Cellular Immunity in Adolescents and Adults following Acellular Pertussis Vaccine Administration[∇]

Claudius U. Meyer,¹* Fred Zepp,¹ Michael Decker,²† Martin Lee,³ Swei-Ju Chang,³ Joel Ward,³ Sandra Yoder,⁴ Hugues Bogaert,⁵ and Kathryn M. Edwards⁴

Pediatric Immunology and Infectious Diseases, Children's Hospital, Johannes Gutenberg University of Mainz, Mainz, Germany¹; Department of Preventive Medicine, School of Medicine, Vanderbilt University, Nashville, Tennessee²; Harbor-UCLA, Torrance, California³; Division of Infectious Diseases, Department of Pediatrics, School of Medicine, Vanderbilt University, Nashville, Tennessee⁴; and GlaxoSmithKline, Rixensart, Belgium⁵

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Cell-mediated immune (CMI) responses to an acellular pertussis vaccine administered to 49 subjects, a subset of participants in the National Institutes of Health-funded adult acellular pertussis vaccine efficacy trial, were evaluated and compared with antibody responses to vaccine antigens. Levels of proliferation of and cytokine secretion from lymphocytes cultured in the presence of pertussis toxin, filamentous hemagglutinin, or pertactin were measured before vaccination and 1 month and 1 year after vaccination. Statistically significant increases in lymphocyte stimulation indices and cytokine secretion were noted at both 1 month and 1 year after vaccination. Brisk pertussis antigen-specific immunoglobulin G responses were also noted at 1 month after vaccination, but these responses had declined by nearly 50% at 1 year after vaccination. These studies clearly demonstrate that both cellular and humoral immune responses occur after the administration of acellular pertussis vaccines to adolescents and adults but that the CMI responses are of greater magnitude and longer duration. CMI responses may be a better correlate of long-term protection.

The precise immunologic mechanisms by which pertussis vaccines confer protection against infection and disease are not defined. By using murine models, it has been demonstrated that either the adoptive transfer of CD4⁺ cells from immune mice in the absence of antibody (15) or the presence of passive antibody alone (11) confers protection against challenge with Bordetella pertussis. This finding suggests that either humoral or cell-mediated immunity (CMI) is protective in the murine model. In humans, the lack of a clear correlation between the levels of antibody to pertussis antigens and protection against disease, the persistence of protective immunity long after the disappearance of pertussis antigen-specific antibody, and the longer duration of cell-mediated responses to pertussis antigens lend credence to the possibility that CMI provides primary protection against disease. In an attempt to better understand both the CMI and humoral responses to acellular pertussis vaccines in adolescents and adults and to see if these immune responses could be correlated with each other, we studied a subset of participants in the National Institutes of Health (NIH)-funded adult acellular pertussis vaccine efficacy trial (APERT). Few data for adolescents and adults are presently available to address these important issues (8, 22).

MATERIALS AND METHODS

Study design. Details of the study design and study results from APERT have been published previously (24). In brief, this prospective trial enrolled 2,781 healthy adolescents and adults between 15 and 65 years of age with no history of

pertussis disease or vaccination within the previous 5 years. Patients were randomly selected to receive either an acellular pertussis vaccine (aP) or a control vaccine (a hepatitis A vaccine [HAV]) and were carefully monitored for signs of pertussis. Studies measuring CMI and humoral immune responses in vaccine recipients were conducted with a subset of the participants enrolled at Vanderbilt University Medical Center (one of eight study sites). Written informed consent was obtained before enrollment. The study protocol was approved by the local ethical review board and was performed in compliance with the World Health Organization Declaration of Hong Kong/Helsinki.

Vaccines. Subjects were randomly assigned in a double-blind manner to receive either aP containing 8 μ g of pertussis toxin (PT), 8 μ g of filamentous hemagglutinin (FHA), and 2.5 μ g of pertactin (PRN) or HAV containing 720 enzyme-linked immunosorbent assay (ELISA) units (EU) of hepatitis A antigen (HAVRIX) by deep intramuscular injection into the left deltoid. Both vaccines were adsorbed to aluminum hydroxide and were kindly provided by GlaxoSmith-Kline Biologicals, Rixensart, Belgium.

Blood samples. Both sera and heparinized whole blood were collected from the subset of subjects enrolled in the CMI studies before, 1 month after, and 1 year after vaccination. Sera were stored at -80° C until analysis. Peripheral blood mononuclear cells (PBMC) were isolated by Ficoll (Serva, Heidelberg, Germany) density gradient centrifugation and resuspended in inactivated fetal calf serum (Cambrex, NJ)–10% dimethyl sulfoxide for long-term storage in liquid nitrogen. Fetal calf sera were screened and were not associated with nonspecific-lymphocyte proliferation. Assays were not performed according to consecutive sample collection during the study period, but all samples were studied within a short time period to ensure consistent results. Furthermore, we compared the performances of fresh versus frozen cells in our assay. Although we demonstrated a 23% decrease in overall lymphoproliferation rates with freezing, we obtained highly consistent responses regarding differences in culture conditions (data not shown).

Serology. Immunoglobulin G (IgG) antibodies to PT, FHA, and PRN were assayed using standardized ELISAs modified from methods described previously (6, 7, 9, 17). ELISA units for IgG were determined by using U.S. reference pertussis antigen antisera (human) lots 3 and 4. The minimum level of detection for IgG antibody to each antigen was 2 EU/ml. The limit of quantitation was defined as the boundary below which the precision of assay quantitation declined; it was determined to be 6 EU/ml for PT-specific IgG and 8 EU/ml for FHA- and PRN-specific IgG.

Lymphocyte proliferation assay. PBMC were thawed according to a standardized protocol including quick warming to 4°C and immediate removal of freezing medium by washing with complete culture medium (RPMI 1640 medium with 1

^{*} Corresponding author. Mailing address: Pediatric Immunology & Infectious Diseases, University Hospital Mainz, Obere Zahlbacher Str. 63, D-55131 Mainz, Germany. Phone: 49-6131-39-33331. Fax: 49-6131-39-33424. E-mail: meyer@uni-mainz.de.

[†] Present address: Sanofi-Pasteur, Swiftwater, PA.

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mM sodium pyruvate, 0.05 mM 2-mercaptoethanol, 1× amino acids from minimal essential medium, 2 mM t-glutamine, 100 IU/ml penicillin, 100 µg/ml streptomycin, and 10% human AB serum [PAN Biotech, Aidenbach, Germany]) at room temperature. Lymphocytes were resuspended in complete culture medium and cultured at a cell density of 150,000 cells/200 µl on 96-well round-bottom culture plates (Greiner, Germany) for 5.5 days at 37°C and 5% CO₂ in the presence of 10 µg/ml of PT, FHA, or PRN. Positive controls to show the general capacity for proliferation included PBMC cultured with 5 µg/ml of the mitogen phytohemagglutinin (Boehringer Mannheim, Germany) for 2.5 days. Negative controls included PBMC incubated for 2.5 days and 5.5 days in the absence of mitogens or antigens. Proliferative rates were determined by measuring [³H]thymidine (0.5 µCi of [³H]thymidine/well added during the last 16 h of culture) uptake by cultured PBMC by using a liquid scintillation counter (Betaplater 1205; WALLAC, Finland). All cultures were performed in triplicate.

To ensure the viability and suitability of the cells subjected to culture, only samples complying with each of the following criteria were used for final evaluation: (i) > 80% of PBMC excluded propidium iodide at the start of the culture period, (ii) [³H]thymidine uptake exceeded 50,000 cpm after 2.5 days in the presence of the mitogen phytohemagglutinin in the positive control wells, and (iii) [³H]thymidine uptake was clearly below 9,000 cpm in the negative control wells.

The proliferative rates were expressed as geometric means of values for triplicate cultures. In accordance with previously published procedures (21), a positive CMI response was defined as a rate of antigen-stimulated proliferation at least fourfold higher than the rate of spontaneous proliferation (stimulation index, \geq 4).

Detection of IFN-\gamma and IL-5. The cytokines gamma interferon (IFN- γ) and interleukin 5 (IL-5) were measured in the culture supernatant after 5 days of antigenic stimulation by using commercial ELISA systems (Biosource, CA). IFN- γ activity reflects Th1 responses, while IL-5 activity reflects Th2 responses.

Statistics. Antibody distributions were expressed as geometric mean titers (GMTs) by using logarithm-transformed data. Levels below the minimum level of detection were assigned a value of 1. Rates of antigen-specific-lymphocyte proliferation were compared with rates of proliferation in negative controls containing only media by using log-transformed geometric means of values for triplicate cell cultures (*t* test). Statistical analyses were performed using SigmaStat software (SPSS, Munich, Germany). Analyses of the correlation between antibody levels and quantitative cell proliferation data were performed by using linear regression of log ratios of values from 1 month and 1 year postvaccination to the prevaccination values.

RESULTS

Study population and study specimens. Eighty-five subjects consented to participate in the evaluation of pertussis antigenspecific CMI. To reduce the costs of performing assays, a smaller control group that received HAV (10 subjects) was included. These control subjects were randomly selected by the NIH to ensure blinding of both the investigators and the laboratory personnel in Germany. Cryopreserved lymphocytes were recovered with an overall yield of 85%, showing a mean viability of 93% with low spontaneous proliferation (mean, 1,595 cpm; σ , 4,669 cpm). Samples from 55 subjects complied with all inclusion criteria and had all corresponding CMI and serologic data. Forty-nine of these subjects received aP, and six of these subjects received HAV. Demographically, excluded subjects did not differ from included ones (data not shown). The subset undergoing CMI studies had a mean age of 31.5 years (range, 16.1 to 59.4 years), 69.1% were female, and 41.8% were health care workers, and these characteristics were similar to those of the overall APERT participant group.

Pertussis antigen-specific antibodies in serum. One month and 1 year after vaccination, concentrations of IgG antibodies to PT, FHA, and PRN in the aP group were significantly higher than before vaccination (Table 1) Pertussis antigen-specificantibody increases were not observed in controls, and their postimmunization titers were comparable to prevaccination

TABLE 1. IgG antibody concentrations before and after vaccination^a

Group (n) and	Concn of antibody to:			% of subjects with indicated concn of antibody to:			
time point	РТ	FHA	PRN	PT, >6 EU/ml	FHA, >8 EU/ml	PRN, >8 EU/ml	
Vaccine (aP) group (49)							
Prevaccination	8	24	16	73	100	100	
Postvaccination (1 mo)	40	419	438	100	100	100	
Postvaccination (1 yr)	17	173	129	98	100	100	
Control (HAV) group (6)							
Prevaccination	6	16	16	67	100	83	
Postvaccination (1 mo)	6	16	18	67	100	83	
Postvaccination (1 yr)	14	15	18	100	100	83	

^{*a*} Concentrations are given as geometric mean titers. Adults (n = 49) from the APERT subset for CMI analysis were vaccinated with a dose of an acellular pertussis vaccine.

levels (Table 1). More detailed immunogenicity results from the combined study have been published elsewhere (10).

Pertussis antigen-specific-lymphocyte proliferation. Pertussis antigen-specific-lymphocyte proliferation responses to each of the three pertussis antigens are represented in Fig. 1. Minimal proliferation responses were seen in the prevaccination blood samples from aP recipients and in all samples from HAV controls.

Compared to prevaccination levels, significantly increased rates of proliferation of lymphocytes specific for all three antigens in samples from the aP subjects were observed at both the 1-month- and the 1-year-postvaccination time points (P < 0.05) (Fig. 1). The responses at 1 year were lower than those noted at 1 month postimmunization. Subjects with pertussis antigen-specific-lymphocyte responses following aP administration did not differ from nonresponders in gender, age, or occupation (data not shown). None of the participants in the CMI subset of the APERT group had culture-confirmed pertussis or serologic conversions during the pertussis surveillance period, suggesting that there was no natural exposure to pertussis among these subjects after vaccination.

The secretion of pertussis antigen-specific IFN- γ by lymphocytes was measured prevaccination and at both 1 month and 1 year after vaccination (Table 2). One month after vaccination, the secretion of IFN- γ specific for PT, FHA, and PRN had increased 53-fold, 80-fold, and 66-fold, respectively. One year after vaccination, IFN- γ secretion remained elevated compared with that in controls but was diminished by 60 to 70% relative to the 1-month-postvaccination levels. Little or no secretion of pertussis antigen-specific gamma interferon in HAV recipients was noted at any time point (Table 2).

As for the secretion of antigen-specific IL-5, a slight increase in the aP group both at 1 month and at 1 year postvaccination was noted (Table 2), but it was less than that observed for IFN- γ . HAV recipients had very little IL-5 secretion at any assessment point.

Comparison of antibody and lymphoproliferative responses. Titers of individual antibodies to each pertussis antigen in the acellular pertussis vaccine recipients were compared to lymphoproliferative responses to the same antigens by linear regression. Statistically significant correlations between antibody titers and lymphoproliferative responses in the aP group were noted at 1 month postvaccination (Table 3) but not at 1 year



FIG. 1. Responses of subjects to an acellular pertussis vaccine. The proliferation of pertussis antigen-specific lymphocytes (a and c) and antibody concentrations (b and d) were measured. Lymphocytes from 49 vaccinated subjects (aP group) (a) and those from the 6 members of the control group (c) were cultured in the presence of antigens ($10 \mu g/ml$ PT, $10 \mu g/ml$ FHA, or $10 \mu g/ml$ PRN). [³H]thymidine (0.5μ Ci) was added during the last 16 h of culture, and the level of incorporation was measured by scintillation counting (counts per minute). Stimulation indices were calculated as follows: (counts per minute for the culture with the antigen)/(counts per minute for the medium control). Serum samples from the vaccinated subjects (b) and the control group (d) were analyzed for pertussis antigen-specific IgG with ELISA. IgG concentrations are expressed as EU/ml. Lymphoproliferation measurements and serum antibody concentrations increased significantly at 1 month (post 1 m) and 1 year (post 1 yr) postvaccination compared to corresponding prevaccination (pre) values. Shaded bars indicate interquartile ranges, and black horizontal lines indicate median values. The outlier value (*) is attributed to a single subject; although the value for pertussis antigen-specific lymphoproliferation is high, prevaccination versus postvaccination data for the outlier did not reflect an impact of the vaccination.

after vaccination. The decay in antibody levels was greater than the decay in the interferon stimulation response.

DISCUSSION

A trivalent acellular pertussis vaccine without diphtheria or tetanus toxoid was administered to healthy adolescents and adults enrolled in APERT, a prospective NIH-sponsored multicenter efficacy trial conducted in the United States. As part of this study, cell-mediated and humoral immune responses were

TABLE 2. Increases and decreases in IFN- γ and IL-5 pertussis antigen-specific-lymphocyte proliferation responses^{*a*}

Group (n) and time point postimmunization ^b	Change	e (<i>n</i> -fold) response t	in IFN-γ to:	Change (<i>n</i> -fold) in IL-5 response to:		
	PT	FHA	PRN	PT	FHA	PRN
aP group (49)						
1 mo	52.7	80.01	65.57	12.22	12.61	1.0
1 yr	37.87	50.05	46.09	9.6	3.2	1.0
Control group (6)						
1 mo	0.57	0.76	0.08	0.09	1.00	0.1
1 yr	0.00	0.00	7.90*	0.02	1.00	6.54*

 $^{\it a}$ Asterisks indicate cases in which one outlier was responsible for the high value.

^b Values at the indicated time points were compared to preimmunization values to determine the amount of change.

measured before, 1 month after, and 1 year after vaccination. One month after the administration of the acellular pertussis vaccine, antibodies to all three vaccine antigens were detected, but levels declined nearly 50% by 1 year after vaccination, especially those of PT antibodies (5, 23). In contrast, significantly elevated cell-mediated immune responses were observed at 1 month after vaccination and these responses remained elevated at 1 year. The decay in cell-mediated immune responses to the acellular pertussis vaccine was less than the decay in antibody levels.

The role of humoral and cellular immunity in the prevention of pertussis infection and disease remains unclear. Studies of immune responses to acellular pertussis vaccines suggest that

TABLE 3. Correlation between the lymphoproliferative (CMI) response and the IgG antibody response^a

		Correlation between CMI and IgG antibody responses to:							
Time point postimmunization	Р	РТ		HA	PRN				
	r	Р	r	Р	r	Р			
1 mo 1 yr	0.31 0.12	0.03 0.38	0.27 0.18	0.046 0.20	0.29 0.30	0.046 0.03			

^{*a*} Responses were assessed at 1 month and 1 year after the immunization of adults (n = 49) with an acellular pertussis vaccine. *r*, correlation coefficient; *P*, probability.

both B- and T-cell responses are elicited in mice (11, 18, 20) and humans (4, 16). In the present study, a significant increase in the proliferation of PBMC specific to all three pertussis vaccine antigens was demonstrated at 1 month after vaccination. This finding suggests the induction of an immunological memory response, presumably consisting of restimulated effector memory T cells as well as B-helper T cells. The observed level of proliferation is in accordance with data from other studies with human adults (1, 19) and also reflects data from pediatric vaccine trials (2, 3, 25). Additionally, our data suggest that pertussis antigen-specific humoral and cell-mediated immune responses correlate with each other at 1 month after acellular pertussis immunization.

In contrast to the appreciable decay in PT, FHA, and PRN antibody levels by 1 year after immunization, pertussis antigenspecific T-cell responses persisted at high levels. The prolonged presence of detectable T-cell-mediated immunity after the administration of the acelluar pertussis vaccine has been demonstrated previously with both infants (25, 26) and adults (4, 22). Mahon et al. (12) hypothesized that T-cell-mediated immune memory might be a major determinant of more prolonged protection whereas the immediate induction of antibodies may serve to combat the acute infection. The physiological basis of this difference has not been described. It has been recognized that following the cognate contact of helper T cells with B cells in the germinal center of secondary lymph nodes, affinity-maturated B-cell subsets develop. Antibodysecreting memory B cells are available for secondary antigenspecific responses (14). Thus, the decrease in antibody levels with time is likely attributable to a loss of plasma cells over time but the retention of memory B cells, which likely offer "boostability" when receiving instruction from memory T cells like those detected in our study. A correlation between the antibody levels and memory-T-cell responses during the early (prevaccination-to-1-month-postvaccination) phase exists, and the memory-B-cell pool could be activated upon a secondary antigen contact at a later time (1 year postvaccination).

We further measured specific cytokine secretion by pertussis antigen-specific stimulated T cells. Significant increases in IFN- γ secretion by PBMC were seen 1 month after vaccination. Since increases in IL-5 were lower than increases in IFN- γ , we speculate that the CMI responses to pertussis antigens were more Th1-like. Similar Th1 cytokine secretion profiles for adults (1, 19) and children (13) receiving acellular vaccines have been observed previously. The results of these studies indicate that healthy adolescents and adults immunized with a three-component acellular pertussis vaccine generate both humoral and sustained cell-mediated immune responses.

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