D) Motif analysis of anti-ER $\alpha$ -enriched promoters. The first motif appears common to gene promoters in general, but the protein(s) recognizing this motif is unknown. When this motif is masked, the most enriched motif corresponds to full- or half-consensus estrogen responsive element. Allowing one base mismatch, the percentage of promoters containing a full- or half-consensus estrogen responsive element among total ER $\alpha$ -bound promoters was calculated and shown in Right.

landing sites and are uniform in length as previously documented (14).

We progressively enlarged the multiplicity of the assay to eventually cover most annotated gene promoters in the human genome. Titration experiments indicate that the ChIP-DSL technology could routinely operate with cells from one-third of a single 100-mm culture dish, which corresponds to  $1-5 \times 10^6$  cells, depending on the cell type under investigation. Despite the fact that each promoter is targeted by one oligonucleotide pair, high-quality data generated as reported in this and other studies (15) demonstrate the reproducibility and robustness of the ChIP-DSL technology.

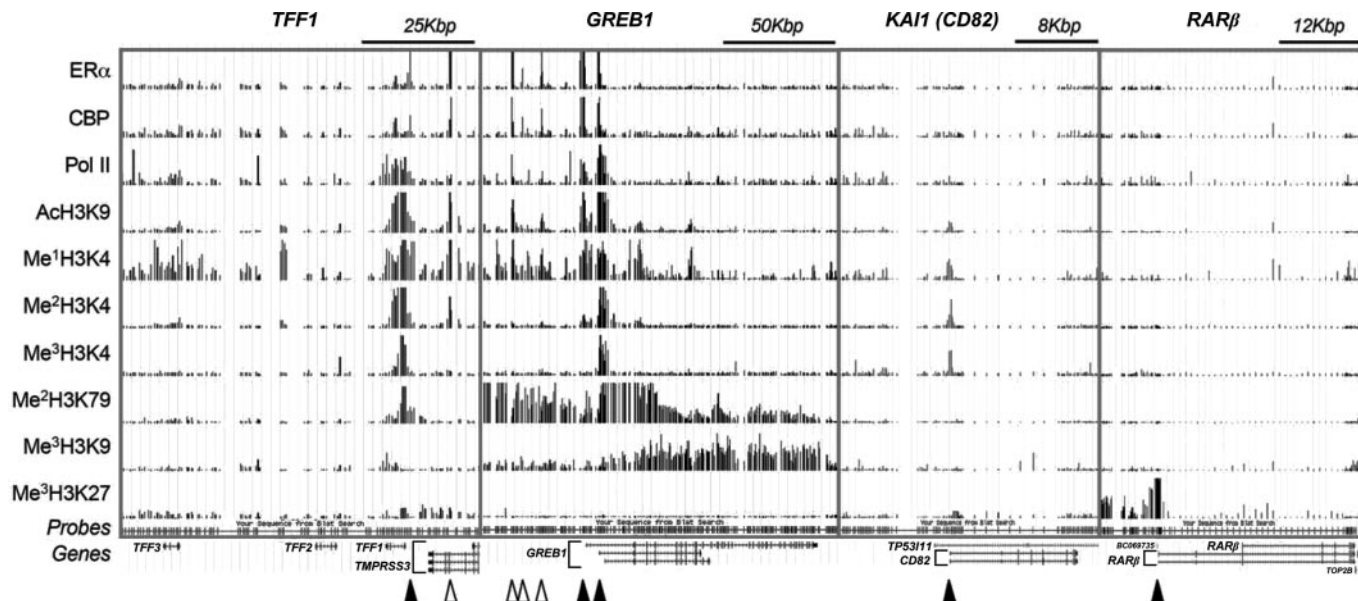
We initially assessed promoters potentially active in transcription based on their association with RNA polymerase (Pol) II in E<sub>2</sub>-treated MCF-7 cells (Fig. 2A). Anti-Pol II-enriched (Pol II<sup>+</sup>) promoters (red) were clearly segregated from background marked by built-in tiling array controls (yellow), finding that 43% of total promoters were Pol II<sup>+</sup> at a standard  $P$  value  $< 0.001$ . Quantitative ChIP/quantitative PCR (qPCR) analysis of randomly selected promoters suggests a false positive rate of  $\approx 3\%$  and a false negative rate of  $\approx 33\%$  [Fig. 2B and supporting information (SI) Fig. 6]. A similar false positive rate was observed by using an irrelevant IgG (SI Fig. 7A). The false negative rate is quite similar to that reported in published ChIP-chip studies (16). Pol II<sup>+</sup> promoters were also marked by AcH3K9 (98%), Me<sup>2</sup>H3K4 (98%), and Me<sup>3</sup>H3K4 (88%), although a significant fraction of promoters were associated only with these gene activation marks, but not with Pol II (Fig. 2C). In contrast, the “repressive” histone mark Me<sup>3</sup>H3K27 was detected in only a small fraction (10%) of Pol II<sup>+</sup> promoters. Indeed, this repressive histone mark has been shown to associate with some active genes (17). An RNA profiling experiment in the same E<sub>2</sub>-stimulated MCF-7 cells showed that most Pol II<sup>+</sup> promoters were actively transcribing (Fig. 2D). Collectively, these robust and highly consistent data testify to the utility and sensitivity of the ChIP-DSL technology.

**Identification of ER $\alpha$ -Occupied Gene Promoters in the Human Genome.** We next applied the ChIP-DSL technology to identify target genes for sequence-specific DNA-binding transcription factors. ER $\alpha$  plays an important role in human reproduction and breast cancer. Recent promoter profiling analysis using 1-kb promoter regions detected 153 ER $\alpha$ -bound promoters (13). Further, tiling analysis of ER $\alpha$  binding suggests that ER $\alpha$  binds prevalently to intergenic regions in the human genome, suggesting a new paradigm that estrogen-regulated gene expression may be largely driven

by long-distance enhancers (11, 12). Complimentary to these recent genomic analyses, we scored  $\approx 1,300$  anti-ER $\alpha$ -enriched (ER $\alpha$ <sup>+</sup>) promoters in E<sub>2</sub>-stimulated MCF-7 cells based on the single-array error model (9) at the standard cutoff of  $P < 0.001$ , and  $\approx 700$  at a more stringent cutoff of  $P < 0.0001$  (Fig. 3A). A significant number of ER $\alpha$ <sup>+</sup> promoters were also identified in vehicle-treated MCF-7 cells, suggesting a class of hormone-independent recruitment events (SI Fig. 7B). To identify ER $\alpha$ <sup>+</sup> promoters with high statistical confidence, we analyzed the data from multiple biological repeats using three statistical methods that are based on distinct mathematical principles, revealing an overlapping set of 578 highest confidence ER $\alpha$ <sup>+</sup> promoters, which represents 3.3% of all reliably scored promoters (Fig. 3B and SI Data Set 1).

ChIP/qPCR analysis confirmed all ER $\alpha$ <sup>+</sup> promoters examined, including those residing in chromosomes 21 and 22 (Fig. 3C) and 20 additional promoters in other chromosomes (data not shown), indicating a negligible false positive rate for anti-ER $\alpha$ <sup>+</sup>-enriched promoters supported by stringent statistical tests. Estimation of the false negative rate proved to be challenging when the majority of the probes are in the “negative” population (8). We used ChIP/qPCR-confirmed promoters recently reported (13) to objectively estimate our false negative rate. Among 27 validated promoters common between the two array platforms, 20 were scored positive in our array at  $P < 0.0001$  and 24 at  $P < 0.001$ , indicating that our false negative rate is  $\approx 26\%$  and  $11\%$  at the two  $P$  value cutoffs, respectively, which is probably an overestimate, because three promoters (*CYP4F3*, *PROPI*, and *ABCG2*) not detected were enriched only  $< 2$ -fold in previous ChIP/qPCR experiments (13). Together, these results demonstrate the accuracy of the ChIP-DSL data and conservatively identify  $\approx 4$ -fold as many ER $\alpha$  target promoters as were detected in previous genome-wide location analysis, suggesting that the promoter array based on the ChIP-DSL technology is a useful resource for the general research community.

We next conducted motif analysis using a newly refined algorithm, which compares ChIP-enriched promoters against normalized nucleotide frequencies in all promoters (C.B. and C.K.G., unpublished data), revealing a highly enriched, but uncharacterized, motif associated with ER $\alpha$ -bound promoters (Fig. 3D). When this motif was masked, the next most enriched motifs were the classic ER $\alpha$ -binding consensus sequences (18), which are present in 44% of the total ER $\alpha$ <sup>+</sup> promoters (Fig. 3D). Interestingly, whereas the algorithm confirmed the presence of FoxA1 recognition motifs surrounding a fraction of intergenic ER $\alpha$  binding sites (11), it did not detect extensive association of the FoxA1 binding site with the



**Fig. 4.** Locus-specific tiling array analysis of ER $\alpha$  binding and histone modifications in E<sub>2</sub>-induced MCF-7 cells. Individual genes and scales are shown at the top, and probe positions and gene structure are indicated at the bottom. Individual transcription factors and chromatin remodeling markers profiled are indicated on the left. Transcription starts and known or putative enhancers are designated by filled and open arrowheads, respectively, at the bottom.

ER $\alpha$ <sup>+</sup> promoters identified by ChIP-DSL. In light of the finding that FoxA1 is critical for ER $\alpha$  binding to several target genes examined (11, 13), it will be interesting to determine in future studies whether FoxA1 is selectively or universally required for ER $\alpha$  targeting.

**Locus-Specific Tiling Array Analysis of ER $\alpha$  Binding and Histone Modifications.** To facilitate data analysis of promoter arrays, we built in a number of tiled loci to serve as internal negative controls because not all genomic regions are expected to be occupied by general and sequence-specific DNA binding transcription factors. The data in turn illustrate the usefulness of the ChIP-DSL technology in revealing specific molecular recognition events that constitute the regulatory programs in individual genomic loci. As illustrated in Fig. 4, we found that ER $\alpha$  bound to the promoter (filled arrow) and a putative enhancer (open arrow) of the *TFF1* gene, as previously reported (11). The transcriptional coactivator CBP similarly interacted with both promoter and enhancer, whereas Pol II covered the body of this relatively small gene. In the case of the *GREB1* gene, we observed a similar pattern with ER $\alpha$ , CBP, and Pol II present on two of the three promoters that were previously characterized (19). Interestingly, we found that all three factors interacted with three distinct loci upstream of the *GREB1* promoters, suggesting that these sites may function as enhancers. These observations are consistent with a large body of literature that gene promoters and enhancers are recognized by sequence-specific DNA-binding transcription factors, which in turn recruit transcription coactivators.

Acetylated histone (AcH3K9) was observed in both promoters and enhancers in *TFF1* and *GREB1* as expected. Histone 3 lysine-4 methylation is generally associated with active genes, but the profile of individual modifications is significantly distinct: Me<sup>1</sup>H3K4 seems to associate broadly with active genes, but, in contrast to the situation in yeast, this modification is not preferentially linked to the 3' end of active genes (2, 20, 21). Me<sup>2</sup>H3K4 marks both promoters and enhancers with a clear preference for promoters over enhancers. Again, in contrast to events in yeast, we did not detect substantial Me<sup>2</sup>H3K4 in the transcribed regions of *GREB1* and other tiled genes. Me<sup>3</sup>H3K4

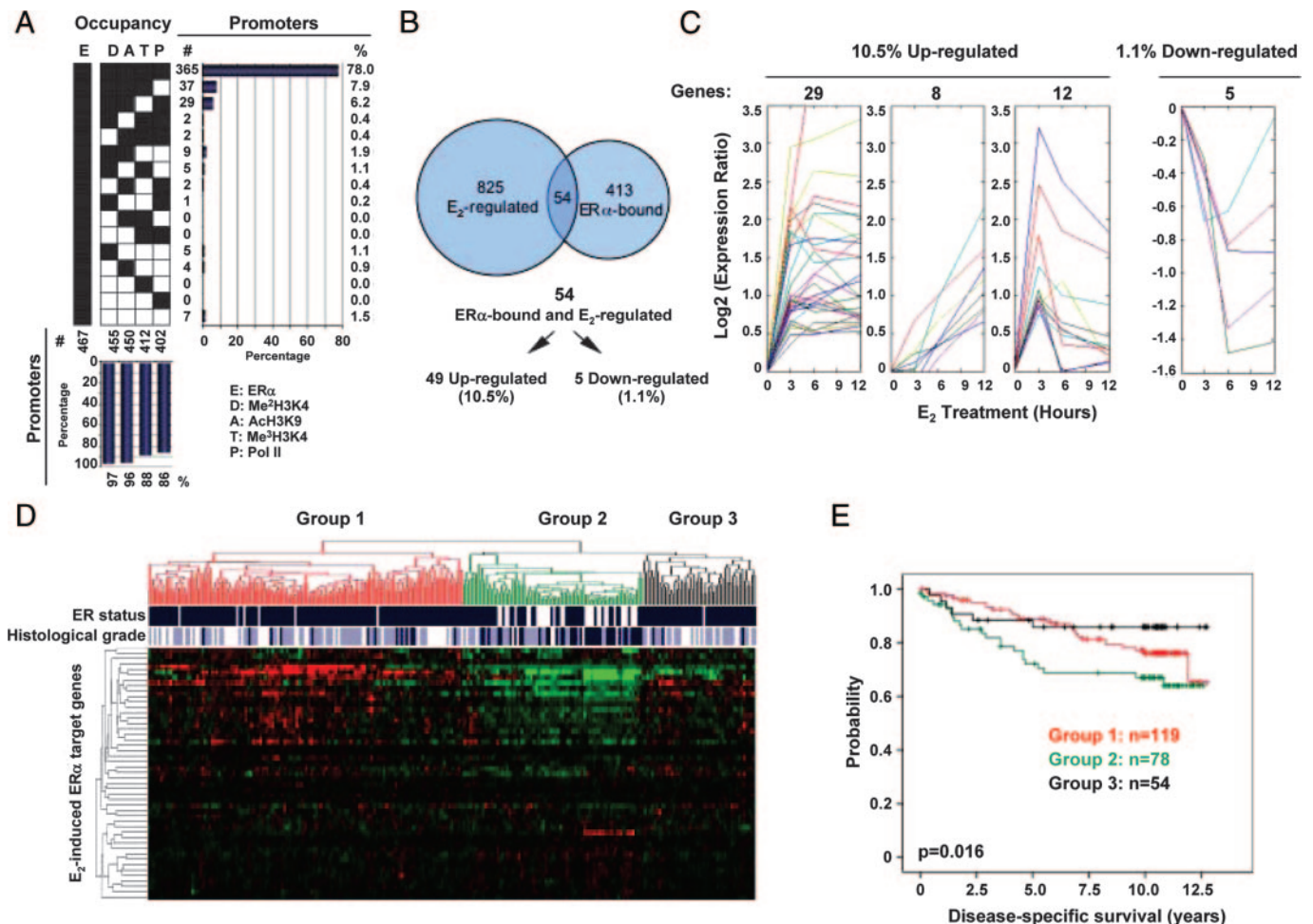
was found exclusively in promoters, which agrees with most mapping studies in yeast and mammalian cells (20, 22, 23).

Interestingly, AcH3K9 and methylated H3K4 marks were present in a number of gene promoters, including *KAI1* (Fig. 4), where no RNA transcripts were detected in MCF-7 cells. These observations suggest either that some histone modifications take place before the recruitment of the general transcriptional machinery or that these genes may be transcribing at an undetectable basal level. Although one cannot formally distinguish between these possibilities, the histone modification pattern is clearly different from "silent" genes such as *RARβ* (Fig. 4). The *RARβ* promoter was specifically marked by Me<sup>3</sup>H3K27, which is generally associated with silent genes in heterochromatin (24). Thus, there is heterogeneity in marks of nonexpressed genes exemplified by the observation that the *KAI1* promoter is accessible to transcription factors, whereas the *RARβ* promoter is actively repressed in MCF-7 cells.

To further characterize histone modifications associated with gene repression, we mapped Me<sup>2</sup>H3K79 and Me<sup>3</sup>H3K9 (Fig. 4). Me<sup>2</sup>H3K79 has been previously implicated in interactions with Sir proteins during gene silencing (25, 26), although a more recent study suggested a link of this modification to gene activation (27). We found that Me<sup>2</sup>H3K79 was indeed associated with active genes, but in a distinct, gene-specific manner. In the case of *TFF1*, this modification took place in the transcribing region near the promoter, whereas in the case of *GREB1*, Me<sup>2</sup>H3K79 was spread in the entire transcription unit, including both coding and promoter/enhancer regions. Me<sup>3</sup>H3K9 has also been previously linked to gene repression by serving as the binding site for HP1 to facilitate the assembly of heterochromatin (25, 26, 28–30). Here we found that Me<sup>3</sup>H3K9 decorated most 3' transcribed regions of both *TFF1* and *GREB1*, consistent with a role of this specific histone modification in transcription elongation as recently suggested in yeast (31). These findings illustrate that it is still quite precipitous to generalize the significance of most histone modification events with respect to gene activation or repression, as the same histone modification may reflect or influence transcription positively or negatively in a highly gene-specific and locus-dependent manner, consistent with a combinatorial histone code.

**Expression of Direct ER $\alpha$  Target Genes in Breast Cancer Cells and Tissues.** While the majority of ER $\alpha$ -bound promoters were also marked by Pol II and epigenetic markers associated with gene





**Fig. 5.**  $E_2$ -induced gene expression and the biological relevance of direct  $ER\alpha$  target genes. (A) Relationship between  $ER\alpha$  binding and histone modifications. To directly compare  $ER\alpha$  binding and  $E_2$ -induced gene expression, 467 of 578  $ER\alpha$ -bound promoters common between our promoter array and the Illumina gene expression array were analyzed. The majority of  $ER\alpha$ -positive promoters was also marked by Pol II and modified histones associated with gene activation. (B) Venn diagram showing the overlap between  $ER\alpha$ -bound promoters and  $E_2$ -induced genes. (C) Gene expression profiling in response to  $E_2$  treatment.  $ER\alpha$ -bound and  $E_2$ -regulated genes are grouped into four distinct classes. Among up-regulated genes, 29 were rapidly induced, and the level remained relatively constant afterward; eight were induced in a time-dependent manner; and 12 were induced followed by a rapid decay.  $E_2$ -induced, genes represent 10.5% of total  $ER\alpha$ -bound genes in the promoter-proximal region. Only five  $ER\alpha$ -bound genes were down-regulated by  $E_2$ , which represent 1.1% of total  $ER\alpha$ -bound genes in the promoter-proximal region. (D) Segregation of ER expression and breast tumor grade (both indicated at the top by blue bars) based on  $ER\alpha$ -bound and  $E_2$ -induced genes in MCF-7 cells. (E) Kaplan–Meier plots of patient survival in different groups segregated based on  $ER\alpha$ -bound and  $E_2$ -induced genes in MCF-7 cells. Statistical significance was determined by the  $\chi^2$  test.

activation (Fig. 5A), we evaluated the time course of regulated gene expression by RNA profiling and identified 879 genes that responded to  $E_2$ -induction in MCF-7 cells, which generally agrees with other published gene expression profiling studies (32–34). Strikingly, only 54 of these 879  $E_2$ -affected genes were bound by  $ER\alpha$  in the promoter-proximal region (Fig. 5B), indicating that the majority of  $E_2$ -induced genes might be indirectly affected or regulated by  $ER$ -responsive elements located away from the promoter-proximal region. Among these 879  $E_2$ -regulated genes, 562 were up-regulated and 317 were down-regulated. Contrary to the expectation that a similar percentage of genes in these two categories would be targeted by  $ER\alpha$  in the promoter-proximal region, we found that 49 (10.5%) of  $ER\alpha$ -bound promoters were up-regulated by  $E_2$ , whereas only 5 (1.1%) were down-regulated (Fig. 5B and C). These observations suggest that many genes in both up- and down-regulated categories might be indirectly affected, with more down-regulated genes influenced by indirect mechanisms than up-regulated ones (35).

Conversely, the fact that only 54  $ER\alpha$ -bound promoters responded to  $E_2$  with rapid changes in mRNA levels suggests that

most  $ER\alpha$ -bound promoters may require additional cofactors for  $E_2$ -dependent gene expression, as has been previously documented (36–39). Consequently, we predicted that different sets of  $ER\alpha$ -occupied promoters might respond to  $E_2$  stimulation in different cell types. Indeed, we have found that a subset of  $ER\alpha$ -bound, but  $E_2$ -insensitive, promoters in MCF-7 cells could be directly targeted by  $ER\alpha$  and induced by  $E_2$  in U2OS cells stably expressing  $ER\alpha$  (data not shown). This observation suggests that, at least for some promoters, they represent bona fide estrogen target genes under different circumstances.

To further investigate the biological relevance of  $ER\alpha$ -binding and estrogen-regulated gene expression, we asked how the newly identified 54  $E_2$ -responsive  $ER\alpha$  target genes might be differentially regulated in breast cancer tissues using a comprehensive set of gene expression profiling data from 251 breast cancer patients (40). We found a direct correlation between gene expression and tumor progression by unsupervised hierarchical clustering (Fig. 5D). Patients were clustered into three groups. About half of the genes were strongly suppressed in group 2, which displayed an  $ER$ -negative status and advanced tumor grade (Fig. 5D). Significantly, this

patient group exhibited a much reduced survival rate compared with the two other groups (Fig. 5E). These results illustrate a general strategy for disease etiology studies by combining gene expression profiling with location analysis of key transcriptional regulators altered in specific diseases.

## Materials and Methods

**Cell Culture and Antibodies.** MCF-7 cells were cultured in MEM supplemented with 10% FBS. Before induction, cells were hormone-deprived for 4 days in phenol-free MEM plus charcoal-depleted FBS and then treated with 100 nM E<sub>2</sub> (Sigma–Aldrich, St. Louis, MO) for 1 h for ChIP or various periods of time for RNA profiling as indicated. Antibodies used for ChIP analyses were anti-RNAP (8WG16) (MMS-126R; Covance, Princeton, NJ), anti-ER $\alpha$  (HC-20 and H-184 combined; Santa Cruz Biotechnology, Santa Cruz, CA), anti-CBP (C-20 and A22 combined; Santa Cruz Biotechnology). All anti-modified histone antibodies are from Upstate Biotechnology (Lake Placid, NY), including anti-AcH3K9 (07-352), anti-Me<sup>1</sup>H3K4 (07-436), anti-Me<sup>2</sup>H3K4 (07-030), anti-Me<sup>3</sup>H3K4 (07-473), anti-Me<sup>3</sup>H3K9 (07-442), anti-Me<sup>3</sup>H3K27 (07-449), and anti-Me<sup>2</sup>H3K79 (07-366).

**Array Fabrication and the ChIP-DSL Assay.** Human promoters were annotated by aligning Refseq mRNAs against the human genome and extended by using existing ESTs. A sequence from +200 to –800 bp relative to each transcription start was used to determine the most unique 40-mer to represent that promoter. All 40-mer oligonucleotides were amino-derived during oligo synthesis and printed on the 3D-CodeLink slides according to the manufacturer's instructions (Amersham Biosciences). Corresponding to each 40-mer, a pair of assay oligonucleotides were synthesized, each containing a half of the 40-mer sequence, flanked by a universal primer binding site. The built-in tiling paths for internal controls were based on sequences from multiple human genes, and oligonucleotide probes were selected at the  $\approx$ 0.5-kb interval across each gene unit. The genomic coordinates for the annotated human gene promoters and the array data have been submitted to ArrayExpress ([www.ebi.ac.uk/aerep](http://www.ebi.ac.uk/aerep)).

Cells were cross-linked by formaldehyde and subjected to standard ChIP as previously described (41). Cells in one 100-mm dish were used for each ChIP-DSL experiment. Both input ( $\approx$ 5% of total DNA) and antibody-enriched DNA were randomly biotinylated by using a kit (Vector Laboratories) according to the manufacturer's instructions. All T7-linked assay oligonucleotides were kinased and then mixed with all T3-linked oligonucleotides. For each reaction, we used 0.1 pmol per oligonucleotide in a pool suspended in 10  $\mu$ l of TE buffer. The procedure for oligonucleotide annealing, solid phase selection, ligation, and PCR amplification was as described (42), except *Taq* ligase was used in place of T4 ligase to improve ligation specificity. Input DNA was labeled with Alexa Fluor 647 and chromatin immunoprecipitated DNA with Cy3. The PCR products were mixed, denatured, and hybridized to the 40-mer Hu20K array. Slides were scanned on the GenPix 4000B scanner (Axon Instruments). The Hu20K array and the associated assay kit with detailed instruction are commercially available from Aviva Systems Biology.

**Data Analysis.** The single-array error model was previously described (43, 44). The SAM analysis package ([www-stat.stanford.edu/~tibs/SAM](http://www-stat.stanford.edu/~tibs/SAM)) was previously described (45). Chipper (<http://lama.med.harvard.edu/cgi/Chipper/chip3.py?id=725676>) was described (46). After conducting analysis with these methods, we first obtained genes at  $P < 0.0001$  according to the single-error model and selected the same number of genes from the top list of the other two methods to identify genes that were scored significantly by all three methods. Clearly, genes identified by only one or two of the methods may still be highly significant.

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