

Short Communication

Frequent Expression of the NPM-ALK Chimeric Fusion Protein in Anaplastic Large-Cell Lymphoma, Lympho-Histiocytic Type

Stefano A. Pileri,* Karen Pulford,[†]
Shigeo Mori,[‡] David Y. Mason,[†]
Elena Sabattini,* Giovanna Roncador,*
Milena Piccioli,* Claudio Ceccarelli,*
Pier Paolo Piccaluga,* Donatella Santini,*
Ornella Leone,* Harald Stein,[§] and
Brunangelo Falini^{||}

From the Service of Pathologic Anatomy and Hematopathology Section, Bologna University, Bologna, Italy; the Department of Cellular Science,[†] Oxford University, Oxford, United Kingdom; the Department of Pathology,[‡] Tokyo University, Tokyo, Japan; the Institute of Pathology,[§] Berlin Free University, Berlin, Germany; and the Institute of Hematology,^{||} Perugia University, Perugia, Italy*

The revised European-American lymphoma classification recognizes a subtype of anaplastic large-cell lymphoma (ALCL), termed lympho-histiocytic because of its peculiar cytological composition. As in the case of classical ALCL, this tumor usually occurs in young patients and shows an excellent response to chemotherapy, but some authors have suggested that in reality this is a nonanaplastic T-cell lymphoma rich in histiocytes. In this paper, we show that three of five cases of lympho-histiocytic ALCL stain with anti-ALK antibodies and can therefore be presumed to express the chimeric NPM/ALK protein secondary to (2;5) translocation. These findings further support the inclusion of this as a type of ALCL and not among the nonanaplastic peripheral T-cell lymphomas. Furthermore, they indicate that staining for ALK proteins is a powerful tool for the diagnosis of lympho-histiocytic ALCL, the recognition of which may be difficult on mor-

phological grounds. (Am J Pathol 1997, 150:1207-1211)

After the first report by Stein et al¹ in 1985, studies of anaplastic large-cell lymphoma (ALCL) have flourished in the literature and have contributed to its clinicopathological definition.²⁻⁹ This tumor type was incorporated first in the updated Kiel classification¹⁰ and more recently in the revised European-American lymphoma (REAL) classification.¹¹ In the latter scheme, the term anaplastic refers to a specific category of large-cell tumors, of peripheral T-cell or null phenotype, which arise as primary nodal or extranodal neoplasms or as secondary tumors in non-ALCL or Hodgkin's disease (HD).¹¹ Furthermore, the REAL classification¹¹ incorporates most of the concepts that emerged from two workshops on ALCL, held in Berlin in 1987 and 1988.⁵ Thus, four subtypes of the tumor are recognized: common, giant-cell-rich, lympho-histiocytic (LH), and Hodgkin-related/Hodgkin's-like.^{5-9,11,12} ALCL-LH represents a rare form, observed mostly in the first two decades of life, which is extremely rich in reactive histiocytes.¹²

In the late 1980s, several papers reported that a characteristic reciprocal translocation t(2;5)(p23;q35) is associated with ALCL.¹³⁻¹⁶ In 1994, Morris et al¹⁷ succeeded in cloning the relevant breakpoint regions. From this¹⁷ and from the independent studies of Shiota et al,^{18,19} it emerged that the nucleophosmin gene (*NPM*) fuses with a novel receptor

Supported by grants from AIRC (Milan), CNR (Rome), MURST (Rome), and the Leukaemia Research Fund of Great Britain.

Accepted for publication November 27, 1996.

Address reprint requests to Dr. Stefano A. Pileri, Servizio di Anatomia Patologica e Sezione di Ematopatologia, Università di Bologna, Policlinico S. Orsola, Via Massarenti 9, 40138 Bologna, Italy.

tyrosine kinase gene, termed *ALK* (anaplastic lymphoma kinase) to produce a chimeric protein in which 40% of the amino-terminal portion of NPM is linked to the entire intracytoplasmic portion of ALK. Recently, a reverse transcriptase polymerase chain reaction (RT-PCR)¹⁷ and antibodies specifically directed against fixation-resistant epitopes on the intracytoplasmic portion of ALK¹⁸⁻²⁰ have become available, thus allowing the detection of *NPM-ALK* transcripts or product in bioptic samples. Expression of the *ALK* gene does not occur in normal lymphoid tissue and among lymphoid neoplasms; it appears to be essentially restricted to cells carrying the t(2;5) or other aberrations involving chromosomal region 2p23.¹⁷

The *NPM-ALK* fusion gene and its protein product have been found in approximately 40% of the ALCL common tumors tested,¹⁹⁻²³ but there has been no report of the frequency with which it is found in the lympho-histiocytic subtype.

In the present paper, we report that neoplastic cells in the majority of LH ALCLs show strong positivity with antibodies specific for ALK protein, and hence are likely to carry the t(2;5) anomaly found in other types of ALCL.

Materials and Methods

Tissue, Reagents, and Immunostaining

Paraffin-embedded blocks from five cases of LH ALCLs were retrieved from the files of the Hematopathology Section of Bologna University. In all cases, tissue had been fixed in 10% buffered formalin and embedded in Histologie noncaking paraffin for histology (Merck, Darmstadt, Germany). The diagnosis was based on the morphological examination of 4- μ m-thick sections stained with hematoxylin and eosin (H&E), Giemsa, and Gomori silver impregnation and extensive immunophenotyping using the alkaline phosphatase anti-alkaline phosphatase technique²⁴ and antibodies directed against one or more of the following molecules: CD30 (Ber-H2; Prof. Stein), CD15 (C3D-1; Dako, Glostrup, Denmark), CD45 (2B11+PD7/26; Dako), CD3 (polyclonal; Dako, Denmark), CD43 (DFT1; Dako), CD45R0 (UCHL1; Dako), CD20 (L26; Dako), CD79a (JCB117; Dr. Mason), CD21 and CD68/KP-1 (Dako), CD68/PG-M1 (Prof. Falini), EMA (E29; Dako), lysozyme (polyclonal; Dako), protein S-100 (polyclonal; Dako), Ki-67 (MIB-1; Prof. Gerdes), and LMP-1 (CS1-4; Dako). The clinical findings at presentation and during the course of the disease were also reviewed.

Routine sections from these cases were stained for ALK protein using a polyclonal antibody produced by immunizing rabbit with a synthetic peptide^{18,19} and a monoclonal antibody (ALK1) raised against recombinant ALK protein.²⁰ Sections were collected on silane-coated slides, dried overnight at 37°C, dewaxed in Histo-Clear, rehydrated in graded alcohols, and finally rinsed twice in running water for 5 minutes. Rehydrated sections in metal racks were immersed in a boiling retrieval solution consisting of 1 mmol/L NaOH EDTA (pH 8.0)²⁵ in a pressure cooker. The pressure cooker was then sealed and brought to its full pressure (15 psi) after which sections were boiled for 90 seconds (for the polyclonal antibody) or 150 seconds (for the monoclonal reagent). Slides were then cooled, washed twice in 0.1 mmol/L Tris-buffered saline (pH 7.2), and immunostained at room temperature as follows: 1) incubation with fetal calf serum diluted 1:5 for 20 minutes, 2) incubation with the primary antibody for 30 minutes (the polyclonal being diluted 1:60, the monoclonal at 1:2), 3) repeated washes in Tris-buffered saline, 4) incubation with rabbit anti-mouse antibody diluted 1:30 (Dako, code number Z0259) for 30 minutes, 5) repeated washes as above, 6) incubation with alkaline phosphatase anti-alkaline phosphatase complexes from mouse diluted 1:35 (Dako, code number D0651) for 30 minutes, and 7) repeated washes as above. Steps from 4 to 7 were repeated twice. The reaction was developed according to Cordell et al.²⁴ Slides were finally counterstained with Gill's hematoxylin (Sigma Chemical Co., St. Louis, MO; code number GHS-2-16) and mounted in Kaiser's glycerol gelatin (Merck, code number 1.09242.0100). When the polyclonal antibody from rabbit was used as primary reagent, an additional step was added between steps 3 and 4, ie, incubation in a mouse anti-rabbit monoclonal antibody diluted 1:30 (Dako, code number M0737) for 30 minutes, followed by repeated washes in buffer solution.

Results

The five cases of LH ALCL that formed the basis of this study all occurred in males (four children who were 5, 6, 13, and 14 years old and one adult who was 58 years old), presented in stages III and IV, and entered complete remission in response to aggressive chemotherapy (median follow-up, 54.5 months; range, 13 to 118 months). All cases of LH ALCL had been diagnosed in lymph node biopsies and were characterized by the complete effacement of the normal structure by a diffuse infiltrate, composed

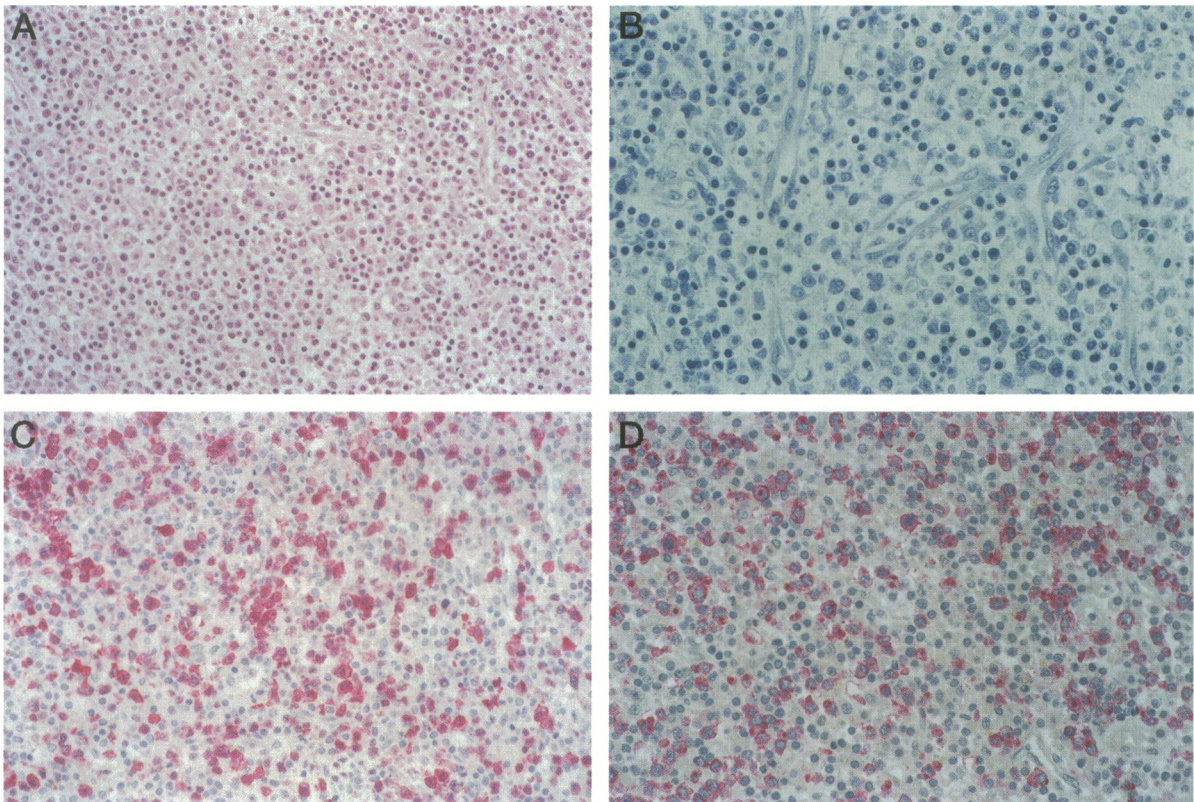


Figure 1. Anaplastic large-cell lymphoma, lympho-histiocytic type. **a:** At low magnification, the tumor shows a pale appearance due to the very high content of reactive histiocytes with a large acidophilic rim of cytoplasm. H&E; magnification, $\times 300$. **b:** Isolated large anaplastic cells are observed with the reactive histiocytic population. Giemsa; magnification, $\times 750$. **c and d:** Large anaplastic cells stained by the monoclonal antibody ALK1 and the Ber-H2/CD30, respectively. APAAP technique; Gill's hematoxylin counterstain; magnification, $\times 500$.

mainly of uniform looking histiocytes, which had a broad rim of acidophilic cytoplasm and, sometimes, showed a perinuclear clear zone corresponding to the Golgi area (Figure 1, A and B). Their nuclei were eccentric, with dense chromatin. Among these histiocytes, a few small lymphocytes and a varying number of large cells, with typical anaplastic large-cell morphology was present (Figure 1B). The neoplastic cells were usually scattered among the histiocytes or arranged in clusters of a few cells, but on examination of numerous serial sections, large foci of cohesive anaplastic elements were encountered in most cases, which contained numerous mitotic figures and were easily recognized at low power as dark blue areas within the pale macrophage population. The phenotype of the histiocytic and neoplastic elements are summarized in Table 1.

Three of five LH ALCLs displayed a clear-cut reactivity of all neoplastic cells with both of the antibodies against ALK protein, the staining being strongest with the monoclonal reagent (Figure 1C). Positivity paralleled that for CD30 (Figure 1D), so that both antigens clearly picked out the neoplastic cells among the numerous histiocytes. All ALK-positive

cases occurred in children and expressed T-cell markers, together, in one case, with LMP-1. One of the negative case was an adult patient with a T-cell tumor phenotype, and the other was a 6 year-old boy with a null tumor.

Table 1. Phenotypic Profiles of Anaplastic Large Cells and Histiocytes in the LH ALCLs of the Present Study

	Anaplastic large cells	Histiocytic component
CD30	+ (5/5)	- (5/5)
Ki-67	+* (5/5)	- (5/5)
EMA	+ (5/5)	- (5/5)
CD3	+ (4/5)	- (5/5)
CD68 (KP1 AND PG-M1)	- (5/5)	+ (5/5)
Lysozyme	- (5/5)	+ (5/5)
CD45	+ (5/5)	+ (5/5)
CD43	+ (4/5)	+/- (5/5)
CD45RO	+ (4/5)	+/- (5/5)
CD20	- (5/5)	- (5/5)
CD79a	- (5/5)	- (5/5)
CD21	- (5/5)	- (5/5)
Protein S-100	- (5/5)	- (5/5)

*>90% of cell.

Discussion

LH ALCL was first extensively characterized by the authors' group in 1990¹² in a report of 13 examples of the tumor, all occurring in young patients in the first or second decades of life. In each case, typical ALCL cells were greatly outnumbered by reactive histiocytes, which had a distinctive cytological appearance (prominent acidophilic cytoplasm and an eccentric, dense nucleus). The large anaplastic cells expressed CD30 and T-associated antigens and were a proliferating population, as evidenced by mitotic figures and Ki-67 stain, which was not observed in the macrophage component. In the few cases studied by Southern blot analysis, a clonal rearrangement of the genes encoding for the T-cell receptor was detected.

Clinically, all cases treated with aggressive chemotherapy achieved complete remission and tended to maintain it several years after presentation. However, it should be noted that the few cases that did not receive prompt diagnosis, because of the difficulties in the interpretation of the histological features, had a fatal outcome.

This paper¹² had a dual title, which represented a compromise between the authors and one of the referees, who did not accept that the lymphoma was an example of ALCL, and a similar view was further sustained by Lennert and Feller, who considered the neoplasm to be a peripheral T-cell lymphoma analogous to the lympho-epithelioid T-cell lymphoma (Lennert's lymphoma), the difference being in the morphology of the histiocytic component.²⁶ This interpretation implied that both the large atypical cells and many of the small T lymphocytes were part of the same neoplastic clone, whereas our interpretation was that the small T cells were reactive.

In 1994, we published another series of this neoplasm, which strengthened the concept that it represents a subtype of ALCL.²⁷ Morphologically, all cases displayed typical features of ALCL as seen previously.⁵ Apart from this similarity, some tumours had a null phenotype of the neoplastic cells, three cases occurred in adults, and the small lymphocytes scattered through the growth were of both B- and T-cell nature. The large anaplastic cells in some cases showed genomic integration of Epstein-Barr virus by *in situ* hybridization with EBER-1 and -2 probes.²⁷ It was on the basis of this study that the tumor was included in the REAL classification as a variety of ALCL.¹¹

To the best of our knowledge, this is the first time that the ALK protein has been observed in LH ALCL, although it should be noted that, in their original

report on CD30-positive large-cell lymphomas carrying t(2;5), Mason et al¹⁶ included a classical case of ALCL, LH subtype. Although it has not been formally proved that immunostaining reflects the presence of chimeric NPM-ALK protein (as the ALK1 antibody can react with intact ALK²⁸), it can be safely assumed. The numbers studied are too small to give a reliable estimation of the frequency of t(2;5) in LH ALCL, but our results suggest that it is comparable to that observed in classical ALCL.

Given that the t(2;5) is accepted as a distinctive feature of ALCL,¹³⁻²³ this study provides strong confirmation that LH tumor is indeed a form of ALCL and should not be referred to as a peripheral T-cell lymphoma other than ALCL. Furthermore, our results indicate that positivity for ALK protein may be a very useful tool also in the diagnosis of this tumor. According to our experience with consultation material, cases of LH ALCL are still diagnosed as hyperimmune reactions or atypical lymphoproliferations, and the possibility of detecting the NPM/ALK protein should provide a substantial aid for the recognition of this disease.

Acknowledgments

We thank Dr. Aspasia Briskomatis, Mrs. Cesarina Ercolessi, and Miss Federica Sandri for their skillful assistance.

References

1. Stein H, Mason DY, Gerdes J, O'Connor N, Wainscoat J, Pallesen G, Gatter K, Falini B, Delsol G, Lemke H, Schwarting R, Lennert K: The expression of the Hodgkin's disease associated antigen Ki-1 in reactive and neoplastic lymphoid tissue: evidence that Reed-Sternberg cells and histiocytic malignancies are derived from activated lymphoid cell. *Blood* 1985, 66: 848-858
2. Agnarsson BA, Kadin ME: Ki-1 positive large cell lymphoma. *Am J Surg Pathol* 1988, 12:264-274
3. Chan JKC, Hui PK, Leung TW, Lau WH, McGuire LJ: Anaplastic large cell Ki-1 lymphoma: delineation of 2 morphological types. *Histopathology* 1989, 11-34
4. Chott A, Kaserer K, Augustin I, Vasely M, Heinz R, Oehlinger W, Hanak H, Radaszkiewicz T: Ki-1 positive large cell lymphoma: a clinicopathologic study of 41 cases. *Am J Surg Pathol* 1990, 14:439-448
5. Stein H, Herbst H, Anagnostopoulos I, Niedobitek G, Dallenbach F, Kratzsch H-C: The nature of Hodgkin and Reed-Sternberg cells, their association with EBV, and their relationship to anaplastic large cell lymphoma. *Ann Oncol* 1991, 2:33-38
6. Pileri S, Bocchia M, Baroni CD, Martelli M, Falini B,

- Sabattini E, Gherlinzoni F, Amadori S, Poggi S, Mazza P, Burgio V, Zinzani PL, Melilli G, Benni M, Saragoni L, Martelli MF, Stein H, Mandelli F, Tura S: Anaplastic large cell lymphoma (CD30⁺/Ki-1⁺): results of a prospective clinico-pathological study of 69 cases. *Br J Haematol* 1994, 86:513-523
7. Falini B, Pileri S, Pizzolo G, Flenghi L, Durkop H, Stirpe F, Martelli MF, Stein H: CD30 (Ki-1) molecule: a new cytokine receptor of the TNF receptor superfamily as a target for diagnosis and immunotherapy. *Blood* 1995, 85:1-14
 8. Pileri SA, Piccaluga A, Poggi S, Sabattini E, de Vivo A, Piccaluga PP, Falini B, Stein H: Anaplastic large cell lymphoma: update of findings. *Leuk Lymphoma* 1995, 18:17-25
 9. Zinzani PL, Bendandi M, Martelli M, Falini B, Sabattini E, Amadori S, Gherlinzoni F, Martelli MF, Mandelli F, Tura S, Pileri S: Anaplastic large cell lymphoma (Ki-1/CD30⁺): clinical and prognostic evaluation of 90 adult patients. *J Clin Oncol* 1996, 14:955-962
 10. Stansfeld AG, Diebold J, Kapanci Y, Kelenyi G, Lennert K, Mioduszewska O, Noel H, Rilke F, Sundstrom C, van Unnik JAM, Wright DH: Updated Kiel classification for lymphomas. *Lancet* 1988, i:292-293
 11. Harris N, Jaffe ES, Stein H, Banks PM, Chan JKC, Cleary ML, Delsol G, De Wolf-Peeters C, Falini B, Gatter KC, Grogan TM, Isaacson PG, Knowles DM, Mason DY, Müller-Hermelink H-K, Pileri S, Piris MA, Ralfkiaer E, Warnke RA: A revised European-American classification of lymphoid neoplasms: a proposal from the International Lymphoma Study Group. *Blood* 1994, 84:1361-1392
 12. Pileri S, Falini B, Delsol G, Stein H, Baglioni P, Poggi S, Rivano MT, Mason DY, Martelli MF, Stansfeld AG: Lympho-histiocytic T cell lymphoma (anaplastic large cell lymphoma CD30⁺/Ki-1⁺ with a high content of reactive histiocytes). *Histopathology* 1990, 16:383-391
 13. Kaneko Y, Frizzera G, Edamura S, Maseki N, Sakurai M, Komada Y, Sakurai M, Tanaka H, Sasaki M, Suchi T, Kikuta A, Wakasa H, Hojo H, Mizutani S: A novel translocation, t(2;5)(p23;q35), in large phagocytic large T-cell lymphoma mimicking malignant histiocytosis. *Blood* 1989, 73:806-813
 14. Le Beau MM, Bitter MA, Franklin WA, Rubin CM, Kadin ME, Larson RA, Rowley JD, Vardiman JW: The t(2;5)(p23;q35): a recurring chromosomal abnormality in sinusoidal Ki-1⁺ non-Hodgkin's lymphoma (Ki-1⁺NHL). *Blood* 1988, 72:247A
 15. Rimokh R, Magaud JP, Berger F: A translocation involving a specific breakpoint (q35) on chromosome 5 is characteristic of anaplastic large cell lymphoma (Ki-1 lymphoma). *Br J Haematol* 1989, 71:31-36
 16. Mason DY, Bastard C, Rimokh R, Dastugne N, Huret J-L, Kristoffersson V, Magaud J-P, Nezelof C, Tilly H, Vannier J-P, Hemet J, Warnke RA: CD30-positive large cell lymphomas ("Ki-1 lymphoma") are associated with a chromosomal translocation involving 5q35. *Br J Haematol*, 1990, 74:161-168
 17. Morris SW, Kirstein MK, Valentine MB, Dittinen KG, Shapiro DK, Saltman DL, Look AT: Fusion of a kinase gene, ALK, to a nucleolar protein gene, NPM, in non-Hodgkin's lymphoma. *Science* 1994, 253:1281-1284
 18. Shiota M, Fujimoto J, Takenaga M, Satoh H, Ichinohasama R, Abe M, Nakano M, Yamamoto T, Mori S: Diagnosis of t(2;5)(p23;q35)-associated Ki-1 lymphoma with immunohistochemistry. *Blood* 1994, 84:3648-3652
 19. Shiota M, Nakamura S, Ichinohasama R, Abe M, Akagi T, Takeshita M, Mori N, Fujimoto J, Miyauchi J, Mikata A, Nanba K, Takami T, Yamabe H, Takano Y, Toshiyuki I, Nagatani T, Mohri N, Nasu K, Satoh H, Katano H, Fujimoto J, Yamamoto T, Mori S: Anaplastic large cell lymphomas expressing the novel chimeric protein p80^{NPM/ALK}: a distinct clinicopathologic entity. *Blood* 1995, 86:1954-1960
 20. Pulford K, Lamant L, Morris S, Butler LH, Wood KM, Stroud D, Delsol G, Mason DY: Detection of ALK and NPM-ALK protein in normal and neoplastic cells with the monoclonal antibody ALK1. *Blood* 1997 (in press)
 21. Bullrich F, Morris SW, Hummel M, Pileri S, Stein H, Croce CM: Nucleophosmin (NPM) gene rearrangement in Ki-1-positive lymphomas. *Cancer Res* 1994, 54:11:2873-2877
 22. Lopategui JR, Sun LH, Chan JK, Gaffey MJ, Frierson HF Jr, Glackin C, Weiss LM: Low frequency association of the t(2;5)(p23;q35) chromosomal translocation with CD30⁺ lymphomas from American and Asian patients: a reverse transcriptase-polymerase chain reaction study. *Am J Pathol* 1995, 146:323-328
 23. Herbst H, Anagnostopoulos J, Heinze B, Dürkop H, Hummel M, Stein H: ALK gene products in anaplastic large cell lymphomas and Hodgkin's disease. *Blood* 1995, 86:1694-1700
 24. Cordell JL, Falini B, Erber WN, Ghosh AK, Abdulaziz Z, Macdonald S, Pulford KAF, Stein H, Mason DY: Immunoenzymatic labeling of monoclonal antibodies using immune complexes of alkaline phosphatase and monoclonal anti-alkaline phosphatase (APAAP complexes). *J Histochem Cytochem* 1984, 32:219-229
 25. Morgan JM, Navabi H, Schmid KW, Jasani B: Possible role of tissue-bound calcium ions in citrate-mediated high-temperature antigen retrieval. *J Pathol* 1994, 174:301-307
 26. Lennert K, Feller AC: *Histopathology of non-Hodgkin's lymphomas (based on the updated Kiel classification)*. Berlin, Springer-Verlag, 1992, pp 256-258
 27. Pileri S, Sabattini E, Poggi S, Amini M, Falini B, Stein H: Lympho-histiocytic T-cell lymphoma. *Histopathology* 1994, 25:191-193
 28. Delsol G: ALK⁺ large B-cell lymphoma lacking t(2;5): a distinct immunomorphologic and genotypic subtype. VI Meeting of the International Lymphoma Study Group, Stanford, September 16-19, 1996