

Two proton transfers in the transition state for nucleotidyl transfer catalyzed by RNA- and DNA-dependent RNA and DNA polymerases

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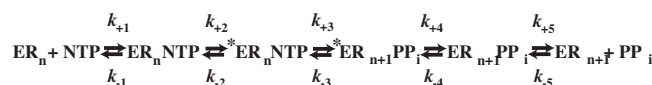
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The rate-limiting step for nucleotide incorporation in the pre-steady state for most nucleic acid polymerases is thought to be a conformational change. As a result, very little information is available on the role of active-site residues in the chemistry of nucleotidyl transfer. For the poliovirus RNA-dependent RNA polymerase (3D^{pol}), chemistry is partially (Mg²⁺) or completely (Mn²⁺) rate limiting. Here we show that nucleotidyl transfer depends on two ionizable groups with pK_a values of 7.0 or 8.2 and 10.5, depending upon the divalent cation used in the reaction. A solvent deuterium isotope effect of three to seven was observed on the rate constant for nucleotide incorporation in the pre-steady state; none was observed in the steady state. Proton-inventory experiments were consistent with two protons being transferred during the rate-limiting transition state of the reaction, suggesting that both deprotonation of the 3'-hydroxyl nucleophile and protonation of the pyrophosphate leaving group occur in the transition state for phosphodiester bond formation. Importantly, two proton transfers occur in the transition state for nucleotidyl-transfer reactions catalyzed by RB69 DNA-dependent DNA polymerase, T7 DNA-dependent RNA polymerase and HIV reverse transcriptase. Interpretation of these data in the context of known polymerase structures suggests the existence of a general base for deprotonation of the 3'-OH nucleophile, although use of a water molecule cannot be ruled out conclusively, and a general acid for protonation of the pyrophosphate leaving group in all nucleic acid polymerases. These data imply an associative-like transition-state structure.

general-acid–base catalysis | phosphoryl transfer | two-metal-ion mechanism

Nucleic acid polymerases are essential for the maintenance and expression of the genomes of all organisms. All classes of polymerases use the same five-step kinetic scheme for nucleotide incorporation (1–6). The kinetic mechanism for the RNA-dependent RNA polymerase (RdRp¹) from poliovirus (3D^{pol}) is shown in Scheme 1. One of the advantages of this system is that once 3D^{pol} assembles onto the primer–template substrate, this complex has a half-life of >2 h (7), greatly simplifying kinetic analysis. In step one, the enzyme–nucleic acid complex (ER_n) binds the nucleoside triphosphate forming a ternary complex (ER_nNTP). Step two involves a conformational change (*ER_nNTP) that orients the triphosphate for catalysis. In step three, nucleotidyl transfer occurs (*ER_{n+1}PP_i), followed by a second conformational-change step (ER_{n+1}PP_i) and pyrophosphate release (ER_{n+1}).

Although the sequence of events occurring during the nucleotide-addition cycle is identical for all polymerases, the rate-limiting step appears to be different. In most cases, the first conformational-change step (step two) is rate-limiting (2, 8, 9). In one, chemistry (step three) is rate-limiting (10), and in some (e.g., T4 and RB69 DNA polymerases), the rate-limiting step has



Scheme 1. Kinetic mechanism for 3D^{pol}-catalyzed nucleotide incorporation. See text for details.

not been established. For 3D^{pol}, both steps two and three are rate limiting when Mg²⁺ is used as the divalent cation cofactor, and step three is rate limiting when Mn²⁺ is used (1, 11). Therefore, the 3D^{pol} system provides a unique opportunity to interrogate the chemistry of polymerase catalyzed nucleotidyl transfer by using a nucleotide incorporation assay.

A two-metal ion mechanism has been proposed for polymerase-catalyzed nucleotidyl transfer reactions (12–14). In this mechanism (Fig. 1A), metal A increases the nucleophilicity of the primer 3'-OH by lowering its pK_a value, metals A and B stabilize the oxyanion that forms in the pentacovalent transition state, and metal B may facilitate pyrophosphate release (13). During nucleotidyl transfer, the proton from the 3'-OH of the primer (H_a in Fig. 1B) must be removed. It is not known whether the pyrophosphate leaving group must be protonated (H_b in Fig. 1B) as the pK_a value on the enzyme is not known and could range from 7.0 to 9.0 based on solution studies (15–17). Whether active-site residues function as acceptor or donor for these key proton-transfer reactions is not known. We report two proton transfer reactions during polymerase-catalyzed nucleotide incorporation. Our data provide evidence for use of a general acid in polymerase-catalyzed nucleotidyl-transfer reactions; the use of a general base is also suggested, but specific base catalysis cannot be ruled out at this time.

Results

Two Ionizable Groups Are Required for RdRp-Catalyzed Nucleotidyl Transfer. Because chemistry is at least partially rate limiting for 3D^{pol}-catalyzed nucleotide incorporation, we reasoned that it should be possible to obtain insight into the proton transfers occurring during the rate-limiting transition state by evaluating the pH dependence of the reaction. To maximize the amount of kinetic data obtained, we developed and validated a stopped-flow fluorescence assay for 3D^{pol} that employs an RNA template

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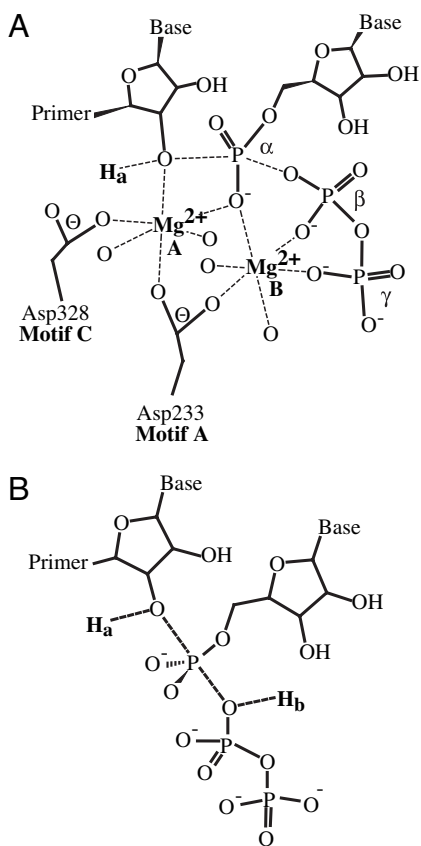


Fig. 1. Polymerase-catalyzed nucleotidyl transfer. (A) Two-metal-ion mechanism. The nucleoside triphosphate enters the active site with a divalent cation (Mg^{2+} , metal B). This metal is coordinated by the β - and γ -phosphates of the nucleotide, an Asp residue located in structural motif A of all polymerases, and likely water molecules (indicated as oxygen ligands to metal without specific designation). Metal B orients the triphosphate in the active site and may contribute to charge neutralization during catalysis. Once the nucleotide is in place, the second divalent cation binds (Mg^{2+} , metal A). Metal A is coordinated by the 3'-OH, the α -phosphate, as well as Asp residues of structural motifs A and C. Metal A lowers the pK_a of the 3'-OH (denoted as H_a) facilitating catalysis at physiological pH. Adapted from refs. 12 and 13. Coordinating Asp residues from 3D^{pol} are indicated (12). (B) Proton transfer reactions. During the nucleotidyl transfer reaction, two proton-transfer reactions may occur. The proton from the 3'-OH nucleophile (H_a) must be removed; a proton may be donated to the pyrophosphate leaving group (H_b).

containing 2-aminopurine [supporting information (SI) Figs. 7 and 8]. To vary the pH of the reaction without varying the ionic strength, we chose the MTCN and MHCN buffer systems (18). The buffers were comparable, yielding $K_{D,app}$ and k_{pol} values of $200 \pm 20 \mu M$ and $50 \pm 10 s^{-1}$, respectively, at pH 7.5 (SI Table 2). The higher $K_{D,app}$ value for ATP relative to previous buffer systems used was caused by the increased ionic strength of the MTCN and MHCN buffers (data not shown).

The $K_{D,app}$ and k_{pol} values for nucleotide incorporation were measured at different pH values in Mg^{2+} or Mn^{2+} (SI Table 3). Experiments were limited to pH 10 in Mg^{2+} and pH 9 in Mn^{2+} due to complications of metal hydroxide precipitation at higher pH values. Values for k_{pol} were plotted as a function of pH (Fig. 2). In Mg^{2+} , a bell-shaped curve was observed, indicative of two ionizable groups. The data were fit to Eq. 3, yielding pK_a values of 7.0 ± 0.1 and 10.5 ± 0.1 .

In Mn^{2+} , a similar pH dependence was observed for the acidic arm of the profile, but with a shift in pK_a of about one pH unit to 8.2 ± 0.1 . These data fit well to a single ionization model (Eq. 4). However, we could not rule out a two-ionization model in

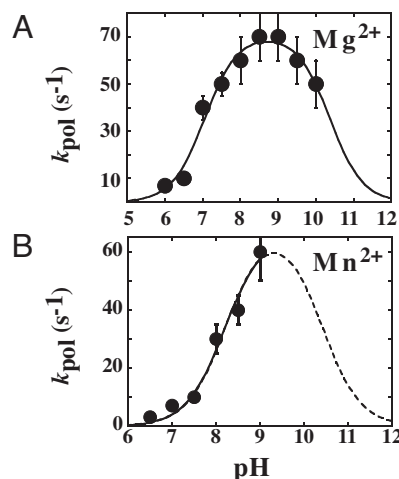


Fig. 2. Two ionizable groups are required for nucleotidyl transfer. Values for k_{pol} were obtained for AMP incorporation into S/S+1 using the stopped-flow assay (see SI Text) in 5 mM $MgCl_2$ (A) or 5 mM $MnCl_2$ (B). pH values >10 in Mg^{2+} and 9 in Mn^{2+} caused precipitation. The solid lines show the fit of the data to Eq. 3 for Mg^{2+} , yielding pK_a values of 7.0 ± 0.1 and 10.5 ± 0.1 and to Eq. 4 for Mn^{2+} , yielding a pK_a value of 8.2 ± 0.1 . The dashed line in panel B shows the predicted curve should an ionizable group with a pK_a of 10.5 exist in Mn^{2+} .

Mn^{2+} . The pH rate profile that would be observed with pK_a values of 8.2 and 10.5 is shown in Fig. 2B (dashed line). Data above pH 9 would be required to distinguish between the one- and two-ionization models.

Rate Limiting Steps as a Function of pH. To determine whether rate-limiting steps were changing as a function of pH, we evaluated the phosphorothioate (thio) effect over the pH range evaluated above (SI Table 4). For 3D^{pol}, chemistry is partially rate limiting in Mg^{2+} at pH 7.5 (1). Under these conditions, the observed thio effect was 3 ± 0.3 (SI Table 4). At pH values <7.5 , chemistry was clearly at least partially rate limiting as the value for the thio effect either did not change (pH 7.0) or increased (pH 6.0) (SI Table 4). A thio effect was also observed at pH values of 8.0 and 9.0; however, none was observed at pH 10 (SI Table 4). Interestingly, the decrease in the value of the thio effect above pH 7.5 was due to a specific increase in the observed rate constant for AMP incorporation without any significant effect on that for AMP incorporation (SI Table 4). In Mn^{2+} at pH 7.5, chemistry is exclusively rate limiting (11). From pH 6.0 to 9.0, the thio effect ranged from 7 to 5 (SI Table 4), consistent with chemistry remaining kinetically significant over this pH range.

Solvent Deuterium Isotope Effect as a Probe for Rate-Limiting Steps During Nucleotide Incorporation. Because it was difficult to interpret the loss of the thio effect in Mg^{2+} at pH 10.0, we turned to the solvent deuterium isotope effect. Indeed, if proton transfers are occurring during the rate-limiting steps measured by our nucleotide incorporation assay, then an isotope effect should be apparent (19). In Mg^{2+} at pH 7.5, an isotope effect of 3 ± 0.5 was observed for AMP incorporation in the pre-steady-state (Fig. 3A). In Mn^{2+} at pH 7.5, an isotope effect of 7 ± 2 was observed (Fig. 3B). Essentially identical values were obtained by using the quench-flow instrument (SI Fig. 9A). The dependence of the magnitude of the isotope effect on the divalent cation used was consistent with previous observations that chemistry is partially rate limiting in Mg^{2+} but solely rate limiting in Mn^{2+} (1, 11). However, to rule out conformational perturbations as the cause of the observed isotope effects, AMP incorporation in the steady state was evaluated.

The rate-limiting step in the steady state is dissociation of

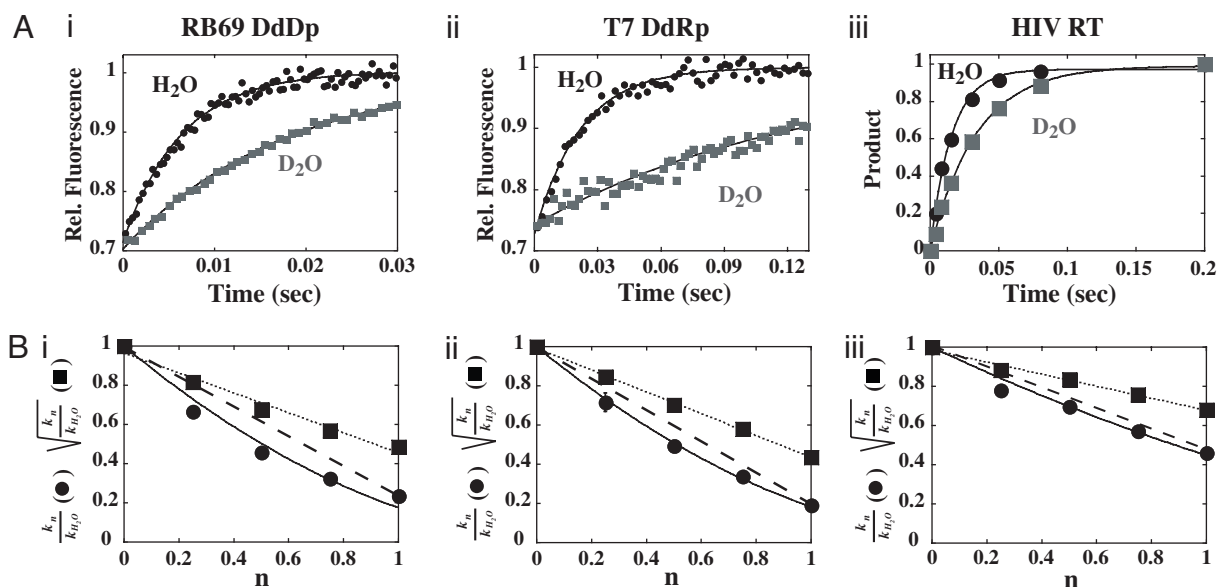


Fig. 5. Two protons are transferred during phosphodiester bond formation catalyzed by all polymerases. (A) Solvent deuterium isotope effect for other polymerases: RB69 DdDp (i), T7 DdRp (ii), and HIV RT (iii). Pre-steady-state rate constants for nucleotide incorporation were determined for all polymerases in H₂O (filled circles) or D₂O (filled squares) as described in detail in *SI Text*. The solid lines are the fits of the data to Eq. 1. The rate constants were: $240 \pm 25 \text{ s}^{-1}$ and $60 \pm 6 \text{ s}^{-1}$ in H₂O and D₂O, respectively, for RB69 DdDp; $40 \pm 5 \text{ s}^{-1}$ and $8 \pm 1 \text{ s}^{-1}$ in H₂O and D₂O, respectively, for T7 DdRp; $65 \pm 5 \text{ s}^{-1}$ and $30 \pm 2 \text{ s}^{-1}$ in H₂O and D₂O, respectively, for HIV RT. (B) Proton inventory for other polymerases: RB69 DdDp (i), T7 DdRp (ii), and HIV RT (iii). Experiments were performed as described in *SI Text*. Values for k_n/k_{H_2O} (filled circles) or the square root of k_n/k_{H_2O} (filled squares) were plotted as a function of n . The solid lines represent the fit of the data to a two-proton-transfer model (Eq. 5) and the dashed lines represent the predicted line for a one-proton-transfer model (Eq. 6). The dotted lines represent the fit of the data to a line. In all cases, a two-proton-transfer model fit the data best. Each data point represents the average of two to three independent experiments. The standard deviation was $<10\%$ in all cases.

reflect the fact that most of these complexes have been solved by employing substrate and/or cofactor mimics: 3'-deoxy-terminated primers, nonhydrolyzable nucleotide analogues, and/or catalytically incompetent divalent cations such as Ca²⁺ (21, 22, 25–27).

Interrogation of the chemical mechanism for nucleotidyl transfer catalyzed by DNA polymerases has been discouraged by the general belief that a conformational change is completely rate limiting for nucleotide incorporation, a belief that has relied on interpreting the magnitude of the thio effect, a highly controversial parameter (28–30). Our studies of the RdRp from poliovirus, however, have shown that chemistry is at least partially rate limiting for nucleotide incorporation in Mg²⁺ and completely rate limiting in Mn²⁺. Therefore, this system permits interrogation of the chemical mechanism by employing a nucleotide incorporation assay.

Evaluation of the pH dependence of PV polymerase-catalyzed nucleotide incorporation in Mg²⁺ revealed a dependence of the reaction on two ionizable groups with apparent pK_a values of 7.0 and 10.5 (Fig. 2A). Because a conformational change is partially rate limiting in Mg²⁺ (1), these values may not reflect true pK_a values (31), preventing unambiguous assignment of these pK_a values to specific proton-transfer reactions. In Mn²⁺, however,

chemistry is completely rate limiting so the pK_a value of 8.2 observed here (Fig. 2B) is likely a true pK_a value for proton transfer from the 3'-OH to its acceptor. This pK_a reflects a single ionization event as a plot of the $\log k_{\text{obs}}$ as a function of pH yields a line with a slope of ≈ 1 (data not shown). The observed pK_a value for the acidic limb of the pH rate profile, which likely contains some component related to 3'-OH activation, changed not only in response to the divalent cation used, but also in response to the nucleotide used (ATP α S or ATP) when Mg²⁺ was the divalent cation cofactor (compare Fig. 2B and *SI Table 4*). This observation is consistent with this pK_a value measured in Mg²⁺ reflecting ionizations required for both a conformational change and chemistry. Because reactions employing ATP α S should have chemistry more rate limiting, the pK_a value measured here (≈ 8.0 , *SI Table 4*) may be a true pK_a as it matches that observed in Mn²⁺.

The solvent deuterium isotope effect is a useful probe for chemistry in the rate-limiting step for nucleotide incorporation by the RdRp (Fig. 3 and *SI Table 4*). The observed values for the solvent deuterium isotope effect ranged from three in Mg²⁺ at pH 7.5 (Fig. 3A), where chemistry is only partially rate limiting (1), to seven in Mn²⁺ at pH 7.5 (Fig. 3B), where chemistry is completely rate limiting (11). No significant isotope effect was measured in steady state kinetic assays in the presence of either divalent cation (Fig. 3C and D), consistent with the rate constant for polymerase-product dissociation being measured under these conditions (7). Application of the solvent deuterium isotope effect to other polymerase systems (Fig. 5A) revealed chemistry as partially rate limiting for nucleotide incorporation catalyzed by RB69 DdDp, T7 DdRp, and HIV RT and validated the solvent deuterium isotope effect as a useful mechanistic probe of rate-limiting steps for all polymerases tested (Table 1).

The observation of a solvent deuterium isotope effect permitted the quantification of the number of proton-transfer

Table 1. Solvent deuterium isotope effect and two proton transfer reactions are observed during nucleotidyl transfer by all polymerases

| Enzyme | D ₂ O effect | Proton inventory |
|-----------|-------------------------|------------------|
| PV RdRp | 3 ± 0.5 | 2 |
| RB69 DdDp | 4 ± 1 | 2 |
| T7 DdRp | 5 ± 1 | 2 |
| HIV RT | 2 ± 0.5 | 2 |

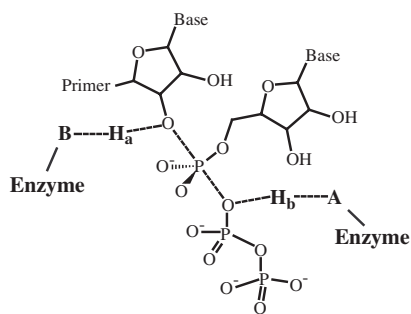


Fig. 6. A general base and a general acid in polymerase-catalyzed nucleotidyl transfer reactions? The data are consistent with a model in which activation of the nucleophile and protonation of the leaving group occur coordinately in the transition state. The absence of conserved waters to serve as acceptor or donor for these proton-transfer reactions lead us to propose that a residue on the enzyme accepts the proton from the 3'-OH (B) and that a residue on the enzyme donates a proton to the PP_i leaving group (A). If this is the case, then an associative-like transition state structure would be expected.

reactions occurring during the rate-limiting transition state for nucleotide incorporation catalyzed by the RdRp (Fig. 4) and other polymerases as well (Fig. 5B). In all cases, two proton-transfer reactions were observed (Table 1). This observation would suggest that conversion of the 3'-OH to the 3'-O⁻ does not occur as a discrete step before attack on the α -phosphorous of the bound nucleotide and provides the first evidence that the PP_i leaving group does, indeed, leave in a protonated form.

A solvent deuterium isotope effect of seven was observed for the RdRp under conditions in which chemistry is entirely rate limiting for nucleotide incorporation (Fig. 3), consistent with both protons being transferred simultaneously in the rate-limiting transition state. Efficient proton transfer would necessitate a suitable proton acceptor and donor. As discussed above, structural studies have not identified conserved water molecules to serve this function. We suggest that a general base and a general acid are used in nucleotidyl-transfer reactions catalyzed by all polymerases (Fig. 6). Specific base catalysis cannot be ruled out.

Over a decade ago, Pelletier and colleagues proposed the use of a general base for the nucleotidyl-transfer reaction catalyzed by DNA polymerase beta (pol β) (25). This proposal initiated a debate that has yet to be settled (32). In particular, Asp-256 of pol β is in a position relative to the sugar to accept the proton from the 3'-OH (25). The Pelletier structure contained dideoxy-terminated primer (25). However, more recent structures with an authentic primer terminus and a nonhydrolyzable nucleotide analogue continue to support this possibility (22).

There is a general consensus that the structural homologue of pol β Asp-256 in other systems is the evolutionarily conserved Asp residue of motif C (SI Table 5) (33). In many polymerase structures, this motif C residue is often found serving as a ligand for one or both metals (21, 26, 34, 35). This circumstance permits distance arguments to be used against this residue serving as a general base (32). However, in structures of a *Bacillus stearothermophilus* DNA polymerase I fragment (BF) undergoing catalysis, this motif C residue interacts with the primer 3'-OH after nucleotide binding but before binding of the second metal ion (metal A) (20). In addition, computational modeling of the nucleotidyl transfer reaction catalyzed by the T7 DdDp has suggested that the conserved motif C residue, Asp-654, serves as a general base (36). For the motif C Asp to function as a general base, it must dissociate from metal A as the transition state is approached.

Structural studies have revealed a basic amino acid, in most cases a lysine, in a position to serve as a general acid (SI Table 5) (21, 26, 34, 35). This residue is located on helix O of A-family polymerases, helix P of B-family polymerases and on the loop of structural motif D of RdRps and RTs. Motif D has been in search of a function other than structural scaffolding since solution of the first RT structures (37). In all cases in which this putative general acid has been changed, the observed rate of nucleotide incorporation has been diminished substantially (38–40). Particularly noteworthy is the observation that chemistry appears to become more rate-limiting by changing the putative general acid of RB69 DNA polymerase, Lys-560, to alanine (39). The pre-steady-state burst of nucleotide incorporation observed for the wild-type enzyme was lost with the Ala-560 derivative, and there was a 250-fold reduction in the observed rate constant for nucleotide incorporation and a 2-fold increase in the observed thio effect (39). Evaluation of the pH dependence and proton inventory for these and/or related derivatives will determine whether this residue is the general acid.

In conclusion, we have used the RdRp from PV as a model to produce the first comprehensive analysis of the chemical mechanism for a nucleic acid polymerase. This system has proven to be particularly attractive because of the extremely slow (0.0001 s⁻¹) and pH-independent nature of the rate constant for dissociation of the primer-template substrate from the enzyme (7). These studies have provided evidence for a highly symmetrical transition state with proton transfers from the 3'-OH and to PP_i occurring in a coordinated fashion. Pyrophosphate protonation was not expected. All classes of polymerase appear to have a similar transition-state structure. Coordinated proton transfer reactions necessitate a well-positioned acceptor and donor. The absence of ordered water molecules in the appropriate position in all cases forces us to consider the importance of general-acid–base-facilitated catalysis by all polymerases. The use of general-acid–base catalysis by polymerases in no way refutes the two-metal-ion mechanism (12–14). Metal B has the capacity to link the formation and stability of metal-A-binding site to the nature (correct vs. incorrect) of the bound nucleotide (11, 41–43). Once metal A binds, catalysis will be initiated. The two required proton-transfer reactions would be facilitated by active-site residues. Proof of the existence and function of a general acid and/or a general base in a polymerase remains to be obtained. If our hypothesis is correct, then an associative-like mechanism is likely used by polymerases for nucleotidyl transfer.

Materials and Methods

Materials. All materials were of the highest grade available. A complete list is given in *SI Text*.

Expression and Purification of Polymerases. All polymerases: 3Dpol RdRp, RB69 DdDp, T7 DdRp and HIV RT were expressed in *E. coli* and purified as described previously (44–46). All details, including modifications, are provided in *SI Text*.

Nucleotide Incorporation Experiments. All pre-steady-state and steady-state experiments were performed as described (1, 7, 11, 39, 47). All details, including modifications, are provided in *SI Text*.

Data Analysis. Data were fit by nonlinear regression using the program KaleidaGraph (Synergy Software, Reading, PA) Equations used are provided in *SI Text*.

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