

# Prominence of Coronary Arterial Wall Lipids in Human Heart Allografts

## *Implications for Pathogenesis of Allograft Arteriopathy*

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***Transplant arteriopathy is a major late complication in human heart allograft recipients and the pathogenesis of such arteriopathy remains uncertain. The degree to which lipids and atheromata are involved in the arteriopathic lesions remains unsettled, and there is uncertainty regarding the significance of insudation or retention of lipids within the coronary artery walls of transplanted hearts. On current immunosuppressive regimens, most patients experience an increased serum total cholesterol and low-density lipoprotein cholesterol after transplant. Elevation of these blood lipids has an undetermined relationship to arteriopathy. We carried out morphological, morphometric, immunohistochemical, ultrastructural, and biochemical studies of particular coronary artery segments from 23 unselected explant or autopsy allografts and donor age-matched native coronary controls. Patients died of cardiac and non-cardiac reasons over a period of 4 to 1610 days after transplant. Atheromata were frequent, and diffuse intra- and extra-cellular accumulation of lipids in both intimal and medial walls was documented by oil red O positivity, immunohistochemical staining***

***(muscle-specific  $\alpha$ -actin), transmission and scanning electron microscopy, and biochemical analysis. Mean total cholesterol, esterified cholesterol, free cholesterol, and phospholipid content ( $\mu\text{g}/\text{cm}^2$  intimal surface area) and concentration ( $\mu\text{g}/\text{mg}$  dry defatted weight) in arteriopathic coronaries were >10-fold higher than in comparable native coronary segments. Extent of lipids in the arterial walls was highly correlated with digitized percent luminal narrowing, mean daily and cumulative cyclosporin dose, and mean cumulative prednisone dose. Our data suggests strongly that lipid accumulation is an important early and persistent phenomenon in the development of transplant arteriopathy. (Am J Pathol 1995, 147:293-308)***

The pathogenesis of transplant arteriopathy is unknown. The morphological characteristics of arteriopathy in transplanted solid organs have been described by numerous investigators over the past 25 years.<sup>1-11</sup> Despite documentation of foam cells and foci of extracellular lipid within the arterial walls of cardiac allografts, the degree to which lipids are involved and their pathogenetic role in the arterial lesions has not been fully characterized or understood. Early on, arteriopathic lesions in kidney allografts were found to be lipid-rich.<sup>12</sup> However, in recent discussions by some investigators arteriopathy was described as "lipid-poor," and an "inconstant" relationship between

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the arteriopathic process and vessel wall lipids has been suggested. Focus on the concept of a fibroproliferative lesion, particularly emanating from renal transplantation experience, has drawn attention away from the idea that lipids may play a significant role in the arteriopathic disease process.

Conventional cardiovascular risk factors, including hypercholesterolemia, have received considerable investigative attention as potential contributors to arteriopathy. After transplant, most patients experience an increase in serum total cholesterol and low-density lipoprotein (LDL) cholesterol levels when maintained on an immunosuppressive regimen which includes corticosteroids and cyclosporin.<sup>12-16</sup> Hyperlipidemia correlates with the development of angiographic arteriopathy in some series,<sup>17,18</sup> while other observers have found no such relationship.<sup>1,19,20</sup> Only rarely has direct histometric evidence of luminal narrowing by proliferative intimal lesions been correlated to lipid levels after transplant.<sup>2</sup> In multivariate analysis, the relationship between serum cholesterol and arteriopathic disease was stronger than any other potentially predictive factor, apart from post-transplant obesity.<sup>2</sup> The direct association of serum cholesterol with digitized luminal narrowing of allograft coronary arteries suggested an important role for lipids in development of arteriopathy.

Several additional hypotheses have been offered as explanations for transplant arteriopathy. The common theme of each hypothesis is arterial injury followed by a reaction of the arterial wall components to such injury. Immune-mediated injury, either by humoral or cellular mechanisms is virtually certain. The importance of humoral mechanisms is suggested by the presence of anti-endothelial antibodies in allograft recipients with arteriopathy.<sup>21,22</sup> Other investigators have proposed that transplant arteriopathy is primarily due to cellular mechanisms, whereby cytotoxic T lymphocytes cause "endothelialitis" as a manifestation of "vascular" rejection. Once present within the intima, T lymphocytes may promote transplant arteriopathy by the release of a variety of cytokines, growth factors, and inflammatory mediators.<sup>23</sup> Chronic immune reactivity of T lymphocytes to activated graft endothelial cells may lead to ongoing local release of cytokines, stimulation of smooth muscle cell proliferation, and matrix accumulation within the coronary arteries.<sup>24</sup> Another hypothesis centers on the role of viruses in induction of transplant arteriopathy. Studies of Marek's disease virus,<sup>25</sup> and observations indicating the potential importance of acid cholesteryl ester hydrolase in lipid overload of smooth muscle cells<sup>26-27</sup> have been bolstered by clinical studies of association by cytomegalovirus infection

and arteriopathy.<sup>28</sup> As well, evidence has recently emerged linking cytomegalovirus to other proliferative lesions that follow arterial injury.<sup>29</sup>

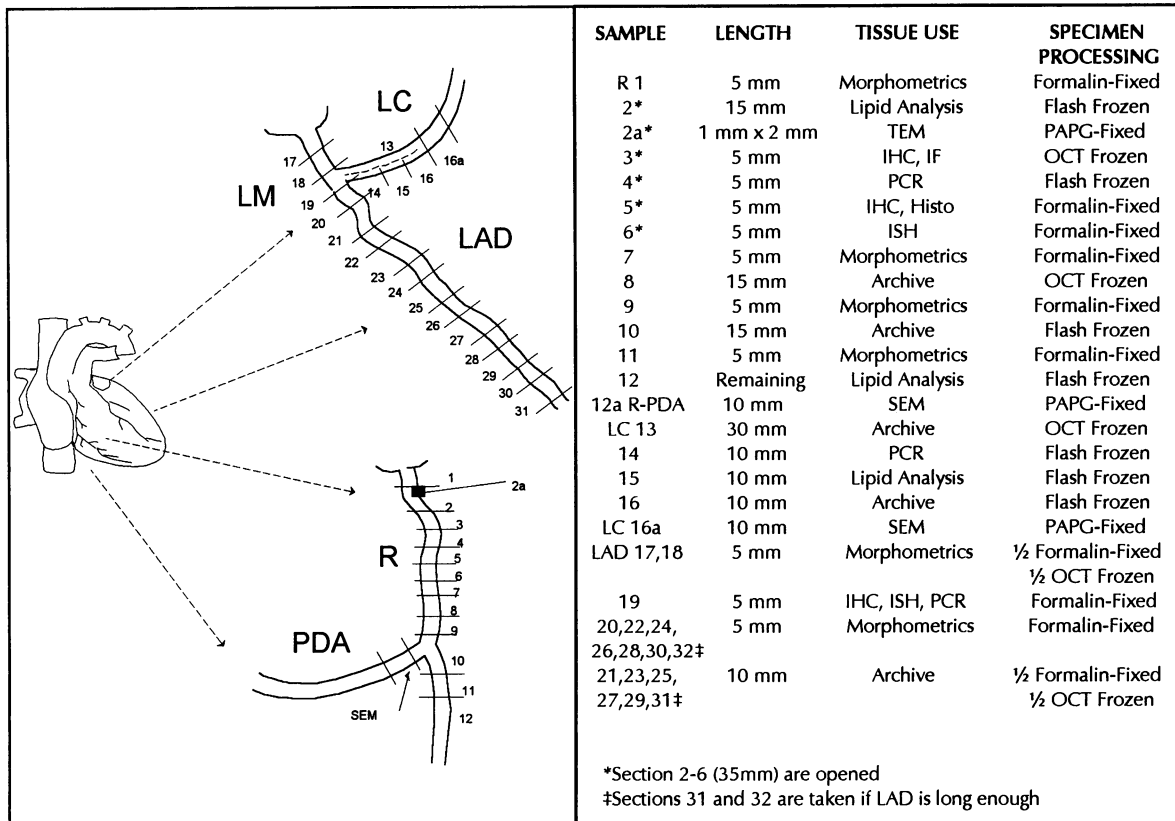
In light of the unresolved role that serum lipids play in transplant arteriopathy, combined with the continued uncertainty with respect to direct insudation or retention of lipids within coronary arteries of transplanted hearts, we have undertaken detailed morphological, morphometric, immunohistochemical, ultrastructural, and biochemical studies of selected epicardial coronary artery segments from human heart allografts. Importantly, the allografts are unselected and are derived from explant or autopsy, with cardiac (rejection- or nonrejection-related) or non-cardiac basis of transplant or death, representing a broad spectrum of implant duration times from 4 to 1610 days. We have attempted to examine the hypothesis that lipid storage within coronary arteries of transplanted hearts is a central process in the proliferative intimal thickening and medial degeneration of allograft arteriopathy.

## **Materials and Methods**

### *Tissue Collection and Triage*

Allografts were obtained as soon as possible after explant or autopsy, immersed and transported in RPMI 1640, after which they were rinsed in PBS, weighed, and photographed. The right (R) coronary artery was ligated with nylon thread as close as possible to its origin from the aorta. The vessel was then removed intact from the heart and rinsed in Hank's balanced salt solution (HBSS), cleaned of excess adventitial fat, and sectioned (Figure 1).<sup>30</sup> The left circumflex (LC) coronary artery was ligated as close as possible to the bifurcation of the left main coronary artery. The artery was opened longitudinally *in situ*, after which it was dissected from the heart, rinsed in HBSS, divided into halves longitudinally, and further sectioned (Figure 1). The left main (LM) and left anterior descending (LAD) coronary arteries were perfusion-fixed with 10% neutral buffered formaldehyde solution (formalin). The aortic root was cannulated with a stopper, the heart placed in a fixative bath and perfusion-fixed continuously at 100 mm Hg for 1 hour. After fixation, the LM and LAD were removed intact and sectioned subserially in a transverse fashion at 4 mm intervals (Figure 1). Sections were taken for histological study from other cardiac sites after overnight fixation.

Sections of epicardial coronary arteries from hearts archived in the Pathobiological Determinants of Atherosclerosis in Youth (PDAY) study were made avail-



**Figure 1.** Sectioning protocol for the epicardial arteries. Diagrammatic representation of specimen collection, processing, and use. Sections 2-6 (35 mm length) were opened longitudinally. LAD, left anterior descending coronary artery; LC, left circumflex coronary artery; LM, left main coronary artery; PDA, posterior descending artery; R, right coronary artery.

able as donor age comparable, site-matched native artery controls. The coronary protocol for PDAY is comparable to that used in the conduct of this study.

### Histological Examination

All formalin-fixed tissue sections were paraffin-embedded and 5- $\mu$ m sections were stained with hematoxylin and eosin, Verhoeff's van Gieson elastin, and Movat's pentachrome. Each section was examined for the presence, extent, and distribution of intimal thickening, luminal narrowing, inflammatory infiltrates, disruption of the internal elastic lamina, lipid deposits in foam cells, and matrix accumulation. OCT-embedded frozen sections were cut and stained with oil red O, counterstained with hematoxylin and examined for the distribution, droplet size, and the amount of lipids.

### Immunohistochemical Analysis

Selected sections prepared for oil red O staining were also stained immunohistochemically utilizing antibod-

ies to muscle-specific  $\alpha$ -actin (HHF-35, Enzo). Other paraffin-embedded sections were stained with antibodies to monocyte-macrophages (MAC 387, lysozyme), T cells (UCHL-1, CD2, OPD4-CD4, CD8), B cells (L 26), and NK cells (Ieu 7). All immunohistochemistry procedures have been described previously.<sup>31,32</sup>

### Electron Microscopic Analysis

A section of the proximal R coronary artery wall (1 mm<sup>3</sup>) was fixed overnight in phosphate buffered glutaraldehyde (pH 7.4) containing 15% saturated picric acid, and then post-fixed in osmium tetroxide for 30 minutes. The osmicated tissue was dehydrated in graded alcohols, incubated in two changes of propylene oxide, infiltrated with Araldite resin, and allowed to cure at 60°C overnight. Ultrathin sections were cut, placed on copper grids, and stained with uranyl acetate and lead citrate. The sections were viewed and photographed on a Phillips 201 transmission electron microscope.

Segments of the posterior descending coronary artery, formalin fixed, were sectioned transversely and mounted on pedestals of a Phillips Model 515 scanning electron microscope. The tissue was dehydrated through graded alcohols and Freon 113, the latter with critical point drying prior to viewing. Detailed examination of the intimal endothelial surface and the intimal and medial constituency was conducted.

### Morphometric Analysis

Morphometric analysis of luminal narrowing was carried out on 23 formalin-fixed, Movat's pentachrome stained R (non-perfusion-fixed) and LAD (perfusion-fixed) coronary arterial segments utilizing a Video Image Analysis System computer program, version 2.11 (SCICOM Computer Consultants, Millbrae, CA), with digital input from a Kurta Corporation Series II mouse and drawing panel.<sup>30</sup> For each of the 23 allografts, an average of 4 transverse sections per R and 8 to 11 sections per LAD coronary arteries were digitized and the measurements were averaged for each vessel. Intimal, medial, and luminal areas were quantitated, with percent luminal narrowing (% LN) calculated using the following formula:

$$\% \text{ LN} = \frac{\text{Intimal area}}{\text{Intimal area} + \text{Luminal area}} \times 100. \quad (1)$$

### Tissue Lipid Analysis

All biochemical lipid analyses were performed in the laboratory of Dr. Gray Malcom, LSU Medical Center and the lipid extractions are 100% complete.<sup>33,34</sup> The R coronary segments 2 (proximal R) and 12 (distal R), and LC segment 15 (proximal LC) were analyzed for lipid content ( $\mu\text{g}/\text{cm}^2$  intimal surface area) and concentration ( $\mu\text{g}/\text{mg}$  dry defatted weight). The adventitia was stripped from each arterial segment and each segment was then lyophilized. Lipids were extracted from the lyophilized tissue by the method of Folch et al<sup>35</sup> after homogenization with chloroform-methanol. Phospholipid was determined by the method of Michelson<sup>36</sup> using phosphatidyl choline as a standard. An aliquot of the lipid sample was hydrolyzed with 2% KOH for determination of total cholesterol, and another, without base, for free cholesterol. Silyl ether derivatives of the sterols were prepared with trimethylsilyl reagent after the addition of a known mass of pure cholestane as a standard. Total and free cholesterol were quantitated by gas liquid chromatography. Esterified cholesterol were obtained by subtraction of free cholesterol from total cholesterol.

### Statistical Analysis

All data were compiled on a 3090 IBM mainframe computer utilizing the VM/CMS 5.0 operating system and the SAS/FSP program, version 5. Descriptive statistics were prepared, and correlative studies, and univariate comparisons were carried out. All statistical evaluations were performed setting the Bonferroni probability of a type I ( $\alpha$ ) error at  $P < 0.05$ .

## Results

### Clinical Characteristics

The clinical features of the 23 patients whose coronary arteries were studied biochemically are presented in Table 1. Data on immunosuppressive therapy and rejection episodes for these patients are presented in Table 2.

The patients whose allografts were evaluated in this study included 13 with idiopathic dilated cardiomyopathy and 10 with either coronary atherosclerotic disease or coronary atherosclerotic disease plus myocardial consequences (ischemic heart disease). Implant durations ranged from as few as 4 days to as long as 1610 days with a mean of 544 days. Average age of recipients was 50 years (range 16 to 60 years), with 17 males and 6 females. The ultimate cause of death or explant in these recipients was rejection in 8, clinically visible transplant vascular disease in 2, sudden death in 4, heart failure in 1, post-transplant lymphoproliferative disease in 4, pneumonia in 1, Guillain-Barre disease in 1, and systemic infection in 1. The mean donor age was 30.1 years (with a range from 13 to 51 years), including 19 males and 4 females. The mean pre-transplant total serum cholesterol (mg/dl) was similar to post-transplant values (172 versus 172.5) while the serum triglycerides (mg/dl) rose from pre-transplant (148.8 to 161.8). These pre-transplant lipid values represent a mean of 3 pre-transplant measurements while the patient was on the waiting list. The post-transplant values reflect a mean derived from a minimum of 10 post-transplant measures.

Detailed information regarding mean blood levels of cyclosporin and daily and cumulative doses of cyclosporin and prednisone are presented in Table 2. The mean level of cyclosporin was  $201 \pm 62$  mg/ml of whole blood (ranging from as low as 63 to as high as 330). The mean daily and cumulative doses of cyclosporin were  $352 \pm 126$  mg and  $140,357 \pm 93,631$  mg, respectively. The mean daily dose of prednisone was  $32 \pm 18$  mg with a cumulative dose of  $9,788 \pm 6633$  mg. Within the cyclosporin and prednisone

Table 1. Clinical Features of 23 Allograft Recipients

Patient no.	Primary diagnosis	Implant duration (days)	Age (yr)	Sex	Cause of death/explant	Donor age (yr)	Donor sex	Total serum cholesterol (mg/dl)		Serum triglycerides (mg/dl)	
								Pre-Tx	Post-Tx	Pre-Tx	Post-Tx
1	IDC	1432	27	F	HF	21	M	155	219	182	181
2	IHD	426	28	M	REJ	25	M	176	209	158	291
3	IDC	46	60	F	PTLD	44	F	227	151	107	168
4	IDC	55	56	M	PTLD	29	M	145	152	102	189
5	IHD	635	51	M	PTLD	13	M	156	249	49	181
6	IDC	611	16	M	REJ	47	M	114	109	128	119
7	IHD	180	61	M	PNEU	17	M	150	138	37	177
8	IDC	153	66	M	PTLD	36	M	226	113	191	122
9	CAD	147	65	M	CAD	35	M	186	NA	237	NA
10	CAD	1349	43	M	SD	51	M	211	114	189	224
11	IDC	411	28	M	CAD	45	F	92	NA	233	NA
12	IDC	4	44	F	REJ	33	F	129	130	152	119
13	CAD	63	60	M	GB	31	M	157	162	97	141
14	CAD	1145	57	M	SD	33	M	233	136	219	124
15	IHD	1190	57	F	REJ	22	M	175	246	172	249
16	CAD	1610	54	M	SD	47	M	226	260	92	78
17	IDC	1510	57	M	SD	35	M	145	161	31	32
18	IDC	323	63	M	REJ	32	M	178	227	108	172
19	IDC	300	50	M	REJ	18	M	181	154	409	179
20	IDC	210	45	M	REJ	20	M	129	163	76	110
21	IDC	450	49	F	INF	23	M	179	196	228	170
22	IDC	63	54	F	REJ	18	F	287	224	179	260
23	IHD	199	55	M	INF	17	M	99	109	46	112
Mean		544	50	17M		30	19M	172 ± 47	173 ± 50	149 ± 86	162 ± 62
		(4-1610)	(16-66)	6F		(13-51)	4F				

CAD, coronary artery disease; GB, Guillain-Barre disease; HF, heart failure; IDC, idiopathic dilated cardiomyopathy; IHD, ischemic heart disease; INF, infection; NA, not available; PNEU, pneumonia; PTLD, post-transplant lymphoproliferative disorder; REJ, rejection; SD, sudden death; Tx = Transplant.

therapeutic regimens the daily doses varied widely. The number of total acute rejection episodes averaged 7 per patient for the period of study. For the purposes of this study, rejection episodes were defined by the "marker" positive biopsy. Of these episodes, only a small fraction were more than mild. Treated (treatable defined according to the Working Group classification as grade 2 or higher) nor untreated rejection episodes were correlated significantly (although positively) with arterial wall lipid levels regardless of the lipid moiety.

Relationships of digitized percent luminal narrowing of transversely sectioned epicardial coronary artery segments and either lipid content or lipid concentration determined biochemically, illustrate the generally strong prediction of luminal narrowing by arterial wall lipid fractions ( $r = 0.60$  and  $0.89$  proximally and distally with correspondent  $P$  values  $<0.04$  and  $<0.007$  for total arterial cholesterol). Similarly, high correlations were found for other expressions of cholesterol content or concentration within the arterial walls, the consistently strongest relationship being between free cholesterol and luminal narrowing in the distal portion of the coronary arterial tree (content,  $r = 0.92$  and concentration,  $r = 0.94$ ). The phospholipid content was somewhat more variable in its re-

lationship to luminal narrowing, but also tended in many segments to be highly related to luminal narrowing (distal phospholipid concentration relative to luminal narrowing,  $r = 0.88$ ,  $P < 0.009$ ).

### Tissue Lipid Analysis

Mean lipid content ( $\mu\text{g}/\text{cm}^2$  intimal surface area) levels of the proximal and distal R and proximal LC coronary artery segments including total cholesterol, free cholesterol, esterified cholesterol, and phospholipids from the allografts (Figure 2) were markedly elevated ( $P < 0.05$ ) in comparison to the 90th percentile of donor age-comparable, site-matched coronary control tissue derived from the PDAY study (Figure 3). Likewise, mean lipid concentration ( $\mu\text{g}/\text{mg}$  dry defatted weight) of the proximal and distal R and proximal LC total cholesterol, free cholesterol, esterified cholesterol, and phospholipid were markedly elevated in the allografts (Figure 4). While total cholesterol content of proximal R coronary segments varied considerably between individual patients ( $79-6061 \mu\text{g}/\text{cm}^2$ ), the total cholesterol was greater than the 90th percentile of PDAY control tissue in 19/23 (83%) allografts, and equal to the 42nd, 56th, 71st, and 79th percentile of

**Table 2.** *Immunosuppressive Therapy and Rejection Episodes of 23 Allograft Recipients*

Patient no.	Cyclosporin			Prednisone		No. of rejection episodes							
	Mean level (mg/ml)	Cumulative (mg)	Daily (mg/day)	Cumulative (mg/ml)	Daily (mg/day)	Grade							
						0	1A	1B	2	3A/B	4	TAc	Res*
1	157	193373	135	32178	23	19	3	1	1	2	0	7	3
2	192	143880	338	16367	38	14	4	1	0	0	0	5	0
3	294	21696	471	6143	134	2	0	1	0	2	0	3	0
4	246	14855	270	4990	91	3	0	1	0	0	0	1	0
5	212	167850	264	29565	47	14	7	0	2	4	0	13	1
6	232	149743	245	19405	32	4	0	6	0	5	1	12	6
7	195	39664	220	9610	53	4	4	7	0	3	0	14	0
8	239	62340	408	6044	40	13	1	0	0	0	0	1	0
9	110	112160	714	1455	31	8	0	1	0	1	0	2	0
10	68	NA	430	NA	NA	20	1	3	0	1	0	5	0
11	NA	365790	890	12330	30	14	0	9	4	0	0	13	0
12	NA	664	238	0	0	0	0	1	0	0	1	2	0
13	330	27410	370	1823	25	9	0	0	0	0	0	0	0
14	91	398645	348	4237	4	13	2	4	0	0	0	6	0
15	204	284170	238	18942	16	18	0	7	0	2	0	9	0
16	63	109510	68	7571	5	4	3	8	0	0	0	11	0
17	113	432180	286	5381	4	9	4	10	0	1	0	15	0
18	261	125585	455	4886	18	16	0	3	0	1	0	4	0
19	238	157523	523	5449	18	11	0	5	0	5	0	10	0
20	257	64150	332	4884	25	7	0	6	0	0	0	6	0
21	174	103525	233	17594	40	19	0	4	0	1	0	5	0
22	299	57556	341	3094	18	9	0	3	0	2	0	5	0
23	252	55585	274	3396	17	15	0	1	0	0	0	1	0
Mean ± SD	201±62	140357 ± 93631	352 ± 126	9788 ± 6633	32 ± 18	11	1.26	0.3	0.3	1.3	0.1	7	0.43

NA, not available; Res, resolving; TAc, total acute.

\*Resolving is a category not currently used in the Working Formulation of the ISHLT, but commonly applied in the past to designate a lesser degree of rejection with healing following a biopsy specimen which is positive for acute rejection.

PDAY coronary artery tissue in the remaining allografts. Assessment as to whether differences in vessel wall lipid content or concentration are greater in the proximal LC or R as compared to the distal R coronary artery revealed only a statistical trend in this direction.

### Morphometric Analysis

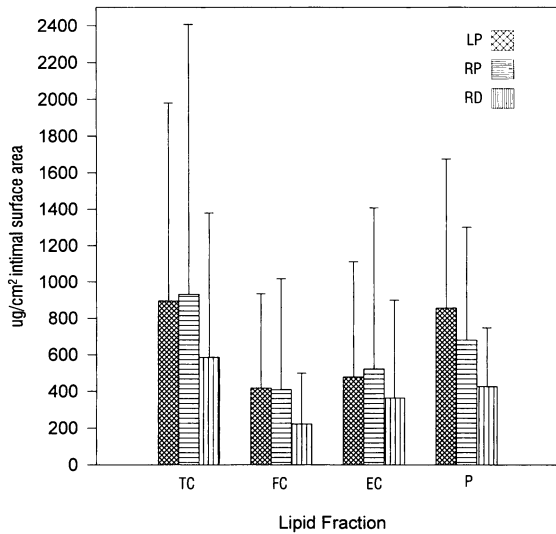
Mean luminal narrowing (percent cross-sectional area reduction) of non-perfusion-fixed R coronary arteries and perfusion-fixed LAD segments from the allografts ranged from 23.5 to 75.2% for the R coronary artery (mean, 55%) and from 10.2 to 76.6% for the LAD (mean, 44%).

Percent luminal narrowing of the LAD coronary arteries was strongly correlated with total cholesterol and cholesteryl ester content in the proximal R coronary and with total, free, and esterified cholesterol contents in the distal R coronary (Table 3). Arterial wall phospholipids, expressed both as content and concentration, were also strongly correlated with percent luminal narrowing in the LAD coronary artery (Table 3) (Figure 5). All arterial wall lipid moieties were weakly related with mean blood cholesterol (range:  $r = 0.32-0.38$ ,  $P < 0.07-0.14$ ) and triglyceride (range:  $r = 0.11-0.27$ ,  $P < 0.23-0.62$ ) levels. In addition, arterial wall lipids were correlated positively with mean daily and

cumulative cyclosporin and prednisone doses.<sup>37</sup> Implant duration was not correlated with arterial wall lipid content or concentration (Table 4). This held true even when the implant duration was divided into 3 discrete time periods, early, middle, and late post-transplant, and comparisons were made for vessel wall lipids between these arbitrarily chosen intervals (Figure 6). However, for those patients with greater than one year implant duration, there was a trend toward higher arterial wall lipids. When arterial wall lipid values were analyzed with respect to cause of death (coronary artery disease, sudden death, and other), there were no significant differences among the groups.

### Histological and Electron Microscopic Analysis

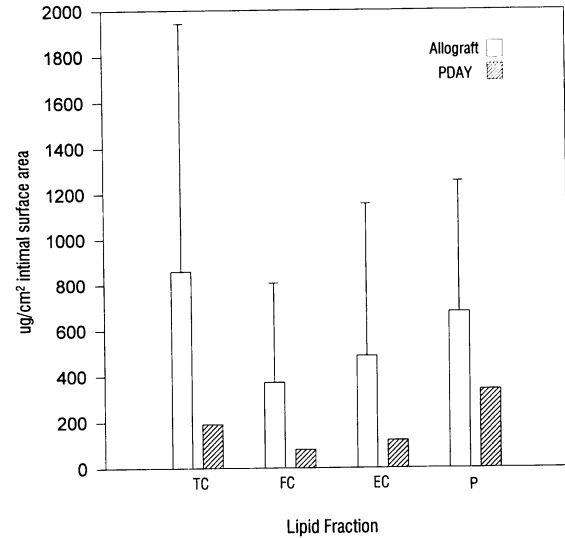
Excess extracellular lipid was demonstrated by histochemical staining of frozen intimal segments from 20 of 21 sets of coronary arteries studied in this manner. In lesions with <25% luminal narrowing, oil red O positivity was most prominent in the superficial (adluminal) zone where intimitis also began in a band-like, sub-endothelial pattern. Abundant extracellular lipid was present when a deeper, lipid-rich, atheromatous core had developed. The latter arteries included cholesterol



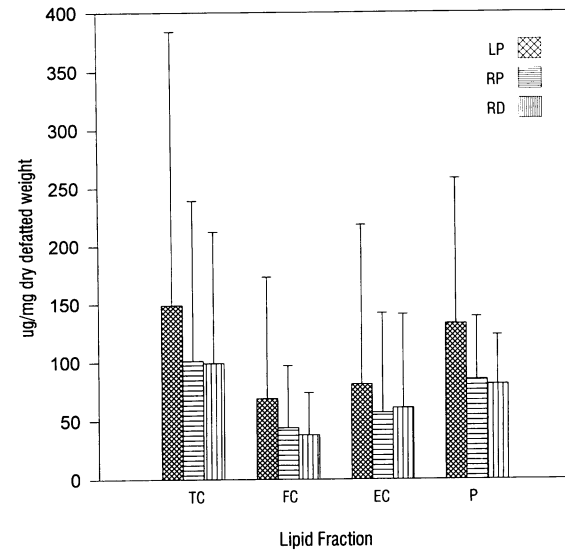
**Figure 2.** Mean lipid content ( $\mu\text{g}/\text{cm}^2$  intimal surface area) of proximal and distal right and proximal left circumflex coronary artery segments from 23 cardiac allografts. The lipid content is lower in the distal right coronary as compared with the proximal right or left circumflex. This contrasts to the lipid concentration (highest in the proximal left circumflex) and lower at any site in the right coronary artery (Figure 4). The latter difference may relate in part to greater relative dry defatted weight in the right coronary artery versus the circumflex. Standard deviations are indicated. EC, esterified cholesterol; FC, free cholesterol; LP, left proximal; P, phospholipids; RD, right distal; RP, right proximal; TC, total cholesterol.

clefts, foam cells, and glycosaminoglycan-rich matrix, typically more circumferential than in native atherosclerotic disease. Lipid droplets were commonly associated with elastin fibers (internal elastic lamina) of the intima, especially in regions of disruption and reduplication. The internal elastic lamina was often focally interrupted, presumably by larger fenestrations,<sup>38</sup> and was at times completely destroyed in secondary coronary branches with moderate to severe disease. "Lipid transformation" of the adluminal component of the intima occurred very early post-transplant and before significant luminal narrowing had evolved (Figure 7, a and b). On dual staining of selected sections with oil red O for lipid and immunohistochemically (HHF-35) for smooth muscle cells, smooth muscle cells were shown to be lipid laden (Figure 7c).

Intra- and extracellular fat was generally less prominent histopathologically within the media than in the intima (Figure 7, d-f). However, in some arteries there was abundant intra- and extracellular medial lipid on standard and special histochemical stains (Figure 7, g-i) and on ultrastructural examination (Figure 8a). The medial lipid was associated with glycosaminoglycans on Movat's pentachrome stains, and in the most prominent cases was present in large extracellular pools or in contiguity with foam cell aggregates. It appears that *in situ* transformation of medial



**Figure 3.** Overall mean lipid content ( $\mu\text{g}/\text{cm}^2$  intimal surface area) of epicardial coronary arteries from 23 cardiac allografts and 173 control native hearts (PDAY Study). Coronary segments from both study groups were triaged through comparable protocols including corresponding arterial sites. Standard deviations are indicated on allograft means only as the PDAY values are expressed as the mean at the 90th percentile and standard deviations were not included as part of the original analysis. EC, esterified cholesterol; FC, free cholesterol; P, phospholipids; TC, total cholesterol.



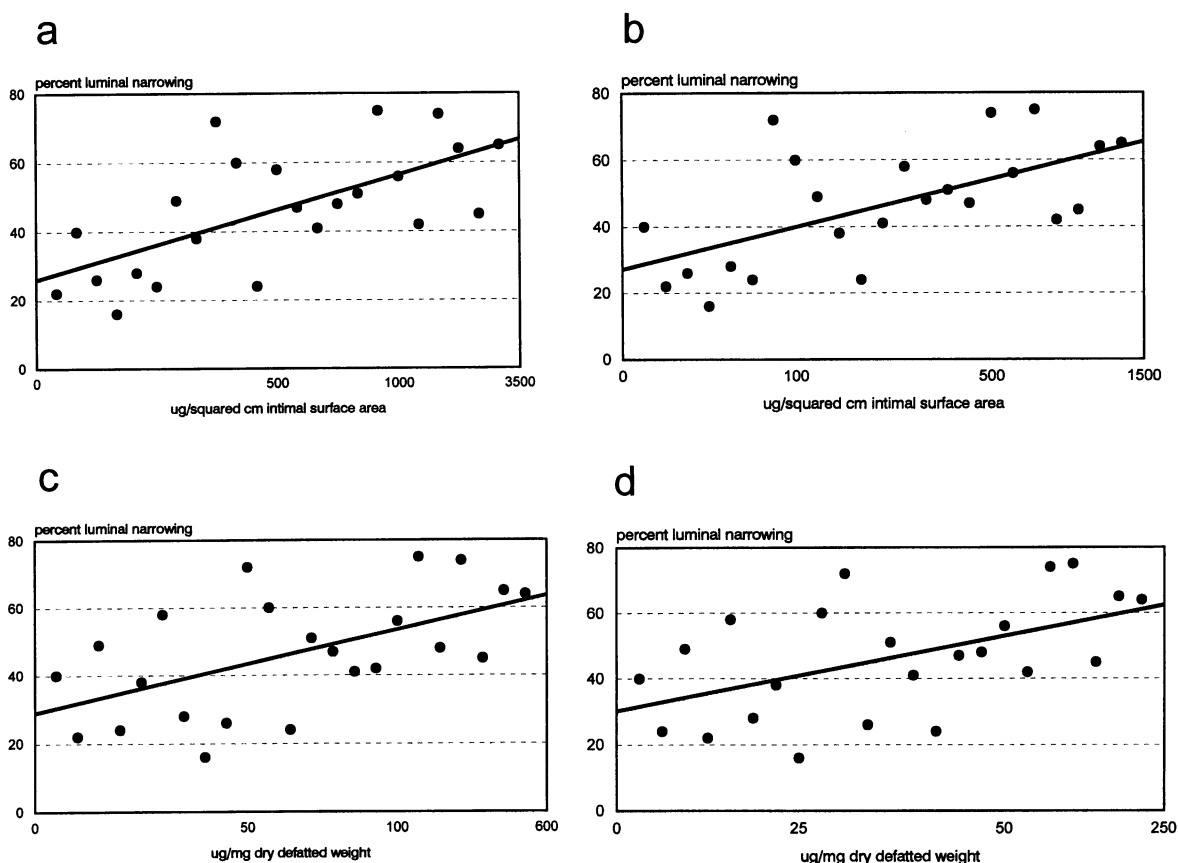
**Figure 4.** Lipid concentration ( $\mu\text{g}/\text{mg}$  dry defatted weight) of proximal and distal right and proximal left circumflex coronary artery segments from 23 cardiac allografts. Standard deviations are indicated. EC, esterified cholesterol; FC, free cholesterol; LP, left proximal; P, phospholipids; RD, right distal; RP, right proximal; TC, total cholesterol.

smooth muscle cells into foam cells occurs in absence of excessive disruption of the internal elastic lamina (Figure 7, g and h).

On transmission electron microscopy, intracellular intimal and medial lipid was most commonly present

**Table 3.** Correlations between Percent Luminal Narrowing, as Measured in Perfusion-Fixed Left Anterior Descending Coronary Artery Segments, and Arterial Wall Lipid Contents and Concentrations in Proximal and Distal Right Coronary Artery Segments from the Correspondent Allografts

Lipid fraction	Lipid content ( $\mu\text{g}/\text{cm}^2$ intimal surface area)				Lipid concentration ( $\mu\text{g}/\text{mg}$ dry defatted weight)			
	Proximal		Distal		Proximal		Distal	
	<i>r</i>	<i>P</i>	<i>r</i>	<i>P</i>	<i>r</i>	<i>P</i>	<i>r</i>	<i>P</i>
Total cholesterol	0.60	<0.04	0.89	<0.007	0.61	<0.03	0.87	<0.01
Free cholesterol	0.53	<0.08	0.92	<0.003	0.56	<0.05	0.94	<0.002
Esterified cholesterol	0.64	<0.03	0.84	<0.02	0.63	<0.03	0.80	<0.03
Phospholipid	0.60	<0.06	0.50	<0.25	0.45	<0.19	0.88	<0.009



**Figure 5.** Lipid content of quantitated total (a) and free cholesterol (b) as well as lipid concentration of quantitated total (c) and free cholesterol (d) versus luminal narrowing.

within smooth muscle cells (Figure 8a). These smooth muscle cells were also in close association with glycosaminoglycan-rich matrix (Figure 8e). Macrophages and endothelial cells were also involved by lipid to the point of foam cell formation (Figure 8, b and f), but less often than smooth muscle cells. In deeper atheromatous cores, cholesterol cleft formation was observed (Figure 8c). Scanning electron microscopy revealed extracellular, spherical particles suggestive of lipid droplets or lipoprotein aggregates measuring about 1.5 to 4.5  $\mu\text{m}$  in diameter, and being widely dispersed in the intima and media (Figure 8d). The

aggregates are reminiscent of those observed in rabbit models of atherosclerosis.<sup>39,40</sup>

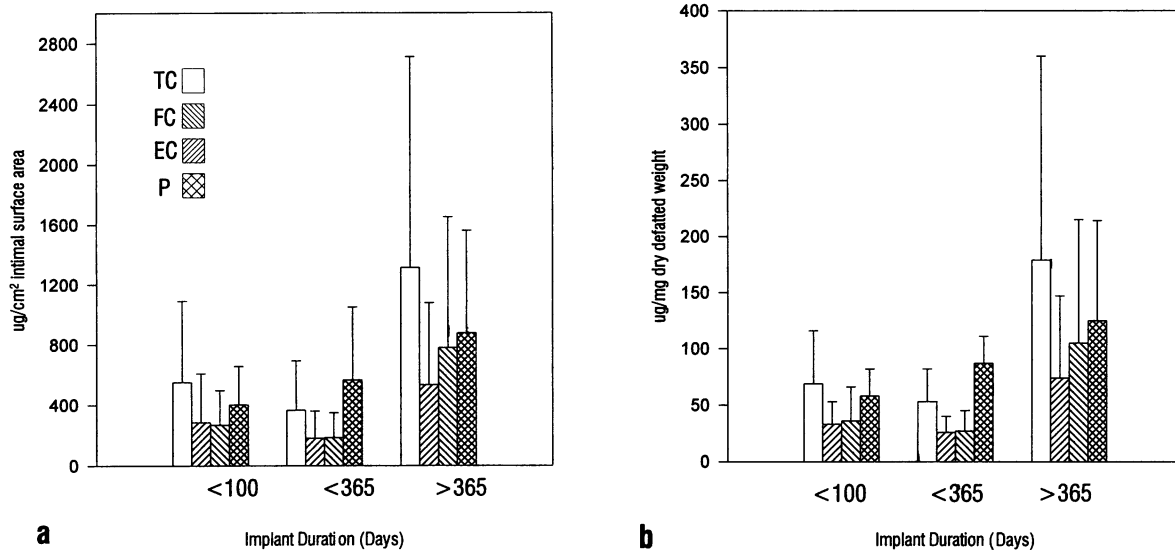
### Discussion

Our studies of epicardial coronary arteries of human heart allografts have documented a striking deposition of both intracellular and extracellular lipids within the superficial and deep intima and media of mildly, moderately, and markedly narrowed arteries. Ultrastructural examination demonstrated that much of the



**Table 4.** Correlation of Arterial Wall Lipid Values with Implant Duration

Lipid fraction	Lipid content ( $\mu\text{g}/\text{cm}^2$ intimal surface area)		Lipid concentration ( $\mu\text{g}/\text{mg}$ dry defatted weight)	
	<i>r</i>	<i>P</i>	<i>r</i>	<i>P</i>
Total cholesterol	0.1	0.65	0.19	0.37
Free cholesterol	0.11	0.6	0.22	0.31
Esterified cholesterol	0.09	0.69	0.17	0.43
Phospholipid	0.1	0.64	0.18	0.42

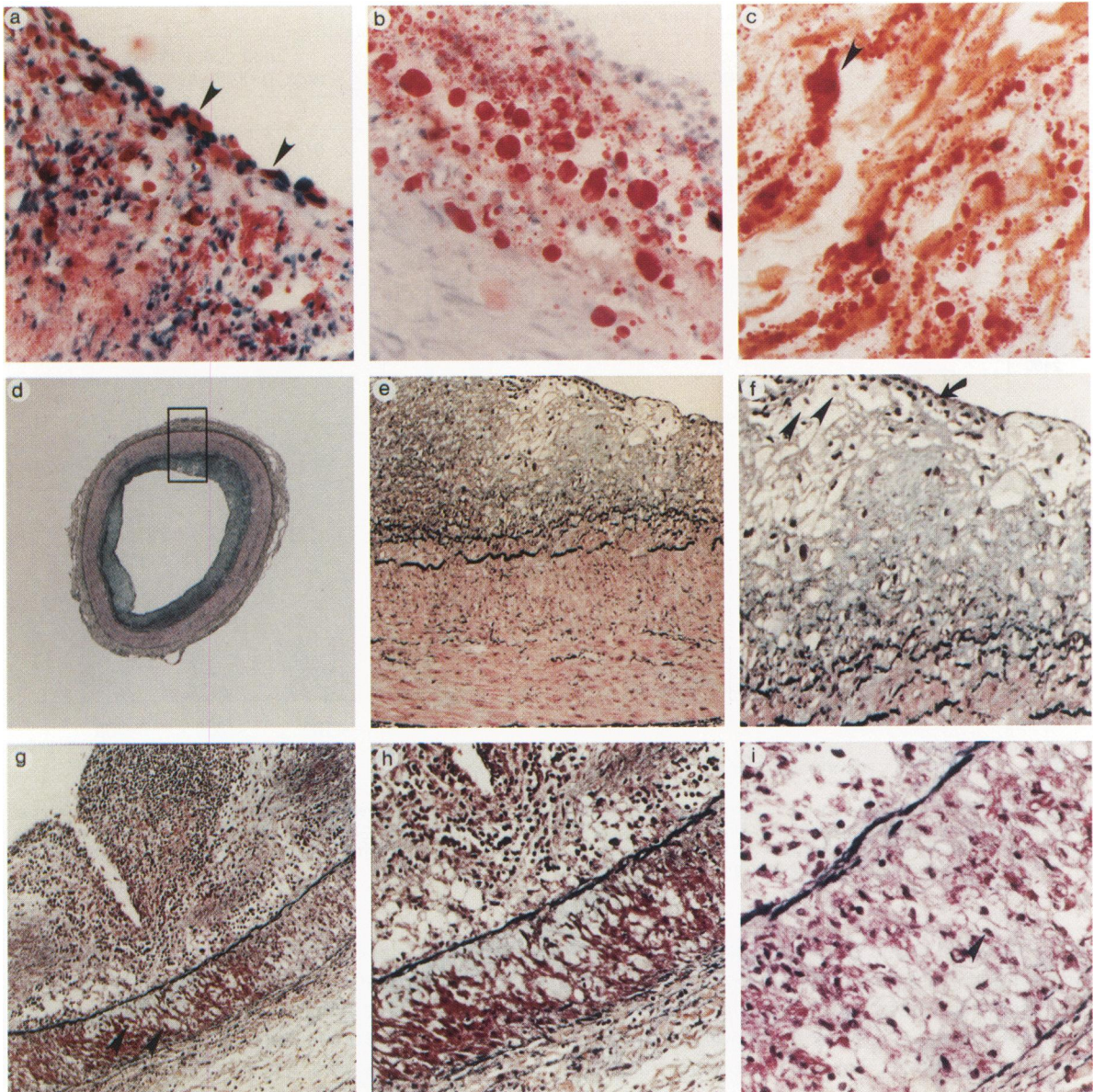


**Figure 6.** Lipid fractions of coronary artery segments from 23 cardiac allografts displayed as three discrete post-transplant intervals, <100 days, <365 days, >365 days. As noted in the text, and in Table 4, no significant relationship between implant interval and arterial lipid levels was found. Standard deviations are indicated. **a.** Lipid content ( $\mu\text{g}/\text{cm}^2$  intimal surface area). **b.** Lipid concentration ( $\mu\text{g}/\text{mg}$  dry defatted weight). EC, esterified cholesterol; FC, free cholesterol; P, phospholipids; TC, total cholesterol.

intracellular intimal and medial lipid is within smooth muscle cells. Extracellular lipid deposition is especially prominent within deep atheromatous cores in the intima and was often associated with focally disrupted internal elastic laminae. Lipoprotein aggregates apparently occur within the intima and media of arteriopathic vessels. Content and concentration of lipids as determined by quantitative biochemistry are markedly elevated in the within the walls of the coronary arteries. Although pre-transplant and post-transplant serum lipid levels in these patients were not markedly elevated, the individual, mean, and typical coronary tissue levels of total, free, and esterified cholesterol, and of phospholipids were greater than the 90th percentile of donor age-comparable, site-matched PDAY coronary control tissue. In many coronary trees, the amount of lipid was 10 to 20 times more than normal native artery limits in donor age-comparable vessels. Thus, the lipid overload of allograft coronary arteries occurs in the face of only a modest blood-to-artery lipoprotein gradient, and much more rapidly than occurs in native atheroscle-

rosis. Deposition of lipid within the arterial walls was prominent over a wide range of luminal narrowing by the arteriopathic process. Overall, a strong correlation between the amount of luminal narrowing and the content or concentration of lipids was demonstrated. Lipid deposition in the intima and media of coronary arteries in transplanted hearts appears to play an important early and ongoing role in transplant arteriopathy.

The trend toward more arterial wall lipids proximally appears to relate to the absolute mass of intima that is associated with a certain percent luminal narrowing or certain extent of intimal thickening in a proximal vessel versus a distal vessel. Thus, when lipid accumulates in the proximal vessels, be it the LC or the R, it is accumulating in a much larger mass of intima for the absolute intimal surface area of a proximal segment versus a distal segment. In addition, from our previous morphometric analyses,<sup>30</sup> we know that, by two independent quantitative assessments the intima is thicker proximally than distally in human arteriopathy. It is likely, because of the disproportion in mass

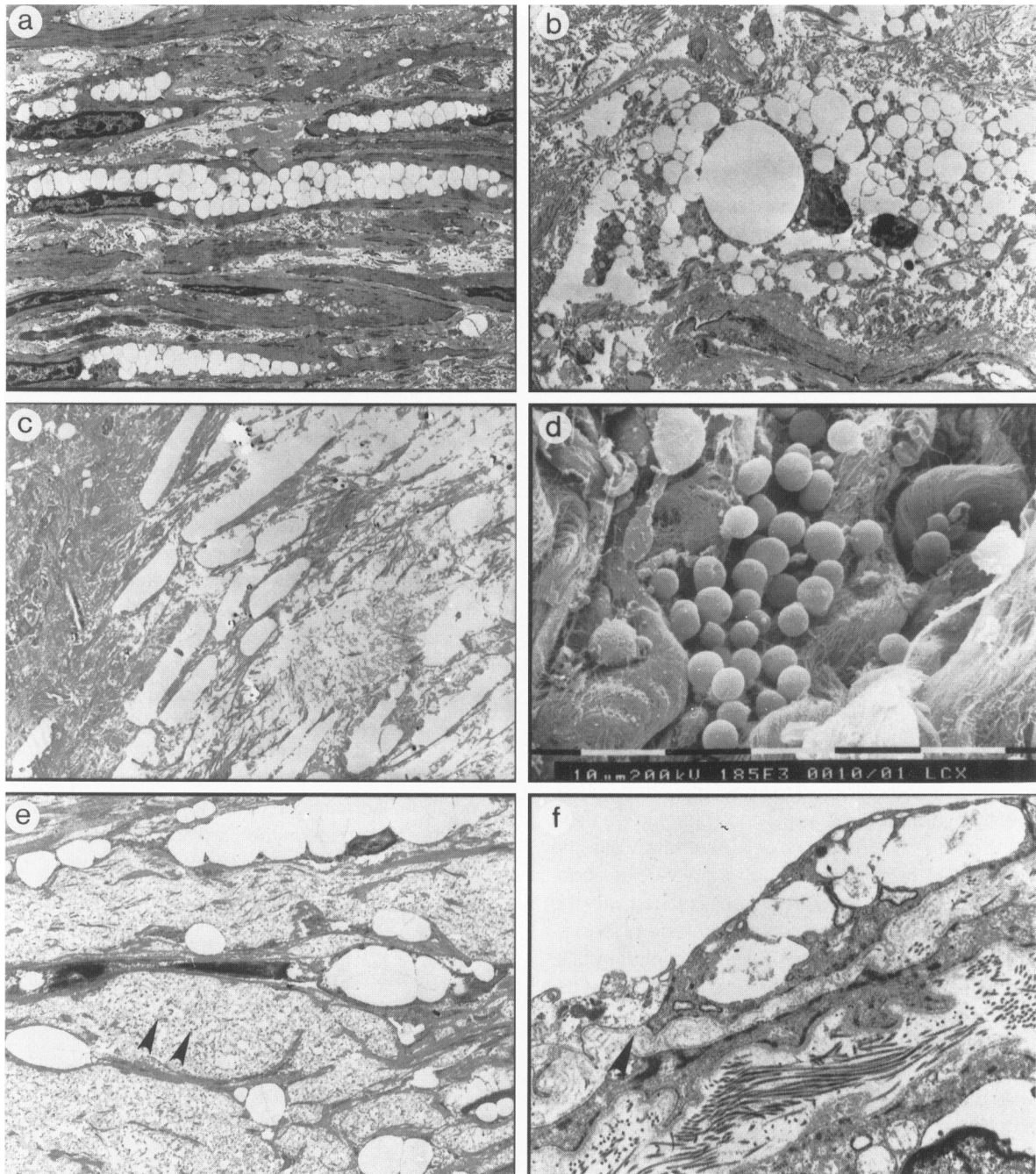


**Figure 7.** Photomicrographs of oil red O stained lipid droplets and early and late lesions stained with Movat's pentachrome in allograft coronary arteries. **a:** Several fine lipid droplets are seen within the intima. In addition, numerous medium size lipid droplets are observed within endothelial cells at the luminal surface (arrowheads) ( $\times 100$ ). **b:** Many large extracellular lipid droplets are observed within a mildly thickened intima ( $\times 100$ ). **c:** Section of allograft coronary artery double-stained, histochemically with oil red O, and immunohistochemically with muscle specific  $\alpha$ -actin antibody. Lipid droplets are extracellularly and intracellularly associated with smooth muscle cells (arrowhead) ( $\times 320$ ). **d:** Early lesion in arteriopathic vessel exhibiting moderate intimal thickening rich in lipids and glycosaminoglycans (aqua color) (box) ( $\times 33$ ). **e:** High power of a segment of allograft artery seen in (d) displaying prominent lipid deposits in close association with intimal glycosaminoglycan-rich matrix (aqua color) ( $\times 125$ ). **f:** Lipid deposits are both intracellular in foam cells (arrowheads) and extracellular. A small subendothelial cellular infiltrate is observed (curved arrow) ( $\times 330$ ). **g:** Late arteriopathic lesion is characterized by markedly inflamed intima with deep lipid deposits adjacent to the internal elastic lamina. The intima and media have a high concentration of glycosaminoglycans (arrowheads) in close proximity to lipid deposits ( $\times 33$ ). **h:** On higher power, the medial lipid richness and glycosaminoglycan-rich matrix are emphasized, particularly adjacent to the internal elastic lamina ( $\times 66$ ). **i:** The media has extensive foam cell transformation (arrowhead) with slight inflammation; both intracellular and extracellular lipid deposits are observed ( $\times 500$ ).

or volume of intima between equally thickened proximal and distal segments (required to produce a given amount of luminal narrowing proximally as opposed to distally), the proximal ratio (expressed as micro-

grams per centimeter squared intimal surface for the different lipid components) is greater than the distal. This observation is in contrast to the results in Figure 4 in which the proximal LC has a tendency toward





**Figure 8.** Electron micrographs of arteriopathic coronary arteries (transmission: a–c, e, f; scanning: d). **a:** Numerous medial smooth muscle cells have prominent intracellular lipid droplets. Collagen fibers are present between the arrays of smooth muscle cells ( $\times 56000$ ). **b:** A large cell resembling a monocyte-macrophage with engulfed lipid particles possibly surrounded by several large extracellular lipid droplets. Elastin and collagen fibers are observed at the bottom of the photomicrograph ( $\times 56000$ ). **c:** Large deposits of extracellular lipid and “cholesterol cleft” formation are present deep within an allograft vessel ( $\times 54000$ ). **d:** Scanning electron micrograph of medial tissue from transversely sectioned allograft coronary artery suggests probable extracellular lipid droplets or lipoprotein aggregates in proximity to smooth muscle cells. These structures may have accumulated on a primary basis extracellularly or from breakdown of foam cells. These aggregates ( $1.5\text{--}4.5\ \mu\text{m}$  diameter) may represent complexes of lipoproteins and associated proteoglycans<sup>74,75</sup> ( $\times 1850$ ). **e:** Extensive deposition of glycosaminoglycan-rich matrix (arrowheads) with foam cell transformation of smooth muscle cells depicted ( $\times 54000$ ). **f:** Intracellular vacuoles, possibly representing lipid, are illustrated within an endothelial cell. Tight junctions are visible between endothelial cells and the basement membrane is depicted (arrowhead) ( $\times 55000$ ).

more (not significant) total cholesterol and phospholipid (as  $\mu\text{g}/\text{mg}$  dry defatted weight) than the proximal R or distal R.

The most important issue in this analysis relates to donor age-comparable arteries from control subjects (PDAY), wherein the precisely identical coronary location was used and one can evaluate the degree to which the transplant milieu has taken arteries of comparable age and accelerated the allo-atheromatous process. While comparisons to severely atherosclerotic arteries from non-transplant patients may be important, they are beyond the scope of this particular analysis and would not answer the question which we originally posed. Such information is widely available.<sup>34</sup>

In an attempt to understand how lipid might accumulate at such an accelerated rate in the arterial wall of human heart allografts, it is important to consider mechanisms by which lipid accumulation is proposed to occur within native atherosclerotic lesions. These "naturally occurring" mechanisms may provide a basis for understanding the aberrant lipid accumulation within arterial walls of human heart allografts. It is widely known that in the face of rising blood lipoprotein levels, increased deposition of lipids occurs in the arterial wall during atherosclerosis.<sup>41,42</sup> Cells normally acquire cholesterol for membrane synthesis primarily by receptor-mediated uptake of LDL which is internalized and delivered to lysosomes, and subsequently hydrolyzed releasing cholesterol to be used by the cell.<sup>43-44</sup> To reach the cells of arterial walls, the plasma LDL must pass through the vascular endothelium. *In vivo*, the endothelial cell is faced with the dual function of taking up plasma cholesterol for synthesis of its own membranes, and transporting LDL and other lipoproteins to other cells in the arterial tissue. It has been demonstrated that LDL is taken up and internalized through two parallel routes<sup>45</sup>; a relatively small amount of LDL is taken up by endocytosis, while most circulating LDL is transported across the endothelial cell by transcytosis via plasmalemmal vesicles. Disturbances in the capability of the endothelium to regulate transport of a physiological amount of LDL may lead to excessive accumulation of cholesterol within the arterial wall, much as in native atherosclerosis.<sup>46-48</sup> With increased levels of blood cholesterol, triglycerides, and lipoproteins in transplant patients,<sup>2,49,50</sup> transcytosis of LDL cholesterol and other molecules across arterial endothelium may be accelerated. In both normal and atherosclerotic arteries, LDL enters the intima in relatively large amounts.<sup>51-53</sup>

Virchow<sup>54</sup> proposed the concept that vessel injury initiates lipid imbibition and atherogenesis, and a

number of investigators have contributed to the "response to injury" hypothesis of atherogenesis.<sup>55-60</sup> This hypothesis proposes that atherogenesis involves injury to the endothelium as the triggering event in the atherogenic process. Various investigators have shown that such injury leads to alterations in arterial permeability to lipid. Hajjar et al<sup>60</sup> have shown that lipids, especially cholesterol and cholesteryl esters, preferentially accumulate in re-endothelialized, as compared with de-endothelialized, areas of the aorta. This accumulation is due to two metabolic changes in the endothelial cells of re-endothelialized areas including a decrease in acid cholesteryl esterase activity with decreased cholesteryl ester hydrolysis, and an increase in acyl CoA:cholesterol acyltransferase activity with increased synthesis of cholesteryl esters. Davies et al<sup>61</sup> have demonstrated that mechanical wounding of endothelial cell cultures results in a significantly enhanced rate of pinocytosis per mg cell protein and supports the concept that pinocytosis in growing cells proceeds at a higher rate than in non-growing, quiescent cells. These experiments further support the possibility that enhanced transendothelial pinocytotic transport of lipoproteins by regenerating endothelium may have bearing on the deposition of lipids in areas of injured endothelium. In the case of transplant arteriopathy, endothelial injury not only may be important to the pathogenesis of the disease, but also may contribute to lipid accumulation. Endothelial damage in the cardiac allograft followed by de-endothelialization with subsequent re-endothelialization would alter lipid permeability and result in elevated transport of lipoprotein across the arterial wall thereby leading to lipid accumulation. An important question to consider regarding transplant arteriopathy is whether significant de-endothelialization with subsequent re-endothelialization due to injury actually occurs. Focal denudation may occur repeatedly as waves of allo-immunological response occur to the graft. This issue has never been settled.

A third process which may explain rapid and excess lipid accumulation relates to differences in efflux of LDL, instead of increased lipid permeability. Arterial wall cells of the allograft with a decreased ability to remove LDL may achieve elevated LDL concentrations. It has been shown that LDL accumulates to a greater extent in lesion-susceptible than in lesion-resistant sites of the aorta of cholesterol-fed, normolipidemic rabbits.<sup>62</sup> It was demonstrated that the permeability to LDL did not increase in these lesion-susceptible sites as compared to lesion-resistant sites. Instead, the fractional rate of LDL efflux of the

arterial pool was lower in the lesion-prone sites than in lesion-resistant sites, resulting in LDL retention.<sup>62</sup>

Decreased LDL efflux could possibly be related to increased interactions of LDL with the extracellular matrix of the arterial wall. Such interactions may overshadow cellular processes designed to remove LDL. A similar mechanism could be responsible for elevated arterial lipid levels in human heart allografts, where large amounts of extracellular matrix accumulate. Proteoglycans in particular are thought to be of considerable significance in atheromatous processes. They modify lipids, cell adhesion and migration, and interact with growth factors which function to control cellular proliferation. As noted, a number of studies have demonstrated that LDL particles complex with proteoglycans via very specific basic amino acid residues,<sup>68</sup> and that these complexes stimulate uptake of lipid by macrophages, cholesterol ester synthesis, and conversion of macrophages into foam cells.<sup>63-65</sup> In addition to affecting intracellular processes, proteoglycan deposits within atherosclerotic plaques exhibit a propensity for lipid accumulation, and much of the lipoprotein in the arterial wall is believed to be complexed extracellularly to proteoglycan.<sup>66</sup> Our morphological investigation of transplanted arteries reflects the marked intra- and extracellular lipid accumulation in all stages of arteriopathic disease, and the role of proteoglycans in this process is most likely very significant (submitted for publication).

A fourth mechanism potentially responsible for lipid accumulation in transplant arteriopathy involves elevated lipid synthesis by cells within lesions. Cultured aortic cells from atherosclerotic lesions have an enhanced rate of lipid synthesis in comparison to non-atherosclerotic aortic cells,<sup>67</sup> which is further enhanced as the cells accumulate more lipid. Such a mechanism could be responsible for accumulation of lipid within arteriopathic lesions. Cytokines and growth factors are quite feasibly involved.

Finally, most allograft recipients are managed on immunosuppressive regimens involving cyclosporin, corticosteroids, and azathioprine to prevent graft rejection. Cyclosporin acts primarily on T helper lymphocytes, blocking interleukin-2-mediated proliferation.<sup>68</sup> Both cyclosporin and prednisone induce elevations in total cholesterol, LDL cholesterol, triglycerides, and apolipoprotein B100.<sup>2,14,49,50,69,70</sup> Cyclosporin may exert an increase in plasma LDL through the inhibition of enzymes in the bile acid pathway, reducing bile acid synthesis from cholesterol.<sup>71</sup> Lipoprotein (a) metabolism may also be affected by cyclosporin, given the relationship between LDL and lipoprotein (a). Lipoprotein (a) is an independent risk

factor in coronary heart disease<sup>72</sup> and has been localized to arteriopathic lesions.<sup>73</sup> Cyclosporin itself is highly lipophilic; about 50% of a dose circulates in the plasma, of which 80% is bound to lipoproteins, especially LDL and HDL.<sup>74</sup> *In vitro* cyclosporin has a cytopathic effect on smooth muscle cell proliferation and promotes vacuolization<sup>75,76</sup>; however, *in vivo* it has a different effect. Cyclosporin treatment of de-endothelialized animals potentiates atheromatous lesion development.<sup>77</sup> The absence of endothelial injury of this interface by immune processes in allografts may contribute to potential for cyclosporin to participate in the arteriopathic process. In animals undergoing hyperalimentation<sup>77</sup> atheromatous lesions are also enhanced as compared with controls. In such animal models intimal thickening occurs early and is much more severe in cyclosporin-treated than in cyclosporin-untreated groups. Thus, cyclosporin accelerates the development of disease. While cyclosporin may augment arteriopathic lesions it is worth emphasizing that atheromatous arteriopathic lesions existed long before the first clinical use of the drug.

The data presented in this study are the first to document quantitatively the massive accumulation of lipids in arteries of human heart allografts. Clearly, this disease process has a major atheromatous component. The concurrent accumulation of lipids and proteoglycan-rich matrix may be causally related and the entire process deserves further examination.

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