

Adaptive TGF- β -dependent regulatory T cells control autoimmune diabetes and are a privileged target of anti-CD3 antibody treatment

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Previous results have shown that CD4⁺CD25⁺ regulatory T cells (Tregs) control autoimmunity in a spontaneous model of type 1 diabetes, the nonobese diabetic (NOD) mouse. Moreover, anti-CD3 reverses diabetes in this setting by promoting Tregs that function in a TGF- β -dependent manner. This finding contrasts with a large body of work suggesting that CD4⁺CD25^{high} Tregs act in a cytokine-independent manner, thus suggesting that another type of Treg is operational in this setting. We sought to determine the basis of suppression both in untreated NOD mice and in those treated with anti-CD3. Our present results show that a subset of foxP3⁺ cells present within a CD4⁺CD25^{low} lymphocyte subset suppresses T cell immunity in spontaneously diabetic NOD mice in a TGF- β -dependent manner, a functional property typical of "adaptive" regulatory T cells. This distinct Treg subset is evident in NOD, but not normal, mice, suggesting that the NOD mice may generate these adaptive Tregs in an attempt to regulate ongoing autoimmunity. Importantly, in two distinct *in vivo* models, these TGF- β -dependent adaptive CD4⁺CD25^{low} T cells can be induced from peripheral CD4⁺CD25⁻ T lymphocytes by anti-CD3 immunotherapy which correlates with the restoration of self-tolerance.

autoimmunity | immune tolerance | foxP3 | nonobese diabetic mice | CD25⁺ T cells

Evidence has been accumulated to show the essential role of CD4⁺ T cells expressing CD25 and the foxP3 transcription factor in the maintenance of self-tolerance and in the control of various immune responses (1–5). Experimental evidence supports the hypothesis that regulatory T cells (Tregs) are delineated into two subsets (6). "Natural" CD4⁺CD25⁺ T cells emerge from the thymus as a distinct lineage (7–9), whereas "adaptive" CD4⁺CD25⁺ Tregs are induced at the periphery from CD4⁺CD25⁻ T cells under particular conditions, i.e., antigenic stimulation and the presence of a cytokine environment (10–14). Most studies focused on brightly stained CD25⁺ T cells (CD25^{high}) considering that they included the majority of Tregs, whereas CD25^{low} T cells did not suppress and preferentially included activated T lymphocytes (15–17). However, recent studies in humans suggested that a population of Tregs can be defined based on expression of low levels of the IL-7 receptor (18). These Tregs are foxP3⁺ but express low levels of CD25, raising the question of whether alternative subsets of Tregs exist. Similarly, in normal mice, some authors described a subset of suppressive foxP3⁺ cells within the CD4⁺CD25^{low} T cell subset (9).

We have previously reported that Tregs control autoimmunity in the nonobese diabetic (NOD) mouse strain that spontaneously develops autoimmune diabetes (19–21). Suppression in this model, especially after anti-CD3 therapy, depends on active immunoregulation that is TGF- β -dependent (21–23). This finding contrasts with a number of studies suggesting that natural Tregs suppress in a TGF- β -independent manner (3, 24). These results suggested that "classical" natural CD4⁺CD25^{high} Tregs may not be solely involved in immunoregulation in this setting.

Therefore, in this study, we analyzed the *in vitro* and *in vivo* properties of CD4⁺CD25^{low} T cells in the NOD mouse model. These cells express foxP3 and GITR and exhibit regulatory functions that, at variance with CD4⁺CD25^{low} T cells recovered from normal mice, are highly TGF- β -dependent. Finally, not only do these Tregs play a critical role in attempts by the NOD mouse to regulate autoreactivity, they also account for the potent activity of anti-CD3 antibodies in the restoration of self-tolerance *in vivo* (22, 23, 25, 26). These results have important implications because, especially in adult hosts, such adaptive Tregs may be more amenable to manipulation both *in vivo*, for immunotherapy, and *ex vivo*, for cell-therapy purposes.

Results

Natural Tregs from the Thymus of NOD Mice Effectively Control Diabetogenic Effectors. We first examined the capacity of natural Tregs from the thymus of prediabetic NOD mice to control *in vivo* diabetogenic effectors. CD25 is a good marker in the thymus for natural regulatory CD4⁺ T cells, allowing reliable purification of this subset (7, 8). CD4⁺CD8⁻CD25⁺ T cells isolated from the thymus of 6-week-old NOD mice were adoptively transferred into NOD-SCID mice to assess their capacity to prevent diabetes induced by splenocytes from diabetic mice. As shown in Fig. 1A, CD4⁺CD25⁺ thymocytes were protective and efficiently blocked diabetes. We then examined whether treating the recipients with antibodies blocking immunoregulatory cytokines affected the protection. After injection of antibodies to IL-4, the IL-10 receptor (data not shown), or TGF- β , no reversal of protection was observed (Fig. 1A). At variance, anti-TGF- β antibody abrogated the protection afforded by peripheral (splenic) CD4⁺CD25⁺ T cells isolated from prediabetic NODs (Fig. 1B). These latter data fit with results reported by various groups showing that neutralization of TGF- β could diminish the inhibition afforded *in vitro* by peripheral CD4⁺CD25⁺ Tregs (21, 27–31).

The regulatory properties of the CD4⁺CD25⁺ thymocytes were also studied *in vitro*. Efficient inhibition of the proliferation of CD4⁺CD25⁻ T cells (80%) was observed, which was not reversed on addition of anti-IL-4 or anti-IL-10 receptor antibodies or by low doses (10 μ g/ml) of anti-TGF- β antibody (Fig. 1C). However, a \approx 50% reversal of inhibition was observed with higher doses of anti-TGF- β (50 μ g/ml) (Fig. 1C). This reversal is at variance with what was observed with peripheral CD4⁺CD25⁺ T cells in which *in vitro* suppressive activity was completely abrogated after addition of

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Abbreviations: APCs, antigen-presenting cells; Tregs, regulatory T cells; NOD, nonobese diabetic.

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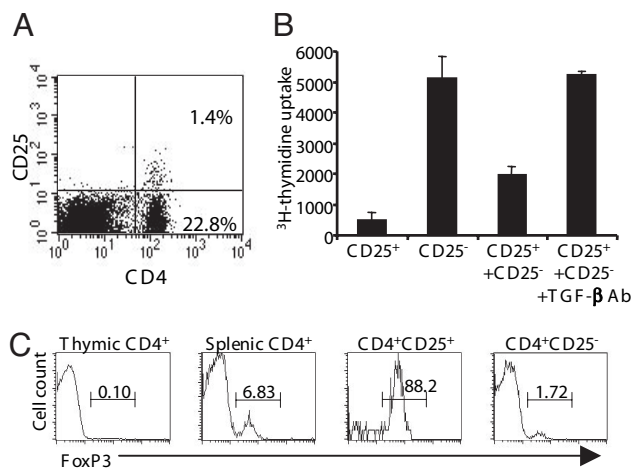


Fig. 7. Treatment of NOD CD28^{-/-} mice with anti-CD3 antibody restores the number and the suppressive capacities of the CD4⁺CD25⁺ T cells. Diabetic NOD CD28^{-/-} mice treated for 5 d with CD3-specific F(ab')₂ fragments enter long-term remission. (A) Expression of CD25 in the CD4⁺ T cell subset recovered from the spleen of NOD CD28^{-/-} mice 3 weeks after the end of the treatment. (B) Analysis of the suppressive capacities of the CD4⁺CD25⁺ T cells from treated NOD CD28^{-/-} mice on the anti-CD3-induced proliferation of CD4⁺CD25⁻ T cells in the presence or absence of anti-TGF-β antibody. (C) Intracellular staining of foxP3 protein expressed by various T cell subsets isolated from the spleen or the thymus of treated NOD CD28^{-/-} mice.

CD28-deficient NOD mice: <1.5% of the CD4⁺ T cells expressed CD25 compared with 5–10% in wild-type controls (19).

Similar to previous observations in diabetic NOD mice, diabetic NOD CD28^{-/-} mice treated with CD3-specific F(ab')₂ fragments (50 μg/d for 5 d) showed long-term remission of disease (22). The relative percentage of CD4⁺CD25⁺ T cells was higher in treated NOD CD28^{-/-} mice than in untreated animals. Interestingly, the emerging CD25⁺ T cells were CD25^{low} (mean fluorescence intensity, 33.1, range, 10¹–10² compared with untreated NOD mice, mean fluorescence intensity, 156.1, range, 10¹–10³) (compare Fig. 7A with Fig. 2A).

These CD25^{low} splenocytes from treated NOD CD28^{-/-} mice were suppressive *in vitro*, and as demonstrated in wild NOD mice, the inhibitory effect was TGF-β-dependent; the addition of anti-TGF-β antibody in the coculture completely reversed suppression (Fig. 7B). Interestingly, these CD25^{low} induced Tregs expressed high levels of foxP3 and were observed in the spleen but not in the thymus of treated mice, suggesting that they were induced in the periphery (Fig. 7C). Importantly, as we had already observed in anti-CD3-treated wild-type NOD mice (22), TGF-β plays a central role in the long-term response observed in NOD CD28^{-/-} mice because *in vivo* administration of a neutralizing anti-TGF-β antibody completely abrogated the anti-CD3-induced remissions (23).

The CD25⁻ T cell-transferred NOD-SCID model. Based on these results, we wanted to confirm the role of the CD4⁺CD25^{low} T cells in the tolerogenic capacities of the anti-CD3 antibody treatment in the diabetes model. CD4⁺CD25⁺ T cell-depleted splenocytes (CD25⁻ cells) were adoptively transferred into NOD-SCID mice. On becoming diabetic, recipient animals were treated with anti-CD3 F(ab')₂ fragments. The vast majority of the mice entered remission within 1–2 weeks after the end of treatment (Fig. 8A). CD4⁺CD25⁺ T cells were induced in all recipients. However, the numbers of CD4⁺CD25⁺ T cells, as well as the intensity of expression of CD25 on the CD4⁺ T cells, were significantly different between the mice successfully treated and those not successfully treated (Fig. 8B). In particular, CD25 was detected in 25–30% of the CD4⁺ T cells recovered from recipients that entered long-lasting remission (6 weeks) as compared with 17% in untreated diabetic CD25⁻

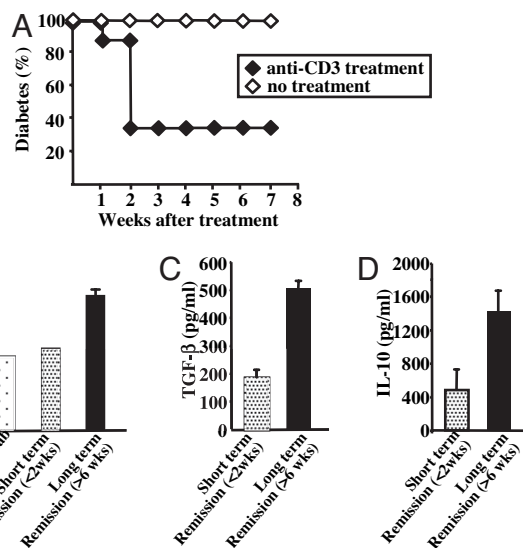


Fig. 8. Anti-CD3 antibody treatment preferentially induces CD4⁺CD25^{low} T cells. (A) NOD-SCID mice were injected with CD25⁻ T cells (2 × 10⁶ per recipient), became diabetic and were treated with CD3-specific F(ab')₂ fragments. Long-term remission was induced in the majority of the mice. A small fraction of mice entered short-lasting remission (2 weeks). (B) Proportion of CD25^{low} T cells within the CD4⁺ T cell population recovered from recipient NOD-SCID mice treated with anti-CD3 antibodies and that entered long-lasting remission (>6 weeks, black bars) or short-lasting remission (dotted bars). (C and D) TGF-β and IL-10 production by CD4⁺ T cells were measured in anti-CD3 antibody-treated recipient mice.

injected NOD-SCID mice (data not shown). Interestingly, CD4⁺CD25^{low} T cells represented ≈20% of the total CD4⁺ lymphocytes in mice that remained normoglycemic for at least 6 weeks compared with 10–12% in untreated diabetic recipients or recipients that entered short-lasting remission (Fig. 8B). Conversely, the proportion of CD4⁺CD25^{high} T cells was not clearly modified after anti-CD3 treatment and rather represented *in vivo*-activated T cells.

As shown in Fig. 8C and D, CD4⁺ T cells isolated from mice that remained normoglycemic for >6 weeks produced significantly more IL-10 and TGF-β than cells isolated from mice that were normoglycemic for only 2 weeks. A 2.5- to 3-fold ratio was observed in both IL-10 and TGF-β productions when remission induced by anti-CD3 treatment was long-lasting.

Discussion

Natural Tregs, differentiating as an independent lineage of foxP3⁺ thymocytes, control self-tolerance in normal mice (1, 8, 33) and also in autoimmune-prone animals such as NOD mice. In fact, NOD mice in which the CD28 encoding gene was disrupted (NOD CD28^{-/-}) lack natural CD4⁺CD25⁺ Tregs and exhibit accelerated diabetes (19). Here, we present evidence demonstrating that natural Tregs are not the only regulatory subset in the autoimmune setting. Another subset of Tregs that fulfills the definition of adaptive Tregs also plays an essential role in modulating diabetes. They express foxP3 but appear quite distinct from conventional natural CD25⁺ Tregs. One major distinctive characteristic is their cytokine dependency; they produce high amounts of TGF-β, express membrane TGF-β, and their regulatory activity is greatly impaired upon blockade of TGF-β but not of IL-4 or IL-10. Moreover, they have down-regulated CD62L and are phenotypically CD25^{low} consistent with a recently activated cell-type distinct from natural Tregs that are CD62L^{high}CD25^{high} (1, 8).

How can these results be reconciled with published data that suggests cytokine-independent, specifically TGFβ-independent,

Treg activity in many disease settings (24, 33)? Much of this controversy emerged from attempts to develop a general, unifying view of CD25⁺ Tregs for the control of all immune responses that includes the notion that foxP3⁺ Tregs originate largely, if not exclusively, from natural CD4⁺CD25^{high} Tregs. However, neither CD25 nor foxP3 appear to be exclusive markers for natural Tregs (8, 18). This implies that not all peripheral CD25⁺ Tregs described in the various situations are necessarily derived from the expansion of thymus-derived CD25^{high}foxP3⁺ Tregs. In fact, there is now compelling evidence to show that adaptive Tregs may be generated from peripheral CD4⁺CD25⁻foxP3⁻ cells under well defined conditions (i.e., the type of antigenic stimulation, the nature of the APCs, and the cytokine milieu). In this regard, both IL-10 and TGF- β are two cytokines that preferentially promote adaptive CD25⁺foxP3⁺ Tregs (10, 11, 13, 14). In addition, phenotypic tools, including a foxP3-driven GFP mouse and a cell-surface marker, CD127 (IL-7 receptor), show that not all Treg activity is present within the CD25^{high}foxP3⁺ subset (18).

Quite interestingly, only CD25^{low} T cells from NOD mice, and not those from normal mice, are TGF- β -dependent. Functional Tregs were detected within the CD25^{low} subset in both C57BL/6 and BALB/c mice. However, their regulatory activity was clearly cytokine-independent. These results reproduce and extend the data by Fontenot *et al.* (9) in C57BL/6 mice, whereas they are at variance with those reported by Setoguchi *et al.* (34) in BALB/c mice. Such discrepancy may be caused by differences in the mouse lines used and/or their housing conditions, leading to different proportions of Treg versus effector T cells. In Setoguchi's report, BALB/c CD25^{low} T cells included high proportions of activated T cells with no Tregs detectable (34), whereas in our case exactly the mirror image was found.

Thus, these TGF- β -dependent CD4⁺CD25^{low} T cells appear to preferentially develop in the autoimmune background, most likely because of the chronic inflammatory environment and the teleological attempt to control the diabetogenic T cell activity.

Compared with peripheral CD4⁺CD25⁺ T cells, CD4⁺CD25⁺ thymocytes constitute a more homogeneous subset of predominantly CD25^{high} T cells; they express high levels of CD62L and almost undetectable membrane TGF- β . CD25⁺ thymocytes effectively suppress diabetogenic effectors *in vitro* and *in vivo*. Their *in vivo* regulatory activity appeared TGF- β -independent, whereas *in vitro*, partial reversal of suppression (50%) was observed after addition of anti-TGF- β antibody but only at high concentrations (a condition in which 100% reversal of suppression is observed with peripheral CD25^{low} T cells). Interestingly, the same type of *in vitro* response, i.e., partial reversal of suppression at high anti-TGF- β doses was observed for peripheral CD25^{high} T cells. A trivial explanation for these results is that the effect observed *in vitro* is just an artifact due to the high doses of antibody that were used. One cannot exclude, however, that in the autoimmune setting both in the thymus and the periphery, CD25^{high} T cells include a subset of TGF- β -dependent Tregs not present in normal individuals. The presence of such cells among CD25^{high} thymocytes may be indicative of their thymic origin. Alternatively, they could represent a subset of cells recirculating from the periphery to the thymus.

Further evidence for the central role of this CD25^{low} Tregs was obtained in two *in vivo* models in which remission of ongoing diabetes was achieved by using anti-CD3 antibody treatment. In the first model, we used NOD CD28^{-/-} mice (19). We previously reported that short-term anti-CD3 antibody treatment induces durable disease remission by promoting T cell-mediated active tolerance implicating TGF- β -dependent Tregs (22, 35). Here, we show that in anti-CD3 antibody-treated NOD CD28^{-/-} mice, these Tregs are exclusively CD25^{low} and are foxP3⁺. They are TGF- β -dependent both *in vitro* and *in vivo* as the administration of a neutralizing antibody to TGF- β totally abrogated the therapeutic effects of anti-CD3 antibody as shown in wild-type NOD mice (22, 23). In the second model, CD25⁻ splenocytes (CD25⁺ T cell-

depleted) were adoptively transferred into NOD-SCID mice to induce diabetes. Recipients receiving the anti-CD3 antibodies at the time of diabetes onset went into sustained disease remission associated with the selective expansion of CD25^{low} T cells and TGF- β -producing CD4⁺ T cells. Our data bring further support for the central role of TGF- β in T cell-mediated responses controlling autoimmunity, as already highlighted in a number of models (31, 36–40).

In conclusion, we demonstrated that natural suppressor CD4⁺CD25^{high} foxP3⁺ T cells are not the only Tregs controlling autoimmunity. Rather, this subset more likely functions prominently to maintain self-tolerance in early life, as exemplified by the polyautoimmune syndrome that follows day-3 thymectomy in normal mice and the immunodysregulation, polyendocrinopathy, enteropathy, X-linked syndrome in humans (1). However, once self-tolerance is broken, T cells other than thymically derived CD25^{high} T cells appear instrumental in regulating pathogenic effectors. This role is well in keeping with recent data by Billiard *et al.* (41) showing that in NOD mice, depletion of CD25^{high} T cells exacerbates disease only when applied at the very early stages of the disease (3–4 weeks of age) but is without any effect later. Interestingly, depletion essentially targeted \approx 80% of CD25^{high} T cells. It is also important to mention that in NOD mice, thymectomy performed at up to 3 weeks of age (and not only up to day 3, as in normal mice) significantly accelerates disease onset (42).

In autoimmune-prone adult animals, adaptive Tregs that may arise from peripheral CD4⁺CD25⁻ precursors become key players for regulating responses to self-antigens. In the diabetes setting, the CD25^{low} T cells appear to be one of these adaptive Tregs. One may also mention that β -cell-specific (GAD-specific) Th2 and Tr1 cells endowed with regulatory capacities have been detected in unmanipulated NOD mice (43, 44).

It will be important to determine the level of overlapping (and perhaps identity) between CD4⁺CD25^{low} Tregs, Th3, Tr1, and CD45RB^{low} cells. A better understanding of the mechanisms that drive adaptive Tregs will pave the way toward novel clinically applicable strategies. In this context, it is particularly encouraging to see that some therapeutic tools such as anti-CD3 antibodies, which proved effective in the clinic (45–47), exert their beneficial effect by up-regulating TGF- β -producing adaptive Tregs. In addition to other candidates that have been examined, future cell therapy approaches should consider adaptive Tregs as potentially interesting alternatives (2, 48, 49).

Materials and Methods

Mice. BALB/c, C57BL/6, NOD, and NOD-SCID mice were bred in our animal facility under specific pathogen-free conditions. Glycosuria and glycemia were monitored by using colorimetric strips (Boehringer-Mannheim, Mannheim, Germany).

Antibodies and FACS Analysis. Antibodies to TGF- β (2G.7) were purified and fluoresceinated in our laboratory. CD62L, CD25, CD4, CD8, and CD103 (α_E integrin subunit) antibodies were obtained from PharMingen, San Diego, CA. Anti-GITR antibodies were kindly provided by S. Cobbold (Sir William Dunn School of Pathology, Oxford, U.K.). Intracellular staining was performed for foxP3 on freshly isolated cells according to the manufacturer's instructions (eBioscience, San Diego, CA). For intracellular cytokine staining, cells were incubated with PMA/ionomycin and brefeldin A for 4 h and fixed and stained in 0.1% saponin buffer (Sigma, St. Louis, MO) with anti-IL-2 antibodies or isotype controls (PharMingen).

Cell Preparations. After depletion of B cells by magnetic bead cell sorting (Miltenyi Biotec, Auburn, CA), splenocytes were stained with CD4 and CD25 antibodies. CD4⁺CD25^{high}, CD4⁺CD25^{low}, and CD4⁺CD25⁻ cells were purified on a FACS Vantage cell sorter (Becton Dickinson, San Jose, CA). Thymocyte suspensions were

depleted of CD8⁺ T cells by magnetic bead cell sorting (Miltenyi Biotec) and total CD4⁺CD25⁺ T cells were purified by FACS sorting.

Adoptive Cell Transfers and *in Vivo* Antibody Treatments. Six-week-old NOD-SCID mice were injected i.v. with either a single cell population or a mixture of two distinct populations. When needed, recipients were treated with antibodies to TGF- β (2G.7: mouse IgG2b for human TGF- β 1, provided by C. J. M. Melief, Leiden, The Netherlands) at the dose of 1 mg per mouse i.p. three times a week starting at day 10 after cell transfers, for a duration of 4 weeks. Control recipients received purified mouse IgGs (Jackson ImmunoResearch Laboratories, West Grove, PA). In some experiments, NOD-SCID mice received CD25⁺ T cell-depleted splenocytes recovered from diabetic NOD mice (2×10^6 per recipient). On becoming diabetic, recipients were treated for 5 d with CD3-specific F(ab')₂ fragments (50 μ g/d). Animals enter remission 1–2 weeks after the end of the treatment.

***In Vitro* Proliferation Assays.** Cells were cultured in complete RPMI medium 1640 supplemented with 10% FCS (Invitrogen, Carlsbad, CA). Total CD4⁺CD25⁺, CD4⁺CD25^{high}, and CD4⁺CD25^{low} T cells were cultured at a 1:1 ratio with syngeneic CD25⁻ cells (2×10^4 each). Cells were stimulated with anti-CD3 antibody (2.5 μ g/ml) in the presence of mitomycin-treated APCs for 72 h. Neutralizing antibodies to TGF- β or IL-10 receptor were added at 10 or 50 μ g/ml. Data were expressed as the “% inhibition” deduced as follows: % Inhibition = $[1 - (\text{cpm}(\text{CD4}^+\text{CD25}^- + \text{CD4}^+\text{CD25}^+) / \text{cpm} \text{CD4}^+\text{CD25}^-)] \times 100$.

Similar assays were performed by using carboxyfluorescein-

diacetate-succinimidyl-ester-labeled CD4⁺CD25⁻ T cells from 6-week-old NOD mice (2×10^5 cells per well) that were stimulated with anti-CD3 and APCs. CD4⁺CD25^{low} or CD4⁺CD25^{high} T cells (2×10^5 cells) were added in a transwell (Costar, Cambridge, MA) with APCs. After 4 d, the CD4⁺CD25⁻ T cell proliferation was analyzed by FACS.

ELISA. CD25^{low}, CD25^{high}, and CD25⁻ T cells from 6-week-old mice were plated at 2×10^5 per well and stimulated with anti-CD3 (5 μ g/ml) and anti-CD28 (2.5 μ g/ml) antibodies. Supernatants were recovered after 48 or 72 h of culture. IL-4, IL-10, IFN γ , and TGF- β 1 ELISA were performed by using DuoSet kit (R&D Systems, Abingdon, U.K.).

Anti-CD3 Treatment of NOD CD28^{-/-} Mice. NOD CD28^{-/-} female mice presenting overt diabetes were treated i.v. with 50 μ g of CD3-specific F(ab')₂ fragments per d on days 1–5. The animals entered remission 1–3 weeks after the end of the treatment.

Statistical Analysis. The occurrence of diabetes was plotted by using the Kaplan–Meier method, i.e., a nonparametric cumulative survival plot. The statistical comparison between the curves was performed by using the log-rank (Mantel–Cox) test. In addition, when needed, results were analyzed by using the Student *t* test.

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