

# Short Communication

## Estrogen Receptor $\beta$ Is Coexpressed with ER $\alpha$ and PR and Associated with Nodal Status, Grade, and Proliferation Rate in Breast Cancer

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**The role of estrogen (ER) and progesterone receptors (PR) in breast cancer is well established. Identification of the second human estrogen receptor, the estrogen receptor  $\beta$  (ER $\beta$ ), prompted us to evaluate its role in breast cancer. We studied the expression of ER $\beta$  by immunohistochemistry and mRNA *in situ* hybridization in 92 primary breast cancers and studied its association with ER $\alpha$ , PR, and various other clinicopathological factors. Sixty percent of tumors were defined as ER $\beta$ -positive (nuclear staining in >20% of the cancer cells). Normal ductal epithelium and 5 of 7 intraductal cancers were also found to express ER $\beta$ . Three-fourths of the ER $\alpha$ - and PR-positive tumors were positive for ER $\beta$ , whereas ER $\alpha$  and PR were positive in 87% and 67% of ER $\beta$ -positive tumors, respectively. ER $\beta$  was associated with negative axillary node status ( $P < 0.0001$ ), low grade ( $P = 0.0003$ ), low S-phase fraction ( $P = 0.0003$ ), and premenopausal status ( $P = 0.04$ ). In conclusion, the coexpression of ER $\beta$  with ER $\alpha$  and PR as well as its association with the other indicators of low biological aggressiveness of breast cancer suggest that ER $\beta$ -positive tumors are likely to respond to hormonal therapy. The independent predictive value of ER $\beta$  remains to be established. (*Am J Pathol* 2000, 156:29–35)**

Positive estrogen receptor (ER) status is a well established predictor of response to endocrine therapy in breast cancer. Addition of progesterone receptor (PR) measurements improves the predictive value further by defining the ER-positive/PR-negative tumor type, which is less likely to respond to therapy than tumors that are

positive for both receptors.<sup>1–3</sup> In addition to the ability to predict the response to hormonal therapy, ER and PR also reflect the differentiation of the tumor, thereby aiding assessment of patient prognosis.<sup>1–3</sup> ER and PR assays have been routinely used in the selection of appropriate therapy for breast cancer patients for more than 20 years.<sup>1–3</sup>

It is well known that up to 30 to 40% of breast tumors with positive hormone receptor status do not respond to endocrine therapy.<sup>1</sup> Reasons for the lack of response have remained poorly understood, although steroid-independent growth factor signaling (eg, via HER-2/*neu*),<sup>4</sup> functionally deficient splicing variants of the ER gene,<sup>2</sup> and heterogeneity of ER expression<sup>5</sup> may partly explain poor therapy outcome of ER-positive tumors. However, these mechanisms explain only a fraction of the hormone receptor-positive tumors that do not respond to endocrine therapy. Therefore, the search for alternative explanations continues.

The recent discovery of a second estrogen receptor, termed ER $\beta$ ,<sup>6,7</sup> indicates that the mechanism of action of estrogens is far more complex than anticipated. Due to its recent discovery, relatively little is known about the ER $\beta$  at the moment.<sup>7</sup> Human ER $\beta$  has a structure highly homologous to the previously known human ER, now termed ER $\alpha$ .<sup>8,9</sup> Estrogens are known to bind ER $\beta$  with affinity similar to ER $\alpha$ <sup>7</sup> and the transcriptional activation via the estrogen response element (ERE) is identical for both receptor forms.<sup>6,8,10</sup> ER $\alpha$  and ER $\beta$  can also form biologically functional receptor heterodimers in the tissues in which they are coexpressed.<sup>11–13</sup> So far, only limited data are available on the activity and expression of ER $\beta$  in human neoplasms. Pilot studies have indicated that ER $\beta$  is expressed in breast cancer as its mRNA has

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been detected in breast carcinoma samples by reverse transcriptase-polymerase chain reaction (RT-PCR).<sup>14-17</sup> However, due to the small numbers of tumors studied, the role of ER $\beta$  has remained obscure.<sup>14-17</sup> Here we studied the expression of ER $\beta$  by immunohistochemistry and mRNA *in situ* hybridization in a set of unselected breast tumors. Expression of ER $\beta$  was correlated with ER $\alpha$ , PR, and known clinicopathological indicators of malignant potential to clarify the role of ER $\beta$  in the pathobiology of breast cancer.

## Materials and Methods

### Patients and Tumors

We studied surgical biopsy specimens from a set of 92 female breast cancer patients whose tumor samples were sent for hormone receptor analysis to the Laboratory of Cancer Biology at Tampere University Hospital. The tumor material consisted of 79 invasive ductal carcinomas, 6 lobular, and 7 intraductal carcinomas, according to the WHO tumor classification. The median age of the patients was 58 years (range, 35-88). Patients were operated with segmental resection or mastectomy and had not received any preoperative chemo- or endocrine therapy. Tumor samples were snap-frozen in OCT tissue embedding medium (Tissue-Tek, Miles Inc., Naperville, IL) within 20 minutes of removal during surgery. Cryostat sections (5-7  $\mu$ m) were cut for intraoperative diagnosis, hormone receptor analysis, and DNA flow cytometry. Extra sections were stored air-tight at -70°C until used in immunohistochemistry and mRNA *in situ* hybridization of ER $\beta$ . All histopathological diagnoses were re-evaluated and histopathological grading was performed according to the Bloom and Richardson system.<sup>18</sup>

### Immunohistochemistry

The frozen sections were fixed with Zamboni's fluid for 15 minutes. Nonspecific antibody binding was blocked with Tris-buffered saline containing 1.0% bovine serum albumin and 1.0% nonfat milk powder for 10 minutes at room temperature. ER $\beta$  was detected with a rabbit polyclonal antibody (PAI-313, Affinity Bioreagents, Golden, CO; dilution 5  $\mu$ g/ml). The antigen used for immunization is a KLH-conjugated synthetic peptide corresponding to the C-terminal amino acid residues 467 to 485 of human ER $\beta$ . According to the manufacturer, the antibody reacts with human ER $\beta$  and displays no cross-reactivity with human ER $\alpha$  expressed in a baculovirus system. The primary antibody was incubated overnight at 4°C using Shandon Sequenza immunostaining coverplates (Shandon, Pittsburgh, PA). A streptavidin-biotin-peroxidase complex technique was used for visualization with diaminobenzidine as a chromogen (Histostain Plus kit, Zymed Inc., South San Francisco, CA). Sections were counterstained with hematoxylin. Immunostainings were evaluated by light microscopy using a 25 $\times$  objective by a researcher unaware of immunohistochemical or clinical data. The immunohistochemical controls included omission of pri-

mary and secondary antibodies, and a pre-absorption experiment, where the antibody was incubated with the concentration of 10 times excess of the peptide immunogen (PAI-313p, Affinity Bioreagents) for 1 hour at room temperature before applying to slides. Adjacent sections from the same tumors were immunostained normally for comparison.

ER $\alpha$  and PR were immunostained on adjacent Zamboni-fixed frozen sections using the ER-ICA and PR-ICA kits (Abbott Laboratories, Naperville, IL). Overexpression of c-erbB2 oncoprotein was detected by the monoclonal antibody CB-11 (Novocastra Laboratories, Newcastle, UK). Details of the ER $\alpha$ , PR, and c-erbB2 staining method have been shown in our previous studies.<sup>19</sup> DNA flow cytometry was performed using adjacent frozen sections 200  $\mu$ m thick as starting materials, as previously described.<sup>20</sup>

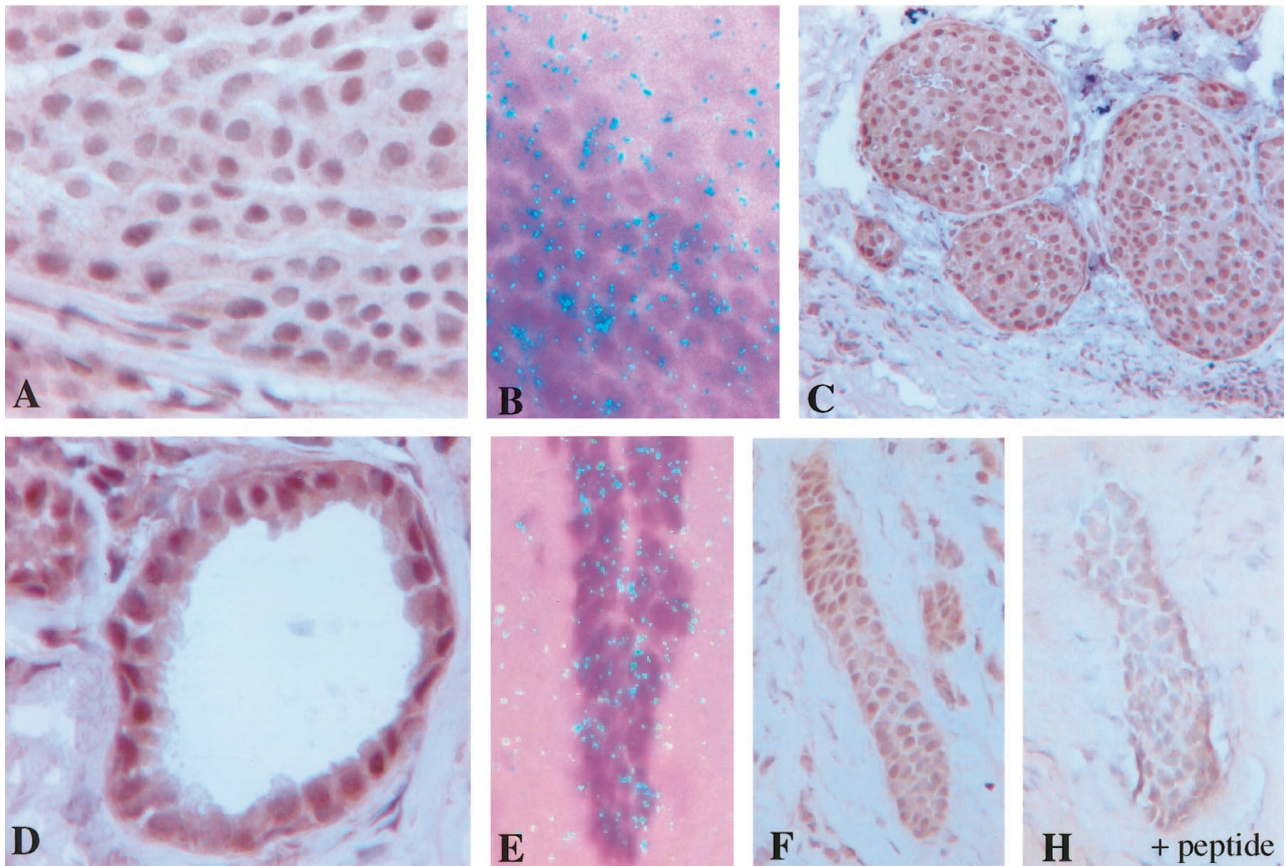
### mRNA in Situ Hybridization

mRNA *in situ* hybridization was carried out as previously described.<sup>6,19</sup> Four different synthetic antisense oligonucleotide probes directed against ER $\beta$  mRNA (nucleotides 542-589, 1089-1136, 1326-1373, and 1384-1431) were labeled to specific activity of  $1 \times 10^9$  cpm/mg at the 3' end with <sup>32</sup>P-dATP (DuPont-New England Nuclear Research Products, Boston, MA) using terminal deoxynucleotidyl transferase (Amersham, Buckinghamshire, UK). A cocktail of similarly labeled irrelevant oligonucleotides was used as control. The hybridization was carried out by incubating unfixed and air-dried frozen sections in humidified boxes at 42°C for 18 hours with 5 ng/ml of the labeled probe in the hybridization mixture. The sections were then washed four times (15 minutes each) in 1 $\times$  SSC at 55°C. In the final rinse, the sections were left to cool to room temperature (approximately 1 hour). The sections were dipped in Kodak NTB2 nuclear track emulsion and exposed for 90 days at 4°C. The sections were stained with cresyl violet and analyzed under bright-field and epipolarization conditions in a Nikon Microphot-FX microscope. Alternatively, autoradiograph films (Amersham  $\beta$ -max; Amersham) were overlaid on slides, exposed for 30 to 60 days, and developed using LX24 developer and AL4 fixative (Kodak, Rochester, NY). Irrelevant control probes of the same length, with similar GC content and specific activity, were used to ascertain the specificity of the hybridizations. Addition of 100 times excess of the unlabeled probe abolished all hybridization signals (data not shown).

## Results

### Expression of ER $\beta$ in Ductal Epithelium and in Breast Cancer

Immunohistochemical staining using the polyclonal ER $\beta$  antibody showed strong nuclear immunoreaction and weak cytoplasmic and extracellular background staining (Figure 1). Positive immunostaining was confined to the nuclei of carcinoma cells, whereas the stromal and in-



**Figure 1.** Immunohistochemical demonstration of ER $\beta$  in human breast cancer by immunohistochemistry (A) and mRNA *in situ* hybridization (B). ER $\beta$  is expressed also in intraductal carcinoma (C), and in normal ductal epithelium (D). The expression of ER $\beta$  in normal ducts was confirmed by mRNA *in situ* hybridization (E). F and H demonstrate the specificity control of the immunostaining. Adjacent tumor sections were immunostained with or without pre-absorption of the ER $\beta$  antibody by the immunogen peptide. The nuclear immunoreaction is completely abolished after pre-absorption.

flammatory cells in the tumor stained always stained negative. When 20% of positively stained carcinoma cells was used as a cutoff point to classify tumors as ER $\beta$ -positive, 55 of 92 (59.8%) tumors were defined as ER $\beta$ -positive. The specificity of ER $\beta$  immunohistochemistry was confirmed by mRNA *in situ* hybridization (Figure 1). Positive autoradiographic signals indicating presence of ER $\beta$  mRNA were obtained from immunohistochemically ER $\beta$ -positive tumors (Figure 2). ER $\beta$  mRNA and immunoreactivity were found also in the normal ductal epithelium and immunoreactivity in intraductal carcinoma (Figures 1 and 2). Immunostaining of ER $\beta$  was confirmed by pre-absorbing ER $\beta$  antibody with immunogen peptide (Figure 1). Incubation of the ER $\beta$  antibody with the peptide abolished the nuclear immunoreaction completely from adjacent sections.

#### Association of ER $\beta$ with ER $\alpha$ and PR

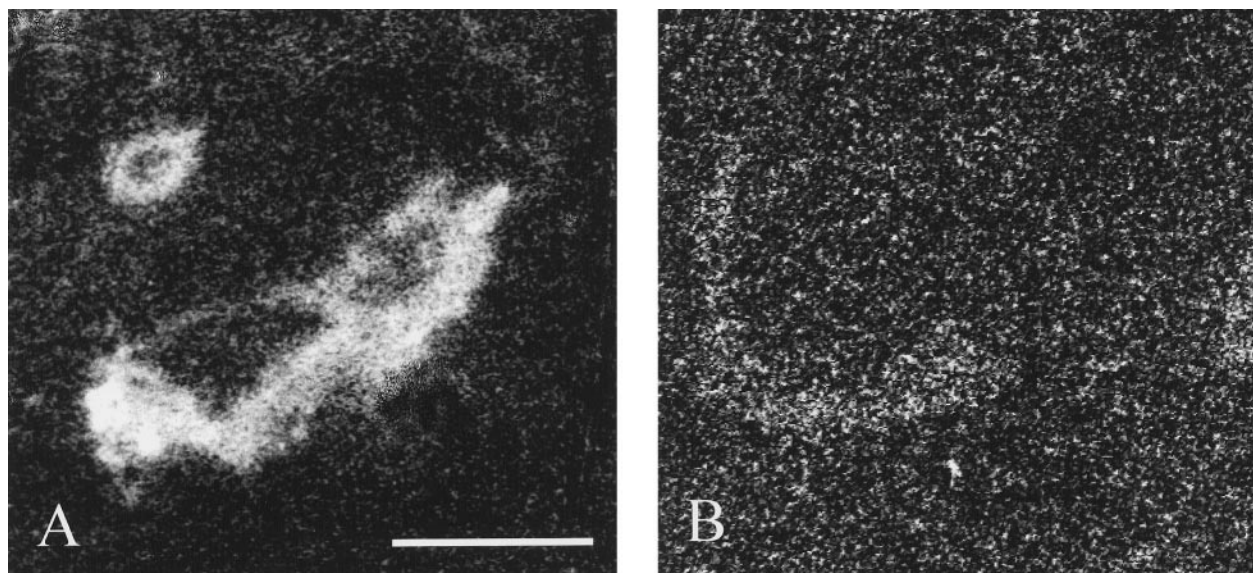
Three-fourths of the ER $\alpha$ -positive tumors (76%, 48/63) were positive for ER $\beta$ , whereas 7 of 29 (24%) ER $\alpha$ -negative tumors expressed ER $\beta$  (Table 1). A similar strong association was identified between ER $\beta$  and PR status (Table 1). Seventy-six percent of the PR-positive tumors were ER $\beta$ -positive (37/49), whereas 42% of the PR-negative breast tumors were ER $\beta$ -positive (18/43). When ER $\alpha$

and PR status were combined, 77% of ER $\alpha$ -positive/PR-positive tumors were found to be ER $\beta$ -positive, while almost as high percentage of the ER $\beta$ -positivity was identified in ER $\alpha$ + /PR- tumors (75%, Table 1). Although a majority of ER $\alpha$ -positive/PR-negative tumors (12/16) were positive for ER $\beta$ , only 22% of the tumors that were negative for both ER $\alpha$  and PR were positive for ER $\beta$  (Table 1). Patterns of ER $\alpha$  and ER $\beta$  coexpression are illustrated in Figure 3.

#### Association of ER $\beta$ with Clinicopathological Features

Expression of ER $\beta$  was significantly associated with several clinicopathological features of breast cancer. Positive ER $\beta$  status was more common in axillary node-negative than in node-positive tumors ( $P < 0.0001$ , Table 2), but no correlation was found with the size of the primary tumor (Table 2). Expression of ER $\beta$  was more common in pre- and perimenopausal than postmenopausal patients ( $P = 0.04$ ). There was no association between the histological type of the tumor and the ER $\beta$  expression, in that 46/79 invasive ductal carcinomas, 4/6 invasive lobular, and 5/7 intraductal carcinomas showed positive ER $\beta$  immunostaining. ER $\beta$  had strong association with histolog-





**Figure 2.** Localization of ER $\beta$  mRNA by *in situ* hybridization using a sensitive X-ray film autoradiography detection. **A** demonstrates hybridization of an immunohistochemically ER $\beta$ -positive tumor with a cocktail of five ER $\beta$  antisense oligonucleotides. The tumor area and a normal duct (upper left corner) are labeled, whereas no specific labeling can be seen with a cocktail of irrelevant oligonucleotides (**B**). Bar, 0.4 mm.

ical grade ( $P = 0.0003$ ), and ER $\beta$ -positive tumors were also characterized by diploid DNA content and lower S-phase fractions than ER $\beta$ -negative tumors ( $P = 0.03$  and  $P = 0.002$ , respectively; Table 2). A nearly significant association was found between negative ER $\beta$  status and overexpression of ErbB-2 oncoprotein ( $P < 0.08$ , Table 2). For comparison, association of ER $\alpha$  with clinicopathological features was also determined. Similarly to ER $\beta$ , there was a correlation between the ER $\alpha$  and histological grade, DNA ploidy, S-phase fraction, ErbB-2 oncoprotein overexpression, and tumor size (Table 2). No significant association was found between ER $\alpha$  and menopausal and nodal status of the tumor.

### Discussion

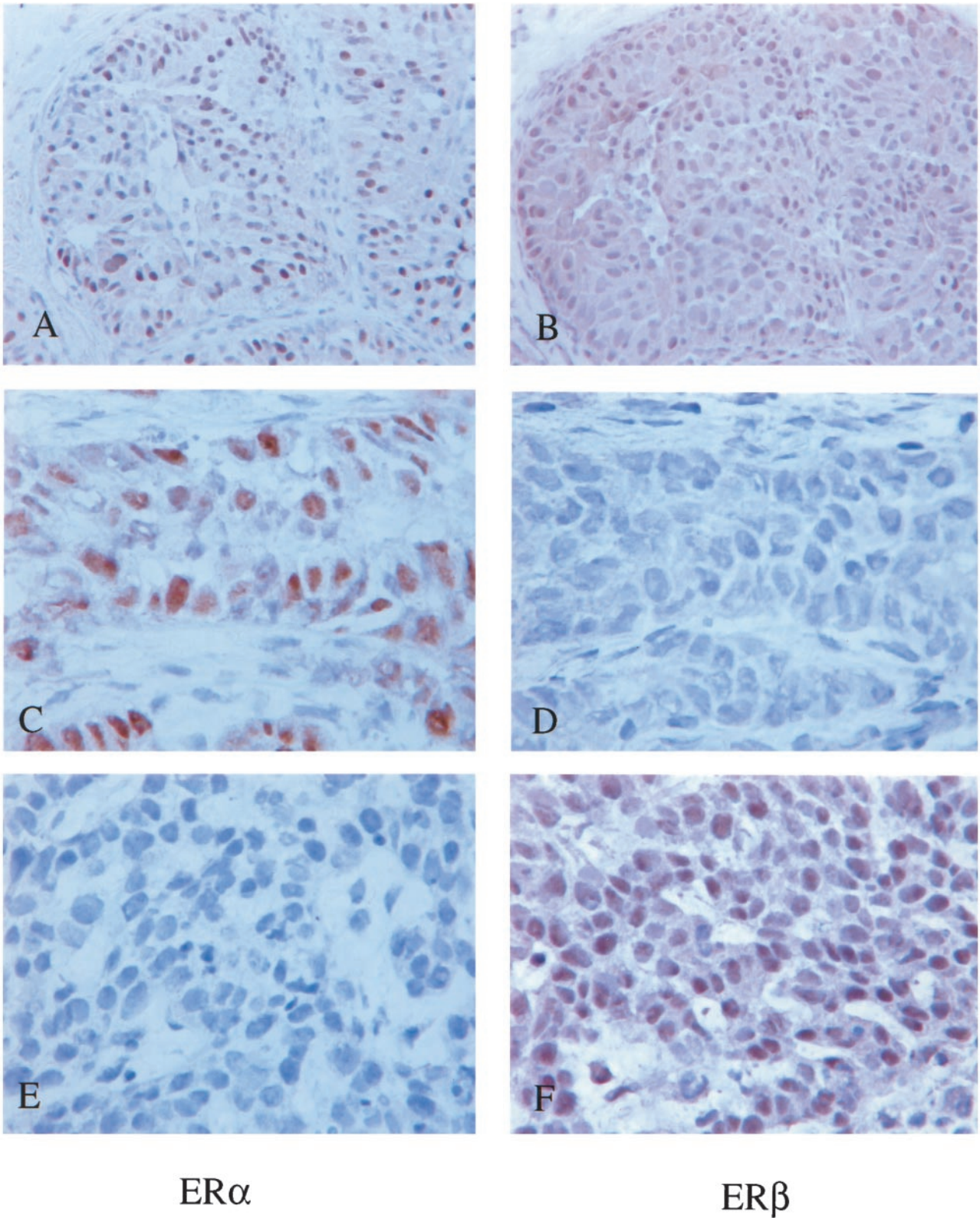
Our results indicate that ER $\beta$  is often coexpressed with ER $\alpha$  and PR in breast cancer. So far, the expression of ER $\beta$  has been studied by RT-PCR and only in a small number of breast carcinomas.<sup>14–18</sup> These two factors

may relate to the difficulty of standardizing the results obtained by RT-PCR to detect ER $\beta$  transcript.<sup>14–18</sup> As the current hormone receptor status (ER $\alpha$  and PR) is currently recommended to be analyzed by immunohistochemistry,<sup>21</sup> we used it also to detect ER $\beta$  in frozen sections of breast cancer samples. Our attempts with paraffin-embedded material were unsuccessful despite the use of several different antigen retrieval methods as well as their modifications. The staining on frozen sections was found to be specific, according to the confirmatory mRNA *in situ* hybridizations and immunohistochemical pre-absorption experiments.

Our study revealed that both ER receptors,  $\alpha$  and  $\beta$ , are expressed in morphologically normal ductal epithelium, indicating that ER $\beta$  is likely to have a function in the normal mammary gland. More importantly, coexpression of ER $\alpha$  and ER $\beta$  was retained in a majority of breast cancers, suggesting that ER $\beta$  may be an equal target with ER $\alpha$  for hormone therapy. In this context, it is known that ER $\beta$  is equivalent to ER $\alpha$  in its binding affinity for natural estrogens as well for anti-estrogens.<sup>7</sup> ER $\alpha$  and - $\beta$  can both activate gene transcription by binding either to the classical estrogen response elements (EREs) or the AP1 enhancer elements.<sup>7,10,22</sup> Anti-estrogens prevent gene transactivation via ER $\alpha$  through both EREs and AP1 elements.<sup>10</sup> Unlike ER $\alpha$ , the anti-estrogen-ER $\beta$ -complex inhibits gene transcription when bound to ERE, but works as an agonist when bound to AP1 elements.<sup>10</sup> It is, therefore, possible that anti-estrogens could have also agonistic effects in ER $\beta$ -positive breast tumors, which could decrease the effect of the hormone therapy. An alternative possibility is that ER $\alpha$  and - $\beta$  are expressed in the form of the heterodimers.<sup>11–13,22</sup> As ER $\alpha$  and ER $\beta$  were coexpressed in most breast tumors, the heterodimers may also have a significant role in breast cancer. How-

**Table 1.** Association of ER $\beta$  with ER $\alpha$ , PR, and Receptor Status in 92 Breast Cancers

	ER $\beta$ -negative (%)	ER $\beta$ -positive (%)	<i>P</i> value
ER $\alpha$			
Negative	22 (24)	7 (8)	<0.0001
Positive	15 (16)	48 (52)	
PR			
Negative	25 (27)	18 (20)	0.0014
Positive	12 (13)	37 (40)	
ER $\alpha$ /PR status			
ER $\alpha$ -/PR-	21 (23)	6 (7)	
ER $\alpha$ -/PR+	1 (1)	1 (1)	
ER $\alpha$ +/PR-	4 (4)	12 (13)	
ER $\alpha$ +/PR+	11 (12)	36 (39)	



**Figure 3.** Patterns of ER $\alpha$  and ER $\beta$  coexpression in breast cancer. **A** and **B** demonstrate a tumor expressing both ER $\alpha$  and ER $\beta$ . A tumor expressing ER $\alpha$  but not ER $\beta$  is shown in **C** and **D**, and a tumor expressing ER $\beta$  but not ER $\alpha$  in **E** and **F**, respectively. All stainings were done from adjacent frozen sections. Counterstained with hematoxylin.



**Table 2.** Association of ER $\beta$  with Various Clinicopathological Factors in 92 Breast Cancers

	ER $\beta$ - negative (%)	ER $\beta$ - positive (%)	Odds ratio (95% c.i.)	<i>P</i> value*
All tumors	37 (40)	55 (60)		
Tumor size				
$\leq$ 2 cm	12 (28)	31 (72)		
>2 cm	18 (47)	20 (53)	0.43 (0.17–1.1)	0.11 (0.0057)
Axillary node status				
Negative	18 (27)	48 (73)		
Positive	19 (73)	7 (27)	0.14 (0.05–0.48)	0.0001 (0.08)
Histologic grade				
I	6 (24)	19 (76)		
II	14 (36)	25 (64)		0.0003 (<0.0001)
III	13 (87)	2 (13)		
Menopausal status				
Premenopausal	7 (24)	22 (76)		
Postmenopausal	30 (48)	33 (52)	0.35 (0.13–0.94)	0.04 (0.99)
ErbB2 overexpression				
No	25 (35)	46 (65)		
Yes	12 (57)	9 (43)	0.41 (0.15–1.1)	0.08 (0.03)
DNA ploidy				
Diploid	11 (28)	29 (72)		
Nondiploid	26 (50)	26 (50)	0.38 (0.16–0.92)	0.03 (0.04)
S-phase fraction				
Below median <sup>†</sup>	9 (22)	32 (78)		
Above median	22 (56)	17 (44)	0.22 (0.08–0.58)	0.002 (0.0006)

\*Fischer's exact test (two-tailed). The *P* value for a similar association with ER $\alpha$  is shown in parentheses.

<sup>†</sup>Median = 8%.

ever, the presence and significance of ER $\alpha$  and ER $\beta$  heterodimers in breast cancer remains to be established.

Comparison of the ER $\alpha$  and ER $\beta$  expression with PR status may also shed light on the roles of ER $\alpha$  and ER $\beta$  in breast cancer. Transcription of the PR gene is enhanced and maintained by estrogens; thus, a positive PR status has long been regarded as a marker of a functional ER pathway. PR was positive in a majority of ER $\alpha$ -positive/ER $\beta$ -negative tumors (11/15, 73%), similarly to the situation in the ER $\alpha$ -positive/ER $\beta$ -positive tumors (36/48, 75%). The semiquantitative PR histoscores were not different in these groups (data not shown). Thus, ER $\beta$  does not seem to be an important factor defining the expression of PR in the breast cancer. This may indicate indirectly that ER $\beta$  has a smaller role in defining the responsiveness to hormonal therapy in breast tumors. From the therapeutic point of view, the most interesting receptor combination explaining the lack of response to hormone therapy in hormone-positive breast tumors is ER $\alpha$ -positive/ER $\beta$ -negative/PR-positive. In other words, it will be important to know whether lack of ER $\beta$  in ER $\alpha$ -positive/PR-positive tumors may lower the likelihood for response to anti-estrogen therapy. In our material ER $\beta$  was negative in 23% of the ER $\alpha$ -positive/PR-positive tumors, which is close to the proportion of ER-positive/PR-positive tumors that are known to respond poorly to tamoxifen. The predictive value of ER $\beta$  remains to be established in forthcoming studies.

The correlation of ER $\beta$  with various clinicopathological factors revealed that ER $\beta$  is expressed predominantly in the well-differentiated, diploid, and slowly proliferating breast cancers. The correlations were similar to those obtained for ER $\alpha$ . These results indicate that the expression of both ER $\alpha$  and - $\beta$  are lost in an identical manner

during dedifferentiation of the tumor cells. However, two interesting differences were found in the clinicopathological associations of ER $\alpha$  and ER $\beta$ . First, ER $\beta$  was tightly associated with axillary lymph node status, whereas a less strong association was identified for ER $\alpha$ . This suggests that the loss of ER $\beta$  expression might be an indicator of a tumor phenotype with high metastatic potential. From the endocrinological point of view, it is worth noting that expression of ER $\beta$  was significantly more common in pre- and perimenopausal than in postmenopausal patients. This association usually goes in the opposite direction for ER $\alpha$ ; in other words, ER $\alpha$  status is more often positive in postmenopausal patients. It is therefore possible that circulating estrogens favor ER $\beta$  as their primary target at the expense of ER $\alpha$  in premenopausal patients. After menopause the situation may then change. Obviously the endocrinological aspects of ER $\alpha$ /ER $\beta$  expression in breast cancer need to be studied with larger arrays of patient material, taking into account the use of oral contraceptives, hormone replacement therapy, and the possible anti-estrogen therapy (for previous breast cancer).

In conclusion, we have shown that normal ductal epithelium and a majority of breast cancers express the second human receptor for estrogens, the ER $\beta$ . The ER $\beta$ -positive breast cancers tumors are predominantly ER $\alpha$ - and PR-positive, node-negative, well differentiated and slowly proliferating. The coexpression of ER $\beta$  with ER $\alpha$  and PR as well as its association with indicators of low biological aggressiveness suggest that ER $\beta$ -positive tumors are likely to respond to hormonal therapy. The independent predictive value of ER $\beta$  remains to be established.

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