

# Commentary

## Alport Syndrome with Diffuse Leiomyomatosis

### *When and When Not?*

Jeffrey H. Miner

*From the Department of Medicine, Renal Division,  
Washington University School of Medicine, St. Louis, Missouri*

The 1990s have been an exciting and productive decade for the molecular dissection of the etiology of Alport syndrome. Alport syndrome is a hereditary glomerulonephritis accompanied usually by sensorineural deafness, frequently by ocular abnormalities, and rarely by diffuse leiomyomatosis (DL), which is characterized by benign nodular smooth muscle tumors of esophagus, tracheo-bronchial tree, and genital tract. The primary mode of inheritance of Alport syndrome is X-linked-dominant, though there are also autosomal-recessive and autosomal-dominant forms, and its overall prevalence is estimated to be 1 in 5000. The only treatments for the nephropathy at end stage are dialysis and renal transplantation.<sup>1-6</sup>

Alport syndrome is a basement membrane disease involving type IV collagen. Collagen IV is a major component of all basement membranes. It is composed of  $\alpha$  chains that trimerize to form long triple helical protomers. Protomers are secreted by cells and associate with each other in the extracellular matrix to form a chicken-wire-like network.<sup>7</sup> This serves as the scaffold for assembly of the basement membrane, which also contains laminin, entactin/nidogen, and sulfated proteoglycans.<sup>8,9</sup>

There are six genetically distinct collagen IV  $\alpha$  chains,  $\alpha 1(\text{IV})$ - $\alpha 6(\text{IV})$ . The collagen  $\alpha 1(\text{IV})$  and  $\alpha 2(\text{IV})$  chains are the classical chains and are essentially ubiquitous in basement membranes. Mutations in *COL4A1* and *COL4A2* have not been found in mammals and would likely be embryonically lethal. In contrast, the underlying genetic defect in Alport syndrome is a mutation in any one of three genes encoding what have been termed novel type IV collagen chains. These chains have a restricted tissue distribution. Importantly, they are all major components of the glomerular basement membrane (GBM), which is characteristically thinned, thickened, and split in Alport syndrome. X-linked Alport syndrome is caused by mutations in the collagen  $\alpha 5(\text{IV})$  chain gene *COL4A5*, and mutations in *COL4A3* and *COL4A4*, which

are linked head-to-head on chromosome 2, are responsible for the autosomal forms of the disease.<sup>10-12</sup>

A molecular hallmark of the severe forms of Alport syndrome is that mutations affecting only one of the *COL4A3*-*COL4A5* genes result in the absence all three gene products from the GBM. This has been used as circumstantial evidence to suggest a model in which the  $\alpha 3$ - $\alpha 5(\text{IV})$  chains coassemble in a manner that requires all three chains.<sup>13</sup> In this model, the nonmutated genes would be transcribed and translated normally, but the chains they encode would be degraded on failing to assemble due to the absence of a normal third chain. This model is attractive, because it is consistent with the inherent trimeric structure of collagen IV protomers. Alternatively, the defect in assembly could be at the level of protomer:protomer interactions in the extracellular matrix.

Good evidence for transcriptional down-regulation of the nonmutated genes in a canine model of Alport syndrome has been presented. These data show that the lack of collagen  $\alpha 5(\text{IV})$  protein due to *COL4A5* mutation was associated with a decrease in  $\alpha 3(\text{IV})$  and  $\alpha 4(\text{IV})$  steady-state mRNA levels.<sup>14</sup> This could explain in part the absence of the  $\alpha 3(\text{IV})$  and  $\alpha 4(\text{IV})$  chains in mutant dog kidney basement membranes. However, RNA studies in humans and in the two mouse models of Alport syndrome did not find such a down-regulation in steady-state mRNA levels and thus do not support such a transcriptional mechanism.<sup>15-17</sup>

The collagen  $\alpha 6(\text{IV})$  chain is unique in that it has a restricted tissue distribution but is not deposited in the GBM. It is found in basement membranes associated with Bowman's capsule, epidermis, and a subset of smooth muscle cells.<sup>18,19</sup> Consistent with its absence from GBM, mutations that affect only *COL4A6* have not been found in Alport patients.<sup>20</sup> However, *COL4A6* is located on the X chromosome head-to-head with *COL4A5* and some *COL4A5* deletion mutations that cause Alport syndrome extend into *COL4A6*.<sup>21-23</sup> Thus,

---

Accepted for publication March 11, 1999.

Address reprint requests to Dr. Jeffrey H. Miner, Department of Medicine, Renal Division, Washington University School of Medicine, 660 South Euclid Avenue, St. Louis, MO 63110. E-mail: minerj@thalamus.wustl.edu.

the 5' ends of both genes are affected. Cases of Alport syndrome associated with diffuse leiomyomatosis always fall into this category, but the extent of the deletion into *COL4A6* is limited to the alternative exons 1 and 1', intron 1, exon 2, and part of the very large intron 2. Interestingly, if the deletion extends into exon 3, then diffuse leiomyomatosis is not observed.<sup>22,23</sup>

This leads to the question of how and why some deletions that affect *COL4A6* result in diffuse leiomyomatosis, whereas the most extensive ones do not. It has been hypothesized that the more restricted deletions may allow production of a truncated  $\alpha6(IV)$  protein in smooth muscle that might be capable of aberrant signaling and lead to the observed benign tumors.<sup>22</sup> However, no stable integration of any  $\alpha6(IV)$  protein into esophageal tumor basement membranes from appropriate patients was observed.<sup>24</sup> Another possibility is that there is a gene, which may or may not encode a protein, embedded in the large second intron of *COL4A6* that is somehow transformed into a dominant promoter of smooth muscle cell proliferation by the deletions that cause Alport syndrome with diffuse leiomyomatosis.<sup>22</sup> Further studies of the ~140-kb second intron of *COL4A6* will be necessary to test this hypothesis.

*COL4A5*-specific mutations lead to the absence of collagen  $\alpha6(IV)$  in renal and epidermal basement membranes,<sup>18,19,25</sup> suggesting that the  $\alpha6(IV)$  chain cannot assemble into these basement membranes without the  $\alpha5(IV)$  chain. One important issue that has not been addressed is the status of collagen  $\alpha6(IV)$  protein in the smooth muscle basement membranes of such Alport patients, who do not have deletions extending into *COL4A6* and who do not develop leiomyomata. The formal possibility exists that, despite its absence from kidney and skin basement membranes, these patients maintain a somewhat normal complement of  $\alpha6(IV)$  protein in their smooth muscle basement membranes. This might play some role in preventing overproliferation of smooth muscle cells. However, if true, then it would be difficult to explain the absence of tumors when *COL4A6* deletions extend into exon 3. Nevertheless, whether *COL4A5*-specific mutations lead to an absence of  $\alpha6(IV)$  in smooth muscle basement membranes is certainly worth investigating.

The article by Zheng et al<sup>26</sup> published in this issue of *The American Journal of Pathology* finally addresses this and other important points using a canine model of X-linked Alport syndrome. Paul Thorner and colleagues have previously studied this family of Samoyed dogs in depth; they have identified a single base non-sense mutation in *COL4A5* and have shown that the affected dogs exhibit many of the characteristics observed in human Alport syndrome.<sup>27-30</sup> In this issue of the *Journal* they report the cloning and sequencing of DNA adjacent to the 5' end of canine *COL4A5* and show that dog has a *COL4A6* gene with many similarities to the human gene, including the tightly linked, head-to-head arrangement with *COL4A5*. This is the first cross-species comparison of this region, and it shows that although exon 1 is very conserved between human and dog, exon 1' is not. The authors rightly question the functionality of this exon in

dog. Indeed, by Northern blot analysis, they show that *COL4A6* mRNAs from bladder smooth muscle contain exon 1 but not exon 1'. The authors use immunohistochemistry to show that the collagen  $\alpha6(IV)$  chain is present in bladder smooth muscle basement membranes from a normal dog but is completely absent from the *COL4A5* mutant dog smooth muscle. Moreover, despite the absence of  $\alpha6(IV)$  protein,  $\alpha6(IV)$  mRNA levels in bladder smooth muscle are nearly normal. Finally, leiomyomata have never been observed in this family of dogs.

These results reveal important new information regarding the biology of type IV collagen and the etiology of Alport syndrome with diffuse leiomyomatosis. First, a point mutation in *COL4A5* is sufficient to prevent incorporation of the collagen  $\alpha6(IV)$  chain into smooth muscle basement membranes, independent of a reduction in  $\alpha6(IV)$  mRNA levels. This provides further evidence for requisite coassembly of the  $\alpha5$  and  $\alpha6(IV)$  chains, in agreement with the observed absence of  $\alpha6(IV)$  from renal and epidermal basement membranes in Alport patients with *COL4A5*-specific mutations.<sup>18,19,25</sup> However, it contrasts with the transcriptional mechanisms previously proposed as negative regulators of expression of the  $\alpha3$  and  $\alpha4(IV)$  chains in *COL4A5* mutant dog kidney.<sup>14</sup>

Second, the mere absence of  $\alpha6(IV)$  from dog smooth muscle is not sufficient to cause diffuse leiomyomatosis. By analogy, based on these studies of dog, human Alport patients with *COL4A5* mutations would lack the  $\alpha6(IV)$  chain in smooth muscle, but only those with the additional appropriate *COL4A6* deletions would develop leiomyomata. Thus, these deletions are likely affecting something other than expression of  $\alpha6(IV)$  and its incorporation into basement membranes. Determining what this something really is will solve an important mystery and could force revisions in our understanding of gene structure, regulation of cell proliferation, and development of tumors and perhaps cancer.

## References

1. Reeders ST: Molecular genetics of hereditary nephritis. *Kidney Int* 1992, 42:783-792
2. Kashtan CE, Michael AF: Alport syndrome: from bedside to genome to bedside. *Am J Kidney Dis* 1993, 22:627-640
3. Tryggvason K, Zhou J, Hostikka SL, Shows TB: Molecular genetics of Alport syndrome. *Kidney Int* 1993, 43:38-44
4. Gubler M-C, Antignac C, Deschenes G, Knebelmann B, Hors-Cayla MC, Grunfeld J-P, Broyer M, Habib R: Genetic, clinical, and morphologic heterogeneity in Alport's syndrome. *Adv Nephrol* 1993, 22: 15-35
5. Antignac C: Molecular genetics of basement membranes: the paradigm of Alport syndrome. *Kidney Int* 1995, 47(Suppl. 49):S29-S33
6. Kashtan CE: Alport syndrome. *Kidney Int* 1997, 51(Suppl. 58):S69-S71
7. Hudson BG, Wieslander J, Wisdom Jr. BJ, Noelken ME: Biology of disease: Goodpasture syndrome: molecular architecture and function of basement membrane antigen. *Lab Invest* 1989, 61:256-269
8. Timpl R, Brown JC: Supramolecular assembly of basement membranes. *BioEssays* 1996, 18:123-132
9. Timpl R: Macromolecular organization of basement membranes. *Curr Opin Cell Biol* 1996, 8:618-624
10. Barker DF, Hostikka SL, Zhou J, Chow LT, Oliphant AR, Gerken SC, Gregory MC, Skolnick MH, Atkin CL, Tryggvason K: Identification of

- mutations in the COL4A5 collagen gene in Alport syndrome. *Science* 1990, 248:1224–1227
11. Lemmink HH, Mochizuki T, van den Heuvel PWJ, Schroder CH, Barrientos A, Monnens LAH, van Oost BA, Brunner HG, Reeders ST, Smeets HJM: Mutations in the type IV collagen  $\alpha 3$  (COL4A3) gene in autosomal recessive Alport syndrome. *Hum Mol Genet* 1994, 3:1269–1273
  12. Mochizuki T, Lemmink HH, Mariyama M, Antignac C, Gubler M-C, Pirson Y, Verellen-Dumoulin C, Chan B, Schroder CH, Smeets HJ, Reeders ST: Identification of mutations in the  $\alpha 3$ (IV) and  $\alpha 4$ (IV) collagen genes in autosomal recessive Alport syndrome. *Nat Genet* 1994, 8:77–82
  13. Hudson BG, Reeders ST, Tryggvason K: Type IV collagen: structure, gene organization, and role in human diseases. *J Biol Chem* 1993, 268:26033–26036
  14. Thorner PS, Zheng K, Kalluri R, Jacobs R, Hudson BG: Coordinate gene expression of the  $\alpha 3$ ,  $\alpha 4$ , and  $\alpha 5$  chains of collagen type IV: evidence from a canine model of X-linked nephritis with a COL4A5 gene mutation. *J Biol Chem* 1996, 271:13821–13828
  15. Nakanishi K, Yoshikawa N, Iijima K, Nakamura H: Expression of type IV collagen  $\alpha 3$  and  $\alpha 4$  chain mRNA in X-linked Alport syndrome. *J Am Soc Nephrol* 1996, 7:938–945
  16. Miner JH, Sanes JR: Molecular and functional defects in kidneys of mice lacking collagen  $\alpha 3$ (IV): implications for Alport syndrome. *J Cell Biol* 1996, 135:1403–1413
  17. Cosgrove D, Meehan DT, Grunkemeyer JA, Kornak JM, Sayers R, Hunter WJ, Samuelson GC: Collagen COL4A3 knockout: a mouse model for autosomal Alport syndrome. *Genes Dev* 1996, 10:2981–2992
  18. Ninomiya Y, Kagawa M, Iyama K, Naito I, Kishiro Y, Seyer JM, Sugimoto M, Oohashi T, Sado Y: Differential expression of two basement membrane collagen genes, COL4A6 and COL4A5, demonstrated by immunofluorescence staining using peptide-specific monoclonal antibodies. *J Cell Biol* 1995, 130:1219–1229
  19. Peissel B, Gene L, Kalluri R, Kashtan C, Rennke HG, Gallo GR, Yoshioka K, Sun MJ, Hudson BG, Neilson EG, Zhou J: Comparative distribution of the  $\alpha 1$ (IV),  $\alpha 5$ (IV), and  $\alpha 6$ (IV) collagen chains in normal human adult and fetal tissues and in kidneys from X-linked Alport syndrome patients. *J Clin Invest* 1995, 96:1948–1957
  20. Lemmink HH, Schroder CH, Monnens LA, Smeets HJ: The clinical spectrum of type IV collagen mutations. *Hum Mutat* 1997, 9:477–499
  21. Zhou J, Mochizuki T, Smeets H, Antignac C, Laurila P, de Paepe A, Tryggvason K, Reeders ST: Deletion of the paired  $\alpha 5$ (IV) and  $\alpha 6$ (IV) collagen genes in inherited smooth muscle tumors. *Science* 1993, 261:1167–1169
  22. Heidet L, Dahan K, Zhou J, Xu Z, Cochat P, Gould JDM, Leppig KA, Proesmans W, Guyot C, Guillot M, Roussel B, Tryggvason K, Grunfeld J-P, Gubler M-C, Antignac C: Deletions of both  $\alpha 5$ (IV) and  $\alpha 6$ (IV) collagen genes in Alport syndrome and in Alport syndrome associated with smooth muscle tumours. *Hum Mol Genet* 1995, 4:99–108
  23. Heidet L, Cohen-Solal L, Boye E, Thorner P, Kemper MJ, David A, Larget Piet L, Zhou J, Flinter F, Zhang X, Gubler MC, Antignac C: Novel COL4A5/COL4A6 deletions, and further characterization of the diffuse leiomyomatosis-Alport syndrome (DL-AS) locus define the DL critical region. *Cytogenet Cell Genet* 1997, 78:240–246
  24. Heidet L, Cai Y, Sado Y, Ninomiya Y, Thorner P, Guicharnaud L, Boye E, Chauvet V, Solal LC, Beziau A, Torres RG, Antignac C, Gubler MC: Diffuse leiomyomatosis associated with X-linked Alport syndrome: extracellular matrix study using immunohistochemistry and in situ hybridization. *Lab Invest* 1997, 76:233–243
  25. Hino S, Takemura T, Sado Y, Kagawa M, Oohashi T, Ninomiya Y, Yoshioka K: Absence of  $\alpha 6$ (IV) collagen in kidney and skin of X-linked Alport syndrome patients. *Pediatr Nephrol* 1996, 10:742–744
  26. Zheng K, Harvey S, Sado Y, Naito I, Ninomiya Y, Jacobs R, Thorner PS: Absence of the  $\alpha 6$ (IV) chain of collagen type IV in Alport syndrome is related to a failure at the protein assembly level and does not result in diffuse leiomyomatosis. *Am J Pathol* 1999, 154:1883–1891
  27. Thorner P, Jansen B, Baumal R, Valli VE, Goldberger A: Samoyed hereditary glomerulopathy. Immunohistochemical staining of basement membranes of kidney for laminin, collagen type IV, fibronectin, and Goodpasture antigen, and correlation with electron microscopy of glomerular capillary basement membranes. *Lab Invest* 1987, 56:435–443
  28. Thorner P, Baumal R, Valli VE, Mahuran D, McInnes R, Marrano P: Abnormalities in the NC1 domain of collagen type IV in GBM in canine hereditary nephritis. *Kidney Int* 1989, 35:843–850
  29. Baumal R, Thorner P, Valli VE, McInnes R, Marrano P, Jacobs R, Binnington A, Bloedow AG: Renal disease in carrier female dogs with X-linked hereditary nephritis: implications for female patients with this disease. *Am J Pathol* 1991, 139:751–764
  30. Zheng K, Thorner PS, Marrano P, Baumal R, McInnes RR: Canine X chromosome-linked hereditary nephritis: a genetic model for human X-linked hereditary nephritis resulting from a single base mutation in the gene encoding the  $\alpha 5$  chain of collagen type IV. *Proc Natl Acad Sci USA* 1994, 91:3989–3993