

Apoptosis and Tumorigenesis in Human Cholangiocarcinoma Cells

Involvement of Fas/APO-1 (CD95) and Calmodulin

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We have previously demonstrated that tamoxifen inhibits the growth of human cholangiocarcinoma cells in culture and inhibits tumor growth when cells are injected into nude mice. However, the mechanism of action of tamoxifen remains unknown. Here we demonstrate that tamoxifen and trifluoperazine, both potent calmodulin antagonists, induce apoptosis *in vitro*, probably acting via the Fas system, in human cholangiocarcinoma cells. Human cholangiocarcinoma cell lines heterogeneously express Fas antigen on their surface. Fas-negative and Fas-positive surface-expressing cells were isolated, cloned, and cultured. Fas antibody, tamoxifen, and trifluoperazine induced dose-dependent apoptosis only in Fas-positive cells; Fas-negative cells were unaffected. Furthermore, apoptosis induced by tamoxifen in Fas-positive cells was blocked by an inhibitory Fas antibody. Tamoxifen was not acting through an anti-estrogenic mechanism, because neither Fas-negative nor Fas-positive cells expressed estrogen receptors and the pure anti-estrogen compound, ICI 182780, did not induce apoptosis in either cell line. Fas-negative cells, but not Fas-positive cells, were able to produce tumors when subcutaneously injected into nude mice. These findings suggest Fas may be a candidate oncogene involved in the pathogenesis of cholangiocarcinoma. Furthermore, the similarity between the proapoptotic effects of tamoxifen and trifluoperazine support an underlying molecular mechanism for Fas-mediated apoptosis that involves calmodulin. (*Am J Pathol* 1999, 155:193–203)

The antiestrogen tamoxifen (TMX) is commonly accepted as effective treatment for estrogen receptor (ER)-positive

as well as some ER-negative breast cancers.^{1–5} TMX inhibits tumor growth of human cholangiocarcinoma cells in culture and when injected into nude mice.⁶ An anti-tumorigenic effect of TMX has also been reported for pancreatic cancer,⁷ malignant gliomas,^{8,9} and other tumors.¹⁰ TMX therapy not only significantly improves the prognosis in a number of malignancies, but also has been used therapeutically in a number of other diseases such as osteoporosis,^{11,12} atherosclerosis,^{13,14} rheumatoid arthritis, and other autoimmune diseases.^{15–17} Although TMX has widespread clinical use, it is clear that not all of its effects can be attributed to the competitive interaction with the estrogen receptor. TMX has a wide variety of other pharmacological activities including stimulation of transforming growth factor β (TGF- β),^{18–20} up-regulation of nuclear factor κ B (NF- κ B),²¹ calmodulin antagonism,^{22,23} blockade of various chloride channels,²⁴ and inhibition of protein kinase C.²⁵

The Fas/APO-1 (CD95) and Fas ligand system is a key regulator of apoptosis (programmed cell death).^{26–28} The Fas/APO-1 (CD95) cell surface receptor is a member of the tumor necrosis factor receptor (TNFR) superfamily.^{29–31} Fas is expressed in various human organs and cells including lymphocytes, heart, lung, kidney, and ovary.^{27,32,33} The expression level of Fas in cells may modulate cell death in both normal and pathological states. In normal cell populations at steady state, the rates of cell proliferation and cell death approximate each other. In cancer, however, increases in cell number predominate over cell death. Malignancy may not be associated exclusively with enhanced cell proliferation, but may also be linked to decreased cell death.^{34,35}

The failure of cells to undergo apoptosis, which may be involved in the pathogenesis of cancer, could be attributed to a deficiency of Fas expression or function. Many malignant cells express Fas.^{36–38} In comparison to normal cells, some malignant tumors are characterized by abnormal phenotypes of Fas expression including abnormal expression of functional Fas,³⁹ mutant Fas incapable

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of intracellular signaling,⁴⁰ cellular release of soluble Fas,⁴¹ and deficiency of Fas transduction pathway.⁴² The aberrant expression of Fas by various tumor cells has attracted interest in Fas as a potential target for induction of apoptosis in the cancer therapy.^{43,44}

We have demonstrated that TMX inhibits the growth of human cholangiocarcinoma cells in cell culture and when cells are implanted into nude mice.⁶ However, the molecular mechanism of TMX-induced growth inhibition of human cholangiocarcinoma remains unclear. In related investigations, we have demonstrated that TMX and TFP are able to inhibit Fas antibody-induced apoptosis in T cells transfected with HIV envelope glycoprotein gp160, which contains two calmodulin binding domains, and the accelerated spontaneous apoptosis in peripheral blood mononuclear cells from patients with AIDS.⁴⁵⁻⁴⁷ These studies suggest that TMX might be involved in Fas-mediated apoptosis. In view of the abnormal Fas expression in malignant tumors and the ability of TMX to modulate Fas-mediated apoptosis in AIDS, we considered the possibility that Fas is involved in TMX-induced apoptotic cell death in human cholangiocarcinoma cells. In this study we have confirmed that Fas is variably expressed in cultured human cholangiocarcinoma cells, have shown that TMX and TFP stimulate apoptosis only in Fas-positive cells, and have found that Fas-negative cells, but not Fas-positive cells, are tumorigenic in nude mice.

Materials and Methods

Reagents

TMX and TFP were purchased from Sigma (St. Louis, MO). ICI 182780 was a gift from Dr. Alan Wakeling (Zeneca Pharmaceuticals, Macclesfield, UK). They were dissolved in DMSO at a concentration of 20 mmol/L as a stock solution and freshly diluted to required concentrations before each experiment. Apoptosis-inducing human Fas monoclonal antibody (CH11, IgM) and apoptosis-inhibitory human Fas monoclonal antibody (GH4, IgG) were purchased from Upstate Biotechnology, Inc. (Lake Placid, NY).

Cell Culture and Isolation of Subpopulations

Human cholangiocarcinoma cells (SK-ChA-1) were provided by Dr. A. Knuth (Ludwig Institute for Cancer Research, London, UK). Cells were grown in RPMI1640 (Life Technologies, Inc., Gaithersburg, MD) supplemented with 2 mmol/L L-glutamine, penicillin (5 U/ml), streptomycin (5 µg/ml) and 10% heat-inactivated fetal calf serum (FCS) (complete medium). Cells were incubated at 37°C in 95% air/5% CO₂.

Fas-negative and Fas-positive subpopulations were isolated by flow cytometry. The human cholangiocarcinoma cells were rinsed in cold phosphate-buffered saline (PBS) (8 g/liter NaCl, 0.2 g/liter KCl, 1.44 g/liter Na₂HPO₄ and 0.24 g/liter KH₂PO₄), once in 1:500 Versene (GIBCO, Gaithersburg, MD), incubated for 3 minutes at 37°C, and harvested into complete medium containing 10% FCS by

vigorous pipetting. The cells were centrifuged at 1200 rpm for 5 minutes at 4°C, resuspended (10⁷ cells/50 µl) in complete medium and labeled with 20 µl commercial PE-conjugated anti-human Fas antibody (PharMingen, San Diego, CA) at 4°C for 30 minutes and then washed with RPMI 1640 medium twice. Murine PE-IgG1 was used as an isotype control. The stained cells were sorted into Fas-negative and Fas-positive subsets. Fas-negative and Fas-positive cells were continuously cultured in RPMI 1640 complete medium for 2 weeks.

Cloning of Fas-Negative and Fas-Positive Cells

The sorted Fas-negative and Fas-positive cholangiocarcinoma cells were diluted to 1000 cells/ml. Cells (1, 3, and 5 µl) were added into each well containing 200 µl medium in a 96-well plate and then incubated for 1 week. A single cell per well was selected and grown in the medium until enough cloned cells were available for study.

Mice

Six- to eight-week-old athymic (nu/nu) female Balb/c mice were purchased from Charles River Laboratories (Wilmington, MA) for tumor inoculation. All animals were maintained in a sterile environment; cages, bedding, food, and water were autoclaved and animals were maintained on a daily 12-hour light/12-hour dark cycle.

Determination of Cell Death

Cell pellets were resuspended in 1 ml PBS (pH 7.4) and a 0.1-ml aliquot was stained with an equal volume of 4% trypan blue for 5 minutes followed by cell counting. Blue-stained dead cells and unstained living cells were counted.

Assays for Apoptosis

Chromatin DNA Fragmentation Assay

Cell pellets were treated with 0.5 ml lysis buffer (10 mmol/L Tris-HCl, 400 mmol/L NaCl, 2 mmol/L Na₂EDTA, pH 8.2, and 50 µl 10% sodium dodecyl sulfate) and 50 µl proteinase K (10 mg/ml) and incubated at 37°C overnight. DNA was extracted with the same volume of phenol two times and precipitated with two volumes of 100% ethanol at -20°C. After centrifugation at 3300 × g, the pellet was dissolved in 40 µl of Tris-EDTA buffer and incubated with 1 µl RNase (0.5 mg/ml) at 37°C for 1 hour followed by electrophoresis on a 1.0% agarose gel. The agarose gel was stained with ethidium bromide and the resulting DNA fragmentation pattern was revealed by UV illumination.

TUNEL Staining

Cells (10⁵/200 µl PBS) were collected by cytospinning onto poly-L-lysine-precoated slides and fixed in 10% for-

malin for 1 hour. After rinsing with water, cells were incubated with 20 $\mu\text{g/ml}$ proteinase K for 15 minutes and the slides washed four times with water. Endogenous peroxidase was blocked by methanol containing 1% hydrogen peroxide and the slides were washed with water. They were subsequently immersed in TdT buffer (30 mmol/L Trizma base, pH 7.2, 140 mmol/L sodium cacodylate, 1 mmol/L cobalt chloride) containing TdT (0.3 μl) and digtonigen-modified dUTP added and incubated in a humidified atmosphere at 37°C for 1 hour. The reaction was terminated by washing the slides with PBS. After the slides were incubated in 10% FCS in PBS for 30 minutes and dried, they were covered with 1:10 diluted alkaline phosphatase conjugated anti-digtonigen antibody (Boehringer Mannheim, Indianapolis, IN) and incubated at 24°C for 1 hour. The slides were then washed with PBS and stained with NBT/BCIP at 24°C for approximately 30 minutes. The apoptotic index was determined by light microscopy by counting 500 cells and was expressed as percentage of positive cells.

Reverse Transcription-Polymerase Chain Reaction (RT-PCR)

Total cellular RNA was extracted using RNAzol reagent (Biotecx Lab, Inc., Houston, TX). cDNA was generated using RNA PCR Core Kit reagents (Clontech Laboratories, Palo Alto, CA) and a 4800 GeneAmp thermocycler (Perkin-Elmer, Foster City, CA). For human Fas, the cDNA primers were 5'-CAGCTCTTCCACCTACAG-3' (forward) and 5'-TCATGCTTCTCCCTCTTTCACATGG-3' (reverse). Reaction conditions were denaturing at 94°C for 1 minute annealing at 52°C for 1 minute, and extension at 72°C for 1 minute for 30 cycles. Agarose gel electrophoresis confirmed the 500-bp DNA product for Fas. Human estrogen receptor DNA were amplified using 5' primer, 5'-CAAGC-CCGCTCATGATCA-3' and the 3' primer 5'-TGTGTA-GAGGGCATGGTG-3' and GPDH control primers 5'-TGAAGGTCGGTGTGAACGGTATTGGC-3' and 5'-CATGTAGGCCATGAGGTCCACCAC-3'. The reaction conditions were denaturing at 94°C for 1 minute, annealing at 60°C for 1 minute, and extension at 72°C for 2 minutes for 30 cycles. The PCR products on 1% agarose gel electrophoresis were 350 and 450 bp, respectively.

Immunohistochemical Staining of Estrogen Receptor

Cholangiocarcinoma cells ($1 \times 10^5/1$ ml RPMI 1640 complete medium) were collected by cytospinning on poly-L-lysine-precoated slides and washed with PBS (pH 7.6) twice, fixed by 3% paraformaldehyde for 30 minutes, and stained using a Vectastain Universal Elite ABC Kit (Vector Laboratories, Burlingame, CA) using the manufacturer's protocol. The fixed cells were subsequently incubated with anti-human estrogen receptor monoclonal antibody for 30 minutes diluted in biotinylated secondary antibody for 30 minutes and Vectastain elite ABC reagent for 30

minutes. After each incubation the slides were washed three times and examined microscopically.

Tumor Xenograft in Nude Mice

Cloned Fas-negative and Fas-positive cultured cholangiocarcinoma cells ($1 \times 10^6/\text{ml}$) were trypsinized, washed, and resuspended in Dulbecco's PBS (Cellgro). Mice were anesthetized with isoflurane inhalation and $5 \times 10^6/0.2$ ml/site were inoculated subcutaneously into the flanks of mice using a 22-gauge needle. Two weeks were allowed for tumor engraftment after which tumor sizes were measured using a caliper. After 4–6 weeks, tumors were removed, fixed, and embedded in paraffin. Sections were cut and stained with hematoxylin and eosin for microscopic analysis.

Results

TMX Induces Apoptosis in Human Cholangiocarcinoma Cells

The effect of various concentrations of TMX on apoptosis of human cholangiocarcinoma cells was determined using nick end labeling (TUNEL) staining and DNA fragmentation. The data presented in Figure 1 show that treatment with 5, 10, and 20 $\mu\text{mol/L}$ TMX for 48 hours resulted in a dose-dependent increase of apoptosis as indicated by the increase in number of dark TUNEL-positive apoptotic cells (Figure 1A) and the increase in DNA fragmentation (Figure 1B). Controls, with dimethylsulfoxide alone, showed no increase in TUNEL-positive cells or an increase in DNA fragmentation. The apoptotic indices from TUNEL staining were 3%, 11%, 26%, and 42% for cells incubated with 0, 5, 10, and 20 $\mu\text{mol/L}$ TMX, respectively.

Isolation of Fas-Negative and Fas-Positive Subpopulations

To determine whether human cholangiocarcinoma cells expressed Fas antigen, cells were stained with PE-conjugated Fas monoclonal antibody and the percentage of Fas-positive cells was determined by flow cytometry. Flow cytometric analysis revealed that approximately 20% of cells were Fas-positive, indicating that the cultured human cholangiocarcinoma cells heterogeneously express Fas (Figure 2A, panel 1). Fas-negative and Fas-positive subsets were separated by flow cytometric sorting. The sorted Fas-positive and Fas-negative cells were continually incubated in RPMI 1640 complete medium for 1–2 weeks. Using this technique, Fas-negative and Fas-positive cell populations were 80% and 60% pure, respectively (Figure 2A, panel 2).

Although the cell sorting technique isolated Fas-negative and Fas-positive cells, there was still overlap in Fas expression between these two populations. To further improve the purification of cells, several clones of Fas-negative and Fas-positive cells were generated by dilut-

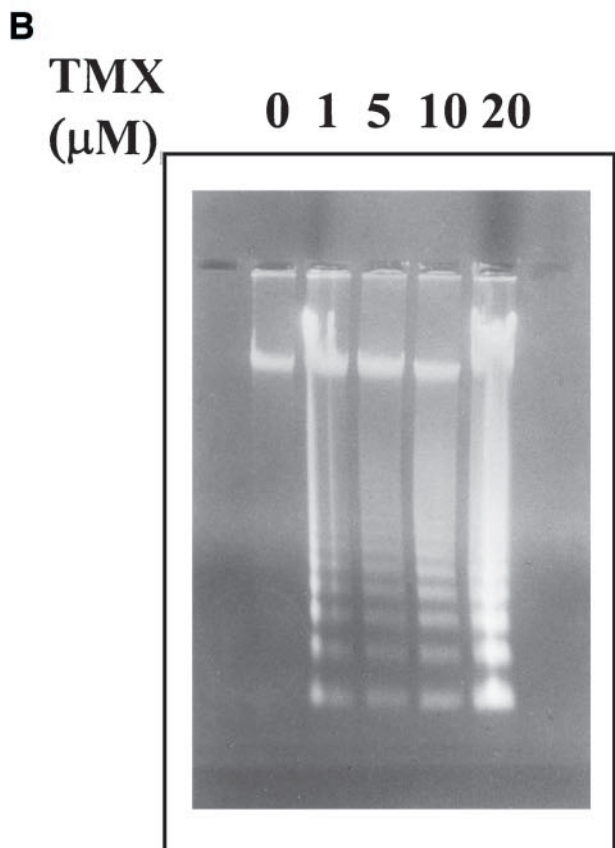
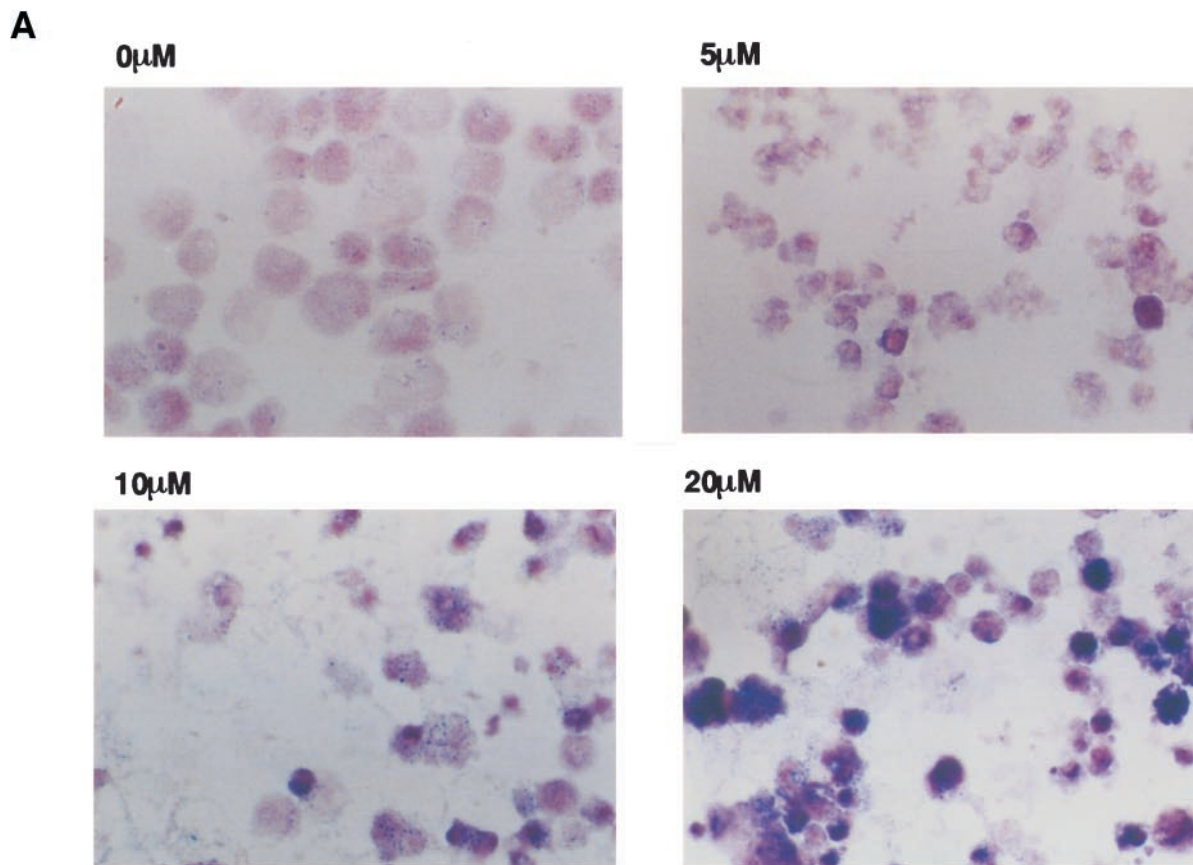


Figure 1. Apoptosis induced by TMX in human cholangiocarcinoma cells. **A:** TUNEL staining; human cholangiocarcinoma cells cultured for 2 days in the absence or presence of TMX at different concentrations (5, 10, and 20 μ mol/L). Cells were stained with terminal DNA polymerase (TUNEL) as described in Materials and Methods. Dark blue cells indicate apoptotic cells. **B:** DNA fragmentation: cells were treated with the concentrations of TMX as indicated for 48 hours. Genomic DNA was isolated from 10^5 cells as described in the methods followed by 1% agarose gel electrophoresis and ethidium bromide staining.

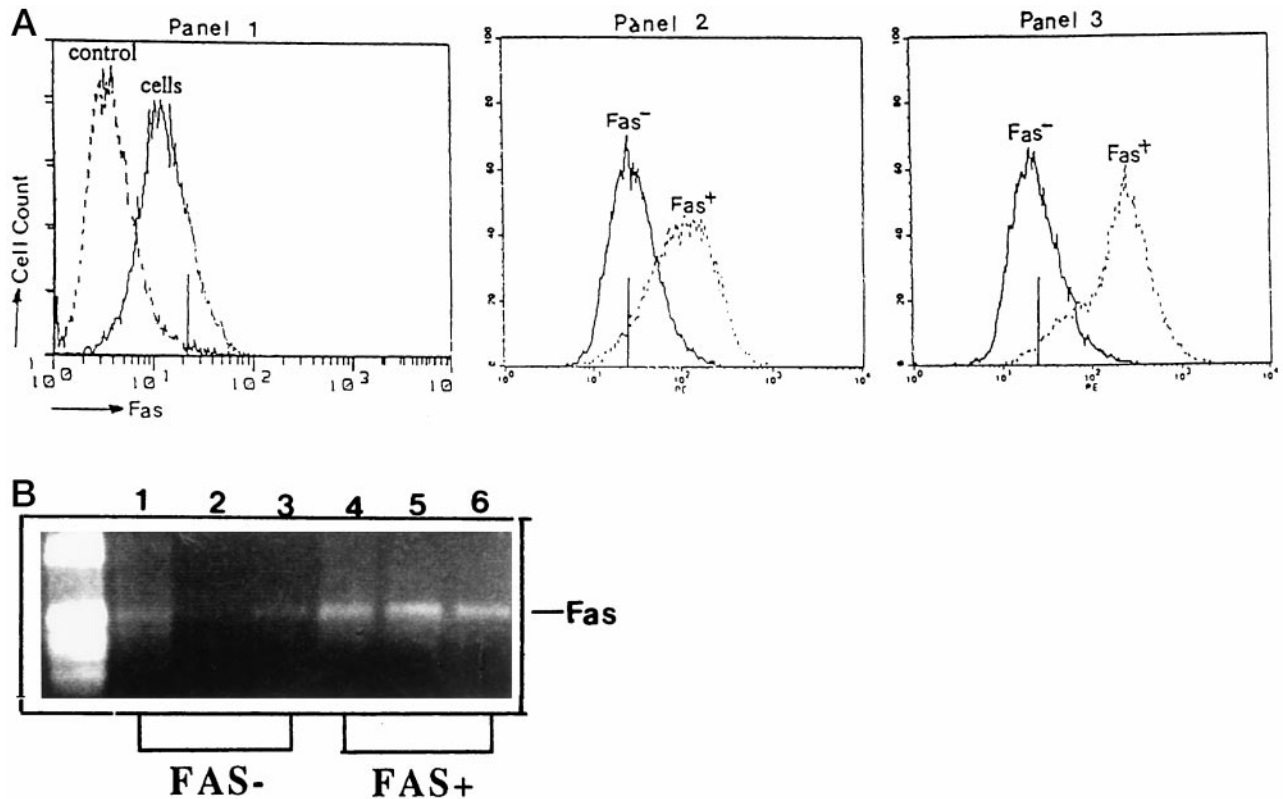


Figure 2. Isolation of Fas-negative and Fas-positive cholangiocarcinoma populations. **A1:** Fas expression of cholangiocarcinoma cells before flow cytometric sorting. Cells were incubated with PE-conjugated anti-human Fas monoclonal antibody at 4°C for 30 minutes and washed with FACS buffer (PBS, 5% FCS). The surface Fas antigen of cells was measured by flow cytometry compared with the control incubated with PE-conjugated anti-mouse IgG. **A2:** Isolation of Fas-positive and Fas-negative subsets by flow cytometric sorting. Cells were labeled as described above and sorted by flow cytometry into cells (10%) at the far left side of PE fluorescence peak (Fas-negative) and cells (10%) at the far right side of PE fluorescence peak (Fas-positive). These cells were incubated in RPMI 1640 complete medium for 1 week. Fas antibody (0.1 µg/ml) was added in the medium of the Fas-negative cells. Fas expression of Fas-negative (solid line) and Fas-positive (dotted line) were measured by flow cytometry. **A3:** Cloned Fas-negative and Fas-positive cell lines. The sorted Fas-negative and sorted Fas-positive cells were diluted and cells grown from a single cell were subsequently transferred onto tissue culture plates to generate cloned cells. The figure shows Fas expression of a Fas-negative clone (solid line) and a Fas-positive clone (dotted line). Fluorescence intensity is plotted on the x-axis; cell counts on the y-axis. **B:** Fas mRNA determined by RT-PCR. The mRNAs of sorted and cloned Fas-negative and Fas-positive cells (as indicated) were prepared using RNAzol kit and RT-PCR of Fas in sorted (lane 1) and cloned Fas-negative cells (lanes 2–3), as well as sorted (lane 4) and cloned Fas-positive cells (lanes 5–6) were performed. Fas was amplified using the primers of Fas (500 bp, see Materials and Methods). The 500-bp Fas DNA band is marked.

ing to single cells and then re-expanding by cell division over 3–4 weeks. Fas expression on cells of these clones was then determined by flow cytometry. The data shown in Figure 2A, panel 3, are typical of 12 isolated clones. Fas expression of the Fas-negative clone was less than 15%, and Fas expression of the Fas-positive clone increased to 80%. To further confirm the difference in two populations, RT-PCR of Fas was performed on sorted and cloned cell populations. The data in Figure 2B show a high level of Fas PCR product only in Fas-positive cells compared to no PCR product in Fas-negative cells. Both cloned Fas-positive and Fas-negative cells grew in serum-free medium (data not shown), indicating that they are both transformed.

Sensitivity of Fas-Positive and Fas-Negative Cells to Fas Antibody

Fas-negative and Fas-positive human cholangiocarcinoma cells responded differently upon activation with Fas antibody. Quantitation of trypan blue staining, presented in Figure 3A, shows that Fas antibody markedly stimu-

lated cell death only in Fas-positive cells. Associated microscopic morphological changes were consistent with characteristics of apoptosis including cell shrinkage, nuclear condensation, cell rounding, detachment from the monolayer, and plasma membrane blebbing (data not shown). Apoptosis was confirmed by TUNEL assay. Figure 3B shows that less than 8% of Fas-negative cells underwent apoptosis upon stimulation of Fas antibody. By contrast, Fas antibody induced apoptosis in more than 70% of Fas-positive cells.

Induction of Apoptosis by TMX and TFP in Fas-Negative and Fas-Positive Cells

Although TMX is anti-estrogenic, it is also a very potent calmodulin antagonist, being equally as potent as the commonly used calmodulin antagonist, trifluoperazine (TFP). TFP has also been used as a chemotherapeutic agent for some malignancies.³ We, therefore, tested the effects of TMX and TFP on apoptosis in Fas-negative and Fas-positive human cholangiocarcinoma cells. Figure 4, A and B, show that both TMX and TFP induced concen-

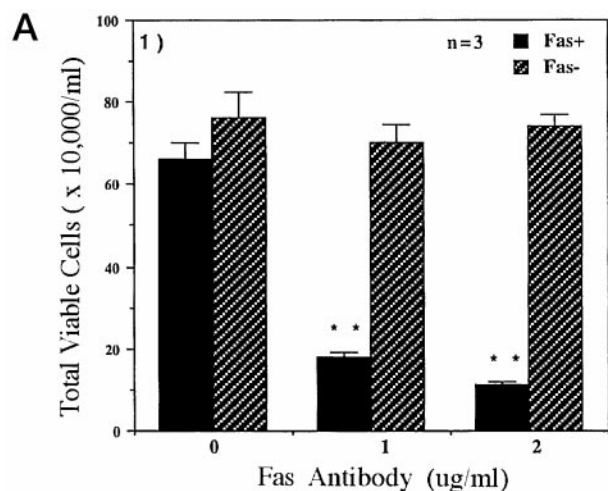


Figure 3. Fas antibody-induced apoptosis in Fas-negative and Fas-positive cells. **A:** Fas-negative (hatched bars) and Fas-positive (closed bars) cells (5×10^5 /well) were seeded in 6-well plate for 12 hours and then exposed to 0, 1, and 2 μg (1 mg/ml) Fas antibody at 37°C for 16 hours and harvested in 1 ml PBS. Cell survival was measured with 4% trypan blue and counted under a light microscope. Each bar represents the mean \pm SE of triplicate counts (**, $P < 0.01$). **B:** TUNEL assay. Fas-negative and Fas-positive cells were treated with 1 $\mu\text{g}/\text{ml}$ Fas antibody at 37°C for 16 hours and TUNEL staining performed. The dark TUNEL-positive cells in Fas-negative and Fas-positive cells are 6.8% and 73.4%, respectively.

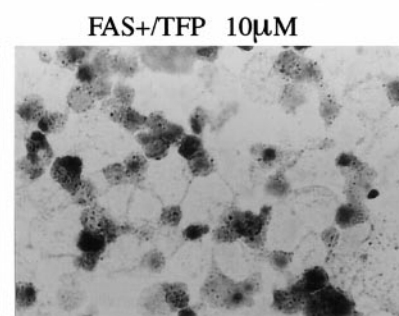
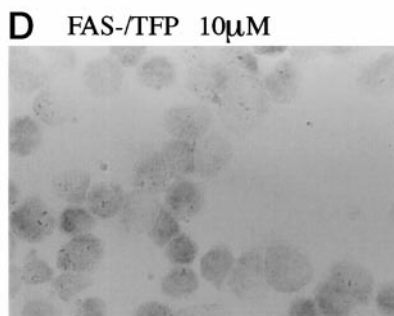
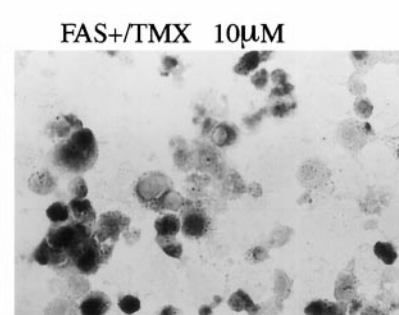
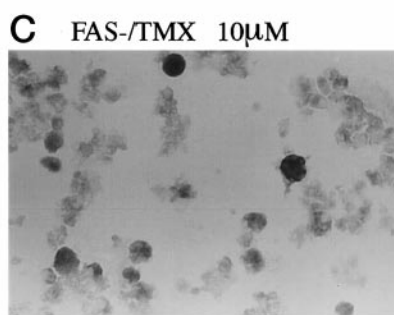
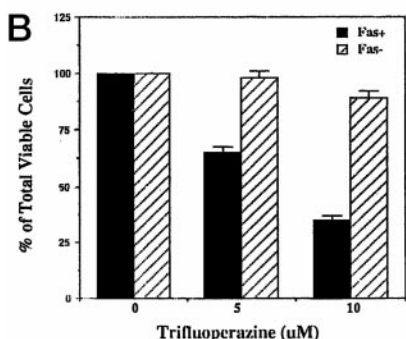
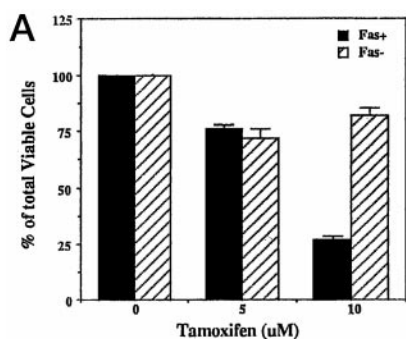
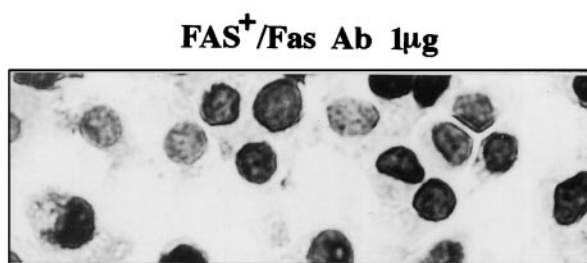
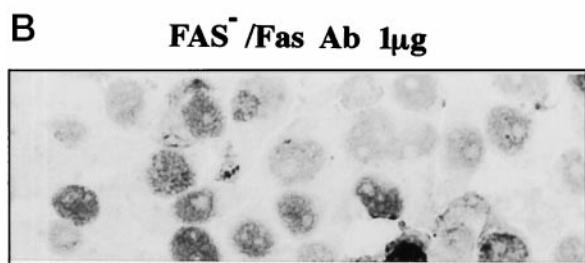


Figure 4. Apoptotic cell death induced by TMX and TFP in Fas-negative and Fas-positive cells. **A:** Fas-negative and Fas-positive cells were incubated in the presence of DMSO alone as controls, 5 $\mu\text{mol}/\text{L}$ and 10 $\mu\text{mol}/\text{L}$ TMX at 37°C for 36 hours. Cells were harvested in 1 ml PBS and then stained with 4% trypan blue and counted under a light microscope. Each bar is given as mean \pm SE of triplicate counts. **B:** Cells were treated with TFP and assayed as in **A**. **C** and **D:** Apoptosis in Fas-positive and Fas-negative cells treated with 10 $\mu\text{mol}/\text{L}$ TMX (**C**) and 10 $\mu\text{mol}/\text{L}$ TFP (**D**) detected by TUNEL assay.

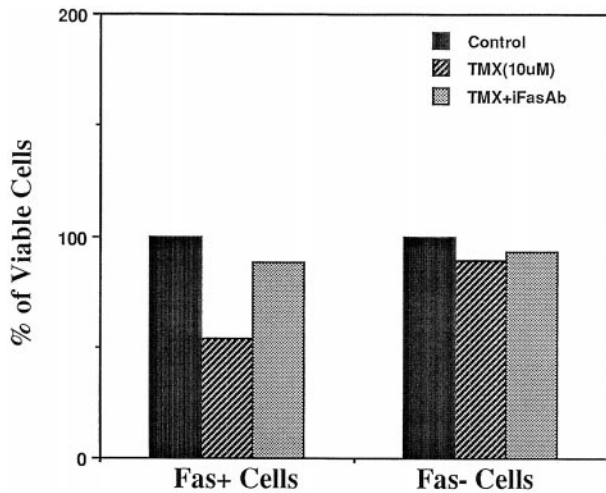


Figure 5. TMX-induced cell death is blocked by an inhibitory Fas antibody. Cloned Fas-positive and Fas-negative cells were pre-incubated with or without 2 μ l (1 mg/ml) inhibitory Fas antibody at 37°C for 5 hours and then exposed to 10 μ mol/L TMX for 16 hours. Cells were harvested and detected apoptotic cells by TUNEL assay.

tration-dependent cell death mainly in the Fas-positive population. TMX stimulated apoptotic cell death in Fas-positive cells, the percentage of viable cells being 75% and 26% at 5 and 10 μ mol/L TMX, respectively, (Figure 4A, solid bars). TMX has a minimal effect on cell death (25% reduction in viable cells) in the Fas-negative population (Figure 4A, hatched bars). TFP has a similar effect on Fas-positive cells (Figure 4B, hatched bars). TFP at 5 μ mol/L and 10 μ mol/L induced cell death in Fas-positive cells with a decrease of the percentage of total viable cells to 62% and 28%, respectively. TFP, like TMX, has no or minimal effect on Fas-negative cells (Figure 4B, hatched bars). TUNEL assay was performed as described in methods on Fas-negative and Fas-positive cells treated with 10 μ mol/L TMX (Figure 4C) or 10 μ mol/L TFP (Figure 4D). Results confirm the trypan blue staining experiments showing that apoptosis is stimulated by both TMX and TFP primarily in the Fas-positive cells.

TMX-Induced Apoptosis Is Blocked by an Inhibitory Fas Antibody

To further demonstrate that TMX-induced apoptosis is mediated by the Fas pathway, cells were pretreated for 5 hours in the presence or absence of 2 μ g inhibitory Fas antibody, which binds Fas but does not induce apoptosis, and then exposed to 10 μ mol/L TMX. Figure 5 shows that TMX treatment of Fas-positive cells reduced the percentage of total viable cells to 26% compared with the control cells (100%). This apoptosis induced by TMX treatment could be reversed by addition of inhibitory Fas antibody (91%). In contrast, the percentage of total viable cells in TMX-treated Fas-negative cells did not change in the presence and absence of inhibitory antibody. The protective effect of inhibitory Fas antibody on TMX-induced apoptotic cell death in Fas-positive cells indicates that TMX-induced apoptosis is likely to be mediated through Fas/APO-1 (CD95) system.

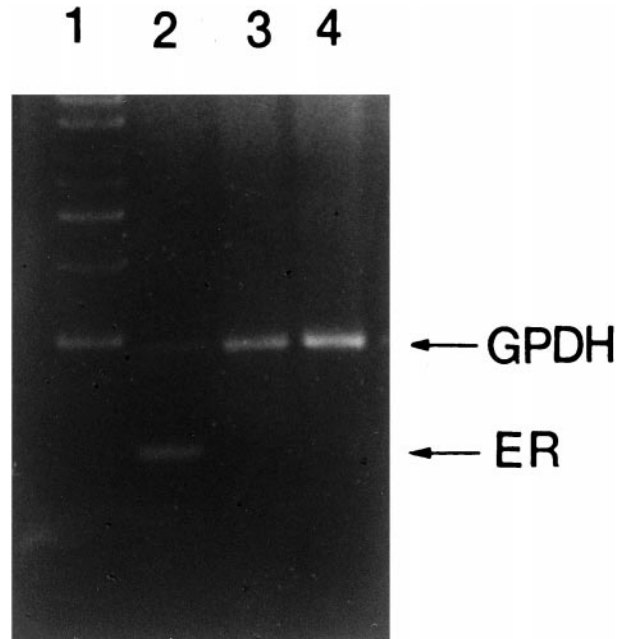


Figure 6. RT-PCR for estrogen receptors in human cholangiocarcinoma cells. The mRNAs of Fas-negative, Fas-positive cholangiocarcinoma cells and MCF-7 breast cancer cells as a positive control were isolated using RNAsol kit and RT-PCR for the estrogen receptor was performed as described in Materials and Methods. PCR products were electrophoresed on 1% agarose gel and stained with ethidium bromide. Lane 1 is DNA marker. Lane 2 represents the positive control MCF-7 breast cancer cells. Lane 3 represents Fas-negative cells. Lane 4 represents Fas-positive human cholangiocarcinoma cells. Migration of GPDH housekeeping products (450 bp) and estrogen products (350 bp) are labeled.

TMX-Induced Apoptotic Cell Death Is Not Due to its Antiestrogenic Properties

In the previous studies, we determined that the estrogen receptor was not expressed in untreated human cholangiocarcinoma cells cultured in RPMI 1640 medium containing phenol red using RT-PCR, Northern blot, and immunohistochemistry.⁶ We confirmed these data in both the Fas-positive and Fas-negative cell lines using immunohistochemistry (data not shown) and RT-PCR (Figure 6). Only the positive control (breast cancer MCF-7 cells) contains the 350 bp estrogen receptor product (Figure 6, lane 2). In contrast, both Fas-negative (lane 3) and Fas-positive (lane 4) cells are negative for the estrogen receptor. The migration of the glyceraldehyde-3-phosphate dehydrogenase (GPDH) housekeeper product is also labeled in Figure 6. Furthermore, to assess a possible functional role of the estrogen receptor in Fas-mediated apoptosis, we tested the effect of ICI 182780, a pure anti-estrogenic compound on apoptosis. ICI 182780 did not induce apoptosis at low (10 nmol/L) and high (10 μ mol/L) concentrations in both Fas-negative and Fas-positive cells (Figure 7).

Growth of Fas-Negative and Fas-Positive Cell Xenografts in Nude Mice

We determined the tumorigenicity of cloned Fas-negative and Fas-positive cholangiocarcinoma cells in nude mice.

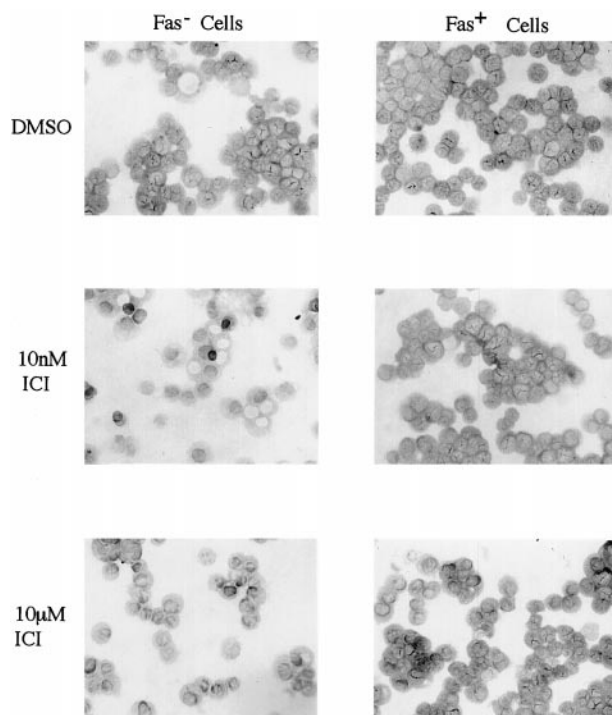


Figure 7. The effect of ICI 182780 on apoptosis in cholangiocarcinoma cells. A total of 10^5 cells per 1 ml medium were seeded into 12-well plate and incubated for 12 hours. ICI at 10 nmol/L and 10 μ mol/L were added into wells and incubated for 24 hours. Cells were TUNEL-stained. **Left panels:** Fas-negative cells; ICI at 10 nmol/L (upper) and 10 μ mol/L (bottom). **Right panels:** Fas-positive cells; ICI at 10 nmol/L (upper) and ICI at 10 μ mol/L (bottom).

Cultured Fas-negative and Fas-positive cells (5×10^6) were subcutaneously injected into six female nude mice and growth of tumors determined. After 2 weeks, Fas-negative cells grew in all six nude mice forming tumors measuring 1–2 cm in greatest diameter (Figure 8A, Fas-negative). In contrast, Fas-positive cells did not form tumors in any of the six animals (Figure 8A, Fas-positive). Representative microscopic sections of H and E stains of the tumors is shown in Figure 8B, at both low and high powers. As can be seen, the tumors are characteristic adenocarcinomas, forming glandular structures and demonstrating a high degree of nuclear pleomorphism and prominent nucleoli. RT-PCR for Fas performed on four of the tumors confirmed that they continued to be Fas-negative (data not shown).

Discussion

Cholangiocarcinoma is a highly malignant tumor of the biliary tree with less than 10% 5-year overall survival.^{50–53} Currently, there are minimal opportunities for medical or surgical cure; therefore, new modalities of treatment are needed.⁶ Here we show that cultured human cholangiocarcinoma cells heterogeneously express Fas, a receptor known to mediate apoptosis. The majority of cells (80%) fail to express Fas or only weakly express this receptor. This lack of expression of Fas, a major inducer of apoptotic cell death, may result in the failure of human cholangiocarcinoma to respond to current treatments and be

responsible, in part, for the poor prognosis of this malignancy.^{28,36,54} To further explore these hypotheses, we isolated and cloned Fas-negative and Fas-positive subpopulations of cultured human cholangiocarcinoma cells. The stability of Fas expression on Fas-negative and Fas-positive cells was also characterized by incubating cells for 2 to 6 weeks. Our data show that the percentage of Fas expressing cells in Fas-positive and Fas-negative clones remained at 90% and 10%, respectively, during the period of 6 weeks (data not shown). Therefore, these stable cell lines, one expressing Fas and the other not expressing Fas, provide an excellent model for studying the molecular mechanisms of Fas-mediated apoptosis. Using this two-cell model, we compared the sensitivities of apoptosis induction *in vitro* by Fas antibody, TMX, and TFP. Fas-negative cells are resistant, whereas Fas-positive cells are sensitive, to apoptosis induced by all three reagents. Furthermore, when Fas-negative and Fas-positive cells were subcutaneously inoculated into nude mice, Fas-negative, but not Fas-positive, cholangiocarcinoma cells produced tumors. These studies indicate that the deficiency of Fas expression may be associated with the pathogenesis of tumors and their resistance to anti-tumor drugs. Understanding the underlying molecular events and responses to therapeutic agents may lead to new therapeutic modalities.

TMX is an anti-cancer drug widely used in the treatment of breast cancer and other malignancies that do not express estrogen receptor.^{55–58} It has previously been found to have an inhibitory effect on the growth of human cholangiocarcinoma *in vitro* and *in vivo*.⁶ The molecular basis for the anti-tumor effect of TMX is not well understood. However, it may result not only from competitive interaction with the estrogen receptor, but also from its effects on numerous other potential cellular targets including calmodulin, protein kinase C, chloride channels, and secretion of TGF- β .^{18–25} The relative importance of these various targets likely depends upon numerous variables, including the species studied, the target organ, and the amount of TMX used. In this study, TMX induces dose-dependent apoptosis in cultured Fas-positive, but not Fas-negative, human cholangiocarcinoma cells, which is blocked by an inhibitory Fas antibody, suggesting that TMX-induced apoptosis may be involved in a Fas-dependent mechanism. The apoptosis-inducing effect of TMX is duplicated by TFP, a classical calmodulin antagonist. Both agents induce apoptosis in Fas-positive cholangiocarcinoma cells at concentrations that inhibit calmodulin-dependent processes.^{59–60} The lack of estrogen receptors and of an effect by the pure anti-estrogenic compound, ICI 182780, on cultured Fas-negative and Fas-positive cells indicate that the molecular mechanism by which TMX stimulates apoptosis in Fas-positive cells could not be explained simply by anti-estrogen effects of TMX. Our data support the concept that the pro-apoptotic effect of TMX on Fas-positive cholangiocarcinoma cells is due to calmodulin antagonism. However, it is interesting that TMX has a 25% effect on cell death in Fas negative cells, possibly reflecting contamination with Fas-positive cells.

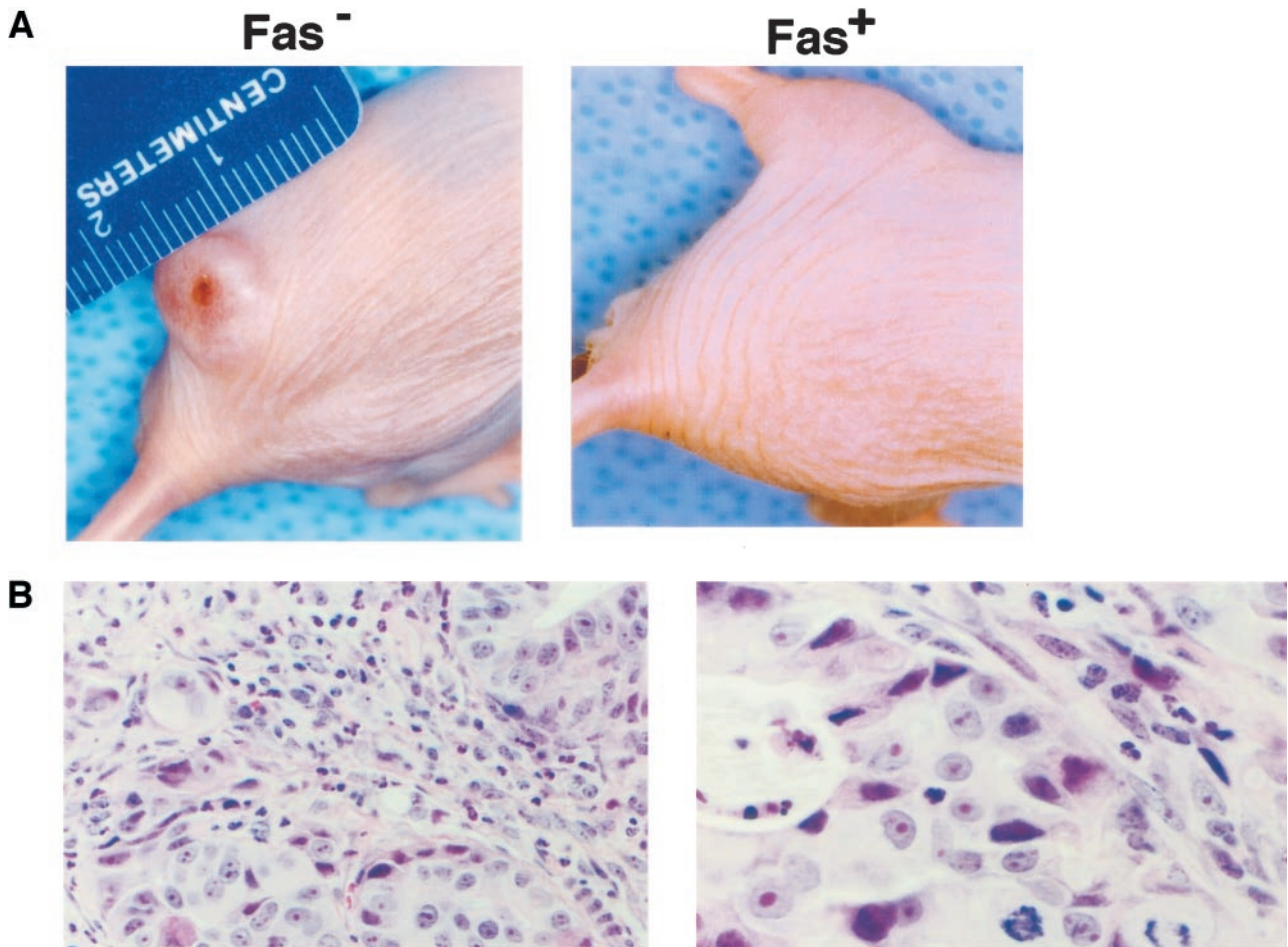


Figure 8. Tumorigenesis of Fas-negative and Fas-positive human cholangiocarcinoma cells in nude mice. Fas-negative and Fas-positive cells (5×10^6) were inoculated subcutaneously into the flanks of nude mice in a total volume of 0.2 ml/site. Two weeks were allowed for tumor engraftment. The tumor engraftment rate of Fas-negative cells was 100% ($n = 6$) compared to 0% for Fas-positive cells ($n = 6$). **A:** A typical tumor in an animal injected with Fas-negative cells (left) and lack of tumor in the animal injected with Fas-positive cells (right). **B:** Representative hematoxylin and eosin staining of Fas-negative tumor. Original magnification, $\times 250$ (left) and $\times 1000$ (right).

There are several potential molecular sites of action for TMX and TFP as calmodulin antagonists for activating apoptosis.⁶¹ However, the specific sites have not yet been identified. Calmodulin antagonism is the likely key common event and both Ca^{2+} and calmodulin are involved at various molecular levels in apoptotic signaling. For example, a recently discovered calcium/calmodulin-dependent serine/threonine kinase, DAP kinase, has been shown to increase tumor necrosis factor α -mediated apoptosis.⁵⁹ Interestingly, expression of DAP kinase was inversely related to the metastatic capability of carcinoma cells. Calcineurin, a calmodulin/ Ca^{2+} -dependent phosphatase, has been implicated in mediating apoptosis, either directly or by binding BCL-2.⁶² Alterations in intracellular Ca^{2+} , which would be expected to be affected by calmodulin antagonists have been implicated at several sites in the apoptotic signaling pathways, including mediating Ca^{2+} -activated endonucleases, Ca^{2+} -activated proteases,⁶³ nuclear scaffold proteases,⁶⁴ and Ca^{2+} -dependent transglutaminases.⁶⁵

The minimum concentration of TMX required to induce apoptosis *in vitro* in Fas-positive human cholangiocarcinoma is 1–5 $\mu\text{mol/L}$. This effective concentration of 5

$\mu\text{mol/L}$ *in vitro* raises the question of a possible link between TMX dose and treatment response *in vivo*. The commonly used dose of TMX in current clinical therapy is 10–20 mg per day, which achieves a highly variable steady-state plasma concentration of TMX 0.13–0.35 $\mu\text{mol/L}$.⁶⁶ Doses of TMX as high as 460 mg/day have been administered to treat patients with advanced solid tumors. Using this dose, steady-state plasma concentrations of TMX and its active metabolite, N-desmethyl TMX, concentrations greater than 5.0 $\mu\text{mol/L}$ were achieved in 82% of patients, and concentrations greater than 10 $\mu\text{mol/L}$ in 18% of patients.⁶⁷ Although there are a number of factors such as tumor location, vascularity, stage of disease, and age that influence the concentration of TMX at the site of the tumor, plasma concentrations can be achieved that are similar to those required in our *in vitro* studies to induce apoptosis of cholangiocarcinoma cells.

The potential importance of Fas expression in carcinogenesis is emphasized by the tumorigenic capability of only the Fas-negative cells when injected into nude mice. Fas-positive cells did not produce any tumors, suggesting that Fas-positive cells, but not Fas-negative cells, were killed when injected subcutaneously. Fas ligand

(the natural ligand for Fas) may be the *in vivo* biological mediator stimulating apoptosis, thus preventing growth of tumors. Fas ligand is expressed on thyroid,⁶⁸ various epithelial cells,⁶⁹ and cornea,⁷⁰ and is also present in a soluble form.⁷¹ Therefore, endogenous Fas ligand is a likely natural mechanism for killing the Fas-positive cholangiocarcinoma cells, resulting in their failure to grow and produce tumors. Alternatively, the Fas phenotype may be associated with other endogenous cellular factors that promote tumorigenesis and lack the Fas-positive phenotype. Fas ligand expression on tumor cells may also provide protection of the cells from immune killing. Evidence is now accumulating that many tumors, including colon carcinoma, melanoma, hepatocellular carcinoma, pancreatic carcinoma, and astrocytoma, may express Fas ligand. These Fas ligand-expressing tumor cells may have two functions. First, they may deliver a death signal to Fas-expressing T lymphocytes to escape immune system through Fas-Fas ligand interaction. To date, evidence *in vivo* has been obtained to support this in a murine melanoma model, which had decreased growth in *lpr* mice (expressing minimal or no Fas) compared with normal or *gld* mice (defect in Fas ligand).⁵² Second, Fas-expressing tumor cells may also be activated *in vivo* by some unknown mechanisms to kill Fas-positive tumor cells (suicide apoptosis), leaving only Fas-negative tumor cells. Consistent with this hypothesis, some tumors spontaneously regress and often have large lymphocytic infiltrates, supporting the concept of a crucial involvement of the Fas system in tumorigenesis.⁵³

In conclusion, the data show that TMX stimulates apoptotic cell death in human cholangiocarcinoma cells and this is likely mediated through the Fas/APO-1 (CD95) signaling pathway via a calmodulin-dependent mechanism. The heterogeneous expression of Fas surface protein on cholangiocarcinoma cells may be useful prospectively to predict both malignant potential and responsiveness to therapy. These hypotheses will be explored in future experiments focused on underlying molecular mechanisms, tumorigenesis, and therapy.

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