## **BASIC RESEARCH**

Assessment of the relationship between cerebral and splanchnic oxygen saturations measured by near-infrared spectroscopy and direct measurements of systemic haemodynamic variables and oxygen transport after the Norwood procedure

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Heart 2006;92:1678-1685. doi: 10.1136/hrt.2005.087270

**Objectives:** To evaluate the clinical utility of near-infrared spectroscopic (NIRS) monitoring of cerebral (ScO<sub>2</sub>) and splanchnic (SsO<sub>2</sub>) oxygen saturations for estimation of systemic oxygen transport after the Norwood procedure.

**Methods:** ScO<sub>2</sub> and SsO<sub>2</sub> were measured with NIRS cerebral and thoracolumbar probes (in humans). Respiratory mass spectrometry was used to measure systemic oxygen consumption (VO<sub>2</sub>). Arterial (SaO<sub>2</sub>), superior vena caval (SvO<sub>2</sub>) and pulmonary venous oxygen saturations were measured at 2 to 4 h intervals to derive pulmonary (Qp) and systemic blood flow (Qs), systemic oxygen delivery (DO<sub>2</sub>) and oxygen extraction ratio (ERO<sub>2</sub>). Mixed linear regression was used to test correlations. A study of 7 pigs after cardiopulmonary bypass (study 1) was followed by a study of 11 children after the Norwood procedure (study 2).

**Results:** Study 1.  $Sco_2$  moderately correlated with  $Svo_2$ , mean arterial pressure, Qs,  $Do_2$  and  $ERo_2$  (slope 0.30, 0.64. 2.30, 0.017 and -32.5, p < 0.0001) but not with  $Sao_2$ , arterial oxygen pressure ( $Pao_2$ ), haemoglobin and  $Vo_2$ . Study 2.  $Sco_2$  correlated well with  $Svo_2$ ,  $Sao_2$ ,  $Pao_2$  and mean arterial pressure (slope 0.43, 0.61, 0.99 and 0.52, p < 0.0001) but not with haemoglobin (slope 0.24, p > 0.05).  $Sco_2$  correlated weakly with  $Vo_2$  (slope -0.07, p = 0.05) and moderately with  $Vo_2$  (slope  $Vo_2$ ) and  $Vo_3$ 0.03,  $Vo_3$ 0.03,  $Vo_4$ 0.0001).  $Vo_2$ 1 showed similar but weaker correlations.

**Conclusions:** ScO<sub>2</sub> and SsO<sub>2</sub> may reflect the influence of haemodynamic variables and oxygen transport after the Norwood procedure. However, the interpretation of NIRS data, in terms of both absolute values and trends, is difficult to rely on clinically.

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Accepted 24 March 2006 Published Online First 18 April 2006

dequate systemic oxygen delivery (Do<sub>2</sub>) balanced with systemic oxygen consumption (Vo<sub>2</sub>) is crucial to the care of any child requiring intensive care but is particularly difficult to assess after the Norwood procedure.¹ Direct measurement of Do<sub>2</sub> and Vo<sub>2</sub> is most desirable but is rarely performed outside of investigational protocols, and methods often use inappropriate surrogates. Consequently, indirect markers of oxygen balance, such as superior vena caval oxygen saturation (Svo<sub>2</sub>), arterial (Sao<sub>2</sub>) and venous oxygen saturation difference and blood lactate, are commonly used to estimate the adequacy of Do<sub>2</sub> in these patients.²-⁴ The disadvantages of these techniques include the need for repeated blood sampling and the necessarily intermittent nature of the data accrual.

Near-infrared spectroscopy (NIRS) provides a non-invasive, continuous method to monitor regional tissue oxygenation. <sup>5</sup> <sup>6</sup> This technique depends on the transparency of biological tissue to light in the infrared region of the spectrum of tissue chromophores, such as haemoglobin and cytochrome aa3. Changes in absorption at several wavelengths can be converted into signals of oxyhaemoglobin, deoxyhaemoglobin and oxidised cytochrome aa3. Furthermore, newer generations of NIRS devices permit quantitative measurement of the ratio of oxyhaemoglobin to total haemoglobin, representing the tissue oxygenation index as an absolute term, independent of a tissue path length factor. <sup>7</sup> <sup>8</sup>

NIRS has been extensively evaluated in the cerebral<sup>6</sup> 9-13 and splanchnic circulations of newborn infants. 14-16 Because of its relative ease of use, it is also increasingly used in intensive care units as surrogates of Svo2 and systemic oxygenation.17-19 Good correlations have been generally reported between splanchnic (Sso<sub>2</sub>) or cerebral oxygen saturation (Sco<sub>2</sub>) and Svo<sub>2</sub> in various patient groups. 17-19 But there are few data in children with congenital heart disease, either preoperatively or postoperatively. Importantly, all the previous studies have used interindividual single-point assessments in a relatively large population, and NIRS has not been validated against directly measured systemic haemodynamic variables and oxygen transport. Thus, we performed two studies. Study 1 examined pigs after cardiopulmonary bypass (CPB) with normal circulation. Study 2 was a clinical study of neonates during the 72 h

**Abbreviations:** CaO<sub>2</sub>, systemic arterial oxygen contents; CPB, cardiopulmonary bypass; CpvO<sub>2</sub>, systemic pulmonary venous oxygen contents, CvO<sub>2</sub>, systemic superior vena caval oxygen contents; DO<sub>2</sub>, systemic oxygen delivery; ERO<sub>2</sub>, oxygen extraction ratio; NIRS, near-infrared spectroscopy; PaO<sub>2</sub>, arterial oxygen pressure; Qp, pulmonary blood flow; Qs, systemic blood flow; SaO<sub>2</sub>, arterial oxygen saturation; ScO<sub>2</sub>, cerebral oxygen saturation; SsO<sub>2</sub>, splanchnic oxygen saturation; SvO<sub>2</sub>, superior vena caval oxygen saturation; VO<sub>2</sub>, systemic oxygen consumption

after the Norwood procedure. The Norwood group was chosen because it is a particularly challenging subset of patients in which adequate Do2 is difficult to assess and would be highly advantageous to assess non-invasively. Our original hypothesis was that NIRS would accurately reflect systemic oxygen transport when compared with direct measurements. We therefore obtained continuous NIRS measurements of Sco2 and Sso2, and continuous measurement of Vo2 and, in combination with blood gases, derived repeated and quantitative measurements of pulmonary (Qp) and systemic blood flows (Qs), Do2 and oxygen extraction ratio (ERo<sub>2</sub>). We examined the correlation of Sco<sub>2</sub> and Sso<sub>2</sub> with each of the elements, as well as interindividual and intraindividual variability, to determine the clinical usefulness of NIRS for monitoring systemic haemodynamic function and oxygen transport in patients after the Norwood procedure.

### MATERIALS AND METHODS Study 1

After review and approval by the Institutional Animal Care and Use Committee of the Research Institute in The Hospital for Sick Children, Toronto, Canada, seven Yorkshire pigs weighing 18.5 (1.6) kg were studied. Techniques for anaesthesia, CPB and postoperative management were as described elsewhere. Briefly, the animals were studied during general anaesthesia, with inhaled isoflurene (2%) and intravenous infusion of pancuronium (0.8  $\mu$ g/kg/min). After median sternotomy the pigs underwent a total of 3 h of CPB at 32°C. After rewarming the animal were weaned from CPB, with continuous infusion of dopamine 5–10  $\mu$ g/kg/min when necessary.

## Study 2 Patients

This study was approved by the institutional Research Ethics Board. Written informed consent was obtained from the parents of 11 children (10 boys, aged from 4 to 92 days, median 7 days) undergoing the Norwood procedure between April and October 2004. Table 1 shows the patients' demographics.

### Intraoperative procedures

All patients were intubated with cuffed endotracheal tubes (microcuff Heidelberg paediatric; Microcuff GmbH, Weinheim, Germany). General anaesthesia was maintained with inhaled isoflurane, intravenous fentanyl and pancuronium bromide. Low-flow CPB and selective cerebral perfusion was used in 10 of 11 patients. A standard Norwood procedure with 3.5 mm right modified Blalock–Taussig shunt

was used.<sup>21</sup> Phenoxybenzamine 0.25 mg/kg was given at initiation of CPB. Milrinone (100 µg/kg) was given before termination of CPB. Dopamine (5 µg/kg/min) was initiated for the immediate time around cessation of CPB and was subsequently discontinued if the haemodynamic and ventricular functions were good. A pulmonary venous line was inserted into the orifice of the right upper pulmonary vein.

## Postoperative management

The central temperature (oesophageal) was maintained at 36–37°C. Postoperative monitoring included arterial, superior vena caval and pulmonary venous pressures and heart rate. Sedation was maintained by a continuous intravenous infusion of morphine and intermittent injections of a muscle relaxant (pancuronium) and lorazepam. Infants were ventilated with volume control and pressure support. Ventilation volume and rate were adjusted to maintain Paco<sub>2</sub> between 40–50 mm Hg. Inotropic agents, vasoactive drugs (milrinone, dopamine, phenoxybenzamine and vasopressin) and volume infusions (5% albumin or blood) were given according to our standard protocol.<sup>22</sup>

#### Methods of measurement

#### Sco2 and Sso2

NIRS probes consist of a near-infrared light emitter optode and a receiver optode with a distance of 5 cm. In pigs, they were placed on the right and left sides of the forehead. In patients, we chose to replicate previously published techniques of probe placement in this group of patients.<sup>13</sup> Briefly, the probes were placed on the patient's forehead in the midline (Sco<sub>2</sub>) and slightly to the right of the midline on the thoracic–lumbar flank (Sso<sub>2</sub>). The probes were monitored by a dual-detector device (INVOS 5100A; Somanetics, Troy, Michigan, USA) and recordings were made at 1 min intervals.

## ٧o<sub>2</sub>

 $\rm Vo_2$  was measured continuously with an AMIS2000 mass spectrometer (Innovision A/S, Odense, Denmark). This is a sensitive and accurate method that permits simultaneous measurements of multiple gas fractions. We have described the details elsewhere.<sup>23</sup>

# Calculations of systemic haemodynamic variables and oxygen transport

Blood samples were taken from the arterial, superior vena cava and pulmonary vein lines for the measurements of blood gases. Qp and Qs were then calculated by the direct Fick method: Qp =  $Vo_2/(Cpvo_2 - Cao_2)$  and Qs =  $Vo_2/(Cao_2 - Cvo_2)$ , where  $Cao_2$ ,  $Cpvo_2$  and  $Cvo_2$  indicate systemic

| Patient | Age<br>(days) | Weight<br>(kg) | BSA<br>(m <sup>2</sup> ) | CPB<br>(min) | ACC<br>(min) | Circulatory arrest (min) | Cerebral perfusion (min) | Diagnosis                                        |
|---------|---------------|----------------|--------------------------|--------------|--------------|--------------------------|--------------------------|--------------------------------------------------|
| 1       | 7             | 3.5            | 0.23                     | 108          | 47           | 12                       | 35                       | HLHS, AS, MS                                     |
| 2       | 4             | 3.7            | 0.25                     | 151          | 100          | 35                       | 53                       | HLHS, AS, MS                                     |
| 3       | 7             | 4              | 0.26                     | 105          | 47           | 3                        | 44                       | HLHS, AS, MS,                                    |
| 4       | 16            | 3.5            | 0.24                     | 133          | 39           | 34                       | 0                        | HLHS, endocardial fibroelastosis of LN<br>AS, MS |
| 5       | 7             | 4.2            | 0.27                     | 122          | 62           | 3                        | 60                       | HLHS, AS, MS                                     |
| 6       | 12            | 3.5            | 0.23                     | 165          | 75           | 13                       | 59                       | DILV, TGA                                        |
| 7       | 6             | 3.5            | 0.23                     | 172          | 82           | 9                        | 70                       | HLHS, AA, MA                                     |
| 8       | 92            | 4.1            | 0.26                     | 105          | 58           | 30                       | 17                       | DILV, TGA,                                       |
| 9       | 7             | 4              | 0.25                     | 167          | 64           | 17                       | 44                       | HLHS, AS, MS                                     |
| 10      | 6             | 2.9            | 0.2                      | 142          | 62           | 1                        | 62                       | HLHS, AS, MS                                     |
| 11      | 9             | 3.6            | 0.24                     | 109          | 50           | 4                        | 44                       | HLHS, AS, MS                                     |

AA, aortic atresia; AS, aortic stenosis; ACC, aortic cross clamp time; BSA, body surface area; CPB, cardiopulmonary bypass; DILV, double inlet left ventricle; HLHS, hypoplastic left heart syndrome; LV, left ventricle; MA, mitral atresia; MS, mitral stenosis; TGA, transposition of great arteries.

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arterial (equal to pulmonary arterial), pulmonary venous and superior vena caval oxygen contents, respectively.  $Do_2$  and  $ERo_2$  were calculated by standard equations:  $Do_2 = Qs \times Cao_2$  and  $ERo_2 = Vo_2/Do_2$ . All values in patients were indexed to body surface area and in pigs, to body weight.

#### Study protocols

The animal study (study 1) was performed during the first 6 h after CPB. Six sets of measurements were obtained at 1, 3 and 6 h, with a 10 min interval between each set of measurements. The clinical study (study 2) was performed during the first 72 h after the patient's arrival in the cardiac intensive care unit. Values of haemodynamic function, oxygen transport and central body temperature were collected at 2 h intervals during the first 24 h and at 4 h intervals during hours 25 through 72. Sampling was avoided if sedation, paralysis and ventilatory or haemodynamic treatment were changed within the prior 15 min.

#### Data analysis

Data are expressed as mean (SD). Interrelationships between the measures were sought by using mixed linear regression analysis for repeated measures without regard to time. When a significant correlation was found (p < 0.05), interindividual differences were further analysed. The extent of the correlation was indicated by the intercept and slope values. All data were analysed with SAS statistical software V.8 (SAS Institute, Inc, Cary, North Carolina, USA).

#### **RESULTS**

#### Pigs

Two pigs died before the end of the 6 h study period. As the NIRS measures of  $Sco_2$  on the two sides were similar, the mean values were used for analysis.

#### **Patients**

Sco<sub>2</sub> was obtained in all the patients and Sso<sub>2</sub> in five patients, in three of whom measurements were stopped at 28 and 48 h, respectively, due to technical issues in two patients (patients 1 and 3) and extubation in the other (patient 11). There was no incidence of circulatory collapse or death during the study period. All patients survived to hospital discharge. Extubation was done between 2–16 days (median seven days) after the procedure except in one child who had vocal cord complications. Extubation for that infant was done at 90 days, after a bidirectional cavopulmonary anastomosis (table 1).

## Correlations of ScO<sub>2</sub> and SsO<sub>2</sub> with systemic haemodynamic variables and oxygen transport

Table 2 and figs 1-3 detail the results of the correlations of  $Sco_2$  and  $Sso_2$  with haemodynamic variables and oxygen transport in the animal and clinical studies.

#### Study 1

We obtained 106 sets of measurements in the seven pigs.  $Sco_2$  ranged from 30–59%,  $Svo_2$  from 46.6–86.1%,  $Sao_2$  from

**Table 2** Correlations (mixed linear regression) of Sco<sub>2</sub>, Sso<sub>2</sub> and Svo<sub>2</sub> with haemodynamic and oxygen transport variables for the entire group and individually in 7 pigs and 11 patients

| Dependent<br>variable | Independent<br>variable | Intercept            | Group slope | p Value  | Range of individual slopes | p Value for interindividual slope<br>difference |
|-----------------------|-------------------------|----------------------|-------------|----------|----------------------------|-------------------------------------------------|
| Pig data              |                         |                      |             |          |                            |                                                 |
| ScO <sub>2</sub>      | SvO <sub>2</sub>        | 28.1                 | 0.30        | < 0.0001 | 0.14-0.67                  | 0.0005                                          |
|                       | SaO <sub>2</sub>        | 57.6                 | -0.11       | 0.82     |                            |                                                 |
|                       | PaO <sub>2</sub>        | 48.0                 | -0.01       | 0.60     |                            |                                                 |
|                       | Haemoglobin             | 52.4                 | -0.36       | 0.28     |                            |                                                 |
|                       | MAP                     | 18.6                 | 0.64        | < 0.0001 | -0.71-1.16                 | < 0.0001                                        |
|                       | CO                      | 39.7                 | 2.30        | < 0.0001 | 1.56-6.42                  | 0.0006                                          |
|                       | $Do_2$                  | 39.0                 | 0.017       | < 0.0001 | 0.02-0.07                  | 0.006                                           |
|                       | VO <sub>2</sub>         | 39.9                 | 0.01        | 0.48     |                            |                                                 |
|                       | ERO <sub>2</sub>        | 59.7                 | -32.5       | < 0.0001 | -65.4-16.4                 | 0.002                                           |
| Patient data          | _                       |                      |             |          |                            |                                                 |
| ScO <sub>2</sub>      | SvO <sub>2</sub>        | 28.5                 | 0.43        | < 0.0001 | 0.17-0.97                  | < 0.0001                                        |
|                       | SaO <sub>2</sub>        | 4.6                  | 0.61        | < 0.0001 | 0.14-2.13                  | 0.0001                                          |
|                       | PaO <sub>2</sub>        | 12.1                 | 0.99        | < 0.0001 | 0.36-1.93                  | < 0.0001                                        |
|                       | Haemoglobin             | 47.5                 | 0.24        | 0.54     |                            |                                                 |
|                       | MAP                     | 26.0                 | 0.52        | < 0.0001 | 0.14-1.11                  | 0.21                                            |
|                       | Qp                      | 43.6                 | 3.72        | 0.001    | 0.86-6.72                  | 0.03                                            |
|                       | Qs .                    | 42.1                 | 3.22        | < 0.0001 | 0.27-13.64                 | 0.002                                           |
|                       | Do <sub>2</sub>         | 42.4                 | 0.03        | < 0.0001 | 0.01-0.10                  | 0.001                                           |
|                       | VO <sub>2</sub>         | 56.8                 | -0.07       | 0.046    | -0.33-0.39                 | < 0.0001                                        |
|                       | ERO <sub>2</sub>        | 61.1                 | -33.2       | < 0.0001 | -91 <i>.7</i> 5.3          | < 0.0001                                        |
| Sso <sub>2</sub>      | SvO <sub>2</sub>        | 49.2                 | 0.26        | < 0.0001 | 0.11-0.54                  | 0.32                                            |
|                       | SaO <sub>2</sub>        | 33.9                 | 0.39        | 0.0001   | Infinite likelihood        |                                                 |
|                       | PaO <sub>2</sub>        | 46.9                 | 0.41        | 0.002    | 0.19-0.83                  | 0.22                                            |
|                       | Haemoglobin             | 58.2                 | 0.30        | 0.48     |                            |                                                 |
|                       | MAP                     | <i>5</i> 1. <i>7</i> | 0.22        | 0.03     | Infinite likelihood        |                                                 |
|                       | Qp                      | 60.0                 | 1.48        | 0.23     |                            |                                                 |
|                       | Qs                      | 59.3                 | 1.73        | 0.03     | 0.46-10.3                  | 0.28                                            |
|                       | Do <sub>2</sub>         | 58.2                 | 0.015       | 0.004    | 0.006-0.066                | 0.11                                            |
|                       | VO2                     | 63.3                 | -0.007      | 0.84     |                            |                                                 |
|                       | ERO <sub>2</sub>        | 68.9                 | -20.3       | 0.0002   | Infinite likelihood        |                                                 |
| SvO <sub>2</sub>      | Qp ¯                    | 47.1                 | 2.49        | 0.02     | -9.3-10.8                  | 0.04                                            |
|                       | Qs                      | 40.5                 | 5.82        | < 0.0001 | 3.1-11.3                   | 0.02                                            |
|                       | Do <sub>2</sub>         | 36.4                 | 0.05        | < 0.0001 | 0.032-0.097                | 0.001                                           |
|                       | ٧o <sub>2</sub>         | 69.7                 | -0.20       | < 0.0001 | -0.45-0.028                | 0.008                                           |
|                       | ERO <sub>2</sub>        | 77.9                 | -83.2       | < 0.0001 | -91.969.4                  | 0.18                                            |

DO<sub>2</sub>, systemic oxygen delivery; ERO<sub>2</sub>, oxygen extraction ratio; MAP, mean arterial pressure; PaO<sub>2</sub>, arterial oxygen pressure; Qp, pulmonary blood flow; Qs, systemic blood flow; SaO<sub>2</sub>, arterial oxygen saturation; SsO<sub>2</sub>, splanchnic oxygen saturation; SvO<sub>2</sub>, superior vena caval oxygen saturation; VO<sub>2</sub>, systemic oxygen consumption.

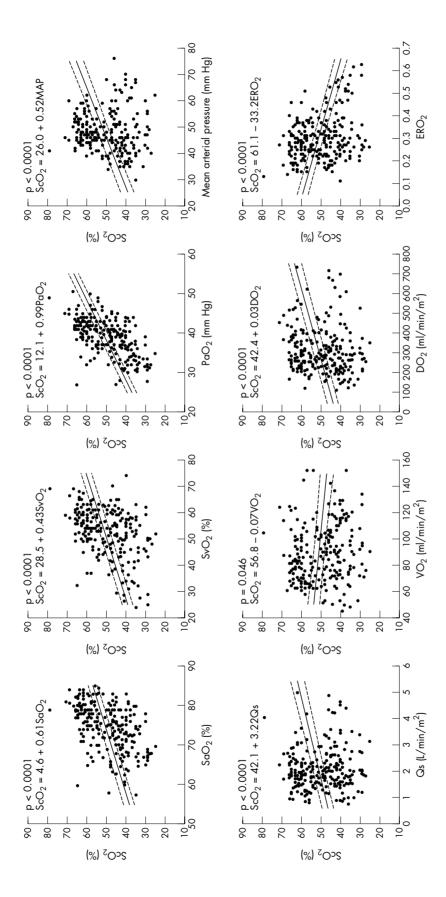


Figure 1 Correlations between cerebral oxygen saturation (ScO<sub>2</sub>) and systemic haemodynamic and oxygen transport variables of arterial oxygen saturation (SaO<sub>2</sub>), superior vena caval oxygen saturation (SvO<sub>2</sub>), and a systemic blood flows (Os), systemic oxygen consumption (VO<sub>2</sub>), oxygen delivery (DO<sub>2</sub>) and oxygen extraction ratio (ERO<sub>2</sub>) in patients during the first 72 h after arrival in the cardiac intensive care unit.

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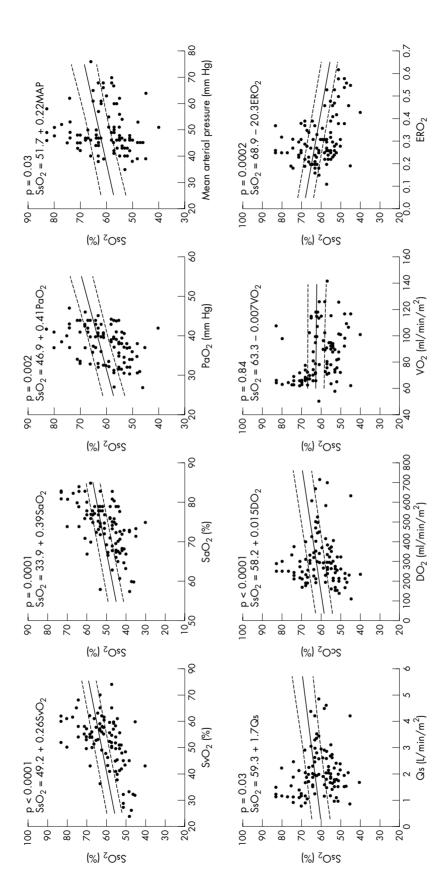


Figure 2 Correlations between splanchnic oxygen saturation (\$50\_2) and systemic haemodynamic and oxygen transport variables of superior vena caval oxygen saturation (\$00\_2), arterial partial oxygen pressure (Pa0\_2), mean arterial pressure (Pa0\_2), mean a

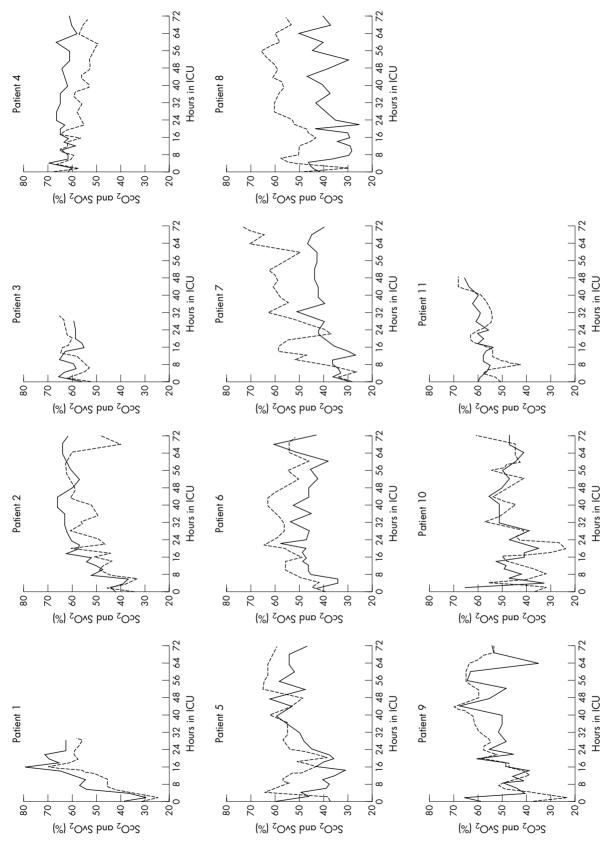


Figure 3 Trends for cerebral oxygen saturation (ScO<sub>2</sub>, solid line) and superior vena caval oxygen saturation (SvO<sub>2</sub>, dotted line) for each of the 11 patients during the first 72 h after arrival in the cardiac intensive care unit (ICU).

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91.1–100% and arterial oxygen pressure ( $Pao_2$ ) from 60–237 mm Hg.

Sco $_2$  was not correlated with Sao $_2$  (slope -0.11, p = 0.82), Pao $_2$  (slope -0.01, p = 0.60) or haemoglobin (slope -0.36, p = 0.28). Sco $_2$  was correlated with Svo $_2$  (slope 0.30, p < 0.0001) but with large interindividual variations (slope 0.14 to 0.67, p = 0.0005). Sco $_2$  was also correlated with mean arterial pressure (slope 0.64, p < 0.0001) with large interindividual variations (slope -0.71 to 1.16, p < 0.0001) and moderately correlated with Qs, Do $_2$  and ERo $_2$  (slope 2.3, 0.017, -32.5, respectively, p < 0.0001 for all) with significant interindividual variations (table 2). Sco $_2$  was not correlated with Vo $_2$  (slope 0.01, p = 0.48) (table 2).

## Study 2

We obtained 247 sets of measurements for Sco<sub>2</sub> and 103 sets for Sso<sub>2</sub>. For the entire group, Sco<sub>2</sub> ranged from 25–79% and Sso<sub>2</sub> from 40–83%; Svo<sub>2</sub> ranged from 24–74%, Sao<sub>2</sub> from 55–86% and Pao<sub>2</sub> from 25.0–50.6 mm Hg.

Unlike in pigs, in patients Sco<sub>2</sub> was correlated with both Sao<sub>2</sub> and Pao<sub>2</sub> (slope 0.61 and 0.99, respectively, p < 0.0001for both) but with large interindividual variations (slope 0.17-0.97 for Sao<sub>2</sub>; 0.36-1.93 for Pao<sub>2</sub>; p < 0.0001 for both). Sco<sub>2</sub> was not correlated with haemoglobin (slope 0.24, p = 0.54). Similarly to the data in pigs,  $Sco_2$  was correlated with  $Svo_2$  (slope 0.43, p < 0.0001) but with large interindividual variations (slope 0.17-0.97 for Svo2; 0.14-2.13 for  $Sao_2$ ; p < 0.0001 for both). It was also correlated with mean arterial pressure (slope 0.52, p < 0.0001) but, interestingly, interindividual variations were insignificant (slope 0.14-1.11, p = 0.21). Sco<sub>2</sub> was weakly correlated with Vo<sub>2</sub> (slope -0.07, p = 0.05) and moderately correlated with Qp, Qs, Do<sub>2</sub> and ERo<sub>2</sub> (slope 3.1, 3.2, 0.03, -33.2, respectively, p < 0.0001 for all except for Qp, p = 0.001) with significant interindividual variations (table 2, fig 1).

 $Sso_2$  was similarly, although more weakly, correlated with haemodynamic and oxygen transport variables, with the coefficients being about half those with  $Sco_2$ . Interindividual variations were also large, although they did not achieve significance, probably due to the smaller sample size.  $Sso_2$  was not correlated with  $Vo_2$  (slope -0.007, p=0.84) (table 2, fig 2).

The correlations of Sco<sub>2</sub> and Sso<sub>2</sub> with Qs, Do<sub>2</sub>, Vo<sub>2</sub> and ERo<sub>2</sub> were much weaker than those of Svo<sub>2</sub> with these variables (table 2).

Lastly, examples of individual patient plots (fig 3) of the NIRS and the directly derived data show notably variable intraindividual relationships that changed with time and at individual time points.

#### **DISCUSSION**

This is the first comprehensive investigation of the relationship between NIRS measurements of Sco2 and Sso2, as a noninvasive clinical haemodynamic monitor of systemic oxygenation, and the directly measured systemic haemodynamic and oxygen transport variables. We assessed the utility of NIRS after CPB in two different circulations—namely, the normal biventricular circulation in an animal model and the more complex parallel circulation, with residual arterial desaturation, in children after the Norwood procedure. Our data showed that, in patients with varied and relatively low values of Pao2 and Sao2, Sco2 was closely correlated with both of these values, but not in pigs with fully saturated arterial oxygenation. Most important, Sco2 was, similarly in both groups, closely correlated with mean arterial pressure, loosely correlated with Svo2, Sao2, Qp, Qs, Do2 and ERo2, poorly correlated with Vo<sub>2</sub> and not significantly correlated with either haemoglobin or Qp. Whereas the correlation

between NIRS-derived oxygen saturations and many of the directly measured indices were highly significant, the relatively loose correlations at an absolute level, combined with wide interindividual variability, cast doubt on the potential clinical utility of such measurements.

Previous studies have evaluated single-point comparisons between Sco2 or Sso2 and Svo2 in individual children in a larger population.17-19 Good correlations have generally been reported, but large interindividual differences are apparent, even in these studies. Our study was the first to evaluate NIRS in the setting of postoperative complex congenital heart disease, comparing the non-invasive data with directly measured indices of oxygen transport during the first three days after the Norwood procedure, and confirmed the previous findings. Our data showed that an increase in Sco<sub>2</sub> or Sso<sub>2</sub> of 1% explained 0.43% or 0.26%, respectively, of the increase in Svo<sub>2</sub>. However, interindividual variations were large, ranging from 0.17–0.97% for  $Sco_2$  and from 0.11–0.54% for Sso<sub>2</sub>. Furthermore, the individual trends shown in fig 3 show an inconsistent relationship between Sco2 and Svo2 throughout the measurement period. Although differences in absolute values and even wide interindividual variability may be tolerated if NIRS is used for trend analysis, the second issue of an unreliable intraindividual relationship between NIRS and Svo<sub>2</sub>, for example, casts doubt on its potential utility as a precise tool for monitoring haemodynamic trends.

This is, however, not surprising. NIRS measures the equilibrium of oxyhaemoglobin and deoxyhaemoglobin in a mixture of veins, arteries and capillaries in the underlying tissue and reflects a regional state of oxygenation. Although NIRS has been extensively used to monitor cerebral and splanchnic oxygenation in various clinical situations including during CPB, deep hypothermic circulatory arrest13 24 and in other high risk newborns, 6 15 19 25 and has been found to be helpful in predicting cerebrovascular dysfunction24 25 and splanchnic ischaemia,15 it has rarely been rigorously examined for its validity, particularly in the setting of complex parallel circulations such as those that exist after the Norwood procedure. However, whereas the venous portion predominantly determines NIRS measurement of the underlying tissue oxygenation, direct measurements, such as jugular bulb oxygen saturation and hepatic venous oxygen saturation, are well known to correlate variably with NIRS measurement of that organ.11 14 This may be a particular problem in children with complex congenital heart disease, where the contribution of the venous portion has been shown to range from 60-100% of Sco2 with varied systemic oxygen saturation as seen in our patients after the Norwood procedure.12 It must also be remembered that NIRS measures oxygenation in a small part of the target organ, and the regional venous oxygen saturation reflects the balance of oxygen delivery and consumption of the whole organ. Svo<sub>2</sub>, conversely, reflects the balance of systemic oxygen transport, presumably explaining the discrepancy that Yeh T Jr et al<sup>26</sup> observed between jugular bulb oxygen saturation and Svo<sub>2</sub> in children undergoing CPB. Extracerebral tissue factors, such as ischaemia,27 oedema and the location of the probe,28 may also affect the robustness of NIRS signals.

## Limitations

The superior vena cava was used to measure systemic venous saturation for the calculations of Qs and Do<sub>2</sub>. This measure does not account for potential differences in inferior vena cava saturation<sup>29 30</sup> and may at least partly account for the poorer correlations with Sso<sub>2</sub>. Conversely, it could be argued that, by sampling upper body venous saturation, we have a more representative measurement, incorporating cerebral blood flow. Nonetheless, jugular bulb saturation would have

been better in this regard but was not appropriate in this clinical protocol.<sup>31</sup>

Furthermore, we chose the usual position (posterior flank) to assess Sso<sub>2</sub> by NIRS. Recently, Fortune *et al*<sup>15</sup> used the site of just below the umbilicus to monitor splanchnic oxygenation and found a sensitivity of 90% to detect splanchnic ischaemia in neonates during apnoeic episodes. Sso<sub>2</sub> measured in such a way may reflect more Do<sub>2</sub> and Vo<sub>2</sub>, and thus warrants further investigation in children with heart disease.

#### Conclusions

NIRS measurement of  $Sco_2$  and  $Sso_2$  reflects the changes in haemodynamic variables and oxygen transport during the early postoperative period after the Norwood procedure. However, large interindividual differences and intraindividual temporal variability make interpretation difficult and may limit the utility of NIRS as a continuous monitor of systemic haemodynamic function and oxygen transport in critically ill patients.

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This work was supported by the Heart and Stroke Foundation of Canada (JL and ANR), and the Canadian Institute of Health Research (JL, ANR, CC and GSV).

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