## **ATM deficiency impairs thymocyte maturation because of defective resolution of T cell** receptor  $\alpha$  locus coding end breaks

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**The ATM (ataxia telangiectasia mutated) protein plays a central role in sensing and responding to DNA double-strand breaks. Lymphoid cells are unique in undergoing physiologic doublestrand breaks in the processes of Ig class switch recombination and T or B cell receptor V(D)J recombination, and a role for ATM in these processes has been suggested by clinical observations in ataxia telangiectasia patients as well as in engineered mice with mutations in the** *Atm* **gene. We demonstrate here a defect in thymocyte maturation in ATM-deficient mice that is associated with decreased efficiency in V-J rearrangement of the endogenous T cell receptor (TCR) locus, accompanied by increased frequency of unresolved TCR J coding end breaks. We also demonstrate that a functionally** rearranged TCRαβ transgene is sufficient to restore thymocyte **maturation, whereas increased thymocyte survival by bcl-2 cannot improve TCR recombination and T cell development. These data indicate a direct role for ATM in TCR gene recombination** *in vivo* **that is critical for surface TCR expression in CD4CD8 cells and for efficient thymocyte selection. We propose a unified model for the two major clinical characteristics of ATM deficiency, defective T cell maturation and increased genomic instability, frequently affecting** the TCR $\alpha$  locus. In the absence of ATM, delayed TCR $\alpha$  coding joint formation results both in a reduction of  $\alpha\beta$  TCR-expressing imma**ture cells, leading to inefficient thymocyte selection, and in accumulation of unstable open chromosomal DNA breaks, predisposing to TCR locus-associated chromosomal abnormalities.**

AS<br>S

ataxia telangiectasia mutated  $|$  recombination  $|$  T cell development

**T**he ATM (ataxia telangiectasia mutated) protein, first identified in patients with ataxia telangiectasia syndrome, plays a critical role in sensing and responding to chromatin changes such as DNA double-strand breaks induced by ionizing irradiation (1, 2). ATM is a member of a family of phosphatidylinositol 3-kinase-related proteins that function in cell cycle regulation, monitoring of telomere length, meiotic recombination, and DNA repair (1, 2). After activation by as-yet-incompletely understood signals associated with changes in DNA structure, ATM mediates an early step in the damage response, by phosphorylating a variety of protein targets and activating multiple signal transduction pathways (3–5). The downstream outcomes of these events can include cell cycle arrest and apoptotic cell death.

In addition to the well characterized role of ATM in responses to DNA damage caused by environmental insults such as ionizing radiation, both clinical and laboratory observations have indicated that ATM also plays an important role in the unique physiological instances of DNA recombination that occur during development and differentiation of T and B lymphocytes (6–8). The generation of diversity in the antigen-specific receptors of T and B lymphocytes is mediated by induction of DNA breaks by recombinationactivating gene recombinase followed by repair through the nonhomologous end-joining pathway. Recombination-activating-genedependent recombination targets double-strand DNA cleavage events at the boundaries of Ig or T cell receptor (TCR)-encoding (V, D, or J) gene segments and flanking recombination signal

sequences. DNA cleavage at these sites initially generates coding end (CE) breaks, terminated in a hairpin structure, and blunt phosphorylated signal end (SE) breaks. Completion of the recombination process requires opening and processing of the hairpinterminated CE followed by ligation of the two gene segments to generate the rearranged Ig or TCR gene.

Patients with ataxia telangiectasia have a high incidence of lymphomas marked by translocations preferentially involving Ig and TCR genes. Consistent with a causal role of ATM deficiency in these abnormal recombination events, ATM-deficient mice die in high proportion with thymic lymphomas that involve recombination-activating-gene-dependent translocations of the  $TCR\alpha/\delta$ locus (9–11). Translocations involving the  $TCR\alpha/\delta$  locus were also observed at high frequency in nonlymphoma peripheral T cells of ATM mice (9). Consistent with a role of the ATM protein in  $V(D)J$ recombination, chromatin immunoprecipitation has also indicated that ATM localizes to the Ig<sub>K</sub> locus in pre-B cell lines and to  $TCR\alpha$ in mouse thymocytes (12). Most recently, elegant experiments have provided evidence that ATM can function *in vitro* in the resolution of DNA double-strand breaks generated during V(D)J recombination (13).

We have therefore systematically analyzed thymic development in mice deficient in ATM and demonstrate here a defect in recombination of the  $TCR\alpha$  locus that results in reduced efficiency in production of mature T cells. This defect involves a significant decrease in  $V\alpha$ -J $\alpha$  recombination accompanied by increased accumulation of unresolved CE in ATM-deficient  $CD4+CD8+$ double-positive (DP) thymocytes. Our data argue that ATM plays a significant, direct role in the resolution of CE breaks during  $TCR\alpha$ recombination.

## **Results**

**Impaired Development of Single-Positive (SP) Mature Thymocytes and** Accumulation of TCR<sup>Io</sup> DP Cells in ATM-Deficient Mice. As previously reported, ATM-deficient mice exhibit generalized growth deficiency and have body weights that are  $\approx 80\%$  those of WT littermates (14). Total thymocyte recovery is comparably reduced to  $\approx$ 75% of the WT controls (ref. 14 and data not shown). However, subpopulation analysis by flow cytometry revealed significant alterations in the composition of ATM-deficient thymocytes.

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Abbreviations: ATM, ataxia telangiectasia mutated; CE, coding end; DN, double negative; DP, double positive; F, forward; KO, knockout; R, reverse; SE, signal end; SP, single positive; TCR, T cell receptor.

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**Fig. 1.** Thymic subpopulations in ATM-deficient mice. (*a*) The proportion of DP cells is increased and the proportions of CD4 and CD8 SP cells are decreased in ATM-deficient mice (*Right*) compared with WT mice (*Left*). (*b*) Proportions of DP, DN, and SP thymocytes from WT and ATM KO thymi are summarized.

Whereas the percentage of  $CD4-CD8^-$  double-negative (DN) thymocytes is similar, the percentage of DP cells is increased  $[82.5 \pm 1.3\%$  in ATM knockout (KO) vs. 76.6  $\pm$  1.1% in WT, *P* < 0.01], and the proportions of both CD4 SP (10.8  $\pm$  1.1% in ATM KO vs.  $14.7 \pm 1.4\%$  in WT) and CD8 SP cells  $(3.3 + 0.2\%$  in KO vs.  $4.9 \pm 0.8\%$  in WT) are significantly reduced in ATM-deficient thymi (Fig. 1*b*). ATM inactivation thus results in a decreased maturation of SP thymocytes from DP precursors. Despite this reduction in the proportion of SP cells, the T cell repertoire appears to maintain its normal heterogeneity because  $\nabla \beta$  expression is similar to that in SP thymocytes from ATM WT control mice (data not shown).

In addition to decreased percentages of SP thymocytes, analysis of cell surface TCR expression on DP thymocytes revealed a skewing toward lower expression on ATM-deficient cells (Fig. 2*a*, cell surface TCR $\beta$ ), consistent with previous observations (15). Thymocytes from WT or ATM-deficient mice were cultured for 4 h as single-cell suspensions to allow up-regulation of TCR and, therefore, maximal discrimination between those DP cells expressing low surface levels of TCR (TCR<sup>Io</sup>) and those expressing a higher level of cell surface TCR (TCR<sup>med</sup>) (16). Thymocytes displayed a trimodal distribution of cell surface TCR expression, defining TCR<sup>lo</sup>, TCR<sup>med</sup>, and TCR<sup>hi</sup> subpopulations in both WT and ATM-deficient thymi (Fig. 2*b*). However, the relative proportions of these subpopulations differed strikingly in WT and ATMdeficient thymocytes. Thymi from ATM-deficient mice had a substantially higher proportion of TCR<sup>lo</sup> cells, and lower proportions of TCR<sup>med</sup> and TCR<sup>hi</sup> cells. Gating on DP thymocytes demonstrated bimodal distributions of TCR cell surface density, with ATM-deficient cells showing a predominance of TCR<sup>lo</sup> cells, whereas TCR<sup>med</sup> cells predominated in WT control DP thymocytes (Fig. 2*c*). The high levels of TCR found on the ATM-deficient SP population were virtually superimposable on the WT SP profiles (Fig. 2*d*). The number of TCRlo DP cells is slightly increased in ATM-deficient mice, whereas the number of TCR<sup>med</sup> DP thymocytes is markedly lower than WT controls (Fig. 2*e*). These data indicate a substantial defect in thymocyte development in ATMdeficient mice at the transition from the DP TCR<sup>Io</sup> to DP TCR<sup>med</sup> cells.

To make the transition from the DN to the DP stage, developing



**Fig. 2.** TCR expression in ATM-deficient mice. (*a*) (*Top*) Freshly explanted ATM WT (*Left*) and KO (*Right*) thymocytes were stained with anti-CD4, anti-CD8, and anti-TCR<sub>B</sub> antibodies and gated to allow analysis of DP thymocytes. (*Middle* and *Bottom*) Anti-TCRβ staining was either cell-surface-specific or carried out after permeabilizing to measure total cellular TCR $\beta$ . The solid line indicates staining of ATM-deficient thymocytes, and the shaded area represents WT control thymocytes. The dotted line indicates staining of TCR<sub>B</sub>-deficient thymocytes. (b-d) Thymocytes from ATM WT and KO mice were cultured for 4 h to allow the up-regulation of TCR expression and then stained with anti-CD4, anti-CD8, and anti-TCR $\beta$  antibodies and analyzed by flow cytometry. (b) Cell surface TCR $\beta$ expression is presented for the total number of thymocytes. The solid lines represent WT control thymocytes, and the dotted lines represent ATM KO thymocytes. (c) Thymocytes were gated to assess TCR<sub>B</sub> expression on CD4<sup>+</sup>CD8<sup>+</sup> DP thymocytes. ATM-deficient DP thymocytes have an increased proportion of TCR<sup>Io</sup> and a decreased proportion of TCR<sup>med</sup> cells. (*d*) TCR*β* expression is equivalent on TCR<sup>hi</sup> SP ATM KO and WT thymocytes. (e) Numbers of total DP cells, TCR<sup>Io</sup> DP cells, and TCR<sup>med</sup> DP cells in ATM KO and WT thymi.

T cells must first successfully rearrange and express the  $TCR\beta$ locus, allowing expression of the pre-TCR and transition from the DN3 to DN4 developmental stage (17). To further assess  $TCR\beta$ expression in DP TCR<sup>lo</sup> thymocytes from ATM-deficient mice, thymocytes were permeabilized and stained with  $TCR\beta$ -specific H57 Ab to measure total cellular  $TCR\beta$  protein. Gating on DP thymocytes, total TCR $\beta$  protein expression was superimposable between the WT and ATM-deficient cells (Fig. 2*a*), in contrast to the substantially lower cell surface expression on ATM-deficient versus WT cells. Thus,  $TCR\beta$  protein is present at normal levels in

ATM-deficient DP thymocytes, but is expressed on the cell surface at greatly reduced levels. The accumulation of these TCR<sup>lo</sup> cells indicates that thymocyte development in the absence of ATM is severely impaired predominantly beyond the stage of  $TCR\beta$  rearrangement and pre-TCR expression, but before thymocytes acquire the expression of intermediate levels of TCR.

**Expression of Rearranged TCR Transgenes Overcomes the Defect in ATM-Deficient Thymic Differentiation.** Up-regulation of cell surface TCR expression on DP thymocytes, as well as subsequent differentiation and selection of SP thymocytes, requires expression of  $TCR\alpha$  and pairing with  $TCR\beta$  to generate the mature TCR. The observed defect in ATM-deficient thymic development therefore suggests a defect in  $TCR\alpha$  expression. This defect could be due to defective gene rearrangement or reduced expression of functionally rearranged genes. To distinguish between these two possibilities, already rearranged  $TCR\alpha$  and  $TCR\beta$  transgenes were introduced into ATM-deficient mice. ATM WT or deficient mice were bred to mice expressing a transgenic TCR specific for the male antigen H-Y in association with the class I molecule  $D<sup>b</sup>$  (18). In female mice, expression of the transgenic TCR leads to strong positive selection of DP thymocytes to the CD8 SP lineage. Because this transgenic system is "leaky," with many female DP thymocytes rearranging and expressing endogenous  $TCR\alpha(19)$ , it allows parallel analysis of the development and selection of those cells expressing the rearranged clonotypic  $TCR \alpha \beta$  receptor and those cells rearranging and expressing endogenous  $TCR\alpha$ .

Analysis of female TCR transgenic mice revealed that, in contrast to the defects identified in non-TCR transgenic ATM KO thymocytes, there was no significant difference in the percentages of DP or DN thymocytes between ATM WT and ATM-deficient mice (Fig. 3 *a* and *b*). In addition, there was no significant difference in the recovery of CD8 SP thymocytes between WT and ATMdeficient female TCR transgenic littermates. Thus, forced expression of rearranged TCR transgenes allows thymocyte-positive selection to proceed unhindered in the absence of ATM, suggesting that ATM does not play an integral role in thymocyte development independent of TCR rearrangement.

**CD4 SP Thymocytes That Express Endogenous TCR** $\alpha$  **Are Reduced in ATM-Deficient TCR Transgenic Mice.** Whereas there was no difference in the recovery of CD8 SP thymocytes, there was a striking decrease in the recovery of CD4 SP thymocytes in ATM-deficient female mice. TCR transgenic ATM WT females had  $15.1 \pm 1.4\%$  CD4 SP thymocytes, whereas ATM-deficient TCR transgenic females had only  $6.9 \pm 1.2\%$  CD4 SP cells ( $P < 0.001$ ) (Fig. 3*b*). The CD4 SP population of thymocytes in WT TCR transgenic mice has been shown to express not only the transgenic  $TCR\alpha$ , but also rearranged endogenous  $TCR\alpha$  chains (19). Individual CD4 T cells thus express two TCRs, one being the clonotypic  $TCR \alpha \beta$  pair and the other consisting of the transgenic  $TCR\beta$  chain in association with endogenous  $TCR\alpha$  chain. Thymocyte subsets were therefore analyzed by flow cytometry for total TCR expression by using an antibody specific for  $TCR\beta$  (clone H57-597) (Fig. 3*c*), or for expression of the transgenic TCR by using the clonotype-specific antibody T3.70 (Fig. 3d). Analysis with anti-TCR<sub>B</sub> antibody reveals equivalent TCR surface expression on CD8 and CD4 SP thymocytes from ATMdeficient and WT mice. TCR $\beta$  expression on DP cells is also similar except for a small TCR-negative population detectable in the ATM-deficient but not theWT control thymocytes (Fig. 3*c Middle*). This is in marked contrast to the decreased  $TCR\beta$  expression observed in ATM-deficient non-TCR transgenic DP thymocytes (Fig. 2*a*). When cell surface expression of the clonotypic transgenic TCR was examined, expression was found to be similar in CD8 and DP thymocytes from ATM-deficient and WT populations, consistent with the anti- $TCR\beta$  staining results. These data suggest that the defect in TCR expression observed in non-TCR transgenic ATMdeficient DP thymocytes is not due to defects in postrearrangement



**Fig. 3.** Thymic subpopulations and cell surface TCR expression in ATMdeficient TCR transgenic mice. Thymocytes from female ATM WT or KO mice expressing the H-Y TCR transgene were stained with anti-CD4, anti-CD8, and anti-TCR $\beta$  antibodies and analyzed by flow cytometry. (a) CD4 and CD8 expression by ATM WT (*Left*) and KO (*Right*) thymocytes. (*b*) Percentages of DN, DP, and SP thymocytes in female ATM WT or KO mice expressing the H-Y TCR transgene. (c) Cell surface expression of TCR<sub> $\beta$ </sub> on DP, CD4 SP, and CD8 SP thymocytes. Overall TCRB expression is similar on ATM WT and KO DP and SP thymocytes. (d) Clonotype (T3.70)<sup>lo</sup> CD4 SP thymocytes, which express endogenous TCR $\alpha$ , are reduced in ATM KO mice.

events. In contrast, ATM deficiency had a marked effect on expression of the clonotypic TCR on CD4 SP cells. TCR transgenic ATM WT females show a predominantly clonotype-dull population, consistent with a selective pressure favoring MHC class II-restricted CD4 cells that express TCR distinct from the clonotypic H-Y receptor, and requiring rearrangement and expression of endogenous TCR $\alpha$  (19). However, in contrast to ATM WT TCR transgenic female mice, the proportion of clonotype-dull CD4 cells is notably decreased in ATM-deficient TCR transgenic females (Fig. 3*d*), indicating a specific reduction in the number of thymocytes expressing an endogenous  $TCR\alpha$  chain. When thymocytes were further analyzed for expression of endogenous  $TCR\alpha$  chain by staining with a pool of V $\alpha$ 2- and V $\alpha$ 8-specific antibodies, substantial reductions in expression of these two  $V\alpha$  families were observed in DP and CD4 SP ATM subpopulations. Thus, in ATM-deficient thymi, surface expression of endogenous  $TCR\alpha$  chains is markedly



Fig. 4. Frequency of  $V\alpha$ -J $\alpha$  rearrangements in DP thymocytes from ATM KO and WT mice. Genomic DNA was isolated from the sorted DP thymocytes of Atm<sup>-/-</sup> mice and control  $Atm^{+/+}$  littermates. Genomic rearrangements of V $\alpha$ 8 (a) or V $\alpha$ 10 (b) to multiple J $\alpha$  genes were measured by quantitative PCR and normalized to the signal of the invariant C $\alpha$ . Ratios of the amount of each V $\alpha$ -J $\alpha$  rearrangement in ATM KO DP thymocytes relative to the amount in WT control DP thymocytes and the significance of the differences between ATM-deficient and WT rearrangements for each  $V_{\alpha}$ -J $_{\alpha}$  combinations are shown. Rearrangements in three to eight individual mice, which were analyzed for each data point, are shown. The organization of the TCR J $\alpha$  cluster is displayed at the top.

reduced relative to expression of the rearranged transgenic  $TCR\alpha$ chain, indicating a role of ATM in the process of TCR $\alpha$  rearrangement that is necessary for expression of endogenous, but not transgenic TCR $\alpha$ .

In the Absence of ATM, DP Thymocytes Have Less  $V\alpha$ -J $\alpha$  Rearrange**ment and More Unresolved, Open CE Breaks.** One mechanism by which ATM deficiency could result in decreased expression of endogenous, but not transgenic,  $TCR\alpha$  chain is through a defect in TCR $\alpha$  rearrangement. To directly assess the role of ATM in TCR $\alpha$ recombination, we first compared the frequency of genomic TCR rearrangements in DP thymocytes from ATM-deficient and WT mice. TCR V $\alpha$ -J $\alpha$  rearrangements were assessed by quantitative, real-time PCR performed on genomic DNA purified from sorted DP thymocytes. We found a statistically significant decrease in the relative frequency of V $\alpha$ 8 rearrangement to all seven J $\alpha$  loci analyzed (Fig. 4*a*) and of  $V\alpha 10$  rearrangement to six of the seven



**Fig. 5.** Detection of open CE breaks in WT and ATM-deficient DP thymocytes. Genomic DNA was isolated from sorted DP thymocytes of Atm<sup>-/-</sup> mice and control  $Atm^{+/+}$  littermates, as described in Fig. 5 and *Materials and Methods*, and ligation-mediated PCR was performed to measure open CE breaks. Results shown are PCR amplifications from 3-fold dilutions of ligation product.

analyzed J $\alpha$  loci (Fig. 4*b*). This reduction in V $\alpha$ -J $\alpha$  rearrangement was similarly observed in analysis of flow sorted TCR<sup>lo</sup> and TCR<sup>med</sup> DP ATM thymocytes (data not shown). The reduction of  $V_{\alpha}$ -J $\alpha$ rearrangement across the entire  $J\alpha$  cluster indicates that loss of ATM results in a generalized defect in  $TCR\alpha$  locus recombination.

ATM deficiency could impair  $V\alpha$ -J $\alpha$  rearrangement indirectly, by causing decreased survival of ATM-deficient thymocytes and therefore a shorter window in which to accomplish  $TCR\alpha$  rearrangement. To address this possibility, ATM-deficient or TCR transgenic ATM-deficient mice were bred to express a bcl-2 transgene that prolongs thymocyte survival through its antiapoptotic activity. Introduction of the bcl-2 transgene had no effect on the frequency of V $\alpha$ 10 to J $\alpha$ 56 (proximal) or J $\alpha$ 31 (distal) gene segments despite decreasing the frequency of annexin  $V^+$  thymocytes from both ATM WT and ATM-deficient mice (data not shown). Moreover, increased expression of the bcl-2 transgene had no effect on the thymic subpopulation phenotype in ATM-deficient TCR transgenic or TCR nontransgenic mice compared with respective WT controls (data not shown). Decreased thymocyte survival thus does not appear to be a significant factor in the decreased frequency in  $TCR\alpha$  rearrangement in ATM-deficient thymus.

The decrease in TCR  $V_{\alpha}$ -J $\alpha$  rearrangement in ATM-deficient mice could be due to fewer attempts at rearrangement, or alternatively, to less efficient resolution of  $V_{\alpha}$  and  $J_{\alpha}$  CE breaks. To address these possibilities, thymocytes from ATMWT and deficient mice were assessed for the accumulation of CE and SE breaks *in vivo* (20). We found consistently increased amounts of  $J\alpha 61$ associated CE breaks in ATM-deficient DP thymocytes, whereas amounts of the corresponding SE breaks did not differ (Fig. 5). These results indicate that ATM deficiency does not affect cleavage, but rather compromises the joining phase of V-J recombination, resulting in decreased  $TCR\alpha$  rearrangement and expression, and leading to a defect in generation of mature TCR-expressing T cells.

## **Discussion**

Our investigations were undertaken to define the role of ATM in T cell differentiation. We found that loss of ATM results in accumulation of a subset of DP thymocytes with a TCR<sup>lo</sup> phenotype, accompanied by a significant loss of DP thymocytes expressing a more mature TCRmed phenotype, as well as reduced numbers of mature TCR<sup>hi</sup> SP thymocytes. In studies assessing the basis for this developmental deficiency, we have identified a direct role for ATM in TCR gene rearrangement. Deficiency of ATM leads to decreased frequency of successful TCR  $V_{\alpha}$ -J $\alpha$  rearrangements in multiple  $V\alpha$ -J $\alpha$  families studied. This decrease in TCR  $V\alpha$ -J $\alpha$ 

rearrangement is not rescued by introduction of a bcl-2 transgene that would allow for prolonged DP survival, suggesting that ATM is not simply affecting DP thymocyte life span but rather is an integral part of the recombination process. Ligation-mediated PCR assay of J $\alpha$ 61 unresolved open CEs demonstrated that the frequency of CEs is higher in ATM-deficient DP thymocytes than in WT littermates, despite the observed decrease in  $V\alpha$ -J $\alpha$  rearrangement in this population. These data imply a primary role for ATM in supporting efficient  $V_{\alpha}$ -J $\alpha$  CE joining in DP thymocytes. Deficiency of ATM thus leads to a reduction in  $TCR\alpha$  locus recombination, which results in the accumulation of TCR<sup>lo</sup> DP cells and fewer TCRmed DP thymocytes, thereby generating a smaller pool from which SP cells can be selected.

Consistent with the above interpretation, we show that introduction of rearranged TCR transgenes rescues thymic development in ATM-deficient mice, consistent with the findings of Chao *et al.*(21). Our use of the leaky class I-restricted H-Y-specific TCR transgenic model system allowed analysis not only of the positively selected CD8 SP cells expressing the TCR $\alpha\beta$  transgenes but also of the CD4 SP thymocytes expressing endogenous  $TCR\alpha$ . This comparison most strongly indicates that ATM plays no role in development and selection of those thymocytes already expressing the rearranged TCR transgenes, but rather is important to  $TCR\alpha$  gene rearrangement and expression.

ATM could either indirectly affect gene rearrangement by influencing differentiation and/or survival of DP thymocytes or directly impacting the mechanism of V(D)J recombination. A transgenic Rag-reconstitution model allowed analysis of DP thymocytes that rapidly lose expression of Rag-1 and can only undergo an early, single round of  $TCR\alpha$  recombination (22). In another model, a modified  $TCR\alpha$  locus was generated by gene targeting that has a very limited capacity for revision of  $TCR\alpha$  rearrangement  $(TCR\alpha^{s})$  (23). In both of these models, the limited ability to rearrange the  $TCR\alpha$  locus results in decreased SP maturation, as well as accumulation of the TCR<sup>lo</sup> DP thymocytes. In other models, prolongation of DP life span results in the utilization of more distal  $J\alpha$  gene segments, whereas increased apoptosis of DP cells leads to more proximal J $\alpha$  usage bias (24). A common feature of all of these models is the profound alteration of  $J\alpha$  gene usage. In contrast, we did not observe a change in the pattern of  $J\alpha$  gene recombination in the thymus (Fig. 4) or in peripheral T cells (data not shown) of ATM-deficient mice compared with WT mice. Furthermore, we could not increase  $TCR\alpha$  gene rearrangement in ATM-deficient cells by introducing the antiapoptotic bcl-2 transgene. These studies have thus failed to indicate a role for ATM in substantially affecting DP cell survival in response to endogenous  $V_{\alpha}$  recombination, in accordance with earlier suggestions (25). We propose that ATM is critical for the rapid and efficient recruitment of the nonhomologous end-joining repair apparatus that is required for CE joining. Although ATM deficiency, unlike nonhomologous end-joining repair deficiency, does not completely abolish coding joint formation, it sufficiently delays  $TCR\alpha$  rearrangement to severely reduce the size of the CD3med pool of DP thymocytes that are the subject of positive selection. Our findings thus directly link reduced  $V_{\alpha}$ -J $\alpha$ rearrangement, as the primary cause, to defective thymocyte maturation in ATM-deficient mice.

While this manuscript was in preparation, a report by Matei *et al.* (26) also presented evidence for defective thymocyte maturation in ATM-deficient mice. However, the conclusions of Matei *et al.* are significantly different from those reported here. They conclude that biallelic loss of distal  $V\alpha$  gene segments may underlie the defect in surface  $TCR \alpha \beta$  expression and SP thymocyte selection. In contrast, our model proposes that delayed coding joint formation results in reduced  $V\alpha$ -J $\alpha$  coding joint formation and, consequently, defective thymocyte maturation. Matei *et al.* (26) found no reduction in  $TCR\alpha$  locus rearrangement, as measured by a Southern blot assay of unfractionated thymocytes, whereas we show, by quantitative PCR, a statistically significant 25–75% reduction in  $V_{\alpha}$ -J $\alpha$  joining for multiple  $V\alpha$  and  $J\alpha$  combinations in purified ATM-deficient DP cells (Fig. 4), in good agreement with the magnitude of loss of CD3<sup>med</sup> DP thymocytes in ATM<sup> $-/-$ </sup> mice. Notably, Matei *et al.* (26) also did not detect free CE in either ATM-deficient or control thymocytes, in contrast to our finding of increased CE (but not SE) in ATM-deficient DP thymocytes (Fig. 5). We therefore conclude that delayed  $TCR\alpha$  coding joint formation and consequent reduction in functional  $V\alpha$ -J $\alpha$  rearrangements is the major mechanism that results in a reduced level of surface  $TCR \alpha \beta$  expression and the accompanying defect in thymocyte maturation in ATM-deficient mice.

Our demonstration of a direct role for ATM in  $V_{\alpha}$ -J $\alpha$  rearrangement is consistent with the recent report from Bredemeyer *et al.* (13), who provided evidence that ATM can function in the *in vitro* resolution of DNA double-strand breaks that are generated during V(D)J recombination. By using reporter constructs expressed in v-Abl transformed pre-B cell lines, these authors observed that recombination-activating-gene-dependent inversion-mediated rearrangement was significantly reduced in ATM-deficient pre-B cell lines and that this was accompanied by a substantial increase in hybrid joints between CEs and SEs and a selective accumulation of unrepaired CE in ATM-deficient cells. It was noted that these effects of ATM inactivation were not attributable to defects in checkpoint control or apoptosis, again consistent with our characterization of the *in vivo* defect in  $TCR\alpha$  rearrangement in ATMdeficient mice (13).

We have focused our analysis on the role of ATM in  $TCR\alpha$  gene rearrangement in DP thymocytes. Rearrangement of other TCR loci was not directly investigated. However, our preliminary data reinforce previous suggestions that ATM affects recombination of all TCR, and possibly, all antigen receptor loci. We have found dramatically increased frequency of TCR V $\delta$ 5 and V $\beta$ 14 hybrid joint formation, as well as  $TCR\gamma$ -TCR $\beta$  transrearrangements in ATM-deficient thymocytes (data not shown), in accordance with earlier reports (13, 27). Formal demonstration of increased accumulation of CE breaks at these TCR loci will be required to establish the possible connection between these genetic aberrations and defective V(D)J recombination in the absence of ATM. Nevertheless, our model of ATM-dependent resolution of CE breaks offers a unified mechanism to explain two of the major characteristics of both human and engineered mouse ATMdeficient conditions. Accordingly, delayed coding joint formation would compromise progression through multiple rounds of  $TCR\alpha$ locus recombination, resulting in defective thymocyte maturation. In addition, an increase in CE persistence may lead to increased genomic instability and elevate the risk of chromosome translocations, deletion, and other aberrations involving the  $TCR\alpha$  locus.

In addition to its critical role in V(D)J recombination, sitespecific recombination is involved in another lymphocyte-specific process, Ig class switch recombination in which specific donor and acceptor sequences allow recombination between two Ig constant regions to mediate the switching of an IgM molecule with a given antigen-binding specificity to a different class of Ig (IgG, IgA, or IgE) bearing the same antigen-specific variable region. Clinical observations in patients with ataxia telangiectasia have noted defects in expression of switched Ig isotypes (28). Subsequently, we and others have demonstrated in mouse models that *Atm* inactivation results in a substantial defect in class switch recombination, indicating a direct role of ATM in this process (7, 8). ATM thus appears to play an important role in both V(D)J recombination and class switch recombination, the two classes of physiologic DNA break/repair events that are critical to immune function.

## **Materials and Methods**

**Mice.**  $Atm^{-/-}$  mice were generated by introducing a truncation mutation into the gene at nucleotide 5790 (14) and maintained as progeny of heterozygous matings (7, 10).  $A \dot{t} m^{+/+}$  littermates were used as controls. H-Y-specific TCR transgenic mice were generated

and used as described previously (18, 29). All mice used in this study were maintained at Bioqual (Rockville, MD) and analyzed at 7–12 weeks of age. All animal experiments were approved by the National Cancer Institute Animal Care and Use Committee.

**Flow Cytometry.** Cells were prepared for flow-cytometric analysis as described previously (30). The antibody specific for the clonotypic H-Y-specific TCR, T3.70, was a generous gift from Dr. Elizabeth Shores (Food and Drug Administration, Bethesda, MD). Antibodies specific for CD4, CD8, TCR $\beta$  (H57-597), TCR V $\alpha$ , and TCR V $\beta$  specificities were purchased from BD PharMingen (San Diego, CA). Detection of intracellular  $TCR\beta$  was performed as described previously (31). Four-hour culture of thymocytes for up-regulation of TCR expression was carried out as described previously (16).

**Genomic Real-Time Quantitative PCR.** Genomic DNA was isolated from flow-cytometrically sorted thymocyte populations of *Atm*/ mice and control  $Atm^{+/+}$  littermates. Twenty-five to 50 ng of DNA was PCR amplified with a combination of  $V_{\alpha}$  gene and J $\alpha$ gene-specific primers (synthesized by IDT, Coralville, IA) by using the Power Sybr Green Master Mix kit (Applied Biosystems, Foster City, CA) for 40 cycles in 96-well plates. Forward (F) and reverse (R) primers used were as follows:  $V\alpha2(F)$ , TGGAGACTCAG-CCACCTACT; Va6(F), CTCTGACAGAAAGTCAAGCAC;  $Va8(F)$ , GCAGCAGCTCCTTCCATC;  $Va10(F)$ , AAGAAC-GTCGCAGCTCTTTG; Ja2(R), TACCGGGTTGCAAATG-GTG;  $Ja17(R)$ , TGATGGCTAGGCTCCTTTTC;  $Ja31(R)$ , CATATTAAATTGCATCTCTGCT; Ja37(R), AAATGAGC-ATAAAGCGACAG; Ja44(R), TACAGGAATACCCAGT-CAAG; J $\alpha$ 49(R), GATAGCTCCTTCTGCAGGTT; J $\alpha$ 56(R), GACTTGTTCCTCACTGAAAC; Ja61(R), TCCAGCAATTT-TCAGGTAGA; Ca(F), GTGTGAGCATGGGAGACAGA;  $Ca(R)$ , TGGAGAGAGACAGGGGAAAG; J $\alpha$ 61 SE, GGTC-CACGTCCAGATGCCAACT; Ja61 CE, TCGTCCCAAATTG-TAGGTTGT (32).

Data were collected on an ABI 9700 Sequence Analyzer and analyzed by using the SDS 2.0 software (Applied Biosystems). For each assay, DNA sample was also analyzed for the control, nonrearranging C $\alpha$  gene. The relative amount of V-J $\alpha$  rearrangements in each sample was calculated by the following formula: 1.9<sup>-CtVxJy</sup>/1.9<sup>-CtC $\alpha$ </sup>. The relative ratios of each V $\alpha$ -J $\alpha$  rearrangements were averaged from all calculations and plotted together with

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the standard error of the mean. Statistical significance was calculated by using Student's *t* test. Every quantitative PCR was inspected for single product formation by performing melting curve analysis, and the specificity of each quantitative PCR was verified by running the amplified products on agarose gels to determine the size and specificity of products.

**Ligation-Mediated PCR.** A total of  $\approx$  1  $\mu$ g of genomic DNA, isolated as described above, was used per each sample by modification of the previously described procedure (20). DNA was treated with T4 polymerase (New England Biolabs, Boston, MA) at a ratio of 1.5 units per microgram of DNA in the presence of 200  $\mu$ M dNTP at 15°C for 5 min. The DNA was phenol-chloroform extracted, ethanol-precipitated, and ligated to a double-strand, blunt, anchor oligonucleotide (20) by using T4 DNA ligase (New England Biolabs) at 15°C for overnight incubation. This procedure was shown to blunt 3' overhang CE breaks and leave blunt SE breaks intact. Semiquantitative PCRs were performed on serial dilutions of ligation products by using anchor-specific and gene-specific primers to detect CE and SE breaks by using Platinum *Taq* polymerase (Invitrogen, Carlsbad, CA). Positive control reactions with the gene-specific primers and negative control reactions by using only the anchor-specific (APR-1) primer were also performed. All PCRs included a 5-cycle touchdown incubation followed by 25–35 cycles of amplification at 60°C in a 96-well plate on a thermocycler (MJ Research, Watertown, MA). PCR products were separated on composite agarose-sieving agarose gels (Cambrex Bioscience, Rockland, ME), transferred to charged nylon membranes (PerkinElmer, Boston, MA), and hybridized to specific, radioactive-labeled probes internal to the PCR amplification primer sites. Images were acquired and analyzed by using a Storm PhosphorImager (Molecular Dynamics, Sunnyvale, CA).

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