

Heterogeneity of Smooth Muscle Cells in Atheromatous Plaque of Human Aorta

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This study was undertaken to investigate the expression of cytoskeletal proteins and the ultrastructure of cells in normal intima and atheromatous plaque of human aorta. It has been established, using double-labeling immunofluorescence, that smooth muscle cells (SMC) in normal aortic intima contain myosin, vimentin, and alpha-actin but do not react with antibodies against desmin. In contrast, 7 of 28 atherosclerotic plaques contained many cells expressing desmin in addition to the other cytoskeletal proteins characteristic of normal intima SMC. These cells were localized predominantly in the plaque cap and had the ultrastructural features of modulated SMC, ie, well-developed endoplasmic reticulum and Golgi apparatus. Besides, some cells in the 13 atherosclerotic plaques proved to be myosin, alpha actin, and desmin negative but contained vimentin and actin as revealed by fluorescent phalloidin. These cells were found in the immediate proximity of atheromatous material and reacted with a monoclonal antibody specific to SMC surface protein (11G10) but not with monoclonal anti-muscle actin (HHF35) and anti-macrophage (HAM56) antibodies. Electron microscopy of this plaque zone revealed that the cytoplasm of these cells was filled with rough endoplasmic reticulum and a developed Golgi complex. At the same time, a certain proportion of cells in this region retained morphologic features of differentiated SMC such as the presence of a basal lamina and myofilament bundles. The revealed peculiarities of cytoskeletal protein expression and the ultrastructure of cells in human aortic atherosclerotic plaques may be explained by a phenotypic modulation of vascular SMC. (Am J Pathol 136, 1031-1042)

According to a number of researchers, smooth muscle cells (SMC) play a key role in the pathogenesis of atherosclerosis because SMC proliferation and secretion of extracellular matrix components are the earliest and most important manifestations of the disease.^{1,2} Therefore, the attempts to reveal specific characteristics of atherosclerotic plaque cells are quite understandable. Early electron microscopic investigations pointed to the heterogeneity of atherosclerotic plaque cells in human arteries (for review see Geer and Haust³). Current reports describe variants of vascular SMCs in atherosclerotic plaque,⁴ as well as differences between ultrastructural features of cells located in the intimal thickening versus those located in the underlying media.⁵ It has been established that the SMC population in human atherosclerotic plaques is heterogeneous with respect to monoclonal antibody binding,^{6,7} expression of class 2 transplantation antigen,⁸ and cytoskeletal proteins.^{9,10} Thus cells containing desmin were detected in atherosclerotic plaques of human aorta, whereas they have not been found in normal vascular intima.^{9,10} The ultrastructure of these cells is presently not known; however in experiments of rat aortic arterial denudation, SMCs present in intimal thickening exclusively express vimentin, despite the fact that the normal rat aortic media is characterized by many (60%) SMCs containing desmin.¹¹

Cell culture studies have shown that vascular SMCs can express two phenotypes, one called 'contractile' and the other 'synthetic' state.¹² Modulation between these phenotypes is accompanied by changes in ultrastructure and myosin expression.^{12,13} It has been suggested that the process termed SMC 'modulation' develops most actively at the site of atherosclerotic lesions.¹⁴ Recently we have shown that primary culture of human aortic subendothelial cells contain 6% to 33% of myosin-negative cells, their number being especially high in cultures derived from advanced atherosclerotic lesions.¹⁵ However, it still remains obscure whether myosin-negative SMCs are

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Table 1. Summary of Study Cases and Immunoreactivity of the Cells in Normal Intima (N) and Atheromatous Plaque (P) of Human Aorta

Case	Sex	Age (yrs.)	Cause of death	Postmortem interval (hrs.)	Vessel area	Cell-staining pattern		DAL
						Desmin+	Myosin-	
1	F	14	Trauma	4	N	-	-	ND
2	M	18	Trauma	4	N	-	-	0-1
3	M	21	Trauma	3.5	N	-	-	0-1
4	F	34	Trauma	3.5	N	-	-	1-2
					P	-	-	
5	M	34	Asphyxia	4	N	-	-	1-2
					P	-	+	
6	M	37	Congestion heart failure	4	N	-	-	2-3
					P	-	-	
7	M	37	Acute myocardial infarction	4.5	N	-	-	2-3
					P	-	-	
8	M	41	Trauma	3	N	-	-	1-2
					P	-	-	
9	F	42	Trauma	3.5	N	-	-	0-1
10	M	45	Trauma	4	N	-	-	1-2
					P	-	-	
11	M	47	Acute myocardial infarction	3	N	-	-	2-3
					P	-	-	
12	F	50	Traumatic shock	4	N	-	-	1-2
13	M	50	Myocardial infarction	5	N	-	-	3
					P	+	+	
14	M	56	Myocardial infarction	2.5	N	-	-	2-3
					P	-	-	
15	M	58	Trauma	3	N	-	-	2-3
					P	-	-	
16	M	59	Homicide	2.5	N	-	-	2-3
					P	-	+	
					P	-	-	
17	F	59	Myocardial infarction	4.5	N	ND	-	3-4
					P	+	+	
18	M	60	Myocardial infarction	4	N	-	-	ND
					P	ND	+	
19	M	62	Myocardial infarction	2	N	-	-	3-4
					P	-	-	
20	M	64	Trauma	4.5	N	-	-	2-3
					P	+	+	
21	F	65	Trauma	3	N	-	-	3
					P	-	-	
22	M	65	Myocardial infarction	3	N	-	-	3-4
					P	-	+	
23	F	66	Myocardial infarction	3.5	N	-	-	ND
					P	+	+	
24	M	69	Trauma	3.5	N	-	-	2-3
					P	-	-	
25	M	70	Cardiogenic shock	2.5	N	-	-	3-4
					P	-	-	
26	M	70	Cradiogenic shock	4	N	-	-	3-4
					P	-	+	
27	F	72	Acute myocardial infarction	3	P	+	+	3-4
					P	-	-	
28	F	75	Myocardial infarction	3.5	N	-	-	3-4
					P	+	-	
29	F	78	Acute myocardial infarction	3.5	N	-	-	3-4
					P	+	+	
					P	-	+	
30	F	83	Poisoning	3	N	-	-	2-3
					P	-	+	

Desmin+, desmin - positive cells.
 Myosin-, myosin - negative cells.
 DAL, degree of atherosclerotic lesion.¹⁷

present in the normal human arterial wall and how frequently they are seen in atherosclerotic plaques.

In this study, we have used double-labeling immunofluorescence and electron microscopy to investigate the

expression of cytoskeletal proteins and the ultrastructure of grossly normal intima and atheromatous plaque of human aorta. Our results indicate that some atherosclerotic plaque cells express desmin, the other are myosin and

Table 2. Antisera and Antibodies Used in the Study for Characterization of Cell Phenotypes in the Atherosclerotic Plaque

Designation	Type	Specificity	Cells identified	Reference/source	Working dil
V9	MC	Vimentin	Fibroblasts SMC, macrophages, endothelial cells	17	1:20
DE-R-II	MC	Desmin	SMC	18	1:100*
α sm-I	MC	alpha-actin	SMC	19	1:20
IIG-10k	MC	SMC protein	SMC, fibroblasts,	20, 21	1:100*
HHF35	MC	Muscle actins	SMC	22	1:300*
HAM56	MC	Undetermined	Macrophages, endothelial cells	6	1:500*
ASMM	PC	SMC myosin	SMC	15	1:50
	PC	Desmin	SMC	9	1:10
	PC	Desmin	SMC	Sigma Corp.	1:30
	PC	Factor VIII-Rag	Endothelial cells	Behring Diagnostics	1:50
	PC	Human lysozyme	Macrophages	Behring Diagnostics	1:100

SMC, smooth muscle cells.

MC, monoclonal.

PC, polyclonal.

dil, dilution.

* As ascites fluids.

alpha-actin negative, but all these cells have the ultrastructural features of modulated SMCs. A preliminary report of part of this study has been published.¹⁶

Materials and Methods

Tissue Samples

Segments of human thoracic aorta were obtained at autopsy from 29 individuals whose ages ranged from 14 to 83 years. Relevant characteristics of the donors and post-mortem intervals are summarized in Table 1. Types of atherosclerotic lesion were visually classified according to the scheme of Smith¹⁷; both the atherosclerotic plaque and grossly normal portion of aorta were taken. Specimens were dissected transversely. One half was embedded in OCT compound (RA Lab), frozen in isopentane cooled in liquid nitrogen, and stored at -70°C until use. The other half was used for electron microscopy or fixed in formalin, embedded in paraffin, and sectioned for hematoxylin and eosin staining.

Antisera and Antibodies

The sources and specificities of all antibodies used in this study are summarized in Table 2. Briefly, monoclonal anti-vimentin (V9)¹⁸ and anti-desmin (DE-R-11)¹⁹ antibodies were presented by Prof. K. Weber and M. Osborn (Max Planck Institute Biophys. Chem., Gottingen, FRG). Monoclonal antibodies (MAbs) against alpha-smooth muscle actin were characterized elsewhere.²⁰ Monoclonal antibodies to a surface protein of SMCs (IIG10K) were do-

nated by M. Glukhova (Cardiology Res. Center USSR). The latter antibodies specifically stain SMCs and fibroblasts, but not endothelial cells in culture²¹ or macrophages in cryostat sections of human aorta.²² Monoclonal antibodies to muscle actins (HHF35)²³ and to macrophages (HAM56)⁶ were given by Dr. Allen M Gown (Department of Pathology, University of Washington, Seattle, WA). Rabbit antisera against human smooth muscle myosin (ASMM)¹⁵ and against chicken gizzard desmin⁹ were characterized previously. Rabbit antisera to human factor VIII-related antigen and human lysozyme were obtained from Behring Diagnostics Corp. Both tetramethylrhodamineisothiocyanate (TRITC)-labeled goat anti-rabbit IgG (GAR) and fluorescein isothiocyanate-labeled goat antibodies to mouse heavy and light chains of immunoglobulin (FITC-GAM) were purchased from Calbiochem-Behring, La Jolla, CA.

Immunofluorescent Staining

Serial 5- μ thick sections were cut on a cryostat and dried for 20 minutes at room temperature. Sequential sections were incubated for 1 hour at 37°C with the various MAbs diluted in phosphate-buffered saline (PBS). After washing with PBS, the sections were treated with ASMM or other polyclonal antisera for 30 to 60 minutes. The sections were washed again and incubated with FITC-GAM and TRITC-GAR added simultaneously. Labeled antibodies were used at final concentration of approximately 0.01 to 0.05 mg/ml in PBS. In some cases for demonstration of actin filaments, the sections were incubated with TRITC-labeled phalloidin (Sigma, West Germany). For negative control staining specificity we omitted the primary anti-

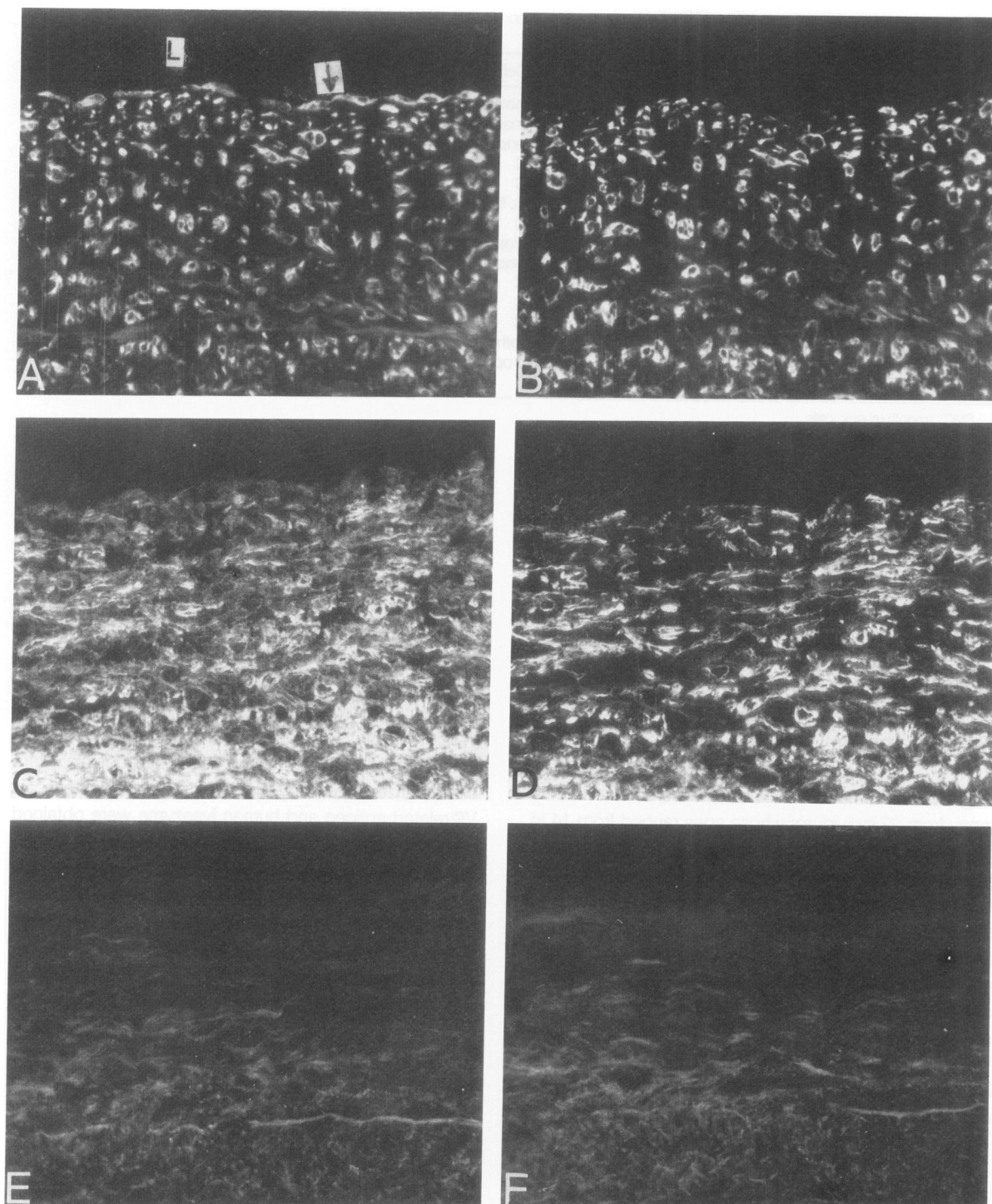


Figure 1. Double-immunofluorescent staining of normal aortic intima for vimentin (A), myosin (B), alpha-actin (C), F-actin revealed by labeled phalloidin (D), desmin (E), and negative-control staining (F). Note endothelial cells (arrow) are positive with antibodies to vimentin but not myosin. Original magnification, $\times 200$. L, lumen of aorta.

body, or incubated with normal serum of the respective species. For positive controls we used the remaining slides of each set. Photographs were taken with an Opton photomicroscope equipped with a standard filter set.

Histochemistry

After fluorescent analysis, some preparations were fixed in formalin and stained for acid alpha-naphthyl esterase activity²⁴ or with Oil Red O.²⁵

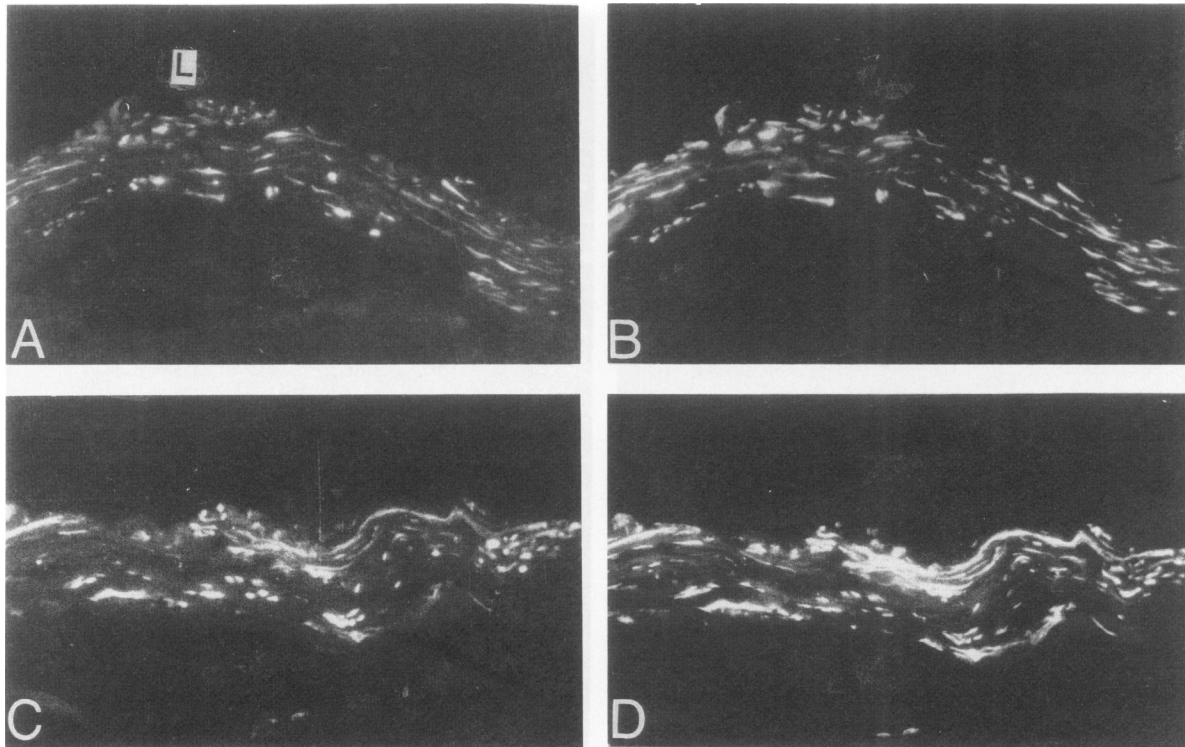


Figure 2. Double-immunofluorescent staining of atherosclerotic plaque cap for desmin (A), myosin (B), alpha-actin (C), and F-actin revealed by phalloidin (D). Original magnification $\times 200$. L, lumen of aorta.

Transmission Electron Microscopy

Samples of normal aortic intima and plaques were washed with PBS and fixed with 2.5% glutaraldehyde in 0.1 mol/l (molar) cacodylate buffer. They were postfixed in 1% osmium tetroxide, dehydrated in ethanol and propylene oxide, and embedded in araldite resin. Special attention was paid to the plaques containing the clusters of desmin-positive and myosin-negative cells seen under immunofluorescent microscope. Selection of the plaque zone was done on the semithin sections stained with toluidine blue. Thin sections were cut on an LKB Ultratome III, stained with 2% uranyl acetate in 0.5 mol/l NaCl, and examined with a JEM 100-B electron microscope at 80 kV.

Results

Immunofluorescent staining of cryostat sections of normal human aorta revealed the presence of many vimentin-positive cells in the intima (Figure 1A). Nearly all cells, with the exception of the endothelium, stained with the antibodies to smooth muscle myosin (Figure 1B). Subendothelial cells had an elongated shape on the sections cut along the long axis of the vessel and were rather densely packed but without contacting with each other. Nearly all

intimal SMCs contained alpha-actin (Figure 1C) and total actin (Figure 1D). The cells of normal intima treated with antibodies to desmin (Figure 1E) were no different from the control (Figure 1F), ie, they were desmin negative.

In all atherosclerotic plaques, cells were more dispersed than in unaffected aortic intima. Most of the plaque cells had a cytoskeletal protein expression similar to that of the SMCs of normal aortic intima. Only 7 of 28 plaques contained desmin-positive cells (Figure 2A). These cells were localized predominantly in the plaque cap and only in two cases were they found in deeper plaque layers. On longitudinal sections of the vessel, the cells appeared to be elongated, containing smooth muscle myosin (Figure 2B) and intensively stained with the antibodies to vimentin (not shown), alpha-actin (Figure 2C), and F-actin, as revealed by fluorescent phalloidin binding (Figure 2D).

At the same time, myosin-negative cells were found in 13 atherosclerotic plaques of human aorta. These cells were revealed in sections after double staining with a monoclonal antibody to vimentin (Figure 3A) and rabbit antisera to smooth muscle myosin (Figure 3B) that allowed us to identify clusters of such cells localized in the immediate proximity of an atheromatous core adjacent to the normal intima. These cells had an elongated shape, were rather thin and often densely packed, contained phalloidin-reactive actin (Figure 3C), but neither the alpha-actin isoform (Figure 3D) nor desmin (not shown).

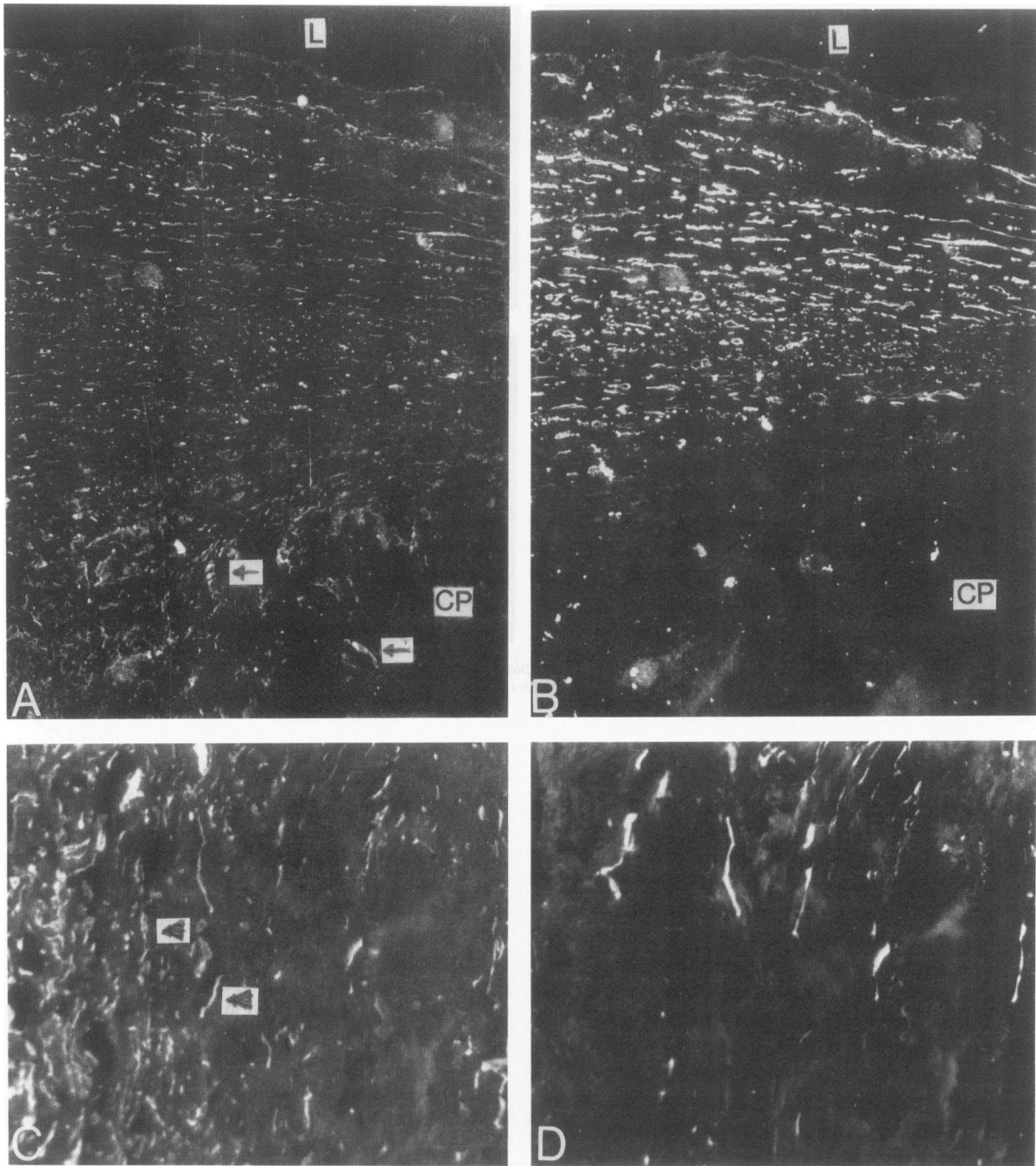


Figure 3. Double-immunofluorescent staining of atherosclerotic plaque for vimentin (A), myosin (B), F-actin revealed by phalloidin (C), and alpha-actin (D). Note some cells are positive with antibody to vimentin (arrows) and contain F-actin (arrowheads) but negative with antibodies to myosin and alpha-actin, respectively. Original magnification, A, B: $\times 125$, C, D: $\times 200$. L, lumen of aorta; CP, core of plaque.

Localization of myosin-negative cells in the form of clusters makes it possible to study their specific characteristics. Analysis of serial sections of the same plaques showed that the cells reacted with a monoclonal antibody specific to SMC surface protein, 11G10 (Figure 4A) that can be seen in double-staining experiment with antisera to smooth muscle myosin (Figure 4B). The antibody

11G10, however, also bound to pericytes in vasa vasorum of aortic adventitia (Figures 4C and D). At the same time, myosin-negative cells are not stained with a monoclonal antibody to muscle-actins, HHF35 (Figure 4E), and a monoclonal anti-macrophages antibody, HAM56 (Figure 4G), that were noted in double labeling with phalloidin (Figure 4F) and antisera to smooth muscle myosin (Figure

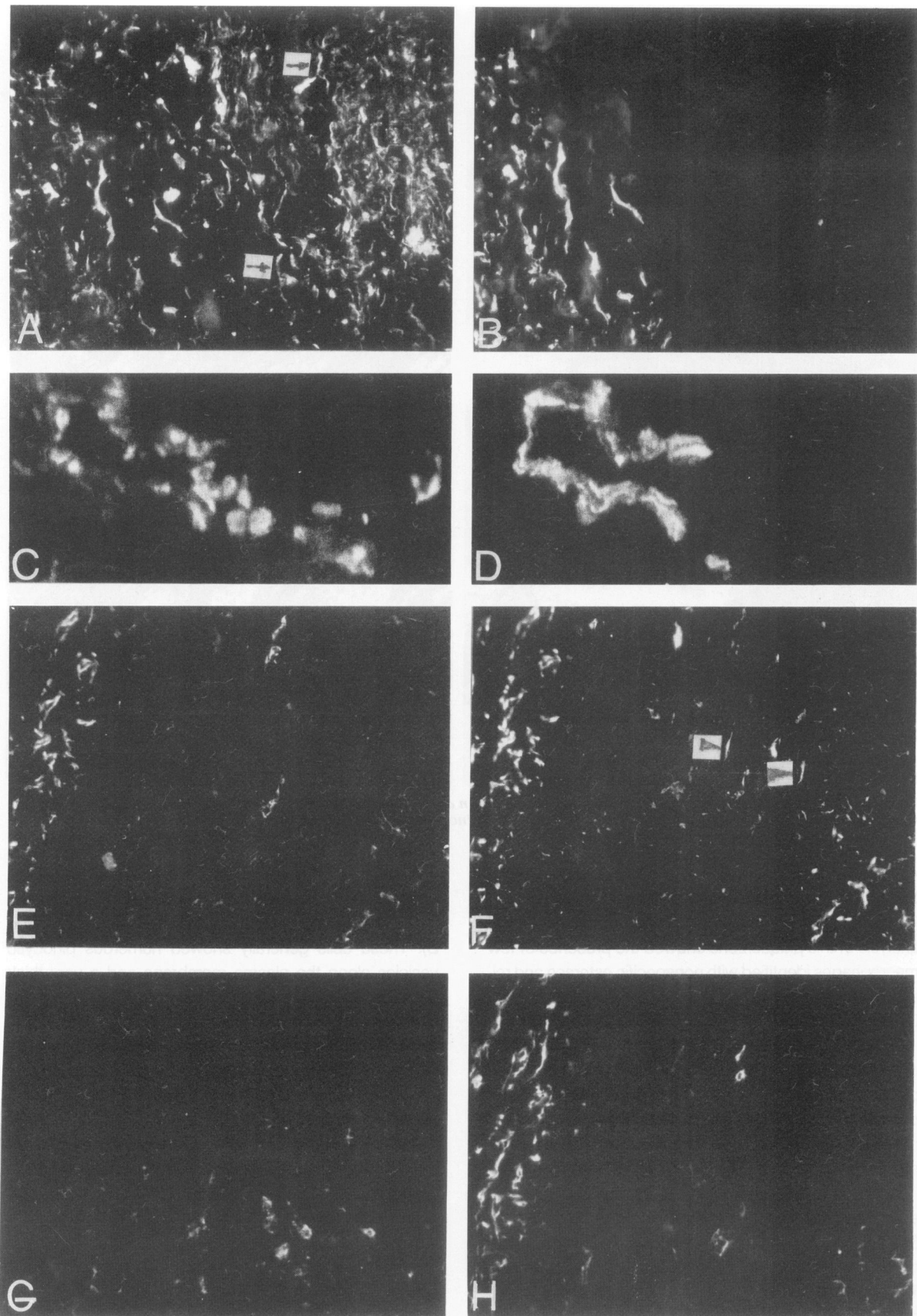


Figure 4. Double-immunofluorescent staining of atheromatous plaque with monoclonal antibodies to SMC surface protein, IIG10 (A, C), to muscle-actin, HHF35 (E) and macrophages, HAM56 (G) in combination with antisera to smooth muscle myosin (B, D, H) and F-actin revealed by pballoidin (F). Note some cells are positive with antibody to SMC surface protein (arrows) and with labeled pballoidin (arrowheads) but negative with antibodies to smooth muscle myosin and muscle-actin, respectively. Original magnification, A, B: $\times 200$; C, D: $\times 400$; and E-H: $\times 125$.

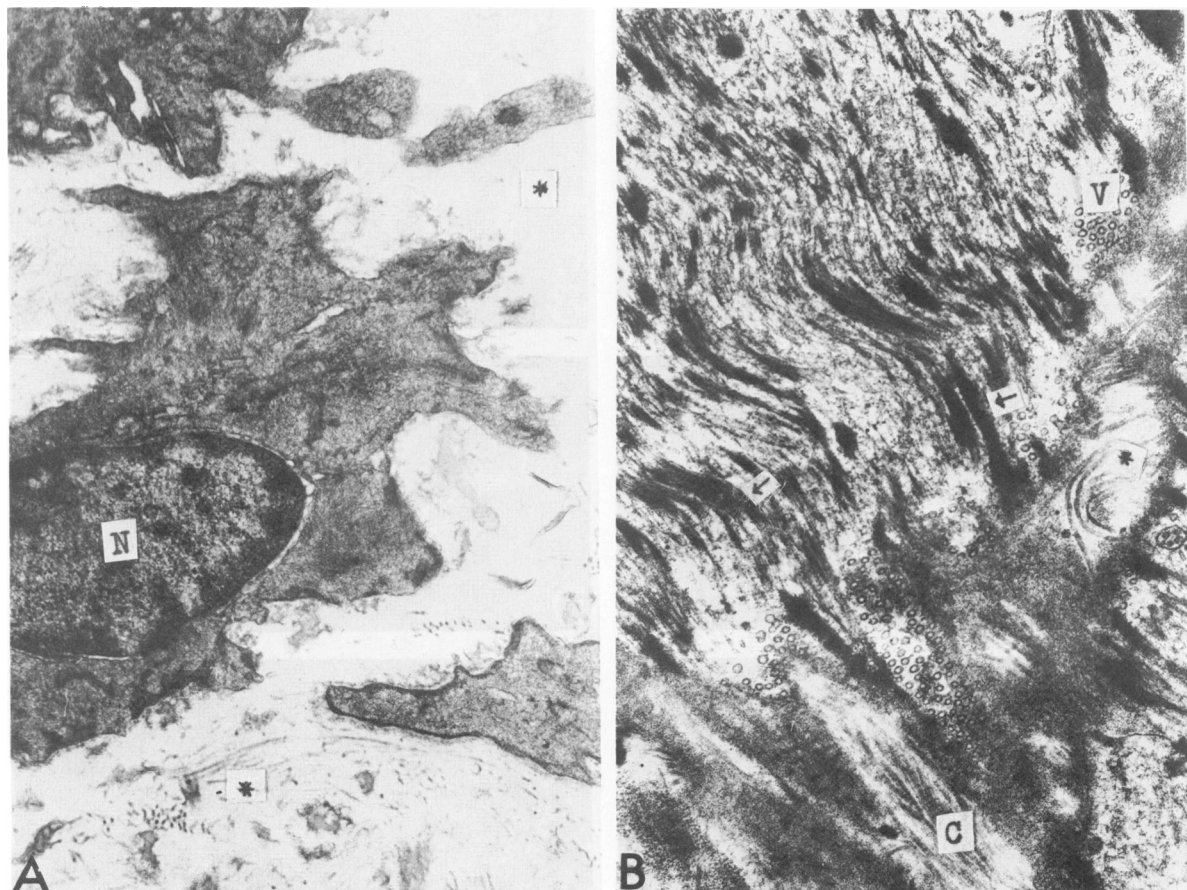


Figure 5. Electron micrograph of SMC in normal intima of human aorta. Note tangential section of myofilament bundles (arrows). Original magnification, A: $\times 12,100$; B: $\times 21,000$. N, nucleus; V, pinocytotic vesicles; C, collagen; *, extracellular matrix.

4H), respectively. In addition, the cells did not react with the antisera to factor VIII-related antigen or with human lysozyme (data not shown). Furthermore, histochemical analysis of this plaque zone showed the presence of few macrophages identified with nonspecific esterase and relatively few cells rich in lipid inclusions, stained with oil red O (data not shown).

It is noteworthy that cells with these cytoskeletal changes were often found in large atherosclerotic plaques of elderly individuals (Table 1). Only in two plaques were small vessels growing in from the adjacent media detected. In all other cases no connection between plaque cells and adventitial blood vessels was found.

Electron microscopic analysis of normal aortic intima showed the cells with distinguishing characteristics of SMC. The cytoplasm of the cells was filled with myofilaments that converge into dense area (Figures 5A and B). The cells also contained very few profiles of endoplasmic reticulum in perinuclear region, number of pinocytotic vesicles, and a limiting basement lamina.

In all plaque caps containing desmin-positive cells, we have found cells with elongated shape and a large size. The cells contained a number of profiles of endoplasmic

reticulum and Golgi apparatus localized around the nuclei and irregularly distributed myofilament bundles concentrated mostly near the outer membrane (Figures 6A and B). These cells generally showed numerous pinocytotic vesicles along the plasma membrane and a very thick basal lamina, which often consisted of several layers and merged with surrounding connective tissue fibers.

The investigation of the plaques containing myosin-negative cells revealed that the cells with cytoplasm were partially or fully filled with rough endoplasmic reticulum and a well-developed Golgi apparatus (Figures 7A to D). These cells were close to others that were characterized by the presence of few myofilament bundles, indistinct interrupted basal lamina, and multiple pinocytotic vesicles.

Discussion

Our data indicate that a subset of human atherosclerotic plaque cells exhibit a specific pattern of cytoskeletal protein expression. This particularly concerns desmin-positive cells. It is accepted that desmin is a good marker of

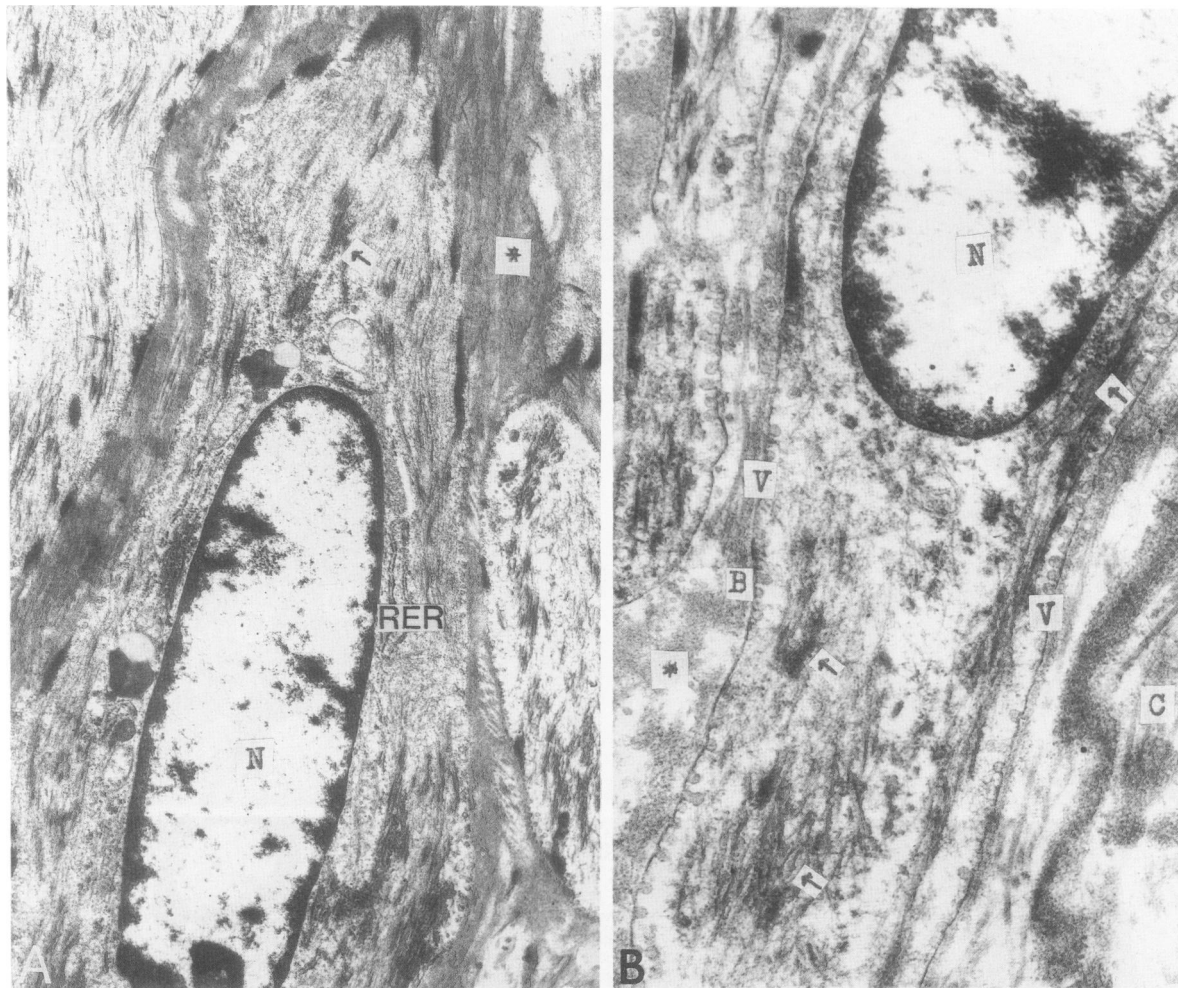


Figure 6. Ultrastructural profiles of the cells in aortic atheromatous plaque cap. Note transparent intracellular matrix, rough endoplasmic reticulum (RER), myofilaments bundles (arrows), and basal lamina (B). Original magnification, A: $\times 20,500$; B: $\times 31,200$. Abbreviations same as in Figure 5.

muscular differentiation,¹⁸ but one of the characteristic features of intimal SMCs in human aorta is the absence of this protein.^{26,27} Therefore it is intriguing to find desmin-positive cells in complicated⁹ and developing¹⁰ human atherosclerotic plaques. In our samples, about 25% of the examined plaques contained desmin-positive cells and all were without complications.

With respect to the expression of other cytoskeletal proteins, these desmin-positive plaque cells were no different from those of normal aortic intima. They contained vimentin, myosin, alpha-actin, and cytoplasmic actins. We consider these cells modified or modulated SMCs because they possessed an extensive ultrastructural endoplasmic reticulum along with multiple myofilament bundles. Further immunocytochemical studies at the electron microscopic level will define the structure of the cells more precisely.

In addition to the desmin-positive cells, some human atherosclerotic plaques contained cells that did not stain

with the antiserum to smooth muscle myosin. Myosin-negative cells expressed vimentin and F-actin but neither desmin nor alpha-actin. In a previous study, a decrease in the content of alpha-actin was registered in atherosclerotic plaque of human aorta compared to medial SMC.²⁸ Our results indicate that this finding may be explained by a decreased level of this protein in a certain proportion of the plaque cells.

Ultrastructurally, myosin-negative cells may be distinguished by hypertrophic synthetic apparatus: their cytoplasm is filled with rough endoplasmic reticulum and Golgi complex. Cells with a similar ultrastructure previously found in human atheromatous plaque and were termed 'fibroblastlike' or 'nonidentified' cells.³

Thus, along with SMCs of normal intima and most atherosclerotic plaque cells, the human aorta may contain cells characterized by specific expression of desmin, myosin, and alpha-actin, as well as ultrastructural changes. The origin of these cells is disputable, and while the

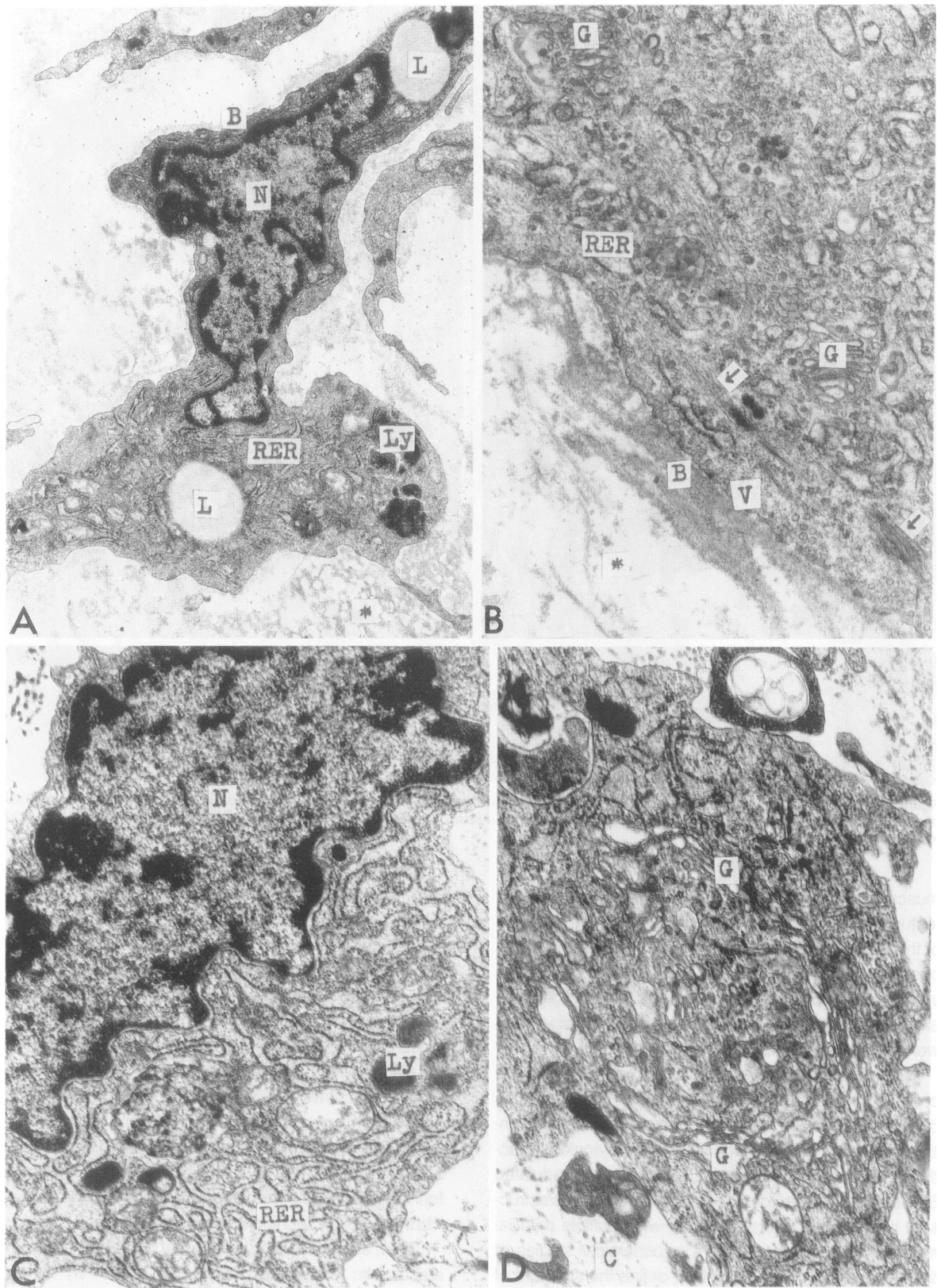


Figure 7. Electron micrograph of human aortic atherosclerotic plaque containing myosin-negative cells. Note well-developed rough endoplasmic reticulum (RER) and Golgi complex (G), as well as myofilament bundles (arrows) and basal lamina (B). Original magnification, A: $\times 8700$; B: $\times 29,900$; C: $\times 25,200$; D: $\times 24,100$. Abbreviations same as in Figure 5.

smooth muscle nature of desmin-positive cells is beyond doubt, the nature of myosin-negative cells remains unknown. The myosin-negative cells are stained with a monoclonal antibody to SMC surface protein (11G10) but did not react with anti-muscle actin antibody (HHF35) that was used as a SMC marker.⁶ The HHF35 antibody has been shown to recognize the alpha and gamma actin from smooth muscle sources, but not the beta (nonmuscle) actin that is expressed by endothelial cells and fibroblasts.²³ Because myosin-negative cells have no alpha-actin, it is possible that the absence of staining of the cells by HHF35 antibody may reflect a low level of gamma actin expression that cannot be detected by indirect immunofluorescence.

At the same time, myosin-negative cells failed to react with any known specific markers of endothelial cells and macrophages. In addition, some of them retained characteristic ultrastructural features of SMC, specifically basal lamina, myofilament bundles, and abundant pinocytotic vesicles. These findings suggest that both desmin-positive and myosin-negative cells may be phenotypic variants of vascular SMCs. Similar phenotypic changes of vascular SMCs take place in primary culture when the cells modulate from a usual 'contractile' to a 'synthetic' state.¹² This process is accompanied by alterations of ultrastructure²⁹ and a switch in smooth muscle myosin^{13,30} and alpha-actin^{31,32} expression.

The factors responsible for initiation of SMC phenotypic modulation are unclear.³³ It is well known that, besides the vascular cells themselves, platelets and macrophages produce growth factors for SMCs *in vitro*^{33,34} and macrophages also act to stimulate vascular SMC phenotypic change to the synthetic state.³⁵ The other factors playing an important role in determining the SMC phenotype appear to be local or the surrounding extracellular matrix. Recently it has been demonstrated that the adhesive glycoprotein fibronectin and laminin may control the differentiation properties of cultured arterial SMCs.³⁶ In our view, desmin-positive plaque cells described here differ phenotypically from the myosin-negative cells in a way that may be mediated by the presence of massive connective tissue capsules similar to those described earlier.^{4,5} It has been demonstrated by immunocytochemical analysis that these capsules contain several concentric layers of fibronectin and collagen of different types.³⁷

Thus alterations in the cytoskeletal protein expression and ultrastructure observed in a certain portion of atherosclerotic plaque cells of human aorta may result from a phenotypic modulation of vascular SMCs, and this process may play an important role in development of the atherosclerotic lesion.¹⁴

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