# Application of step-wise discriminant analysis and Bayesian classification procedure in determining prognosis of acute myocardial infarction

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A retrospective study was carried out to assess the feasibility of computer-assisted prognostication by discriminant analysis and the Bayesian classification procedure based on clinical information collected on patients with acute myocardial infarction. The overall accuracy was 94.2% in predicting hospital death but the prediction of late death after discharge was less accurate. It was found that not all of the 44 variables used for analysis were necessary to reach the same level of predictive accuracy - 16 to 20 variables would result in almost the identical prediction. The Bayesian classification procedure was applied to estimate probabilities of individual patients belonging to the different prognostic categories.

Une étude rétrospective a été réalisée afin d'évaluer la possibilité d'établir un système de pronostic par ordinateur s'appuvant sur une analyse différentielle et la méthode Bayesienne de classification de l'information clinique obtenue de patients atteints d'infarctus du myocarde. La précision globale concernant les prévisions de décès à l'hôpital a été de 94.2% mais on a été moins précis en ce qui a trait à la prédiction du décès tardif après le congé de l'hôpital. On a trouvé que les 44 variables utilisées n'étaient pas toutes nécessaires pour atteindre ce niveau de précision: 16 à 20 variables suffiraient à obtenir une prédiction identique. La méthode de classification Bayesienne a été appliquée dans le but d'établir les probabilités que les patients appartiennent à chacune des différentes catégories de pronostic.

This paper reports the results of a retrospective study undertaken to investigate the feasibility of computerassisted prognostication following acute myocardial infarction. The basis for prediction of outcome was a set of "predictor" variables selected from all clinical information collected routinely in the coronary care unit (CCU).

The early prognosis following acute myocardial infarction depends on many variables, including age, sex, previous history and laboratory findings, according to several studies,<sup>1-6</sup> but these studies were carried out before the era of the modern CCU, where patients are monitored continuously, at least for a few days. It was postulated that incorporation of such detailed information with computer technology could yield a more accurate prognosis. Another objective of this study was to examine the accuracy of late prognosis, which was not done in the previous studies.

Estimation of risk of death from acute myocardial infarction is not new. Peel and colleagues' proposed a coronary prognosis index that has been widely used. Hughes and associates,5 applying a linear discriminant analysis, established a prognosis rating for 22 variables. In these and other investigations<sup>8,9</sup> the early prognosis was studied in patients who were not monitored continuously in a CCU. Recently, prognostic values of hemodynamic measurements in myocardial infarction were discussed by Marx and Yu.<sup>10</sup> To the best of our knowledge, no study has been done to determine late prognosis by discriminant analysis, nor has the Bayesian classification procedure been used to study the prognosis of myocardial infarction.

#### Methods

The population studied consisted of 410 patients treated for proven acute myocardial infarction in the CCU of the University of Alberta Hospital between January 1969 and January 1971. Late follow-up to determine survival was obtained in January 1973 by corresponding with the patients or their physicians or both.

All patient charts were reviewed and information was recovered on 96 variables of clinical data (including history related to cardiovascular disease and physical findings) and laboratory data collected during the stay in the CCU. After initial data editing and evaluation 30 records were found to be unusable owing to incompleteness of information; information on all variables used in the analysis had to be complete for each subject. Excluding cases with incomplete information may have introduced bias since this procedure tended to exclude cases in which the patient died shortly after admission; thus, the hospital mortality was changed from 16.1% to 13.7% after the 30 cases were excluded.

Among the 96 variables 62 were assumed to have some prediction potential, while the remaining variables were related to patient identification, date of hospital admission and outcome (Appendix A). After preliminary analysis some of the original variables were combined, creating a new set of variables (Appendix B). Thus, the number of predictors was reduced to 44.

The 380 usable records were grouped into the following classifications based on outcome at follow-up:

A. Hospital death (died in CCU or ward).

B. Late death (died after discharge from hospital).

C. Survived (alive at follow-up).

D. Unknown (discharged alive from hospital but fate could not be determined at follow-up).

With the 44 predictor variables and outcome information, the outcome following acute myocardial infarction for each patient was predicted by discriminant analysis and the Bayesian classification procedure; these methods are described in Appendix C.

Three analyses of the data were performed with different prognosis groups:

• Analysis I. Two groups: hospital death and alive at discharge (A and B + C + D).

• Analysis II. Three groups: hospital death, late death and alive at follow-up (A, B and C).

• Analysis III. Two groups: late death and alive at follow-up (B and C).

Of the 410 patients in the original study population, data for 380, 339 and 287 were included in analyses I,

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II and III, respectively. Characteristics of the patients are summarized in Table I.

# Results

Lists of discriminating predictor variables are given in Tables II to IV; shown are the order of entry to the discriminant functions from the most significant to the least significant and the improvement in prediction with successive addition of predictor variables. The F-value (or equivalent t<sup>2</sup> for analyses I and III) associated with the entry of each variable is also reported to provide a measure of the contribution of each variable. For those familiar with multivariate analysis, Wilks' lambda statistics are also included, together with the prediction rate at each stage.

For example, for the prediction of hospital death or being discharged alive (Table II), ventricular fibrillation, recurrent pain in the CCU, peak lactic dehydrogenase (LDH) value, age at admission and heart failure in the CCU were found to be the most discriminating variables. These five variables were also the most discriminating for analysis II, although in a different order (Table III). However, for analysis III (Table IV), which was concerned with late death, diabetes and ventricular tachycardia were found to be more important than ventricular fibrillation and peak LDH value.

Results of the step-wise discriminant analysis and Bayesian classification procedure are summarized in Tables V to VII. The rates of correct prediction achieved with all 44 variables were 94.2%, 82.9% and 86.8% for analyses I, II and III, respectively. The prediction rate for late death was not as accurate as that for hospital death. For example, in analysis II (Table VI), 40 of 52 hospital deaths (77%) were correctly predicted, while in analysis III (Table VII) 29 of 56 late deaths (52%) were correctly predicted.

#### Discussion

Hughes and colleagues<sup>5</sup> discussed the controversy over factors affecting death from acute myocardial infarction. Their study showed age, leukocyte count, temperature, systolic blood pressure, conduction defects, pulmonary infarction, congestive heart failure and shock to be closely related to mortality. The Framingham study<sup>22</sup> also found sex, serum cholesterol concentration, smoking history and vital capacity to be related to mortality. Weinblatt, Shapiro and Frank<sup>23</sup> added angina and diabetes to the list. A report from the World Health Organization<sup>24</sup> noted a strong relation between previous transient

ristics cerebral ischemia and death from myo-Table cardial infarction.

Unlike those studies our study also included variables collected during continuous observation in the CCU, such as ventricular fibrillation, recurrent chest pain, peak LDH, lowest heart rate and bundle branch block. However, the subsequent classification analysis indicated that a set of about 20 variables was optimum; the addition of further variables did not improve the prediction accuracy appreciably.

The patient information was used

Table I—Characteristics of	groups of	natients with r	nvocardial	infarction	(MI)
I abie I-Vilai acteristics vi	groups or	patients with i	iiyocai ulai	marcuon	

	No. of patients						
	Total population (n = 410)	Analysis group					
Variable		l (n = 380)	 (n = 339)	 (n = 287)			
Outcome (cases)							
Hospital death	66	52	52	_			
Late death	60	56	56	56			
Survived	243	231	231	231			
Unknown	41	41	_				
Sex							
Male	338	320	285	246			
Female	72	60	54	41			
Admission for MI							
First	385	355	315	270			
Second	23	23	22	15			
Third	2	2	2	2			
Mean age (yr)							
Total population	60.4	60.1	60.3	59.0			
By outcome							
Hospital death	67.8	67.8	67.8	_			
Late death	63.8	63.8	63.8	63.8			
Survived	57.8	57.8	57.8	57.8			
Unknown	58.6	58.6	_				
By sex							
Male	58.9	58.7	58.9	57.7			
Female	67.6	67.8	67.6	66.5			

Stan		Variable entered		Wilks	Rate of correct
no.	No.	Description†	(t <sup>2</sup> )	lambda	(%)
01	58	Ventricular fibrillation	78.76	0.837	88.4
02	11	Recurrent pain in CCU	49.44	0.732	88.4
)3	03	Peak LDH value	27.54	0.682	88.4
)4	01	Age at admission	28.19	0.634	89.7
)5	13	Heart failure in CCU	11.66	0.615	90.0
)6	06	Lowest heart rate	7.90	0.602	91.1
)7	27	IVCD	7.36	0.590	91.1
)8	12	Extension of MI	5.871	0.581	91.3
)9	72	RBBB & LPHB	4.183	0.575	91.1
L <b>O</b>	63	Acute MI	3.583	0.569	91.6
11	19	Acute anterior MI	2.982	0.565	91.2
L2	09	Low systolic BP	2.309	0.561	91.6
13	15	Hypertension (history)	2.971	0.557	92.1
14	50	Second-degree AV			
		block (Wenckebach)	1.963	0.554	92.6
15	74	Third-degree heart block	1.931	0.551	92.4
16	70	RBBB	1.804	0.548	93.2
17	57	Ventricular tachycardia	1.604	0.546	93.2
18	08	High systolic BP	1.248	0.544	93.4
9	68	LAHB	1.199	0.542	93.4
20	17	Previous angina	1.155	0.540	92.6
21	18	Previous MI	2.638	0.536	93.4
22	69	LPHB	1.119	0.535	93.4
23	07	Peak temperature	0.900	0.533	93.4
24	59	Wandering pacemaker	1.037	0.532	93.7

\*Data for two outcome groups were studied: hospital death (A) and alive at discharge (B + C + D). †CCU = coronary care unit; LDH = lactic dehydrogenase; IVCD = intraventricular conduction defect; RBBB = right bundle branch block; LPHB = left posterior hemiblock; BP= blood pressure; AV = atrioventricular; LAHB = left anterior hemiblock.

Stan		Variable entered		Wilke'	Rate of correct
no.	No.	Description†	F-value	lambda	(%)
01	58	Ventricular fibrillation	45.24	0.788	72.6
02	11	Recurrent pain in CCU	25.55	0.684	72.6
)3	01	Age at admission	22.61	0.602	73.7
)4	03	Peak LDH value	15.44	0.551	74.9
)5	13	Heart failure in CCU	10.69	0.518	76.1
)6	12	Extension of MI	4.31	0.505	76.1
)7	57	Ventricular tachycardia	4.27	0.492	75.2
8	16	Diabetes	3.60	0.481	76.7
9	27	IVCD	3.00	0.473	77.6
0	06	Lowest heart rate	2.42	0.466	78.5
1	19	Acute anterior Mi	2.15	0.460	78.5
2	63	Acute MI	3.54	0.450	79.1
3	72	RBBB & LPHB	2.39	0.443	79.4
4	73	Atrial fibrillation or flutter	2.26	0.437	80.2
5	15	Hypertension (history)	2.20	0.431	79.1
6	70	RBBB	1.91	0.426	79.9
7	18	Previous MI	1.65	0.422	81.4
8	69	IPHB	1.65	0.418	81.7
9	09	Low systolic BP	1 50	0 414	82.0
ň	08	High systolic RP	1 67	0 409	81 7
ñ	07	Peak temperature	1 51	0 405	81 7
2	17	Previous angina	1 55	0 402	82.0
3	51	Second-degree AV block	2.00	0.102	02.0
	••	(type II)	1 30	0 398	82.0
A	ØA	First-degree AV block	1 29	0.395	81 7
5	67	I RRR	1 50	0 381	81 7
5	71		1 42	0.388	82.0
7		Acute ML site unknown	1 26	0.385	82.0
8	55	PVCe (> 5/min)	1 17	0.303	82.0
q	74	Third-degree heart block	1.00	0.302	82.0
	14	Cardiac arreet before	1.00	0.3/3	02.0
NU .	14	admission	0 863	0 277	82.0

follow-up (C). †LBBB = left bundle branch block; PVC = premature ventricular contraction; and see footnote to Table II.

Sten		Variable entered	F-value	Wilks'	Rate of correct
no.	No.	Description†	(t <sup>2</sup> )	lambda	(%)
01	13	Heart failure in CCU	17.19	0.943	80.5
02	01	Age at admission	9.22	0.914	80.5
03	16	Diabetes	7.30	0.891	79.8
04	57	Ventricular tachycardia	5.46	0.874	81.9
05	11	Recurrent pain in CCU	4.97	0.858	81.9
06	68	LAHB	3.91	0.847	81.2
07	19	Acute anterior MI	4.14	0.834	83.3
08	67	LBBB	3.08	0.825	83.6
09	64	Old MI	3.83	0.815	82.9
10	58	Ventricular fibrillation	3.58	0.805	82.6
11	51	Second-degree AV block			
	~	(type II)	3 36	0 795	82.6
12	PA	First-degree AV block	4 47	0 782	83.3
12	66	Acute MI site unknown	2 70	0 775	83.6
14	74	Third-degree heart block	3.09	0 766	82.6
15	71	PRRR & I AHR	2 42	0.759	83.6
16	50	Second-degree AV block	2.72	0.755	0010
	50	(Wenckehach)	2 35	0 753	83.6
17	72	Atrial fibrillation or fluttor	2.33	0.733	83.6
10	73		1 92	0.747	85.0
10	70	NDDD Low ovetalia PD	1.05	0.742	84.7
20 T2	09	LUW Systelic DF High exetalic PD	2.47	0.730	QA 2
20	00	nigh systeme Dr	2.23	0.731	04.J 05 A
21	05	Peak LUR	1.39	0.720	0J.4 04 7
22	UD 15	Peak neart rate	1.74	0.721	04./
23	15	A subs MI	1.20	0.710	00.1 96 A
24	63	Acute MI	1.51	0.714	80.4 86.4
23 20	29	wanuering pacemaker	0.69	0.712	00.4 96 4
20	0/	reak temperature	0.54	0./10	80.4
2/	14	Cardiac arrest before admission	0.53	0.709	80.4
28	06	Lowest heart rate	0.35	0.709	85./

twice in this study: first, in deriving discriminant functions and prior probabilities, each patient being a member of the study population; and, second, in the classification procedure, each patient being a subject to be classified. Therefore, it may be argued that the accuracy rate for independent subjects would not be as high as the results might suggest. However, the contribution of any individual patient's record to discriminant analysis within a data set of 380 records should be negligible and should not affect the results appreciably.

An example of application of the Bayesian classification method to analysis II is shown in Table VIII. The average probability of belonging to each of the three categories A, B and C was estimated as 0.15, 0.17 and 0.68, respectively, based on the mortality for 339 patients. Patient X's medical record indicated that his chances of having such medical information if he belonged to group A, B or C were 0.029, 0.916 and 0.443, respectively. These are the so-called conditional probabilities, or "likelihoods", obtained using distributional assumptions such as the chi-square distribution. From these two types of probabilities the posterior probability, or the probability of belonging to each group after we know the patient's condition, was obtained. Patient X's posterior probabilities were 0.009, 0.338 and 0.653, respectively; one may conclude that his chance for late survival was high and one may classify him into group C. In fact, this patient survived more than 1 year after discharge.

Another patient, Y, resembled group B patients, with a conditional probability of 0.293 and a posterior probability of 0.460 of dying within 1 year. Thus, one may classify patient Y into the late-death group (group B). In fact, patient Y died in hospital (group A); this was a prediction error. However, this patient's combined posterior probability of belonging to group A or B was very high (0.843); hence, Y was at high risk of dying.

One possible application of the results of this study is to store the discriminant functions and other statistics in an interactive computer program that could provide instant posterior probabilities for new patients to aid physicians in their decisions about length of stay in the CCU or the hospital. For instance, the physician might be able to discharge patients with a lower posterior probability of hospital or late death sooner from the CCU or the hospital, while keeping those at high risk longer.

Another application of Bayesian classification is in identifying the patient

		Actual outcome	
Predicted outcome	Hospital death A	Alive at discharge $(B + C + D)$	$\begin{array}{c} \text{Total} \\ \textbf{(A + B + C + D)} \end{array}$
Hospital death Alive at discharge Total	38 14 52	8 320 328	46 334 380
Rate of correct prediction:	$\frac{38+320}{380}=0.94$	2	
Sensitivity:	38/52 = 0.731		
Specificity:	320/328 = 0.976		

Table VI—Actual v. predicted outcome, analysis II

	Actual outcome					
Predicted outcome	Hospital death (A)	Late death (B)	Alive at follow-up (C)	Total (A + B + C)	Fate unknown (D)	
Hospital death	40	5	2	47	6	
Late death	3	21	9	33	3	
Alive at follow-up	9	30	220	259	32	
Total	52	56	231	339	41	
Rate of correct prediction:	$\frac{40+21}{52+56}$	$\frac{+220}{+231} =$	$\frac{281}{339} = 0.829$			

Table VII—Actual v. predicted outcome, analysis III

	Actual outcome					
Predicted outcome	Late death (B)	Alive at follow-up (C)	Total (B + C)			
Late death Alive at follow-up Total	29 27 56	11 220 231	40 247 287			
Rate of correct prediction:	$\frac{29+220}{287}=$	0.868				
Sensitivity:	29/56 = 0.51	8				
Specificity:	220/231 = 0.	.952				

Table VIII—Example of Bayesian classification Hospital **Death within** Alive 1 year (B) death at 1 year Subjects (A) (C) Total **Target population** 52 231 339 No. of patients 56 **Prior probability** 0.17 0.15 0.68 1.00 Patient X Probability Conditional 0.029 0.916 0.443 1.00 0.009 0.338 0.653 Posterior Classification X X Actual fate Patient Y Probability 0.025 Conditional 0.277 0.293 0.157 1.00 Posterior 0.383 0.460 Classification Actual fate X

with a high probability of late death, thus enabling the physician to introduce preventive measures after the patient is discharged from hospital.

The major shortcoming of this study is that this analysis was based on retrospective studies, which may have biased the data collection. Thus, the true accuracy of prediction of outcome needs to be tested by a prospective study. Nevertheless, the results of this study support the contention that the accuracy of prediction can be improved by stepwise discriminant analysis and computer-assisted analysis of patient information, including data collected in the CCU. It is possible that incorporation of this classification method into clinical practice may result in improved patient care by reducing mortality and increasing cost-effectiveness, but this hypothesis needs to be tested by properly designed clinical trials. The methods used in this study are not specific for myocardial infarction but can be applied to parallel clinical situations for example, predicting death among patients with angina, based on clinical and coronary angiographic information.

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#### Appendix A—Original predictor variables

Var. no.	Description	Var. no.	Description	Var. no.	Description
01	Age at admission	22	Old lateral MI	43	RBBB & LPHB, recent
02	Peak serum glutamic oxaloacetic	23	Acute inferior MI	44	RBBB & LPHB, transient
	transaminase value	24	Old inferior MI	45	RBBB & LPHB, old
03	Peak lactic dehydrogenase value	25	Acute high lateral MI	46	Atrial fibrillation
04	Leukocyte count	26	Old high lateral MI	47	Atrial flutter
05	Peak heart rate	27	Intraventricular conduction defect	48	Atrioventricular (AV)
06	Lowest heart rate	28	Left bundle branch block		nodal rhythm
07	Peak temperature		(LBBB), recent	49	First-degree AV block (type II)
08	High systolic blood pressure (BP)	29	LBBB. transient	50	Second-degree AV block
09	Low systolic BP	30	LBBB, old		(Wenckebach)
10	Sex	31	Left anterior hemiblock	51	Second-degree AV block (type II)
īī	Recurrent pain in coronary care unit		(LAHB), recent	52	Third-degree heart block
	(CCU)	32	LAHB. transient		(junctional)
12	Extension of myocardial	33	LAHB, old	53	Third-degree heart block
	infarction (MI)	34	Left posterior hemiblock		(idioventricular)
13	Heart failure in CCU		(LPHB), recent	54	Accelerated idioventricular rhythm
14	Cardiac arrest before admission	35	LPHB. transient	55	Premature ventricular
15	Hypertension (history)	36	LPHB, old		contractions (PVCs) ( $> 5/min$ )
16	Diabetes (history)	37	Right bundle branch block	56	Ventricular bigeminy (PVCs)
17	Previous angina		(RBBB), recent	57	Ventricular tachycardia
18	Previous MI	38	RBBB, transient	58	Ventricular fibrillation
19	Acute anterior MI (transmural or	39	RBBB, old	59	Wandering pacemaker
	subendocardial)	40	RBBB & LAHB, recent	60	Artificial pacing
20	Old anterior MI	41	RBBB & LAHB, transient	61	Premature atrial contractions
21	Acute isteral Mi	Å2	RBBB & LAHB, old	62	Paroxysmal atrial tachycardia

Var. no.	Original variables	Description	Coding procedure
63	19, 21, 23, 25	Acute MI	Total no. of yes's (0 - 4)
64	20, 22, 24, 26	Old MI	Total no. of yes's (1 - 4)
65	21, 25	Acute lateral MI	(1) At least one yes (0) Otherwise
66	19, 21, 23, 25	Acute MI, site unknown	(1) Site unknown (0) Otherwise
67	28 - 30	LBBB	(1) At least one yes (0) Otherwise
68	31 - 33	LAHB	(1) At least one yes (0) Otherwise
69	34 - 36	LPHB	(1) At least 1 yes (0) Otherwise
70	37 - 39	RBBB	(1) At least 1 yes (0) Otherwise
71	40 - 42	RBBB & LAHB	(1) At least 1 yes (0) Otherwise
72	43 - 45	RBBB & LPHB	(1) At least 1 yes (0) Otherwise
73	46, 47	Atrial fibrillation or flutter	(1) At least 1 yes (0) Otherwise
74	52, 53	Third-degree heart block	(1) At least 1 yes (0) Otherwise
75	46 - 62	Arrhythmias	Total no. of yes's (0 - 17)

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#### Appendix C — Discriminant analysis and Bayesian classification

In this paper a step-wise discriminant analysis and the Bayesian classification procedure were used to establish a set of predictor variables and to test the accuracy of prediction. The mathematical aspects of this type of analysis were pre-sented by Rao,<sup>11,12</sup> and application to behavioural science problems was discussed by Cooley and Lohnes.13 Hughes and colleagues<sup>5</sup> and Bay and Flathman<sup>14</sup> discussed in detail the use of discriminant analysis as a tool for clinical prognosis.

Discriminant analysis is a multivariate statistical technique that can aid in distinguishing two or more groups of study subjects. For this study the subjects were myocardial infarction patients and the groups were defined by categories of outcome. For prediction purposes a set of variables for characteristics of the patients may be compared with the outcomes. Discriminant analysis attempts to do this by estimating one or more sets of linear coefficients or weights applicable to each of the predictor variables. Thus, discriminant analysis transforms the raw predictor variables into a smaller number of discriminant functions that maximize separation among the groups. The maximum number of functions that can be derived is usually one less than the number of groups. For the present study there were three categories of patients by group definition; therefore the number of discriminant functions was two.

One major problem in the application of discriminant analysis is determination of what variables should be used for the prediction of outcome. It is desirable to identify the most discriminating among many clinical variables, for some are clearly redundant.

so-called "step-wise" The analysis method has been used widely in regression analysis.<sup>15</sup> Although discriminant analysis uses the general multivariate linear model<sup>16</sup> and is similar to regression analysis, methods of selecting variables are not extensively discussed in the literature. Currently, Biomedical Computer Programs (BMD)<sup>17</sup> and the Statistical Package for Social Sciences18 are programs that include step-wise discriminant analysis routines. Recently, McCabe<sup>19</sup> proposed an algorithm for all possible subsets of any given size and compared results with the BMD procedure. Although this new algorithm seems to be a great improvement, it requires excessive computer storage and time

and appears impractical for studies with more than 20 variables. The present study used a step-wise discriminant analysis program named BMD07M, which is part of the BMD package.<sup>17</sup>

After a set of variables is determined that provides satisfactory discrimination for patients with known group membership, a set of classification functions can be derived that will permit the classification of new patients with unknown outcome. For this purpose the so-called Bayesian theorem or rule may be applied. Mathematical aspects of Bayes' rule may be found in most statistics books,<sup>20</sup> and clinical applications of Bayes' rule have also been discussed.<sup>21</sup>

In its simplest form Bayes' rule may be

written as follows:

$$P(H_{i}|z) = \pi_{i}P(z|H_{i}) / \sum_{i=1}^{k} \pi_{i}$$
$$P(z|H_{i}) \quad i = 1, 2, \dots k$$

where  $\{\pi_i\}$  are the so-called prior probabilities,  $P(z|H_i)$  are the conditional probabilities of obtaining discriminant scores of z or greater if the subject belongs to the ith group, and  $P(H_i|z)$  are the so-called posterior probabilities — the probabilities of belonging to each group when the information given by z is taken into account. An example application of this formula is given in the main part of this paper.

# Long-term therapy of essential tremor with propranolol

#### T.J. MURRAY, MD

In a double-blind crossover study 12 patients with essential tremor were treated with propranolol and a placebo; 8 improved with propranolol and 3 with the placebo; the degree of improvement with propranolol was greater. In a similar study with diazepam 5 of 12 improved with diazepam and 4 of 12 with the placebo; the degree of improvement was less than that achieved with propranolol.

Response in 21 patients to treatment with propranolol for 2 to 4 years was excellent in 4, good in 4 and fair in 10; the condition of 1 was unchanged and that of 2, worse. Excellent response was maintained for as long as 4 years, but response tended to deteriorate with time if initially it was less than excellent. Response decreased with increasing age. No patient 60 years of age or older had an excellent response, and the four with an excellent response were under age 55, three being under age 35; all four had had their tremor less than 12 years.

Patients with essential tremor should be given a 3-month trial of propranolol at 120 mg/d; if no significant response is seen the dose should be decreased, then the drug discontinued.

Dans une étude à double insu avec chassé-croisé 12 patients souffrant de tremblement essentiel ont reçu du propranolol ou un placebo; 8 se sont améliorés sous propranolol et 3 sous placebo; on a constaté un taux d'amélioration supérieur avec le propranolol. Dans une étude identique, 5 patients sur 12 se sont améliorés avec le diazépam et 4 sur 12 avec le placebo; l'amélioration a été moins marquée qu'avec le propranolol.

Chez 21 patients ayant recu du propranolol pour des périodes de 2 à 4 ans la réponse a été excellente dans 4, bonne dans 4 et moyenne dans 10; l'état d'un patient est demeuré inchangé, alors qu'il s'est aggravé chez 2 d'entre-eux. Une excellente réponse a pu être maintenue jusqu'à 4 ans, mais une réponse initiale moins qu'excellente avait tendance à se détériorer avec le temps. La réponse a diminué en fonction de l'âge. Aucun patient âgé de 60 ans ou plus n'a eu une excellente réponse, alors que les quatre patients bénéficiant d'une excellente réponse étaient âgés de moins de 55 ans, dont trois de moins de 35 ans; tous quatre souffraient de tremblement depuis moins de 12 ans.

Les patients atteints de tremblement essentiel devraient recevoir un traitement d'essai de 3 mois au propranolol à la dose de 120 mg par jour; si aucune réponse significative ne peut être observée, la dose devrait être diminuée, puis le traitement interrompu.

In 1971 Winkler and Young<sup>1,2</sup> observed that the  $\beta$ -adrenergic blocking agent propranolol was beneficial to patients with essential tremor. In 1972 I reported the findings of a preliminary study of 12 patients treated with propranolol for essential tremor; excellent results were obtained in 6 and lesser improvement in 6.<sup>3</sup> There are now several further reports on propranolol therapy for essential tremor,<sup>1-14</sup> most confirming its value. However, in general, these are anecdotal or represent shortterm, often uncontrolled studies. In this paper I report the results of a doubleblind study with propranolol, a separate double-blind study using diazepam for comparison, and a long-term follow-up of 21 patients treated with propranolol for 2 to 4 years.

## Methods

From 1971 to 1975, 41 patients with essential tremor were assessed for possible inclusion in a study of long-term propranolol therapy. Three were eliminated because of asthma or borderline cardiac output, three because they did not wish to take a medication for a long period and six because of serious concomitant disease. Five patients were given therapy but not studied further because of distance or noncooperation. The remaining 24 patients entered short-term double-blind studies and 21 were followed up while taking propranolol for 2 to 4 years.

Initially a double-blind crossover study was completed for 12 patients, each receiving 120 mg of propranolol daily for 6 weeks and a placebo for 6 weeks. Assessments included examination and evaluation of the tremor by a five-grade system, the patient's subjective evaluation of change, and study of the patient's handwriting and ability to draw an Archimedes spiral. The effect on the tremor when the patient was angry, under stress or in other circumstances of heightened emotion was also evaluated. However, only the evaluation of the tremor proved to be a true measure of response to therapy; hence the complex scoring system was abandoned and only the five-grade evaluation of tremor retained.

Because the value of propranolol could be attributed to its effect on anx-

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