

PTEN Mutational Spectra, Expression Levels, and Subcellular Localization in Microsatellite Stable and Unstable Colorectal Cancers

Xiao-Ping Zhou,^{*†} Anu Loukola,[‡]
Reijo Salovaara,^{‡§} Minna Nystrom-Lahti,[¶]
Päivi Peltomäki,^{†‡} Albert de la Chapelle,^{*†}
Lauri A. Aaltonen,[‡] and Charis Eng^{*†||}

From the Clinical Cancer Genetics* and Human Cancer Genetics[‡] Programs, Comprehensive Cancer Center and the Division of Human Genetics, Department of Internal Medicine, The Ohio State University, Columbus, Ohio; the Departments of Medical Genetics,[‡] Pathology,[§] and Biosciences,[¶] University of Helsinki and Biomedicum Helsinki, Helsinki, Finland; and the Cancer Research Campaign Human Cancer Genetics Research Group,^{||} University of Cambridge, Cambridge, United Kingdom

PTEN on 10q23.3 encodes a dual-specificity phosphatase that negatively regulates the phosphoinositol-3-kinase/Akt pathway and mediates cell-cycle arrest and apoptosis. Germline PTEN mutations cause Cowden syndrome and a range of several different hamartoma-tumor syndromes. Hereditary nonpolyposis colon cancer (HNPCC) syndrome is characterized by germline mutations in the mismatch repair (MMR) genes and by microsatellite instability (MSI) in component tumors. Although both colorectal carcinoma and endometrial carcinoma are the most frequent component cancers in HNPCC, only endometrial cancer has been shown to be a minor component of Cowden syndrome. We have demonstrated that somatic inactivation of PTEN is involved in both sporadic endometrial cancers and HNPCC-related endometrial cancers but with different mutational spectra and different relationships to MSI. In the current study, we sought to determine the relationship of PTEN mutation, 10q23 loss of heterozygosity, PTEN expression, and MSI status in colorectal cancers (CRCs). Among 11 HNPCC CRCs, 32 MSI+ sporadic cancers, and 39 MSI- tumors, loss of heterozygosity at 10q23.3 was found in 0%, 8%, and 19%, respectively. Somatic mutations were found in 18% (2 of 11) of the HNPCC CRCs and 13% (4 of 32) of the MSI+ sporadic tumors, but not in MSI- cancers ($P = 0.015$). All somatic mutations occurred in the two 6(A) coding mononucleotide tracts in PTEN, suggestive of the etiological role of the deficient MMR. Immunohistochemical analysis revealed 31% (14 of 45) of the HNPCC CRCs and 41% (9 of 22) of the MSI+ sporadic tumors with absent or depressed PTEN expression.

Approximately 17% (4 of 23) of the MSI- CRCs had decreased PTEN expression, and no MSI- tumor had complete loss of PTEN expression. Among the five HNPCC or MSI+ sporadic CRCs carrying frameshift somatic mutations with immunohistochemistry data, three had lost all PTEN expression, one showed weak PTEN expression levels, and one had mixed tumor cell populations with weak and moderate expression levels. These results suggest that PTEN frameshift mutations in HNPCC and sporadic MSI+ tumors are a consequence of mismatch repair deficiency. Further, hemizygous deletions in MSI- CRCs lead to loss or reduction of PTEN protein levels and contribute to tumor progression. Finally, our data also suggest that epigenetic inactivation of PTEN, including differential subcellular compartmentalization, occurs in CRCs. (Am J Pathol 2002, 161:439-447)

Germline mutations of *PTEN/MMAC1/TEP1*, a tumor suppressor gene on 10q23.3, are associated with 80% of Cowden syndrome as well as seemingly unrelated developmental disorders Bannayan-Riley-Ruvalcaba syndrome, *Proteus* syndrome, and *Proteus*-like syndromes.¹⁻⁵ *PTEN* is a phosphatase that negatively regulates the phosphoinositol-3-kinase/Akt pathway and mediates cell-cycle arrest and apoptosis.⁶⁻¹⁴

Somatic intragenic mutations or deletions of *PTEN* have been found, to a greater or lesser extent, in a wide variety of sporadic tumors, especially glioblastoma multiforme, and endometrial and advanced prostate cancers.¹⁵⁻¹⁸ Somatic *PTEN* mutations were found in both sporadic microsatellite unstable (MSI+) endometrial cancers and MSI- tumors, without significant differences in mutational frequency and spectra.¹⁹ We have recently

Partially funded by the American Cancer Society (RPG98-211-01 to C. E.), the United States Department of Defense (DAMD-00-1-0390 to C. E.), the National Cancer Institute, Bethesda, MD (R01CA82282 to P. P., R01CA67941 to A. D. L. C., and P30CA16058 to The Ohio State University Comprehensive Cancer Center), the Academy of Finland (to P. P.), the Finnish Cancer Foundation (to P. P.), the Sigrid Juselius Foundation (to M. N.-L. and P. P.), the European Commission (QLG1-CT-2000-01230 to M. N.-L.), and a generous gift from the Brown family (to C. E.) in memory of Welton D. Brown.

Accepted for publication April 25, 2002.

Address reprint requests to Charis Eng, Human Cancer Genetics Program, The Ohio State University, 420 W. 12th Ave., Suite 690 TMRF, Columbus, OH 43210. E-mail: eng-1@medctr.osu.edu.

demonstrated, moreover, that a high frequency of somatic mutations in *PTEN* found in endometrial carcinomas arising in individuals with hereditary nonpolyposis colon cancer syndrome (HNPCC), in which germline deficiency of mismatch repair results in the MSI phenotype, to be exclusively frameshift. Further, >50% of these frameshift mutations were found to occur in the two (A)₆ mononucleotide repeats in the *PTEN*-coding sequence, suggesting that *PTEN* mutations in HNPCC endometrial cancers result from profound DNA mismatch repair deficiency.¹⁹ Although both colorectal carcinoma and endometrial carcinoma are the most frequent component cancers in HNPCC, only endometrial cancer has been shown to be a minor component of Cowden syndrome.²⁰ Approximately 15% of sporadic colorectal cancers (CRCs) exhibit the MSI phenotype.^{21–23} Existing data to date suggest that the immediate downstream pathways of HNPCC-related component tumors and those of their sporadic counterparts are quite different, although the final common pathway might be similar.²⁴

Among MSI+ sporadic CRCs, ~19% were found to have somatic frameshift mutations almost exclusively in one of two (A)₆ tracts in exons 7 and 8 in *PTEN*.^{25,26} In contrast, in MSI unknown or MSI– sporadic colorectal tumors, <<5% have been shown to have somatic *PTEN* mutations, and none have occurred in any mononucleotide tracts (PLM Dahia and C Eng, unpublished).^{27,28} Further, ~10 to 30% of MSI– and MSI unknown sporadic CRCs have loss of heterozygosity (LOH) of markers at or close to *PTEN* (PLM Dahia and C Eng, unpublished).²⁹ However, whether structural alterations lead to loss of activity of the *PTEN* tumor suppressor contributing to the pathogenesis of CRCs remains to be elucidated. In this study, we sought to determine the relationship of *PTEN* mutation, LOH at 10q23, *PTEN* expression, and MSI status in CRCs. Further, we sought to determine whether structural alterations in *PTEN* lead to loss of function of the gene by investigating the expressional levels of the gene product in HNPCC CRCs, sporadic MSI+, and MSI– tumors. We also investigated if there are any correlations between *PTEN* inactivation and other genetic alterations established to be associated with the MSI phenotype in CRCs.

Materials and Methods

CRC Samples

Forty-six CRCs from individuals with HNPCC classified according to the consensus Amsterdam criteria were obtained for this study. Among these 46, 42 occurred in 29 HNPCC families carrying germline mutations in either *MLH1* or *MSH2*, whereas the remaining four had family histories of CRCs but no mutation in *MMR* genes were detected.^{30,31} Forty-five had pathological slides available for immunohistochemical analysis, 11 had paired normal and tumor DNA available for *PTEN* mutational and LOH analyses.

Thirty-two sporadic MSI+ CRCs and 62 MSI– tumors were investigated. MSI status was determined by analyz-

ing BAT-26 and *TGFβRII* mononucleotide (polyA) markers by fluorescence-based polymerase chain reaction (PCR), as previously described.³² None of the 32 individuals with sporadic MSI+ tumors were found to carry germline *MMR* mutations.³⁰ Twenty-two of the 32 MSI+ and 23 of the 62 MSI– CRCs had paraffin-embedded tissue blocks available for immunohistochemistry analysis.

Paired normal and tumor DNA were isolated from blood, fresh-frozen tissue, or paraffin-embedded pathological blocks using techniques described previously.³³ Pathological blocks were cut to 4- μ m sections and mounted on Superfrost Plus slides (Fisher Scientific, Pittsburgh, PA) for immunohistochemistry studies.

Analysis for Frameshift Mutations in Mononucleotide Repeats

Amplicons that harbor the 8(G) mononucleotide repeat tracts in *IGF1R* and *BAX*, and the 6(A) tract in *TP53* were generated in the following manner. The corresponding PCR primers and conditions for *IGF1R* and *BAX* have been described previously.^{34,35} The primers used to amplify the mononucleotide repeat within exon 11 of *TP53* were P53–6AF 5'-TGTCATCTCTCCTCCCTGCT-3', and P53–6AR 5'-TCAAAGACCCAAAACCCAAA-3'. PCR reactions were performed in a 25- μ l reaction volume containing 50 ng of genomic DNA, 1 \times PCR buffer (Qiagen, Valencia, CA), 200 μ mol/L of each dNTP (Life Technologies, Inc., Rockville, MD), 400 μ mol/L of each primer, and 1.0 U of HotStart-*Taq* polymerase (Qiagen). The concentration of MgCl₂ was 1.5 mmol/L. The following PCR cycles were used for amplification for the pertinent *TP53* amplicon: 95°C for 15 minutes, 35 cycles of 95°C for 1 minute, 55°C for 1 minute, 72°C for 1 minute. Final extension was 72°C for 10 minutes. All PCR products were gel and column purified and subjected to semiautomated sequencing as previously described.³⁶

PTEN Mutation Analysis

PTEN mutation analysis of all nine coding exons, exon-intron junctions, and flanking intronic sequences was performed using PCR-based denaturing gradient gel electrophoresis and semiautomated sequencing as previously described.^{18,37}

PTEN Immunohistochemical Analysis

The specificity of *PTEN* monoclonal antibody 6H2.1 has been proven previously.^{38–40} This antibody, raised against the last 100 C-terminal amino acids of human *PTEN*, was used essentially as previously described³⁸ with minor modifications. In brief, the sections were deparaffinized and hydrated by passing through xylene and a graded series of ethanol. Antigen retrieval was performed for 20 minutes at 98°C in 0.01 mol/L sodium citrate buffer, pH 6.4, in a microwave oven and incubating the sections in 0.3% hydrogen peroxide. After blocking for 30 minutes in 0.75% normal horse serum, the

Table 1. Structural and Expressional Alterations of *PTEN* and Status of Other Non-*PTEN* Mononucleotide Tracts in MSI+ and MSI- CRCs

	HNPCC CRC	Sporadic MSI+ CRC	MSI- CRC
LOH at 10q23.3	0/3	1/13	7/37
Somatic <i>PTEN</i> mutation	2/11	4/32	0/39
Complete loss <i>PTEN</i> expression	5/45	3/22	0/24
Partial loss <i>PTEN</i> expression	9/45	6/22	4/24
Germline mutation in <i>MLH1</i> or <i>MSH2</i>	42/46	0/32	nd
FS mutation in <i>TGFβRII</i> (A)10	11/11	27/32	nd
FS mutation in <i>IGF1R</i> (G)8	4/11	4/27	nd
FS mutation in <i>BAX</i> (G)8	4/11	17/27	nd
FS mutation in <i>TP₅₃</i> (A)6	0/11	0/32	nd

FS, frameshift; nd, not done.

sections were incubated with 6H2.1 (dilution 1:100) overnight (or 16 hours) at 4°C. The sections were washed in phosphate-buffered saline, pH 7.3, and then incubated with biotinylated horse anti-mouse IgG followed by avidin peroxidase using the Vectastain ABC elite kit (Vector Laboratories, Burlingame, CA). The chromogenic reaction was performed with 3',3' diaminobenzidine (Sigma Chemical Co., St. Louis, MO) which gives a brown product. After counterstaining with methyl green and mounting, the slides were evaluated under a light microscope. The immunostaining patterns and intensities were determined by two independent observers (XPZ and CE) who each examined and independently scored the slides on two separate occasions. As previously described,³⁸⁻⁴⁰ the vascular endothelium serves as an internal positive control and the immunostaining of the endothelium is scored as ++. Levels of immunostaining in vascular endothelium are remarkably constant among various tissues, including breast,³⁸ thyroid,³⁹ pancreas,⁴¹ and colon (XP Zhou and C Eng, this report and unpublished). Immunostaining intensities equal to that of vascular endothelium in a particular sample were scored as ++; weak or decreased staining intensity as +; and no immunostaining as -. An immunostaining intensity greater than that of vascular endothelium are operationally graded as +++.

10q23.3 LOH Analysis

LOH analysis at the *PTEN* locus was performed using dinucleotide markers D10S1765 and D10S541, which are within 300 kb and 600 kb, respectively, of *PTEN*. In addition, two intragenic intronic polymorphic markers, IVS4 + 109 ins/del TCTTA and IVS8 + 32t/g, within *PTEN* were also used for LOH analysis. Assessment of the status at IVS8 + 32t/g was performed by differential digestion with the restriction endonuclease *HincII* as described.³⁶ The status at IVS4 + 109 ins/delTCTTA was screened by PCR-based differential digestion with restriction endonuclease *AflII* following the manufacturer's instructions (New England Biolabs, Beverly, MA), as previously described.⁴⁰

Statistical Analysis

Chi-square analysis or Fisher's exact test was used for statistical analyses. Differences were considered significant if the two-tailed *P* was <0.05.

Results

Somatic Mutations in *PTEN* and LOH of 10q23 Markers in MSI+ CRCs

Somatic *PTEN* mutations were found in 6 of 43 (14%) MSI+ (2 HNPCC, 4 sporadic) tumors. All six somatic mutations occurred in one of the two (A)6 mononucleotide tracts in exons 7 and 8 of *PTEN*, five of which were frameshift mutations and one nonsense (Table 1). No intragenic mutations were detected in any of the 39 MSI- CRCs. Some polymorphic sequence variations were detected in both MSI+ and MSI- CRCs and all were present in the corresponding germline (data not shown). One sporadic MSI+ tumor harbored a somatic IVS5 - 12~-19 del A variant, of unknown functional consequence.

Of the 39 MSI- CRCs, 37 tumor-normal germline pairs were informative at a minimum of one of the four polymorphic markers in and flanking *PTEN*, and 25 of these pairs were informative at two or more loci. LOH was scored when one or more of the panel of two polymorphic loci showed LOH. Using this criterion, LOH at the *PTEN* locus was present in seven (19%) MSI- CRCs. Because of the severely stuttering MSI profile with microsatellite markers in MSI+ tumors, LOH at *PTEN* was determined by PCR-based differential restriction enzyme digestion using the two intronic biallelic polymorphic markers, IVS4 + 109 ins/del TCTTA and IVS8 + 32 × g/t. Among the 11 HNPCC CRCs with paired normal and tumor DNA available, three were informative and no LOH was found. Thirteen pairs of sporadic MSI+ CRC were informative and only one (8%) tumor had LOH at *PTEN* (Tables 1 and 2).

The frequency (19%, 7 of 37) of LOH at 10q23.3 of MSI- sporadic CRCs was not significantly different from that (8%, 1 of 13) of MSI+ sporadic ones (*P* = 0.66). In contrast, somatic *PTEN* mutations were found exclusively in HNPCC CRCs and sporadic MSI+ tumors (6 of 43) whereas none of the MSI- tumors (0 of 39) had any mutation (*P* = 0.015).

PTEN Immunohistochemistry in MSI+ and MSI- Tumors

The expression of *PTEN* was evaluated by immunohistochemistry in 45 HNPCC CRCs, 22 sporadic MSI+, and 23

Table 2. Summary of PTEN Immunostaining and Structural Alterations (*PTEN* Mutation and/or LOH at 10q23) in HNPCC-Related and Sporadic MSI+ and MSI- CRC

Tumor	LOH	<i>PTEN</i> mutation	PTEN IHC
HNPCC CRC			
64	NI	-	+
171	NI	del A (6A/Ex8)	+
219	ROH	-	++
614	NI	-	++
615	NI	-	-
700	ROH	del A (6A/Ex8)	-
790	ROH	-	++
864	NI	-	++
883	NI	-	++
910	NI	-	++
Sporadic MSI+ CRC			
10	ROH	-	+
215	ROH	-	++
396	ROH	-	-, +
469	LOH	-	+, ++
484	ROH	-	++
575	ROH	-	+
744	ROH	del A (6A/Ex7)	-
789	ROH	-	++
852	ROH	-	+
1037	ROH	-	+
MSI- CRC			
362	LOH	nd	-, ++
285	ROH	nd	+
200	ROH	nd	+
174	LOH	nd	+
158	ROH	nd	-, ++
146	LOH	nd	+, ++
144	ROH	nd	+

IHC, immunohistochemistry; NI, not informative; ROH, retention of heterozygosity; del, deletion; Ex, exon; nd, not done.

MSI- tumors (Table 2, Figure 1). All CRC sections had accompanying vascular endothelial cells present, which showed strong PTEN immunostaining (scored ++) in the cytoplasm, and served as internal positive controls as previously described.³⁸⁻⁴⁰ The strong immunoreactivity in the endothelial cells showed a nuclear predominance (+++). In all samples in which normal colonic epithelium was visible ($n = 56$), the cytoplasm of the normal epithelial cells all expressed PTEN (++) immunoreactivity, with a moderate to slightly weaker nuclear immunoreactivity (+/++), $n = 47$; +, $n = 9$). In contrast, among all CRCs examined for PTEN protein expression with adjacent normal colonic epithelium present on the same slide, the neoplastic nuclei had moderate (+/++), $n = 12$, weak (+), $n = 29$ or no (-), $n = 15$ PTEN immunostaining. Thus, in 44 of 56 (79%) of the samples, there was a clear decrease in nuclear expression of PTEN in cancers compared to their corresponding normal epithelium.

Twenty-three of 67 (34%) MSI+ CRCs had weak (+) or no (-) PTEN expression in the cytoplasm (Table 1). Of these 23, 8 had no PTEN expression and the remaining 15, weak expression. Of the 44 tumors without decreased or no expression, 35 had ++ immunoreactivity, and 9 MSI+ tumors had nonuniform staining with moderate, weak, and absent staining in different cell populations throughout the section.

PTEN immunostaining was performed in 23 MSI- CRCs with paraffin-embedded tissue available. Four (17%) CRCs showed weak (+) PTEN cytoplasmic immu-

nostaining, and no tumor lacked PTEN expression. The remaining 19 tumors (83%) had ++ cytoplasmic immunostaining, 3 of which showed nonuniform staining patterns within a sample (Table 2).

The frequency of samples with either no or depressed PTEN expression, detected by immunohistochemistry, was not statistically different when pairwise comparisons were made between HNPCC CRCs, sporadic MSI+, and MSI- tumors ($P = 0.43$ for HNPCC versus sporadic MSI+, 0.10 for sporadic MSI+ versus sporadic MSI-, 0.26 for HNPCC versus sporadic MSI-). However, there seemed to be an associative trend for complete loss of PTEN expression and MSI+ status (chi-square = 3.21; Mantel-Haenzel, $P = 0.07$), whether in the hereditary or sporadic setting.

Comparison of PTEN Immunohistochemical and Structural Alteration Status

Among all MSI+ tumors, 13 had data from genetic and immunohistochemical analyses and were informative for both (Table 2). None of these informative tumors had two structural alterations. Among those with ++ immunoreactivity, all five had no structural alterations. Similarly, of the five graded +, all had only one structural hit. Of the three with no PTEN expression, two had one structural alteration and one had no structural alterations.

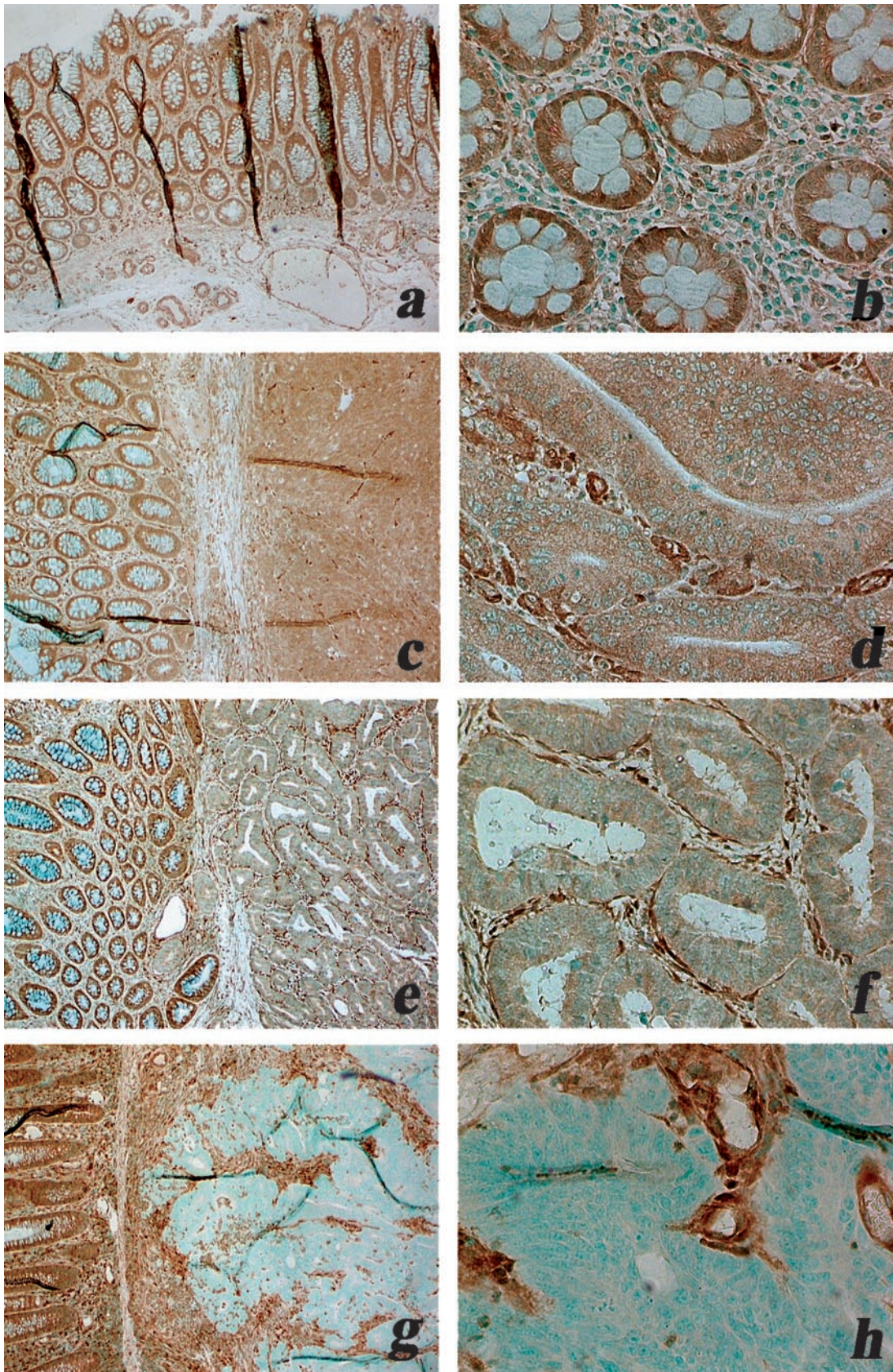


Figure 1. PTEN protein expression (brown chromogenic reaction) in colorectal carcinomas (CRCs). **a** and **b**: Adjacent normal colonic epithelium showing strong cytoplasmic (++) and nuclear PTEN expression (++++). **c** and **d**: CRC showing cytoplasmic PTEN expression (++) . **e** and **f**: CRC with weak cytoplasmic PTEN immunoreactivity (+). Of note, the PTEN nuclear staining in colon carcinoma cells is remarkably weaker or absent compared to that of adjacent normal colonic epithelium. **g** and **h**: CRC exhibiting no PTEN expression (-) in all tumor cells. Original magnifications: $\times 4$ (**a**, **c**, **e**, **g**); $\times 20$ (**b**, **d**, **f**, **h**).

In the 23 MSI- CRCs with immunohistochemical data, none had complete loss of PTEN immunoreactivity. Only one of the four tumors with uniform + immunoreactivity had LOH whereas the remaining three had no structural alteration. Three of the 23 tumors had mixed populations with varying PTEN expression. Of these three, two had LOH (Table 2). LOH analysis was not performed for MSI- tumors without aberrations in PTEN immunoreactivity because extensive data to date demonstrate that no *PTEN* structural alterations (mutations, deletions) have been found in tissue with PTEN immunoreactivity graded ++ or + + +.³⁸⁻⁴⁵

As a general trend, therefore, PTEN expression levels as detected by immunohistochemistry were correlated with the structural status of the gene although it should be noted that no tumor, whatever the expressional levels, carried two structural hits (Table 2).

Frameshift Mutations in Mononucleotide Repeats Sequences in MSI+ CRCs

To help dissect out the significance of the coding region frameshift mutations in one of the two (A)6 repeat tracts in *PTEN*, we then analyzed our MSI+ tumors for the frequency of frameshift mutations in the (A)10 mononucleotide repeat tract within *TGFBR11* and the (G)8 tracts within *IGFIIR* and *BAX*. The frameshift frequencies in each of these three mononucleotide tracts were not different between the hereditary and sporadic MSI+ CRCs. Somatic frameshift mutations in the *TGFBR11*, *IGFIIR*, and *BAX* genes were detected in 28 of 38 (74%), 8 of 38 (21%), and 21 of 38 (55%) of MSI+ CRCs, respectively (Table 1). Of note, no correlation could be found between the presence or absence of frameshift mutations in these three mononucleotide repeat tracts and *PTEN* aberrations (Table 1). Because the two pertinent *PTEN* mononucleotide repeat tracts each comprise a run of six As, a search for (A)6 mononucleotide tracts in coding regions yielded one in the *TP53* gene. In contrast to the relatively frequent frameshift mutations in the (A)6 tracts of *PTEN* in MSI+ CRCs, no mutations were found in the *TP53* (A)6 tract in these MSI+ tumors.

Discussion

Although we have shown that 15 to 20% of MSI+ CRCs, whether sporadic or HNPCC-related, have a structural *PTEN* alteration, 35% have no or decreased PTEN protein in the cytoplasm of the neoplastic cells. Further, in the four MSI- tumors with decreased PTEN expression, one had LOH at 10q23.3. These observations suggest that mechanisms of *PTEN* silencing other than structural alterations (methylation, transcript stability, protein stability, and so forth) as epigenetic. Interestingly, our observation of differential nuclear-cytoplasmic PTEN expression between normal colonic epithelial cells and adenocarcinomas might suggest inappropriate subcellular compartmentalization as another epigenetic mechanism of PTEN

inactivation. It has become clear that different mechanisms of PTEN inactivation can occur in various solid tumors. More than one mechanism can co-exist within a single tumor type, although a particular tumor type might have a predominant mechanism of inactivation. For example, up to 93% of all sporadic endometrial carcinomas have absent or markedly diminished PTEN protein.¹⁸ Of these, 25% have two structural hits, usually one intragenic *PTEN* mutation accompanied by allele loss; in the remainder of these tumors, therefore, *PTEN* must undergo epigenetic inactivation.^{18,42,46} In glioblastoma multiforme and primary cervical carcinomas, the predominant mechanism of inactivation is biallelic structural alteration, typically intragenic mutation and deletion of the remaining wild-type allele.^{43,44} Biallelic epigenetic silencing predominates in metastatic malignant melanoma but occurs in rare subsets of epithelial ovarian carcinomas.^{40,45} The mechanism of loss of PTEN expression in CRCs seems to be similar to that in the majority of primary epithelial ovarian cancers and primary breast cancers, in which a mixed genetic/epigenetic (intragenic mutation/epigenetic or LOH/epigenetic) mechanism predominates.^{38,45} In nonmedullary thyroid tumors, islet cell tumors, and perhaps primary, but not metastatic, cutaneous melanomas, differential subcellular compartmentalization might mediate PTEN inactivation.^{39,41,47} In all these tissues, strong nuclear PTEN expression in normal cells is lost with transformation to neoplasia. If this mechanism is also germane in CRCs, then such a mechanism appears to be pertinent in many CRCs. Nonetheless, the precise mechanism of how inappropriate subcellular compartmentalization can mediate PTEN dysfunction is unknown and has yet to be elucidated.

When decreased or no PTEN expression is because of structural alteration in MSI+ CRC, we noted that this is because of somatic frameshift mutations in one of two poly-A tracts in the 3'-coding region of *PTEN* in direct contrast to MSI- tumors, where no somatic *PTEN* mutations occur. However, when deletion or insertion of a nucleotide occurs in mononucleotide tracts in a gene(s) in MSI+ tumors, it is difficult to determine initially whether these somatic frameshift changes in a particular gene, in this case *PTEN*, contribute to pathogenesis or whether they merely reflect chance occurrence. Our current observations provide some evidence that suggests that *PTEN* inactivation, by whatever mechanism, can contribute to the pathogenesis of CRC. First, a frameshift mutation in the exon 7 or 8 (A)6 repeat tract is predicted to result in truncated protein lacking several predicted tyrosine phosphorylation sites and the important C-terminal C2 domain that is important for phospholipid membrane binding.⁴⁸ Second, we demonstrate for the first time that both the hemizygous deletion, manifested by LOH of markers within and flanking *PTEN*, and the frameshift mutations in one or the other (A)6 tract does result in decreased or absent protein. Third, although we demonstrate the well-documented intragenic, likely pathogenic, frameshift mutations in the (A)10 tract of *TGFBR11*, and the (G)8 tracts in *IGFIIR* and *BAX* genes in both hereditary and sporadic MSI+ CRCs,^{34,35,49-52} these are unrelated to *PTEN* mutation status in the current series of tumors.

Fourth, although the *PTEN* (A)6 repeat tract is shorter than the extensively studied (N)8-10 repeats, such as those in *TGFBRII*, *IGFIIR*, and *BAX* genes, the relatively frequent, ie, 15 to 20%, frameshift mutations in the two (A)6 tracts in MSI+ CRCs suggest that *PTEN* should belong to the category of real target genes with a cutoff mutation frequency of 12% described by a recent systematic study on instability at coding and noncoding repeat sequences in human MSI+ colon cancers.⁵³ Corroborating this, a coding mononucleotide tract of equal length to those in *PTEN*, (A)6, in *TP53* was not found to be a target for MMR deficiency in our MSI+ tumors, suggesting again that *PTEN* is likely a functional downstream target of MMR deficiency.

Together with previous observations in sporadic MSI+ CRCs,^{25,26} our observation that every somatic intragenic *PTEN* mutation in MSI+ tumors, whether HNPCC-related or sporadic, has occurred in a mononucleotide repeat suggests that MMR deficiency precedes *PTEN* mutation in MSI+ CRCs. That somatic *PTEN* mutation as a consequence of MMR deficiency applies equally to sporadic MSI+ and HNPCC MSI+ CRCs is worthy of note. The observation that one of four CRCs originating from germline *MLH1/MSH2* mutation-negative classic HNPCC individuals carried the somatic intragenic delA is consistent with the former statement. This is in contrast to the timing and extent of *PTEN* alterations in endometrial carcinomas. In HNPCC-related endometrial carcinomas, we recently demonstrated that MMR deficiency results in a high frequency of somatic intragenic *PTEN* mutations affecting the coding mononucleotide repeat tracts.¹⁹ In MSI+ sporadic endometrial carcinomas, however, we demonstrate that somatic *PTEN* mutations can precede mismatch repair deficiency.¹⁹ Thus, it would seem that *PTEN* is a structural target of MMR deficiency in ~15 to 20% of MSI+ CRCs, perhaps arguing that *PTEN* alteration occurs as one of the later steps in tumorigenesis.

The results of PTEN immunohistochemistry demonstrating mixed cellular populations and the corresponding LOH data from three MSI tumors (Table 2; tumors 362, 158, and 146) appears discordant and merits explanation. For tumor 362, LOH analysis shows LOH at 10q22-q24 markers and a tumor population with no PTEN expression and one with full (++) immunostaining. It is possible that the LOH result originated from template sampled from the cells that were not expressing PTEN. If so, then the second silencing hit must still be postulated to be other than structural. It is almost certain that the cellular populations with ++ expression did not serve as template for the LOH analysis. Similarly, for tumor 146 in which there is LOH and mixed populations either with decreased PTEN expression or full (++) expression, it is more likely than not that the LOH results were from template obtained from the cellular population with decreased expression. In tumor 158, no LOH was noted but there were two tumor populations, one expressing PTEN (++) and the other without any expression. These observations may be consistent with either the DNA showing no LOH being sampled from the tumor cells expressing PTEN or that despite the intact alleles, PTEN was com-

pletely silenced by mechanisms other than genetic thus resulting in lack of PTEN protein expression.

In summary, we have demonstrated that loss of PTEN function by loss or reduction of protein expression contributes to the development or progression of CRC. PTEN is a selected target in CRCs with deficient mismatch repair; somatic mutations in one of two coding mononucleotide tracts in *PTEN* result in loss of or diminished protein expression. In MSI- CRCs on the other hand, allele loss of *PTEN* leading to partial loss of protein expression may represent haploinsufficiency contributing to tumor progression. However, it is always difficult to exclude whether LOH, in the absence of intragenic mutations, could represent non-*PTEN*-specific (other genes) loss in the 10q23 region. Further, epigenetic silencing and perhaps inappropriate subcellular compartmentalization might be two other important mechanisms of PTEN inactivation in both MSI+ and MSI- CRCs.

References

- Liaw D, Marsh DJ, Li J, Dahia PL, Wang SI, Zheng Z, Bose S, Call KM, Tsou HC, Peacocke M, Eng C, Parsons R: Germline mutations of the PTEN gene in Cowden disease, an inherited breast and thyroid cancer syndrome. *Nat Genet* 1997, 16:64-67
- Marsh DJ, Dahia PL, Zheng Z, Liaw D, Parsons R, Gorlin RJ, Eng C: Germline mutations in PTEN are present in Bannayan-Zonana syndrome. *Nat Genet* 1997, 16:333-334
- Marsh DJ, Kum JB, Lunetta KL, Bennett MJ, Gorlin RJ, Ahmed SF, Bodurtha J, Crowe C, Curtis MA, Dasouki M, Dunn T, Feit H, Geraghty MT, Graham Jr JM, Hodgson SV, Hunter A, Korf BR, Manchester D, Miesfeldt S, Murday VA, Nathanson KL, Parisi M, Pober B, Romano C, Tolmie JL, Trembath R, Winter RM, Zackai EH, Zori RT, Weng LP, Dahia1 PLM, Eng C: PTEN mutation spectrum and genotype-phenotype correlations in Bannayan-Riley-Ruvalcaba syndrome suggest a single entity with Cowden syndrome. *Hum Mol Genet* 1999, 8:1461-1472
- Zhou XP, Marsh DJ, Hampel H, Mulliken JB, Gimm O, Eng C: Germline and germline mosaic PTEN mutations associated with a Proteus-like syndrome of hemihypertrophy, lower limb asymmetry, arteriovenous malformations and lipomatosis. *Hum Mol Genet* 2000, 9:765-768
- Zhou XP, Hampel H, Thiele H, Gorlin RJ, Hennekam RC, Parisi M, Winter RM, Eng C: Association of germline mutation in the PTEN tumour suppressor gene and Proteus and Proteus-like syndromes. *Lancet* 2001, 358:210-211
- Stambolic V, Suzuki A, de la Pompa JL, Brothers GM, Mirtsos C, Sasaki T, Ruland J, Penninger JM, Siderovski DP, Mak TW: Negative regulation of PKB/Akt-dependent cell survival by the tumor suppressor PTEN. *Cell* 1998, 95:29-39
- Furnari FB, Huang HJ, Cavenee WK: The phosphoinositol phosphatase activity of PTEN mediates a serum-sensitive G1 growth arrest in glioma cells. *Cancer Res* 1998, 58:5002-5008
- Li DM, Sun H: PTEN/MMAC1/TEP1 suppresses the tumorigenicity and induces G1 cell cycle arrest in human glioblastoma cells. *Proc Natl Acad Sci USA* 1998, 95:15406-15411
- Weng LP, Smith WM, Dahia PL, Ziebold U, Gil E, Lees JA, Eng C: PTEN suppresses breast cancer cell growth by phosphatase activity-dependent G1 arrest followed by cell death. *Cancer Res* 1999, 59:5808-5814
- Di Cristofano A, Pandolfi PP: The multiple roles of PTEN in tumor suppression. *Cell* 2000, 100:387-390
- Weng L, Brown J, Eng C: PTEN induces apoptosis and cell cycle arrest through phosphoinositol-3-kinase/Akt-dependent and -independent pathways. *Hum Mol Genet* 2001, 10:237-242
- Weng LP, Gimm O, Kum JB, Smith WM, Zhou XP, Wynford-Thomas D, Leone G, Eng C: Transient ectopic expression of PTEN in thyroid

- cancer cell lines induces cell cycle arrest and cell type-dependent cell death. *Hum Mol Genet* 2001, 10:251–258
13. Weng LP, Brown JL, Eng C: PTEN coordinates G(1) arrest by down-regulating cyclin D1 via its protein phosphatase activity and up-regulating p27 via its lipid phosphatase activity in a breast cancer model. *Hum Mol Genet* 2001, 10:599–604
 14. Weng LP, Smith WM, Brown JL, Eng C: PTEN inhibits insulin-stimulated MEK/MAPK activation and cell growth by blocking IRS-1 phosphorylation and IRS-1/Grb-2/Sos complex formation in a breast cancer model. *Hum Mol Genet* 2001, 10:605–616
 15. Li J, Yen C, Liaw D, Podsypanina K, Bose S, Wang SI, Puc J, Miliareis C, Rodgers L, McCombie R, Bigner SH, Giovanella BC, Ittmann M, Tycko B, Hibshoosh H, Wigler MH, Parsons R: PTEN, a putative protein tyrosine phosphatase gene mutated in human brain, breast, and prostate cancer. *Science* 1997, 275:1943–1947
 16. Steck PA, Pershouse MA, Jasser SA, Yung WK, Lin H, Ligon AH, Langford LA, Baumgard ML, Hattier T, Davis T, Frye C, Hu R, Swedlund B, Teng DH, Tavtigian SV: Identification of a candidate tumor suppressor gene, MMAC1, at chromosome 10q233 that is mutated in multiple advanced cancers. *Nat Genet* 1997, 15:356–362
 17. Teng DH, Hu R, Lin H, Davis T, Iliev D, Frye C, Swedlund B, Hansen KL, Vinson VL, Gumpfer KL, Ellis L, El-Naggar A, Frazier M, Jasser S, Langford LA, Lee J, Mills GB, Pershouse MA, Pollack RE, Tornos C, Troncoso P, Yung WKA, Fujii G, Berson A, Bookstein R, Bolen JB, Tavtigian SV, Steck PA: MMAC1/PTEN mutations in primary tumor specimens and tumor cell lines. *Cancer Res* 1997, 57:5221–5225
 18. Mutter GL, Lin MC, Fitzgerald JT, Kum JB, Baak JP, Lees JA, Weng LP, Eng C: Altered PTEN expression as a diagnostic marker for the earliest endometrial precancers. *J Natl Cancer Inst* 2000, 92:924–930
 19. Zhou XP, Kuismanen S, Nystrom-Lahti M, Peltomaki P, Eng C: Distinct PTEN mutational spectra in hereditary non-polyposis colon cancer syndrome-related endometrial carcinomas compared to sporadic microsatellite unstable tumors. *Hum Mol Genet* 2002, 11:445–450
 20. Eng C: Will the real Cowden syndrome please stand up: revised diagnostic criteria. *J Med Genet* 2000, 37:828–830
 21. Aaltonen LA, Peltomaki P, Leach FS, Sistonen P, Pylkanen L, Mecklin JP, Jarvinen H, Powell SM, Jen J, Hamilton SR, Petersen GM, Kinzler KW, Vogelstein B, de la Chapelle A: Clues to the pathogenesis of familial colorectal cancer. *Science* 1993, 260:812–816
 22. Thibodeau SN, Bren G, Schaid D: Microsatellite instability in cancer of the proximal colon. *Science* 1993, 260:816–819
 23. Ionov Y, Peinado MA, Malkhosyan S, Shibata D, Perucho M: Ubiquitous somatic mutations in simple repeated sequences reveal a new mechanism for colonic carcinogenesis. *Nature* 1993, 363:558–561
 24. Peltomaki P: DNA mismatch repair and cancer. *Mutat Res* 2001, 488:77–85
 25. Guanti G, Resta N, Simone C, Cariola F, Demma I, Fiorente P, Gentile M: Involvement of PTEN mutations in the genetic pathways of colorectal cancerogenesis. *Hum Mol Genet* 2000, 9:283–287
 26. Shin KH, Park YJ, Park JG: PTEN gene mutations in colorectal cancers displaying microsatellite instability. *Cancer Lett* 2001, 174:189–194
 27. Wang ZJ, Taylor F, Churchman M, Norbury G, Tomlinson I: Genetic pathways of colorectal carcinogenesis rarely involve the PTEN and LKB1 genes outside the inherited hamartoma syndromes. *Am J Pathol* 1998, 153:363–366
 28. Chang JG, Chen YJ, Perng LI, Wang NM, Kao MC, Yang TY, Chang CP, Tsai CH: Mutation analysis of the PTEN/MMAC1 gene in cancers of the digestive tract. *Eur J Cancer* 1999, 35:647–651
 29. Frayling IM, Bodmer WF, Tomlinson IP: Allele loss in colorectal cancer at the Cowden disease/juvenile polyposis locus on 10q. *Cancer Genet Cytogenet* 1997, 97:64–69
 30. Aaltonen LA, Salovaara R, Kristo P, Canzian F, Hemminki A, Peltomaki P, Chadwick RB, Kaariainen H, Eskelinen M, Jarvinen H, Mecklin JP, de la Chapelle A: Incidence of hereditary nonpolyposis colorectal cancer and the feasibility of molecular screening for the disease. *N Engl J Med* 1998, 338:1481–1487
 31. Schweizer P, Moiso AL, Kuismanen SA, Truninger K, Vierumaki R, Salovaara R, Arola J, Butzow R, Jiricny J, Peltomaki P, Nystrom-Lahti M: Lack of MSH2 and MSH6 characterizes endometrial but not colon carcinomas in hereditary nonpolyposis colorectal cancer. *Cancer Res* 2001, 61:2813–2815
 32. Loukola A, Salovaara R, Kristo P, Moiso AL, Kaariainen H, Ahtola H, Eskelinen M, Harkonen N, Julkunen R, Kangas E, Ojala S, Tulikoura J, Valkamo E, Jarvinen H, Mecklin JP, de la Chapelle A, Aaltonen LA: Microsatellite instability in adenomas as a marker for hereditary non-polyposis colorectal cancer. *Am J Pathol* 1999, 155:1849–1853
 33. Lahiri DK, Nurnberger Jr JI: A rapid non-enzymatic method for the preparation of HMW DNA from blood for RFLP studies. *Nucleic Acids Res* 1991, 19:5444
 34. Souza RF, Appel R, Yin J, Wang S, Smolinski KN, Abraham JM, Zou TT, Shi YQ, Lei J, Cottrell J, Cymes K, Biden K, Simms L, Leggett B, Lynch PM, Frazier M, Powell SM, Harpaz N, Sugimura H, Young J, Meltzer SJ: Microsatellite instability in the insulin-like growth factor II receptor gene in gastrointestinal tumours. *Nat Genet* 1996, 14:255–257
 35. Rampino N, Yamamoto H, Ionov Y, Li Y, Sawai H, Reed JC, Perucho M: Somatic frameshift mutations in the BAX gene in colon cancers of the microsatellite mutator phenotype. *Science* 1997, 275:967–969
 36. Dahia PL, Marsh DJ, Zheng Z, Zedenius J, Komminoth P, Frisk T, Wallin G, Parsons R, Longy M, Larsson C, Eng C: Somatic deletions and mutations in the Cowden disease gene, PTEN, in sporadic thyroid tumors. *Cancer Res* 1997, 57:4710–4713
 37. Marsh DJ, Roth S, Lunetta KL, Hemminki A, Dahia PL, Sistonen P, Zheng Z, Caron S, van Orsouw NJ, Bodmer WF, Cottrell SE, Dunlop MG, Eccles D, Hodgson SV, Jarvinen H, Kellokumpu I, Markie D, Neale K, Phillips R, Rozen P, Syngal S, Vijg J, Tomlinson IP, Aaltonen LA, Eng C: Exclusion of PTEN and 10q22–24 as the susceptibility locus for juvenile polyposis syndrome. *Cancer Res* 1997, 57:5017–5021
 38. Perren A, Weng LP, Boag AH, Ziebold U, Thakore K, Dahia PL, Komminoth P, Lees JA, Mulligan LM, Mutter GL, Eng C: Immunohistochemical evidence of loss of PTEN expression in primary ductal adenocarcinomas of the breast. *Am J Pathol* 1999, 155:1253–1260
 39. Gimm O, Perren A, Weng LP, Marsh DJ, Yeh JJ, Ziebold U, Gil E, Hinze R, Delbridge L, Lees JA, Mutter GL, Robinson BG, Komminoth P, Dralle H, Eng C: Differential nuclear and cytoplasmic expression of PTEN in normal thyroid tissue, and benign and malignant epithelial thyroid tumors. *Am J Pathol* 2000, 156:1693–1700
 40. Zhou XP, Gimm O, Hampel H, Niemann T, Walker MJ, Eng C: Epigenetic PTEN silencing in malignant melanomas without PTEN mutation. *Am J Pathol* 2000, 157:1123–1128
 41. Perren A, Komminoth P, Saremaslani P, Matter C, Feurer S, Lees JA, Heitz PU, Eng C: Mutation and expression analyses reveal differential subcellular compartmentalization of PTEN in endocrine pancreatic tumors compared to normal islet cells. *Am J Pathol* 2000, 157:1097–1103
 42. Mutter GL, Ince TA, Baak JP, Kust GA, Zhou XP, Eng C: Molecular identification of latent precancers in histologically normal endometrium. *Cancer Res* 2001, 61:4311–4314
 43. Zhou XP, Li YJ, Hoang-Xuan K, Laurent-Puig P, Mokhtari K, Longy M, Sanson M, Delattre JY, Thomas G, Hamelin R: Mutational analysis of the PTEN gene in gliomas: molecular and pathological correlations. *Int J Cancer* 1999, 84:150–154
 44. Kurose K, Zhou XP, Araki T, Eng C: Biallelic inactivating mutations and an occult germline mutation of PTEN in primary cervical carcinomas. *Genes Chromosom Cancer* 2000, 29:166–172
 45. Kurose K, Zhou XP, Araki T, Cannistra SA, Maher ER, Eng C: Frequent loss of PTEN expression is linked to elevated phosphorylated Akt levels, but not associated with p27 and cyclin D1 expression, in primary epithelial ovarian carcinomas. *Am J Pathol* 2001, 158:2097–2106
 46. Salvesen HB, MacDonald N, Ryan A, Jacobs IJ, Lynch ED, Akslen LA, Das S: PTEN methylation is associated with advanced stage and microsatellite instability in endometrial carcinoma. *Int J Cancer* 2001, 91:22–26
 47. Whiteman DC, Zhou XP, Cummings MC, Pavey S, Hayward NK, Eng C: Nuclear PTEN expression and clinicopathologic features in a population-based series of primary cutaneous melanoma. *Int J Cancer* 2002, 99:63–67
 48. Lee JO, Yang H, Georgescu MM, Di Cristofano A, Maehama T, Shi Y, Dixon JE, Pandolfi P, Pavletich NP: Crystal structure of the PTEN tumor suppressor: implications for its phosphoinositide phosphatase activity and membrane association. *Cell* 1999, 99:323–334
 49. Markowitz S, Wang J, Myeroff L, Parsons R, Sun L, Lutterbaugh J, Fan RS, Zborowska E, Kinzler KW, Vogelstein B, Brattain M, Willson JKV: Inactivation of the type II TGF-beta receptor in colon cancer cells with microsatellite instability. *Science* 1995, 268:1336–1338
 50. Myeroff LL, Parsons R, Kim SJ, Hedrick L, Cho KR, Orth K, Mathis M,

- Kinzler KW, Lutterbaugh J, Park K, Bang YJ, Lee HY, Park JG, Lynch HT, Roberts AB, Vogelstein B, Markowitz SD: A transforming growth factor beta receptor type II gene mutation common in colon and gastric but rare in endometrial cancers with microsatellite instability. *Cancer Res* 1995, 55:5545-5547
51. Yamamoto H, Sawai H, Perucho M: Frameshift somatic mutations in gastrointestinal cancer of the microsatellite mutator phenotype. *Cancer Res* 1997, 57:4420-4426
52. Yamamoto H, Sawai H, Weber TK, Rodriguez-Bigas MA, Perucho M: Somatic frameshift mutations in DNA mismatch repair and proapoptosis genes in hereditary nonpolyposis colorectal cancer. *Cancer Res* 1998, 58:997-1003
53. Duval A, Rolland S, Compoint A, Tubacher E, Iacopetta B, Thomas G, Hamelin R: Evolution of instability at coding and non-coding repeat sequences in human MSI-H colorectal cancers. *Hum Mol Genet* 2001, 10:513-518