# **Short Communication**

Cell-Specific Survival Motor Neuron Gene Expression during Human Development of the Central Nervous System

*Implications for the Pathogenesis of Spinal Muscular Atrophy*

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**Spinal muscular atrophy is an autosomal recessive disorder characterized by the progressive loss or degeneration of the motor neurons. To investigate the expression of survival motor neuron (***SMN***), the spinal muscular atrophy-determining gene, and its relationship with the pathogenesis of the disease, we analyzed by means of** *in situ* **hybridization the location of SMN mRNA in fetal, newborn, infant, and adult human central nervous system tissues. The large motor neurons of the spinal cord are the main cells that express** *SMN* **together with the neurons of the medulla oblongata, the pyramidal cells of the cortex, and the Purkinje cells of the cerebellum. Some sensory neurons from the posterior horn and dorsal root ganglia express** *SMN* **to a lesser degree. Furthermore, strong** *SMN* **expression is detected in the ependymal cells of the central canal. The expression is present in the spinal cord at 8 weeks of fetal life throughout postnatal and adult life. The sharp expression of** *SMN* **in the motor neurons of the human spinal cord, the target cells in spinal muscular atrophy, suggests that this gene is implicated in neuronal development and in the pathogenesis of the disease. The location of the** *SMN* **gene expression in other neuronal structures not clearly or directly associated with clinical manifestations or pathological findings of spinal muscular atrophy may indicate a varying sensitivity to the absence or dysfunction of the** *SMN* **gene in motor neurons.** *(Am J Pathol 1998, 153:355–361)*

neurons in the spinal cord. Although the pathological features of this disorder have been well characterized, the primary pathogenesis remains to be determined. The clinical expression of the disease is variable and classified by age of onset and maximal motor milestones achieved in type I (severe form), type II (intermediate form), and type III (mild/moderate form). The genomic region containing the defective gene(s) for SMA is localized in 5q13 and is particularly unstable and prone to large-scale deletions. Three genes and their homologous copies have been identified in this region: survival motor neuron (*SMN*), neuronal apoptosis inhibitor protein (*NAIP*), and *p44*. 1–3 *SMN* telomeric (SMNT) differs from its centromeric copy (*SMNc*) by only five nucleotides, none of which change the amino acid sequence of the putative protein.1 A lack of *SMNt* is observed in more than 90% of the patients regardless of the phenotype, and this can be caused by gene deletion or gene conversion, the former being more common in severe cases and the latter more common in mild cases.<sup>4</sup> In the remaining patients, a frameshift mutation in exon 3 and other point mutations have been described.<sup>1,4–9</sup> The centromeric copy of the *SMN* gene is present in all patients and deleted in approximately 4% of healthy individuals.<sup>1</sup> None of the patients carried homozygous deletions of both *SMNt* and *SMNc* genes, suggesting that such a genotype may result in a lethal phenotype. All of these findings clearly indicate that *SMNt* is the determining gene for SMA.

*SMN* encodes a 38-kd protein that bears no resemblance to any other proteins in the data base. A number of observations indicate that *SMN* may be involved in RNA metabolism. First, a clustering of missense muta-

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tions in the *SMN* gene has been described in SMA patients in the region of amino acids 262 to 279, which contains a tyrosine/glycine-rich motif that is present in various RNA binding proteins.<sup>6</sup> Secondly, the SMN protein has been located by immunohistochemistry within novel structures named "gems," which are more commonly associated with coiled bodies. Ramon y Cajal described the coiled bodies in 1903, and there is, at present, considerable evidence that both structures are involved in RNA metabolism.10 Finally, *SMN* forms a complex with a novel protein named SIP1 (SMN interacting protein 1) and also interacts with small nuclear ribonucleoproteins U1 and U5 of the spliceosome, the catalytic core of the splicing reaction.<sup>11,12</sup>

A detailed analysis of the expression of the *SMN* gene will assist in elucidating its role in the pathogenesis of the disease. The specific hypothesis regarding the function of the *SMN* gene can be tested with *in vivo* experimental systems, including the detection by *in situ* hybridization of *SMN* transcripts in the central nervous system tissues. This method allows specific and precise characterization of the temporal and spatial expression patterns of the gene. Studies using RNA *in vitro* methods and antibodies to the protein have shown that the *SMN* is expressed in a variety of human tissues, including muscle, heart, spinal cord, brain, liver, and pancreas.<sup>1,13,14</sup> However, a systematic description of the structural location and developmental patterns of *SMN* expression in human tissues has not been reported. We describe here the use of RNA *in situ* hybridization to define and characterize SMN expression in the central nervous system during human development.

## Materials and Methods

## *Human Samples*

Material from three fetuses was obtained from prostaglandin-induced, dilatation plus evacuation or spontaneous abortions at 8,12 and 17 weeks gestation. Postnatal tissues were obtained from one newborn (age 29 days), one infant (age 5 months), and three adults (ages 37, 42, and 60 years) from autopsy samples. None of them showed any histological abnormality in the tissues analyzed, which included spinal cord, medulla oblongata, cerebellum, and cerebral cortex. Tissues were fixed in 4% paraformaldehyde in phosphate-buffered saline and embedded in paraffin, and 10 to  $12-\mu m$  sections were mounted onto silane-treated slides.

# *Probes*

Human telomeric *SMN* cDNA inserts from nucleotide 1 to 1171 cloned in the Bluescript transcription vector (Stratagene, La Jolla, CA) and transcribed from either T3 or T7 promoters were used to generate single-stranded <sup>35</sup>Slabeled antisense cRNA and the corresponding sense probes with an RNA transcription kit (Stratagene). Labeled cRNA was reduced in size to an average of 100 to 200 bp by limited alkali hydrolysis with 80 mmol/L

NaHCO<sub>3</sub> and 120 mmol/L Na<sub>2</sub>CO<sub>3</sub> and separated from unincorporated nucleotides by fractionation on a Sephadex G-50 column. As a control, an R-domain probe of 480 bp of the CFTR gene<sup>15</sup> was used to hybridize some tissues of the individuals analyzed in this study.

## In Situ *Hybridization*

The *in situ* protocol has been described elsewhere with slight modifications.<sup>15</sup> In brief, paraffin sections were dewaxed in xylene before being digested with 0.001% proteinase K at 37°C for 10 to 20 minutes, rinsed in 0.1 mol/L triethanolamine, acetylated in 0.25% acetic anhydride for 10 minutes, and dehydrated in a graded series of ethanol solutions. Hybridization was performed with  $2 \times 10^7$ cpm/ml of probe in 50% formamide, 10% dextran sulfate, 0.3 mol/L NaCl, 10 mmol/L Tris, pH 8.0, 1× Denhardt's solution, 0.5 mg/ml tRNA, and 10 mmol/L dithiothreitol at 48°C to 50°C overnight. Excess probe was removed by digestion with RNase A (10  $\mu$ g/ml) and washed at a final stringency of  $0.1 \times$  saline sodium citrate at  $56^{\circ}$ C. Slides were hand dipped in Kodak NTB2 emulsion, exposed for 3 to 4 weeks at 4°C, developed, and stained with hematoxylin and eosin and toluidine blue (TB). Photography was done with a Zeiss Axioscope microscope.

## *Interpretation*

The relative levels of mRNA were quantified visually by grain count, comparing the positive antisense zones with the control sense zones. Background measurements were performed in areas without specific cells of the same slide, in an area similar to that of the serial section in which the sense probe had been used and in the total area of the sense hybridization.

# Results

# *Spinal Cord*

High levels of cell-specific *SMN* expression were detected at all of the developmental stages analyzed. The first stage of study was at 8 weeks, and the expression detected was diffuse in the epithelia of the central canal following the cell migration pattern to the ventral and posterior horn (Figure 1, A to C). During the second trimester of fetal life, high expression was confined to neuroblasts of the anterior horn and ependymal cells and to a lesser degree in isolated cells of the posterior horn and dorsal root ganglia (Figure 1, D to I). This pattern of expression was maintained throughout the postnatal life from neonatal to the adult period (Figure 2, A to I). No significant expression was detected in cells of the neuroglia, neuropil, and white matter when compared with large motor neurons.



Figure 1. Expression of the *SMN* gene in fetal spinal cord. A to C: Spinal cord at 8 weeks of development. A: Untreated section, bright field. The cells from the neural epithelium migrate toward the posterior horn and, to a lesser degree, toward the anterior horn. B: Antisense probe, dark field, showing the diffuse expression in the neural epithelium following the pattern of migration of the neural cells into the two horns. C: Sense probe, dark field. Hematoxylin and eosin; (3100). D to F: Dorsal root ganglia at 12 weeks of development. D: Antisense probe, bright field. E: Antisense probe, dark field. F: Sense probe, dark field. Hematoxylin and eosin ( $\times$ 200). G to I: Anterior horn of the spinal cord at 17 weeks of development showing a large expression in neuroblasts. G: Antisense probe, bright field. TB ( $\times$ 400). H: Antisense probe, dark field. TB ( $\times$ 200). I: Sense probe. Hematoxylin and eosin ( $\times$ 200).

#### *Other Neuronal Tissues*

#### Cerebral Cortex

During fetal life, the sample analyzed (17 weeks) demonstrated some expression in the precursor cells of the layers of the adult (data not shown). In adult life, a high level of expression was seen in the infragranular layers, particularly in pyramidal neurons (Figure 3, A to C).

#### Medulla Oblongata

A significant *SMN* expression was seen in the neurons of the medulla oblongata in adult stages. Further examination and level location of these cells indicated that these were neurons from the olive and the hypoglossal nuclei (Figure 3, D to F).

#### **Cerebellum**

In the fetal sample analyzed (17 weeks), the expression was observed in the primordial cells of the external cortical layer and the internal granular layer (data not shown). No Purkinje cells were seen in the cerebellum at this point. In the adult samples, high levels of expression were detected mainly in the Purkinje cells and to a lesser degree in the cells of the granular layer (Figure 3, G to I).

## **Discussion**

The data presented here illustrate the expression pattern of the *SMN* gene during the human development of the central nervous system, indicating that specific neuronal populations express *SMN*.

The expression was detected in the spinal cord as early as 8 weeks of development, during which the neuroblasts are still not well distinguished, although migration of neural tube cells has already started. The expression was diffuse but predominant in the epithelium of the neural tube following the pattern of migration of the neural cells. During the second trimester, the neuroblasts are readily identified and specific, and high levels of expression were seen in these cells. Subsequently, the expression in motor neurons is detected throughout postnatal life, suggesting a prominent role of this gene in the development and maintenance of the integrity of motor neurons. This is in agreement with the neuropathological findings of the disease, given that the most important change observed in SMA is a pronounced loss of motor



Figure 2. Expression of the *SMN* gene in adult spinal cord. A to C: Anterior horn. A: Antisense probe, bright field; strong expression in the motor neurons. B: Antisense probe, dark field. C: Sense probe. TB ( $\times$ 200). D: Higher magnification of one of the motor neurons from A. TB ( $\times$ 800). E and F: Expression in small neurons of the posterior horn. E: Antisense probe, bright field. F: Sense probe, bright field. TB (×800). G to I: Cells of the central canal expressing high level of SMN transcript. G: Antisense probe, bright field. H: Antisense probe, dark field. I: Sense probe, dark field. TB (3200).

neurons, and those that remain show varying degrees of degeneration,<sup>16</sup> correlating with the course of neurogenic muscular atrophy. Our results at the mRNA level correlate well with the recent report of Lefebvre and colleagues, who detected SMN protein using monoclonal antibodies in the large cells of the anterior horns in a control human fetus<sup>13</sup>

A strong signal of the SMN transcript was also detected in the epithelial lining of the neural tube and subsequently in the cells of the central canal. These are less-differentiated cells derived from the primitive neuroepithelia, and their function is related to the exchange of water and ions in the cerebrospinal fluid. In SMA patients, there have been no descriptions concerning pathology in the ependymal cells or concerning alterations in the circulation, amount or composition of the cerebrospinal fluid.<sup>17</sup> Moreover, the central canal of the spinal cord generally becomes obliterated at the time of puberty, and in normal adults, the ependymal cells have completed their role as a generative epithelium.<sup>18</sup> In agreement with our results, SMN protein analysis in a control fetus has shown a positive signal around the central canal.<sup>13</sup> The exact role of SMN in these cells warrants further investigation.

Although there are no obvious clinical sensory disturbances in SMA, spinal ganglion cells undergo degenerative changes similar to those of the motor neurons in these patients.<sup>16</sup> Novelli et al<sup>14</sup> have noted SMN expression in dorsal root ganglia in a 10-week-old control fetus as well as SMN expression in the ventral and dorsal parts of the spinal cord, although no specific cell targets were reported.<sup>14</sup> In our study, two control fetal cases showed significant expression in dorsal root ganglia. Moreover, in the posterior horn, which has few small sensory neurons or neurons that participate in the trineuronal-reflex arc, we detected significant expression, although it was less intense when compared with neurons of the anterior horn. All of these findings suggest that *SMN* may play a role not only in motor neurons but also in the development and maintenance of sensory neurons.

Degeneration of cranial nerve nuclei IX to XII has been described in SMA type I and II. The consequences of hypoglossal nerve involvement (XII) are tongue fasciculation and atrophy. Involvement of nerves IX to XI includes dysphagia, dysphonia, hyperdiaphoresis, and increased mucus secretion.<sup>16</sup> Significant levels of expression of the SMN transcript were detected in the adult medulla oblongata corresponding to neurons from some of these structures, lending support to the neuropathological findings and the manifestations of the disease already described.



Figure 3. Expression of the *SMN* gene in adult brain cortex, medulla oblongata, and cerebellum. A to C: Neurons of the parietal cerebral cortex expressing *SMN* transcript mainly in the pyramidal cells of the infragranular layer. A: Antisense probe, bright field. B: Antisense probe, dark field. C: Sense probe, dark field. TB (3200). D to F: Neurons of the medulla oblongata at the level of the olive showing high level of expression. D: Antisense probe, bright field. E: Antisense probe, dark field. F: Sense probe, dark field. TB (×200). G to I: Adult cerebellum with Purkinje cells being the main cell type that expresses *SMN*. G: Antisense probe, bright field. Arrow: Purkinje cell. TB ( $\times$ 400). H: Antisense probe, dark field. I: Sense probe, dark field. TB ( $\times$ 200).

In the cerebral cortex and the cerebellum, the *SMN* gene appears to be expressed in a particular population of neurons. In the parietal cerebral cortex, expression was seen in the infragranular layers, and the pyramidal cells are the main type that expresses more significant levels of SMN transcript. In agreement with our results, SMN protein has been detected positively in the pyramidal cells of the human prefrontal cortex.<sup>19</sup> The consequences of SMN dysfunction in these cells are not clear. In type I cases, a diffuse increase in the number of astrocytes and microglia of the cerebral cortex has been reported.<sup>16</sup> Furthermore, few SMA patients have been described with SMN deletions and extended central nervous involvement with positive pyramidal signs.<sup>20</sup> In the cerebellum, a clear expression was detected prenatally in the fetal external layer and, to a lesser degree, in the internal layer. In postnatal life, this external layer disappears, and the expression was restricted mainly to the Purkinje cells. These cells were not observed in our fetal samples of the middle second trimester (17 weeks), because they probably appear later during development. Purkinje cells are efferent modulators of movement (extrapyramidal system), and the pathological findings described in the cerebellum of SMA patients include a slight loss of these cells,  $17$  in accordance with the expression that we noted pre- and postnatally in this organ.

The high level of expression of the *SMN* gene found in the spinal cord allows us to assume that the absence of SMN is clearly related to the pathological findings and the clinical manifestations of the disease. By contrast, the high levels of expression in the brain and the cerebellum in the absence of clinical symptoms make it difficult to hypothesize about a critical role for *SMN* in these structures. The study of SMA patients with cerebellar involvement could be *a priori* interesting to clarify this point. However, two recent reports indicate that the combination of motor neuronal degeneration and olivopontocerebellar hypoplasia are not likely to be the consequence of SMN deletions.<sup>20,21</sup>

Previous Northern blot, reverse transcription-polymerase chain reaction, and Western studies of the *SMN* gene and protein have indicated a widespread SMN expression in a variety of human tissues, including brain and spinal cord.1,13,14,22 Our *in situ* analysis confirmed the presence of expression in the latter two organs as well as in medulla oblongata and cerebellum. Moreover, the method allowed us to identify the different cells from these structures that express *SMN* at a significant level. The expression of the *SMN* gene is mainly located in specific neuronal populations, starting early during the development of the central nervous system. This was particularly noted in the differentiation of the epithelium of

the neural tube in neuroblasts and in cells of the central canal, which corroborates the view that pathological changes of the disease begin during fetal life.<sup>23</sup> This pattern of expression is maintained during the rest of life until adult age and suggests that the function of the *SMN* gene is crucial to the development and maintenance of neuron integrity. Moreover, the lack of a significant expression in the glial cells, neuropil, and white matter when compared with the neurons, lends strong support to the fact that the pathology of SMA, the only disorder to date related to *SMN* mutations, is restricted to the neurons of the central nervous system. However, the expression in structures that are not clearly related to SMA manifestations or pathology (ie, ependymal cells or olive, cortical, or cerebellar neurons) suggests that these cells may be less sensitive to the absence or dysfunction of the SMN protein because of a compensation mechanism that could involves *SMNc*. There is evidence that *SMNc* expresses protein in the absence of *SMNt*13,22 and that an increase in the copy number of *SMNc* may correlate with a decrease in disease severity.<sup>1,4</sup> However, according to a recent report, patients with type I and II SMA can have an identical copy number of SMNc, but fibroblasts of type II patients produce more gems than type I patients, suggesting that not all *SMNc* genes are equivalent.<sup>22</sup> In mouse, in which the *SMN* gene exists as a unique copy, the complete loss of the *SMN* gene produced by homologous recombination results in a lethal phenotype.24 The massive cell death detected in these *SMN*-deficient mouse embryos reinforces the previous hypothesis that the complete absence of *SMNt* and *SMNc* is lethal in humans<sup>1</sup> and lends support to an indispensable role of *SMN*. Nevertheless, our results suggest that *SMN*, expressed in different neuronal populations, may have a puzzling regulatory mechanism that leads to the loss or degeneration of only part of a specific neuronal group when this gene is interrupted. The recent description of a complex SMN-Sp1-associated protein $11,12$  with a possible role assembling the various spliceosomal small nuclear ribonucleoproteins proteins indicates that regulation of *SMN* may involve another modulating gene(s) or interaction with other cellular specific components. In this context, large motor neurons may have a varying sensitivity to the absence or dysfunction of the *SMN* gene. The clearing up of such doubts will improve our understanding of the pathophysiology of the disease and will assist in the search for therapeutic alternatives to circumvent the absence of *SMNt*.

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