



Published in final edited form as:

J Clin Sleep Med. 2006 July 15; 2(3): 301–304.

Plasma C-Reactive Protein in Nonobese Children With Obstructive Sleep Apnea Before and After Adenotonsillectomy

Leila Kheirandish-Gozal, M.D., Oscar Sans Capdevila, M.D., Riva Tauman, M.D., and David Gozal, M.D.

Kosair Children's Hospital Research Institute, and Division of Pediatric Sleep Medicine, Department of Pediatrics, University of Louisville, Louisville, KY

Abstract

Study Objective—Sleep-disordered breathing (SDB) is a prevalent condition in children and is associated with increased cardiovascular morbidity. Circulating levels of C-reactive protein (CRP), a proinflammatory protein, are associated with increased risk for atherosclerosis. Plasma CRP levels in snoring children have yielded conflicting results, such that it remains unclear whether OSA is mechanistically involved in such elevations of CRP.

Methods—Consecutive nonobese children with polysomnographically demonstrated obstructive sleep apnea underwent blood draws in the morning after their corresponding sleep studies on 2 occasions, namely at diagnosis of obstructive sleep apnea and 10 to 14 weeks after adenotonsillectomy. High-sensitivity CRP serum concentrations were determined within 2 to 3 hours after collection, using a particle-enhanced turbidimetric immunoassay technique.

Results—Twenty children with obstructive sleep apnea (mean age 7.3 ± 1.9 years; 55% boys; relative body mass index: $88\% \pm 12.0\%$) with a mean apnea-hypopnea index at diagnosis of 15.6 ± 2.9 events per hour of total sleep time and nadir SaO_2 of $82.3\% \pm 2.5\%$ were included. Mean initial CRP levels at obstructive sleep apnea diagnosis were 0.67 ± 0.21 mg/dL and decreased to 0.23 ± 0.07 mg/dL after adenotonsillectomy ($p < .05$), along with significant decreases in measured apnea-hypopnea index (2.2 ± 0.8 events/h of total sleep time; $p < .01$) and improved oxygenation (mean nadir SaO_2 values: $88.6\% \pm 1.9\%$; $p < .01$).

Conclusions—Obstructive sleep apnea is frequently associated with increases in CRP levels that are reversible upon treatment. Thus, obstructive sleep apnea induces a systemic inflammatory response in children, which, if left untreated, may potentially lead to cardiovascular morbidity.

Keywords

C-reactive protein; sleep-disordered breathing; children; inflammation; obstructive sleep apnea

Sleep-disordered breathing (SDB) is a frequent disorder in children, with an estimated prevalence of 2% to 3%,¹⁻² which has been associated with increased risk for cardiovascular morbidities. Nocturnal elevations of systemic blood pressure and sustained diurnal hypertension^{3,4} and severity-dependent changes in left-ventricular geometry and function⁵ have all been recently reported in children with SDB and have been ascribed to the concurrent

Address correspondence to: David Gozal, MD, Kosair Children's Hospital Research Institute, University of Louisville School of Medicine, 570 S. Preston Street, Suite 204, Louisville, KY 40202; Tel: (502) 852-2323; Fax: (502) 852-2215, E-mail: david.gozal@louisville.edu.

Disclosure Statement

This was not an industry supported study. Dr. Gozal has received research support from Astra Zeneca and is a member of the Speaker Bureau for Merck Company. Drs. Kheirandish, Sans Capdevilla, and Tauman have indicated no financial conflicts of interest.

presence of sustained sympathetic activation⁶⁻⁸ and platelet-leukocyte-endothelial interactions leading to initiation and propagation of atherogenesis-related processes.⁹⁻¹¹

C-reactive protein (CRP), an important circulating marker of inflammation, is now considered as a reliable marker for subsequent cardiovascular morbidity.¹²⁻¹⁴ This protein, which is synthesized in the liver in response to upstream inflammatory signaling pathways involving interleukin-6, has also been shown to directly participate in atheromatous lesion formation.¹⁵ In a previous study, we showed that plasma CRP levels were increased in children with SDB, compared with controls, and that CRP concentrations were significantly correlated with disease-severity measures, such as hypoxemia and sleep fragmentation.¹⁶ Furthermore, we found that these correlations were still valid after adjusting for the degree of obesity.¹⁶ Similar to our findings, Larkin and colleagues further reported elevated plasma CRP levels in adolescents with SDB who were free of any known cardiovascular disease, thereby suggesting that SDB in children may apporportion an additional risk for cardiovascular morbidity beyond that imposed by the presence of obesity.¹⁷ Notwithstanding such findings, normal levels of plasma CRP have also been reported in Greek children with SDB,¹⁸ suggesting that the causal relationship between CRP elevations and SDB may not be always operational. Therefore, in order to better understand the contribution of SDB to the inflammatory process ultimately leading to increased cardiovascular risk, we examined the plasma levels of CRP in children with SDB before and following treatment.

METHODS

Consecutive nonobese children diagnosed with SDB were enrolled in the study and were studied twice, namely at the time of SDB diagnosis and 10 to 14 weeks after undergoing curative adenotonsillectomy. Exclusion criteria were the presence of genetic disorders, cerebral palsy, neuromuscular diseases, or any underlying systemic diseases or acute infectious processes. Blood was drawn the morning after the child underwent a standard polysomnographic evaluation in the sleep laboratory at the Kosair Children's Hospital. Plasma CRP was measured within 2 to 3 hours after collection using the Flex reagent Cartridge (Date Behring, Newark, DE), which is based on a particle-enhanced turbidimetric immunoassay technique. This method has a detection level of 0.05 mg/dL and exhibits linear behavior up to 255 mg/dL, with intraassay and interassay coefficients of variability of 9% and 18%, respectively. Plasma was obtained from the blood sample and was stored at -80°C until assayed.

A standard overnight multichannel polysomnographic evaluation was performed in the sleep laboratory on 2 different occasions, specifically during the initial diagnostic study and 10 to 14 weeks after undergoing adenotonsillectomy. Children were studied for up to 12 hours in a quiet darkened room with an ambient temperature of 24°C and in the company of 1 of their parents. No drugs were used to induce sleep. The following parameters were measured: chest and abdominal wall movement by respiratory impedance or inductance plethysmography; heart rate by electrocardiogram; air flow by a sidestream end-tidal capnograph, which also provided breath-by-breath assessment of end-tidal CO_2 levels (Pet CO_2 ; BCI SC-300, Menomonee Falls, WI); nasal pressure catheter; and an oronasal thermistor. Arterial oxygen saturation (SpO_2) was assessed by pulse oximetry (Nellcor N 100; Nellcor Inc., Hayward, CA), with simultaneous recording of the pulse waveform. The bilateral electrooculogram, 8 channels of electroencephalogram, chin and anterior tibial electromyograms, and analog output from a body-position sensor (Braebon Medical Corporation, NY) were also monitored. All measures were digitized using a commercially available polysomnography system (Rembrandt, MedCare diagnostics, Amsterdam). Tracheal sound was monitored with a microphone sensor (Sleepmate, VA), and a digital time-synchronized video recording was performed.

All overnight sleep studies were scored in a blinded fashion without a priori knowledge of the patient's identity or treatment status. Sleep architecture was assessed by standard techniques.¹⁹ The proportion of time spent in each sleep stage was expressed as percentage of total sleep time (TST). The apnea index was defined as the number of apneas per hour of TST. Central, obstructive, and mixed apneic events were counted. Obstructive apnea was defined as the absence of airflow with continued chest wall and abdominal movement for duration of at least 2 breaths.²⁰⁻²² Hypopneas were defined as a decrease in oronasal flow of at least 50%, with a corresponding decrease in SpO₂ of 4% or greater and/or an arousal.²² The obstructive apnea-hypopnea index (AHI) was defined as the number of apnea and hypopneas per hour of TST. Children with an initial AHI of 5 or more events per hour of TST were considered to have SDB and were referred for surgical removal of enlarged tonsils and adenoids. Complete resolution of SDB after surgery was defined as a postsurgical AHI < 1 per hour of TST.

The mean oxygen saturation, as measured by pulse oximetry (SpO₂) in the presence of a pulse waveform signal void of motion artifact, and the nadir SpO₂ were recorded. Since criteria for arousals have not yet been developed for children, arousals were defined as recommended by the American Sleep Disorders Association Task Force report²³ using the 3-second rule and/or the presence of movement arousal.²⁴

Height and weight were obtained from each child. Body mass index (BMI) was calculated and also expressed as relative BMI (relative BMI), using the following formula: (BMI/BMI of the 50th percentile for age and sex) × 100, based on standardized percentile curves.²⁵ Obesity was defined as a BMI greater than the 95th percentile for sex and age and led to exclusion from the study.

Data Analysis

Data are presented as means ± SD unless otherwise indicated. All analyses were conducted using SPSS software (version 11.5; SPSS Inc., Chicago, IL). Comparisons between pretreatment and posttreatment measures were made with paired t-tests. All p values reported are 2-tailed, with statistical significance set at < .05.

RESULTS

Twenty children with SDB were included. Subject sleep characteristics are shown in the Table. In brief, their mean age was 7.3 ± 1.9 years, 55% were boys, and their mean relative BMI was 88% ± 12.0%. Their mean AHI at diagnosis (before the adenotonsillectomy) was 15.6 ± 2.9 events per hour of TST, and their nadir SaO₂ was 82.3% ± 2.5%; adenotonsillectomy was associated with significant improvements in respiratory disturbance (AHI post adenotonsillectomy: 2.2 ± 0.8 events per hour of TST; p < .01, and nadir SaO₂ post adenotonsillectomy: 88.6% ± 1.9%; p < .01), with mild, albeit significant, increases in relative BMI (92.4% ± 17.8%; p < .03)

Mean initial CRP levels at SDB diagnosis were 0.67 ± 0.21 mg/dL and decreased to 0.23 ± 0.07 mg/dL after adenotonsillectomy (Figure; p < .05). As in our previous study,¹⁶ there was a statistically significant linear correlation between logAHI and logCRP (r²: 0.22; p < .03). Of the 20 children studied, 15 children exhibited higher CRP levels at diagnosis, 3 had no changes in their CRP levels over time, and 2 children showed mild insignificant increases after adenotonsillectomy (from 0.12 mg/dL to 0.14 mg/dL in 1, and from 0.11 mg/dL to 0.18 mg/dL in the other.

DISCUSSION

This study confirms our previous report that children with SDB often have elevated plasma CRP levels but, more importantly, demonstrates that CRP levels will decline upon resolution of SDB. Thus, SDB induces an independent effect on subclinical inflammatory processes and could play an important role in modulating the risk for cardiovascular morbidity in early life.

Substantial evidence derived from adults clearly implicates SDB as a contributing risk factor in cardiovascular diseases such as hypertension, ischemic heart disease, and cerebrovascular accidents.²⁶⁻²⁸ Both intermittent hypoxia and sleep fragmentation have been shown to elicit inflammatory and oxidative responses that can ultimately initiate or accelerate the processes underlying atherogenesis. One of the most important confounders in these studies, however, is the presence of obesity, and pediatric patients with SDB are no exception. Indeed, recent trends in the referral population to our pediatric sleep center reveal that more than 45% of children with habitual snoring are obese (i.e., relative BMI > 95% adjusted for age and sex²⁹). Obesity in childhood is a robust predictor for the development of cardiovascular consequences in adulthood and childhood and is associated with earlier emergence of insulin resistance, hypertension, and dyslipidemia, all of which constitute potential risks for the development of cardiovascular morbidity.³⁰⁻³² In this study, we specifically selected patients with SDB who were not obese to allow for a more reliable assessment of the potential role played by SDB in the putative elevation of circulating levels of CRP. Our current findings strongly support and add further validity to our previous report as well as to the study by Larkin et al,¹⁶⁻¹⁷ both of which showed the presence of a severity-dependent relationship between SDB and CRP. However, while genetic and environmental differences such as physical inactivity and dietary fat and sugar content could account for the discrepant findings of Kaditis and colleagues in a cohort of Greek children with SDB,^{18,33-35} the consistent declines in serum CRP upon treatment of SDB argue against such considerations.

Of note, elevated plasma levels of adhesion molecules occur in children with SDB,³⁶ and, remarkably analogous to adults with SDB,^{37,38} they are significantly correlated with the degree of hypoxemia and sleep fragmentation. Thus, SDB appears to induce or amplify an inflammatory-response cascade that ultimately potentiates the pathophysiologic mechanisms underlying atherogenesis. If these assumptions are correct, it is somewhat reassuring to observe a decline in such inflammatory activity with effective treatment, as suggested by our current findings.

Although a major strength of our study lies in the prospective and paired study design, it would be interesting to further determine whether the concurrent presence of obesity would affect the effect size of the response to treatment. Similarly, it will be critical to determine in future studies whether SDB elicits differential responses in children born to families with a high prevalence of cardiovascular disease and whether treatment will abrogate CRP elevations in such high-risk patients. Indeed, despite a linear correlation between CRP levels and the severity of SDB (expressed as AHI) there was substantial interindividual variability in the degree of CRP changes associated with the presence of SDB, suggesting that genetic and environmental factors may account for a substantial proportion of the variance in the magnitude of the systemic inflammatory response to SDB.³⁹ In addition, our study population consisted of patients with relatively severe SDB, such that the magnitude of systemic inflammation ascribable to SDB in milder cases remains undefined.

In summary, children with SDB commonly display reversible increases in plasma CRP even in the absence of concurrent obesity. These findings support the hypothesis that SDB in childhood imposes an independent risk for development of subclinical inflammation and that

the latter may underlie the onset and progression of atherosclerosis, particularly in risk-prone populations.

ACKNOWLEDGEMENTS

This study was supported by the National Institutes of Health (grant HL-65270), The Children's Foundation Endowment for Sleep Research, and the Commonwealth of Kentucky Challenge for Excellence Trust Fund. LKG is supported by an investigator-initiated grant from Astra Zeneca Company. RT was supported by an Ohio Valley American Heart Association Fellowship.

REFERENCES

1. Ali NJ, Pitson D, Stardling JR. Snoring, sleep disturbances and behavior in 4-5 year olds. *Arch Dis Child* 1993;68:360–6. [PubMed: 8280201]
2. Rosen CL, Larkin EK, Kirchner HL, et al. Prevalence and risk factors for sleep disordered breathing in 8-11 year old children: association with race and prematurity. *J Pediatr* 2003;142:383–9. [PubMed: 12712055]
3. Marcus CL, Greene MG, Carroll JL. Blood pressure in children with obstructive sleep apnea. *Am J Respir Crit Care Med* 1998;157:1098–103. [PubMed: 9563725]
4. Amin RS, Carroll JL, Jeffries JL, et al. Twenty-four hour ambulatory blood pressure in children with sleep-disordered breathing. *Am J Respir Crit Care Med* 2004;169:950–956. [PubMed: 14764433]
5. Amin RS, Kimball TR, Bean JA, et al. Left ventricular hypertrophy and abnormal ventricular geometry in children and adolescents with obstructive sleep apnea. *Am J Respir Crit Care Med* 2002;165:1395–9. [PubMed: 12016102]
6. Loreda JS, Ziegler MG, Ancoli-Israel S, Clausen JL, Dimsdale JE. Relationship of arousals from sleep to sympathetic nervous system activity and BP in obstructive sleep apnea. *Chest* 1999;116:655–9. [PubMed: 10492267]
7. Phillips BG, Somers VK. Neural and humoral mechanisms mediating cardiovascular responses to obstructive sleep apnea. *Respir Physiol* 2000;119:181–7. [PubMed: 10722861]
8. Aljadeff G, Gozal S, Schechtman VL, Burrell B, Harper RM, Ward SL. Heart rate variability in children with obstructive sleep apnea. *Sleep* 1997;20:151–7. [PubMed: 9143075]
9. Ross R. The pathogenesis of atherosclerosis: a prospective for 1990s. *Nature* 1993;362:801–9. [PubMed: 8479518]
10. Pober JS, Gimbrone MA, Lapiette LA, et al. Overlapping patterns of activation of human endothelial cells by interleukin-1, tumor necrosis factor, and immune interferone. *J Immunol* 1986;137:1893–6. [PubMed: 3091693]
11. Chin K, Nakamura T, Shimizu K, et al. Effects of nasal continuous positive airway pressure on soluble cell adhesion molecules in patients with obstructive sleep apnea syndrome. *Am J Med* 2000;109:562–7. [PubMed: 11063958]
12. Ridker PM. High sensitivity C-reactive protein: potential adjunct for global risk assessment in the primary prevention of cardiovascular disease. *Circulation* 2001;103:1813–8. [PubMed: 11282915]
13. Ridker PM, Hennekens CH, Buring JE, Rifai N. C-reactive protein and other markers of inflammation in the prediction of cardiovascular disease in women. *N Engl J Med* 2000;342:836–43. [PubMed: 10733371]
14. Ridker PM, Rifai N, Rose L, Buring JE, Cook NR. Comparison of C-reactive protein and low-density lipoprotein cholesterol levels in prediction of first cardiovascular events. *N Engl J Med* 2002;347:1557–65. [PubMed: 12432042]
15. Pasceri V, Willerson JT, Yeh ET. Direct proinflammatory effect of C-reactive protein on human endothelial cells. *Circulation* 2000;102:2165–8. [PubMed: 11056086]
16. Tauman R, Ivanenko A, O'Brien LM, Gozal D. Plasma C-reactive protein among children with sleep-disordered breathing. *Pediatrics* 2004;113:e564–9. [PubMed: 15173538]
17. Larkin EK, Rosen CL, Kirchner HL, et al. Variation of C-reactive protein levels in adolescents association with sleep-disordered breathing and sleep duration. *Circulation* 2005;111:1978–84. [PubMed: 15837952]

18. Kaditis AG, Alexopoulos EI, Kalampouka E, et al. Morning levels of C-reactive protein in children with obstructive sleep-disordered breathing. *Am J Respir Crit Care Med* 2005;171:282–6. [PubMed: 15557130]
19. Rechtschaffen, A.; Kales, A. A Manual of Standardized Terminology, Techniques and Scoring Systems for Sleep Stages of Human Subject. National Institutes of Health; Washington DC: 1968. Pub. No. 204
20. Marcus CL, Omlin KJ, Basinki DJ, et al. Normal polysomnographic values for children and adolescents. *Am Rev Respir Dis* 1992;156:1235–9. [PubMed: 1443877]
21. Montgomery-Downs HE, O'Brien LM, Gulliver TE, Gozal D. Polysomnographic characteristics in normal preschool and early schoolage children. *Pediatrics* 2006;117:741–753. [PubMed: 16510654]
22. Standards and indications for cardiopulmonary sleep studies in children. *Am J Respir Crit Care Med* 1996;153:866–78. [PubMed: 8564147]
23. scoring rules and examples: a preliminary report from the Sleep Disorders Atlas Task Force of the American Sleep Disorders Association. *Sleep* 1992;15:173–84. [PubMed: 11032543]
24. Mograss MA, Ducharme FM, Brouillette RT. Movement/arousals. Description, classification, and relationship to sleep apnea in children. *Am J Respir Crit Care Med* 1994;150:1690–6. [PubMed: 7952634]
25. Hammer LD, Kraemer HC, Wilson DM, Ritter PL, Dornbusch SM. Standardized percentile curves of body mass index for children and adolescents. *Am J Dis Child* 1991;145:259–63. [PubMed: 1750869]
26. Nieto FJ, Young TB, Lind BK, et al. Association of sleep-disordered breathing, sleep apnea, and hypertension in a large community-based study. *Sleep Heart Health. JAMA* 2000;283:1829–36. [PubMed: 10770144]
27. Peppard PE, Young T, Palta M, Skatrud J. Prospective study of the association between sleep-disordered breathing and hypertension. *N Engl J Med* 2000;342:1378–84. [PubMed: 10805822]
28. Moore T, Franklin KA, Holmstrom K, Rabben T, Wiklund U. Sleep-disordered breathing and coronary artery disease: long-term prognosis. *Am J Respir Crit Care Med* 2001;164:1910–3. [PubMed: 11734445]
29. Gozal D, Simakajornboon N, Holbrook CR, Crabtree VM. Secular trends in obesity and parentally reported daytime sleepiness among children referred to a pediatric sleep center for snoring and suspected sleep-disordered breathing (SDB). (Abstract) *Sleep* 2006;29:A74.
30. Nishina M, Kikuchi T, Yamazaki H, Kameda K, Hiura M, Uchiyama M. Relationship among systolic blood pressure, serum insulin and leptin, and visceral fat accumulation in obese children. *Hypertens Res* 2003;26:281–8. [PubMed: 12733695]
31. Csabi G, Torok K, Jeges S, Molnar D. Presence of metabolic cardiovascular syndrome in obese children. *Eur J Pediatr* 2000;159:91–4. [PubMed: 10653338]
32. Berenson GS, Srinivasan SR, Bao W, Newman WP, Tracy RE, Wattigney WA. Association between multiple cardiovascular risk factors and atherosclerosis in children and young adults. The Bogalusa Heart Study. *N Engl J Med* 1998;338:1650–6. [PubMed: 9614255]
33. Carmelli D, Cardon LR, Fabsitz R. Clustering of hypertension, diabetes, and obesity in adult male twins: same genes or same environments? *Am J Hum Genet* 1994;55:566–73. [PubMed: 8079995]
34. Srinivasan SR, Ehnholm C, Elkasabany A, Berenson GS. Apolipoprotein E polymorphism modulates the association between obesity and dyslipidemias during young adulthood: The Bogalusa Heart Study. *Metabolism* 2001;50:696–702. [PubMed: 11398147]
35. Shima K, Shi K, Mizuno A, Sano T, Ishida K, Noma Y. Exercise training has a long-lasting effect on prevention of non-insulin-dependent diabetes mellitus in Otsuka-Long-Evans-Tokushima Fatty rats. *Metabolism* 1996;45:475–80. [PubMed: 8609834]
36. O'Brien LM, Serpero LD, Tauman R, Gozal D. Plasma adhesion molecules in children with sleep-disordered breathing. *Chest* 2006;129:947–953. [PubMed: 16608943]
37. Chin K, Nakamura T, Shimizu K, et al. Effects of nasal continuous positive airway pressure on soluble cell adhesion molecules in patients with obstructive sleep apnea syndrome. *Am J Med* 2000;109:562–7. [PubMed: 11063958]

38. El-Solh AA, Mador MJ, Sikka P, Dhillon RS, Amsterdam D, Grant BJ. Adhesion molecules in patients with coronary artery disease and moderate-to-severe obstructive sleep apnea. *Chest* 2002;121:1541–7. [PubMed: 12006441]
39. Gozal D, Kheirandish L. Oxidant stress and inflammation in the snoring child: confluent pathways to upper airway pathogenesis and end-organ morbidity. *Sleep Med Rev* 2006;10:83–96. [PubMed: 16495092]

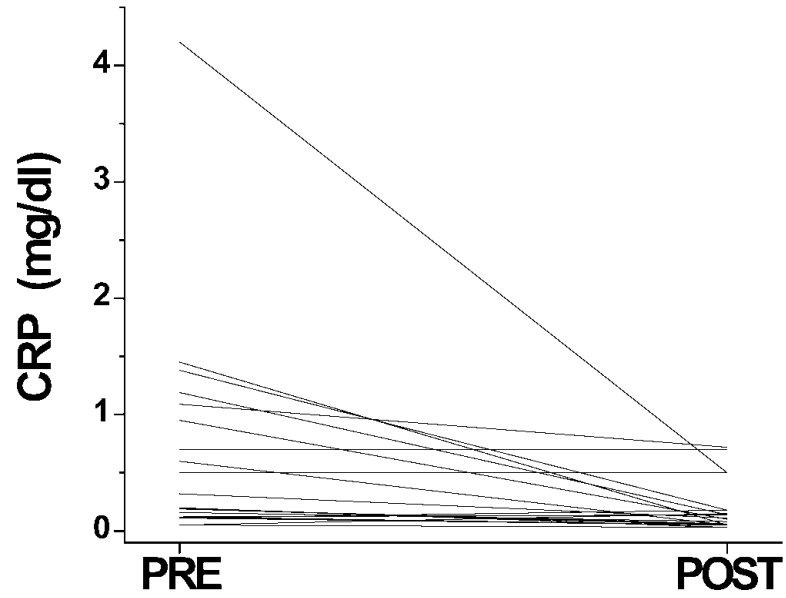


Figure 1. Individual serum C-reactive protein (CRP) levels in 20 children with sleep-disordered breathing before (Pre) and after (Post) adenotonsillectomy.

Table 1
Demographic and Polysomnographic Characteristics of 20 Children with Sleep-Disordered Breathing Before and Following Adenotonsillectomy

	Before adenotonsillectomy	After adenotonsillectomy	p value
Age, y	7.3 ± 1.9 (4-10)		
Boys, %	55		
Relative BMI, %	88 ± 18.0	92.4 ± 17.8	< .03
AHI, events/h	15.6 ± 2.9	2.2 ± 0.8	< .01
SpO ₂ nadir, %	82.3 ± 2.5	88.6 ± 1.9	< .01
PETCO ₂ , mmHg	56.3 ± 4.8	52.8 ± 4.1	< .04
Arousal Index, events/h	16.8 ± 3.2	6.4 ± 2.1	< .01
TST, min	523.9 ± 24.7	531.6 ± 26.4	NS
Sleep efficiency, %	91.2 ± 4.5	90.3 ± 5.4	NS
Sleep stage, %			
1	13.6 ± 6.4	8.5 ± 7.5	< .05
2	47.0 ± 12.2	43.8 ± 11.9	NS
SWS	23.8 ± 8.1	26.4 ± 9.3	NS
REM	14.7 ± 5.2	17.6 ± 6.6	NS
CRP, mg/dL	0.67 ± 0.21	0.23 ± 0.07	< .05

Data are presented as mean ± SD unless otherwise indicated. BMI refers to body mass index; AHI, apnea-hypopnea index; PetCO₂, endtidal CO₂; TST, total sleep time; SWS, slow-wave sleep; REM, rapid eye movement; CRP, C-reactive protein.