

# NMDA receptors trigger neurosecretion of 5-HT within dorsal raphé nucleus of the rat in the absence of action potential firing

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Activity and calcium-dependent release of neurotransmitters from the somatodendritic compartment is an important signalling mechanism between neurones throughout the brain. NMDA receptors and vesicles filled with neurotransmitters occur in close proximity in many brain areas. It is unknown whether calcium influx through these receptors can trigger the release of somatodendritic vesicles directly, or whether postsynaptic action potential firing is necessary for release of these vesicles. Here we addressed this question by studying local release of serotonin (5-HT) from dorsal raphé nucleus (DRN) neurones. We performed capacitance measurements to monitor the secretion of vesicles in giant soma patches, in response to short depolarizations and action potential waveforms. Amperometric measurements confirmed that secreted vesicles contained 5-HT. Surprisingly, two-photon imaging of DRN neurones in slices revealed that dendritic calcium concentration changes in response to somatic firing were restricted to proximal dendritic areas. This implied that alternative calcium entry pathways may dominate the induction of vesicle secretion from distal dendrites. In line with this, transient NMDA receptor activation, in the absence of action potential firing, was sufficient to induce capacitance changes. By monitoring GABAergic transmission onto DRN 5-HT neurones in slices, we show that endogenous NMDA receptor activation, in the absence of postsynaptic firing, induced release of 5-HT, which in turn increased the frequency of GABAergic inputs through activation of 5-HT<sub>2</sub> receptors. We propose here that calcium influx through NMDA receptors can directly induce postsynaptic 5-HT release from DRN neurones, which in turn may facilitate GABAergic input onto these cells.

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Ever since the first indications that neuronal dendrites may secrete retrogradely acting neurotransmitters (Ralston, 1971), there has been a heated debate about the relevance of volume or paracrine transmission for neuropsychopharmacology and its underlying mechanisms. There are excellent reports on methods (Bunin & Wightman, 1998) that can be used to study paracrine actions of 5-hydroxytryptamine (5-HT; Bunin & Wightman, 1999) and dopamine (Jaffe *et al.* 1998), which are known to occur in various brain areas including thalamus (Munsch *et al.* 2003; Govindaiah & Cox, 2004) and substantia nigra (Nedergaard *et al.* 1988). In addition, there is some insight into somatodendritic secretion of

neuropeptides in hypothalamus (Ludwig *et al.* 2002a; de Kock *et al.* 2003, 2004). However, we currently lack understanding of the mechanisms underlying somatodendritic secretion of monoamines, in particular 5-HT.

Volume transmission of 5-HT within the dorsal raphé nucleus (DRN) (Bunin & Wightman, 1998) may resemble volume transmission of neuropeptides in hypothalamus (Kombian *et al.* 1996; de Kock *et al.* 2003), since it acts relatively slowly, and affects the activity of the cell it is released from through activation of metabotropic receptors. DRN neurones release substantial amounts of 5-HT locally in the nucleus from extrasynaptic sites (Bunin & Wightman, 1998), acting both on dendritic 5-HT<sub>1A</sub> autoreceptors (Liu *et al.* 2005), and on 5-HT<sub>2</sub> receptors (Liu *et al.* 2000) located on presynaptic

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GABAergic neurones. 5-HT-containing vesicles in DRN dendrites can be densely packed in small clusters, but are not necessarily associated with any form of synaptic membrane specialization (Chazal & Ralston, 1987). Electrical stimulation within the DRN leads to a rise of extracellular 5-HT at a rate typical of diffusion and with a transmitter half-life of around 200 ms. This implies that within the DRN, 5-HT molecules can diffuse extracellularly up to 20  $\mu\text{m}$  away from the site of putative secretion (Bunin & Wightman, 1999), which is sufficient to reach neighbouring cells and synapses.

However, if 5-HT is secreted in a calcium-dependent manner through vesicle fusion, it is unclear what triggers 5-HT release in DRN cells. Are somatic action potentials, back-propagating into dendrites, involved, activating dendritic voltage-gated calcium channels (Hausser *et al.* 1995; Stuart *et al.* 1997), or are postsynaptic receptor-mediated increases in dendritic calcium sufficient for triggering 5-HT release (Munsch *et al.* 2003; Duguid & Smart, 2004; Govindaiah & Cox, 2004)? In the DRN, 5-HT neurones receive glutamatergic projections from the prefrontal cortex that activate both  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazole propionic acid (AMPA) and *N*-methyl-D-aspartate (NMDA) receptors (Celada *et al.* 2001) and induce dendritic calcium influx that could potentially directly trigger local release of 5-HT. A part of these projections most likely innervates the spiny dendrites of DRN neurones (Li *et al.* 2001). In addition, these glutamatergic synapses may occur in close proximity of 5-HT containing vesicles that are present in the postsynaptic compartments (Kapadia *et al.* 1985; Liposits *et al.* 1985; Chazal & Ralston, 1987). Hence, here we address the question whether NMDA receptor activation can induce local release of serotonin in the absence of postsynaptic firing. In addition, we investigated the physiological significance of NMDA receptor-induced 5-HT release for synaptic communication in DRN.

## Methods

### Slice procedure

Wistar rats (male, PN42-56; Harlan CPB, Zeist, the Netherlands) were used. All animal experimentation has been conducted in accordance with the Policies on the Use of Animals and Humans in Neuroscience Research (PHS policy) approved by the Society of Neuroscience, as well as by the Dutch Animal Ethical Committee, in agreement with European Law. Rats were killed by decapitation using a guillotine, without the use of anaesthetics. Coronal midbrain slices (400  $\mu\text{m}$ ) were prepared in ice-cold slice solution containing (mM): 3.5 KCl, 2.4 CaCl<sub>2</sub>, 1.3 MgSO<sub>4</sub>, 1.2 KH<sub>2</sub>PO<sub>4</sub>, 212.5 Sucrose, 26 NaHCO<sub>3</sub>, 10 D-glucose, carboxygenated in 5% CO<sub>2</sub>-95% O<sub>2</sub>. Slices were transferred to artificial

cerebral spinal fluid (ACSF) containing (mM): 125 NaCl, 3 KCl, 1.2 NaH<sub>2</sub>PO<sub>4</sub>, 2.4 CaCl<sub>2</sub>, 1.3 MgSO<sub>4</sub>, 10 D-glucose, 25 NaHCO<sub>3</sub>, supplemented with 1  $\mu\text{M}$  6,7-dinitroquinoxaline-2,3-dione (DNQX; Alexis Biochemicals, Lausen, Switzerland) to prevent over-excitation by glutamatergic inputs. Slices were kept at 37°C for 1 h to recover and were subsequently stored at room temperature.

### Nucleated patch recording

After the recovery phase, slices were transferred to the recording chamber, which was continuously perfused with ACSF, 1  $\mu\text{M}$  DNQX and 10  $\mu\text{M}$  bicuculline (Sigma-Aldrich, St Louis, USA) to block AMPA and GABA<sub>A</sub> receptors. Nucleated outside-out patches were pulled using 3–5 M $\Omega$  electrodes. Capacitance measurements using voltage steps or action potential command waveforms were made with intracellular medium consisting of (mM): 135 tetraethylammonium-acetate ((TEA)-acetate), 10 dipotassium phosphocreatine, 4 MgATP, 0.3 GTP (acid free), 0.1 EGTA, 10 Hepes, adjusted to pH 7.2 with TEA-OH. Voltage clamp recordings for amperometric recordings were made with intracellular medium containing (mM): 125 K-gluconate, 10 NaCl, 4.6 MgCl<sub>2</sub>, 4 K<sub>2</sub>ATP, 15 creatine phosphate, 20 U ml<sup>-1</sup> phosphocreatine kinase, 0.1 EGTA, pH 7.3 (adjusted with KOH).

NMDA-induced capacitance changes were studied using intracellular medium containing (mM): 145 CsCl, 2 MgCl<sub>2</sub>, 0.1 EGTA, 10 Hepes, 2 MgATP, 0.1 GTP (acid free), pH 7.4 CsOH. During the latter experiments, extracellular medium consisted of 5 mM Ca<sup>2+</sup> containing, Mg<sup>2+</sup> free ACSF, supplemented with 10  $\mu\text{M}$  glycine. The nucleated patches were positioned in front of a double-barrelled electrode attached to a piezo-element. Recordings were performed at 33°C.

### Capacitance recordings

The membrane current in the nucleated patch configuration was monitored with an EPC8 amplifier (HEKA Elektronik, Lambrecht, Germany) and digitized with an ITC-18 computer interface (Instrutech, Port Washington, NY, USA). Capacitance measurements were made using Pulse software (HEKA). The membrane capacitance, access conductance and membrane conductance were calculated according to the Lindau-Neher technique, implemented as the 'sine plus DC' feature of the Pulse lock-in module. A sine wave of 1 kHz, 40 mV peak-to-peak, was added to a holding potential of -70 mV. The reversal potential of the lock-in module was set to 0 mV. Membrane current was low-pass filtered at 3 kHz and sampled at 10 kHz. The membrane capacitance, access conductance and membrane conductance were calculated at 1 kHz.

Calcium currents evoked by depolarizations to induce capacitance changes were obtained in the presence of TTX ( $1 \mu\text{M}$ ) and using a TEA-pipette medium that prevented outward  $\text{K}^+$  currents (see above). NMDA currents used to induce capacitance changes were obtained at  $-70 \text{ mV}$ , at which there is no activation of voltage-gated currents (see above and Results). Capacitance changes were calculated as the difference between the average membrane capacitance during the 80 ms before depolarization or the NMDA application and the membrane capacitance during the first 10 ms of the sine wave segment after depolarization or NMDA application, respectively. The number of calcium ions that entered the cell during a pulse was determined (after subtraction of the leak current) as the integral of the calcium current:

$$\int [I_{\text{Ca}}(t)dt]/2 \times FN_{\text{A}},$$

where  $F$  is Faraday's constant ( $96\,485 \text{ C mol}^{-1}$ ) and  $N_{\text{A}}$  is Avogadro's constant ( $6.022 \times 10^{23} \text{ mol}^{-1}$ ). Leak current was determined at a holding potential of  $-70 \text{ mV}$  during a 2 ms interval between the first sine wave segment and the depolarization.

In addition to capacitance changes, we occasionally observed changes in membrane conductance. However, these changes had very different kinetics and did not induce measurable artifacts in the capacitance trace, which is in line with previous observations (Lindau & Neher, 1988). We conclude that cross-talk between capacitance and conductance traces under these conditions is minimal (see also de Kock *et al.* 2004).

### Amperometric recording

Single-stranded insulated carbon fibres (diameter  $6 \mu\text{m}$ , model CC-18, van den Hul, Oene, the Netherlands) were mounted in glass microcapillaries (GC150-10, Harvard Apparatus Ltd, Kent, UK). Gigaohm resistance ( $2\text{--}5 \text{ G}\Omega$ ) to ground was achieved by insulating the microelectrode and carbon fibre with Sylgard. The tip of the carbon fibre was cut just before the experiment to ensure cleanliness and sensitivity of the exposed tip surface. Microelectrodes were filled with  $1 \text{ M KCl}$  and placed in close apposition to the cell surface. Amperometric currents were recorded with an EPC8 amplifier (HEKA Elektronik; electrode voltage set to  $+650 \text{ mV}$ , sampled at  $10 \text{ kHz}$  and filtered at  $3 \text{ kHz}$ ). Release was evoked by repetitive depolarization (25 pulses of  $100 \text{ ms}$ ,  $0.67 \text{ Hz}$ ) to  $0 \text{ mV}$  from a holding potential of  $-70 \text{ mV}$ . Using this protocol and in particular using a  $650 \text{ mV}$  command voltage, we cannot exclude that also other substances than 5-HT (including for instance tryptophan) give an oxidation signal, but the primary aim of this set of experiments was to prove that the assumed vesicular nature of release, proposed on the basis of the

capacitance recordings of nucleated outside-out patched, indeed could be confirmed using amperometry.

### Two-photon calcium imaging

Large DRN neurones were targeted for whole-cell recordings and were filled through the recording pipette with Alexa 594 ( $120 \mu\text{M}$ ) and Fluo4 ( $300 \mu\text{M}$ ) (Molecular Probes, Eugene, OR, USA). After dye loading ( $15\text{--}30 \text{ min}$  after break-in), cells were visualized using a Leica MP-RS two-photon laser-scanning microscope (Leica, Mannheim, Germany) with a  $\times 63$  objective and the Ti:Sapphire laser was tuned to  $800 \text{ nm}$ . Line-scans were taken at different locations on dendrites, and action potentials were evoked at the soma by current injection through the recording pipette. Z-stack image projections (at  $1 \mu\text{m}$  intervals) to obtain an overview of cell morphology were made with Leica LCS software and ImageJ (NIH). Changes in fluorescence of fluo4 are expressed as  $\Delta\text{green/red}$  ratio (Sabatini & Regehr, 1995). Before stimulation, fluorescence was measured to obtain basal fluorescence ( $F_0$ ). A region of line-scan outside of any indicator-filled region of interest (ROI) was used to measure background fluorescence ( $F_b$ ). Relative fluorescence changes were calculated as follows:

$$\Delta G/R = (F(t) - F_0)/(R_0 - R_b),$$

where  $R_0$  is the baseline signal measured with Alexa594 and  $R_b$  is the background signal measured in this channel (Sabatini & Regehr, 1995).

### Synaptic event recording

Slice procedure was as described above; thereafter slices were transferred to the recording chamber, which was initially perfused with tryptophan-loading solution, consisting of ACSF ( $2.4 \text{ mM Ca}^{2+}$ ,  $1.3 \text{ mM Mg}^{2+}$ ), and supplemented with  $10 \mu\text{M}$  glycine,  $10 \mu\text{M}$  6-cyano-7-nitroquinoxaline-2,3-dione (CNQX; Sigma-Aldrich) in order to block the AMPA receptor contribution,  $10 \mu\text{M}$  fluoxetine to reduce reuptake of 5-HT,  $0.1 \mu\text{M}$  *N*-[2-[4-(2-methoxyphenyl)-1-piperazinyl]ethyl]-*N*-2-pyridinylcyclohexanecarboxamide maleate salt (WAY-1000635; Sigma-Aldrich) to block postsynaptic 5-HT<sub>1A</sub>-receptors,  $10 \mu\text{M}$  (2*S*,1'*S*,2'*R*)-2-(carboxy-cyclopropyl)glycine (L-CCG-III; Tocris Bioscience, Bristol, UK) to suppress reuptake of glutamate,  $30 \text{ nM}$  (*RS*)- $\alpha$ -cyclopropyl-4-phosphonophenylglycine (CPP; Tocris Bioscience) to block mGluR activity and  $20\text{--}40 \mu\text{M}$  *L*-tryptophan (Sigma-Aldrich), to boost the synthesis of 5-HT. After  $15 \text{ min}$  at  $33^\circ\text{C}$ , the recording chamber was perfused with similar ACSF except for the concentration of *L*-tryptophan, which was lowered to  $1 \mu\text{M}$ . In current clamp recording we found that this was sufficient to

silence firing of 5-HT neurones in the DRN ( $n = 6$ , not shown). Pipette medium contained (mM) 154 K-gluconate, 1 KCl, 0.1 EGTA, 10 HEPES, 10 glucose, 5 ATP, pH 7.4 (adjusted with KOH), 400 mosmol $l^{-1}$ . Recordings were performed at 33°C using an Axopatch 200B amplifier (Axon Instruments, Union City, CA, USA). Recordings were analysed using Mini Analysis Program called Synaptosoft (version 6.0.3) by Justin Lee (Decatur, GA, USA), setting the threshold for detection at a minimal amplitude of 20 pA and a rise time of shorter than 1.5 ms and synaptic event half-width of more than 3 ms.

## Results

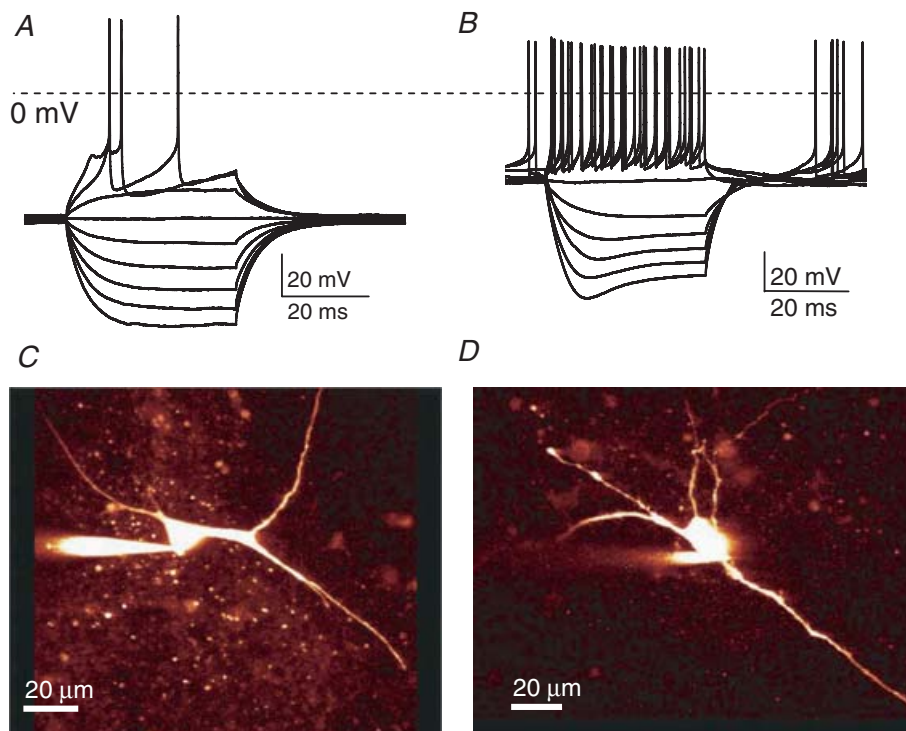
### Cellular recordings in DRN

The DRN located on the ventral side of the periaqueductal grey contains a large cluster of 5-HT containing neurones in addition to non-5-HT neurones (Descarries *et al.* 1982). In whole-cell current-clamp recordings in the ventral portion of the DRN we distinguished between 5-HT containing neurones and non-5-HT neurones. In response to a series of current steps the putative 5-HT neurones produced membrane potential profiles (Fig. 1A) in line with those published previously (Li *et al.* 2001). In contrast, non-5-HT neurones displayed time-dependent depolarization (called a 'sag') in response to hyperpolarizing current pulses (Fig. 1B), in confirmation of previous observations (Li *et al.* 2001). The latter phenomenon is indicative of a hyperpolarization-activated

cationic current (H current), not being expressed by 5-HT neurones. Moreover the morphology of both cell types was distinct (Fig. 1C and D). We thus selected neurones with large cell bodies and tested for the 'absence' of the H current. In our recordings the majority of DRN neurones (83%, 39 cells out of 47) showed a current clamp profile typical for 5-HT neurones (Fig. 1A). In a minority of the cells (13%,  $n = 6$ ) the depolarizing 'sag' characteristic of non-5-HT neurones (Fig. 1B) was observed. Very few neurones had an intermediate profile and were excluded from further analysis (i.e.  $n = 2$ , not shown).

### Capacitance and amperometric recording in nucleated outside-out patches

To test whether DRN neurones release vesicles from their somatodendritic compartment, we performed capacitance measurements. Due to morphological constraints, capacitance measurements can only be performed on spherical cells and not on neurones with extensive processes (Lindau & Neher, 1988). Hence, to directly study release from somatic compartments of putative 5-HT neurones, we needed to use nucleated outside-out membrane patches pulled from large cells from the ventral portion of acute DRN brain slices. This so-called *somatic* or 'giant' outside-out preparation is well-suited for studying ligand- and voltage-gated channels in combination with the fast application of agonists (Sather *et al.* 1992; Rozov *et al.* 1998; Bekkers, 2000; de Kock *et al.* 2004). We first wanted to test whether activation of voltage-gated calcium channels induces vesicular secretion in this preparation,



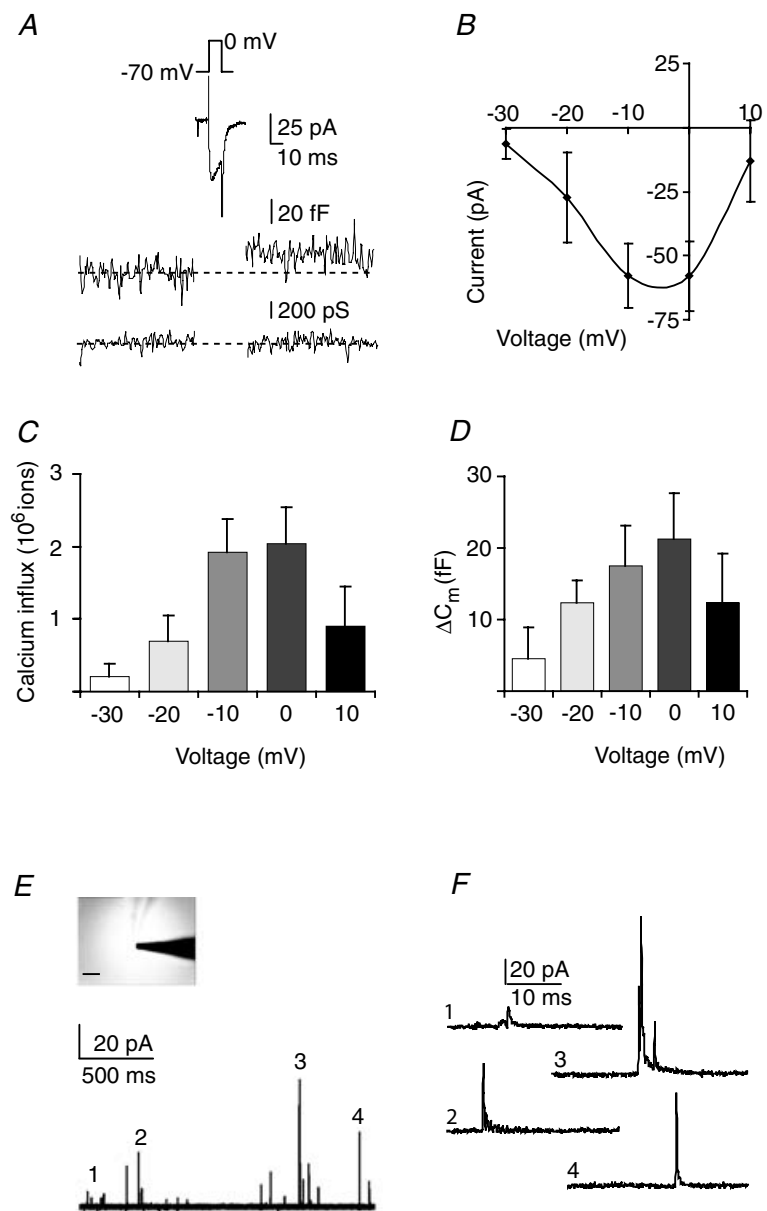
**Figure 1. 5-HT neurones can reliably be selected from acute DRN slices**

A, current clamp profile of 5-HT neurone in acute brain slice of DRN from adult male animals (6–8 weeks, example trace). Long (400 ms) depolarizing and hyperpolarizing current pulses were injected into each neurone. B, current clamp profile of non-5-HT neurone in acute brain slice in DRN (example trace). Note the presence of H current, which is typical for non-5-HT neurones. C, confocal image of 5-HT neurone filled with Alexa 594. Note the magnocellular nature of the soma and dendrites. D, confocal image of a non-5-HT neurone.

to confirm earlier observations from our lab on another cell type (de Kock *et al.* 2004). In the presence of extracellular TTX, to block voltage-gated sodium current, and using a TEA-based intracellular solution that blocks outward potassium currents, short depolarizations were applied at different voltages while monitoring membrane capacitance (Fig. 2A). Calcium currents were preferentially activated at membrane potentials beyond  $-20$  mV (Fig. 2A–C,  $n = 7$  patches from  $N = 7$  animals) leading to subsequent capacitance changes, proportional to the extent of calcium influx (Fig. 2D). These results indicate that calcium influx through somatic voltage-gated calcium channels can induce exocytosis in DRN neurones, indicative of non-synaptic vesicle release. Capacitance changes in these recordings ranged from 0 to 40 fF

max, which would be the equivalent of 0–97 vesicles being secreted per trial, assuming that vesicles from the somatodendritic region of neurones in the CNS may produce a capacitance change of  $\sim 0.412 \pm 16$  fF per vesicle (Klyachko & Jackson, 2002).

To test whether putative 5-HT neurones indeed secrete 5-HT, we additionally performed amperometric recordings (Angleton & Betz, 1997; Sutton *et al.* 2004) from the giant outside-out patches. Nucleated patches were pulled from identified 5-HT neurones (see Fig. 1) and positioned in contact with the surface of a carbon fibre (Fig. 2E inset). In this patch configuration, when vesicles fuse with the cell membrane to release their contents, the putative 5-HT content would produce an oxidation signal at the tip of the carbon fibre. Indeed,



**Figure 2. Voltage-dependent calcium channel activation induces exocytosis of 5-HT containing vesicles from somatic nucleated outside-out patches**

A, inward current, membrane capacitance and membrane conductance in nucleated patches from DRN from adult male animals (6–8 weeks, averaged trace,  $n = 7$ ) during 10 ms depolarization to 0 mV. Calcium channels were preferably activated beyond  $-20$  mV, which resulted in capacitance changes, indicative of exocytosis (averaged trace,  $N = 7$ ). B,  $I$ - $V$  relationship of inward current activated in nucleated patches from DRN neurones ( $n = 7$ ). C, the integral of the calcium current was calculated to produce the absolute  $\text{Ca}^{2+}$  influx. D, capacitance changes in nucleated patches of DRN neurones are proportional to the amount of, and therefore most likely a consequence of, calcium influx (compare panel C and D). E, repetitive depolarization (0.1 Hz, 100 ms, 0 mV) of nucleated outside-out patch (see inset, carbon fibre approaching from right) of an identified 5-HT neurone induced amperometric spikes (overlay of 13 traces from one example recording,  $n = 10$ ). F, individual amperometric spikes taken from same recording at an increased time resolution.

short depolarizations to activate voltage-gated calcium channels resulted in amperometric signals in identified 5-HT neurones ( $n = 10$  from  $N = 3$  animals; Fig. 2E). In the 5-HT cells, amperometric spikes, typical of vesicular 5-HT secretion, occurred (Fig. 2F). Note the limited area of the carbon fibre being attached to the surface of the giant outside out patch (i.e. less than 15% detection area, Fig. 2E inset), which may explain the relatively low probability of detecting 5-HT signal within recordings. In patches pulled from non-5-HT neurones ( $n = 5$ ), or in patches from 5-HT neurones not being stimulated, no amperometric signals were found ( $n = 3$ , data not shown). In addition, in patches recorded with 1 mM EGTA instead of 100  $\mu$ M EGTA in the pipette, also no amperometric signals could be obtained upon depolarizations ( $n = 8$  patches from  $N = 3$  animals, data not shown). Together these data imply that depolarization induced calcium-influx is capable of

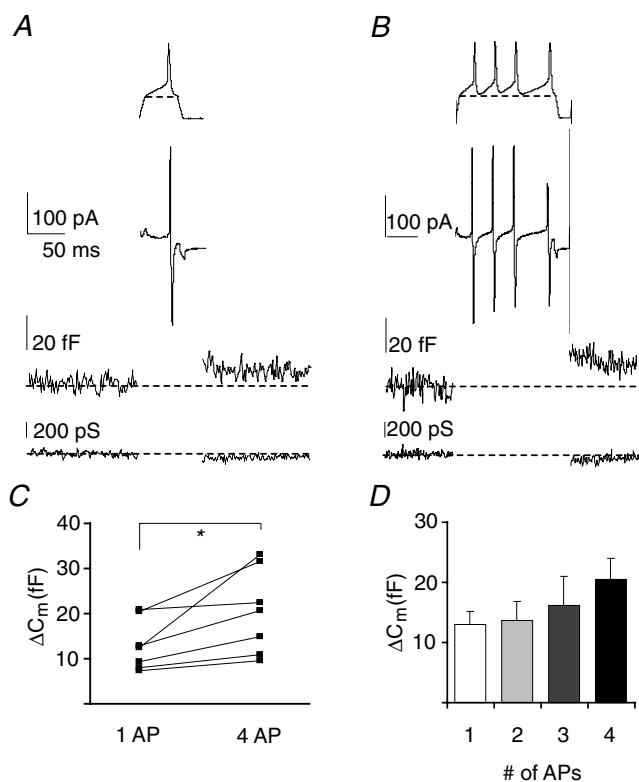
inducing 5-HT secretion from somatic outside out patches of the DRN neurones.

### Single action potentials evoke somatodendritic release

*In vivo*, somatic action potentials and backpropagating action potentials into the dendritic tree may account for the activation of voltage-gated calcium channels and subsequent somatodendritic release of 5-HT. Hence, waveforms of single action potentials, recorded from magnocellular neurones in previous experiments, were used in voltage clamp as stimulus templates to activate voltage-gated calcium channels in the nucleated patch recording to test whether these could induce changes in the membrane capacitance. In the presence of TTX (1  $\mu$ M) and using the TEA-based pipette medium, both templates of single action potentials and trains of action potential templates induced inward currents in nucleated patches (Fig. 3A and B upper traces). In response to a single action potential, capacitance changes were evoked in 7 out of 7 nucleated patches. On average, a single action potential evoked capacitance changes of  $13.03 \pm 2.1$  fF (Fig. 3A and C,  $N = 3$  animals,  $n = 7$  patches,  $n = 34$  trials). We also applied a train of 2, 3 or 4 action potentials, which induced an increased trend of capacitance changes (of up to  $20.4 \pm 3.6$  fF, Fig. 3B–D, paired *t* test,  $P < 0.05$ ,  $n = 7$  patches from  $N = 3$  animals,  $n = 28$  trials). This would imply that a maximum of  $\sim 50$  vesicles can be secreted per train of 4 action potentials.

### Single action potentials do not backpropagate into DRN dendrites

The nucleated outside out patches shown in Figs 2 and 3 consist predominantly of somatic membrane. To test if action potentials could also serve as the trigger for calcium-dependent release of 5-HT from distal dendritic compartments, we examined to what extent somatic action potential firing induced calcium concentration changes at different locations along the dendritic tree. To this end, putative 5-HT neurones were loaded with Alexa594 and calcium indicator Fluo4 through whole-cell recording pipettes (Fig. 4A). Line-scans (Fig. 4B) were taken at different dendritic locations and action potentials were induced by current injection at the soma. A single action potential resulted in a change in fluorescence of the calcium indicator dye in proximal regions of the dendrite ( $\Delta F/R$  ratio; Fig. 4C–E,  $N = 2$  animals,  $n = 8$  patches), indicating that action potentials induced calcium influx in these regions. However, the fluorescence change rapidly decreased with distance from the soma (Fig. 4D and E). At locations  $\sim 150$   $\mu$ m away from the soma, fluorescence changes hardly exceeded noise levels, suggesting that



**Figure 3. Somatic vesicle release evoked by a single action potential**

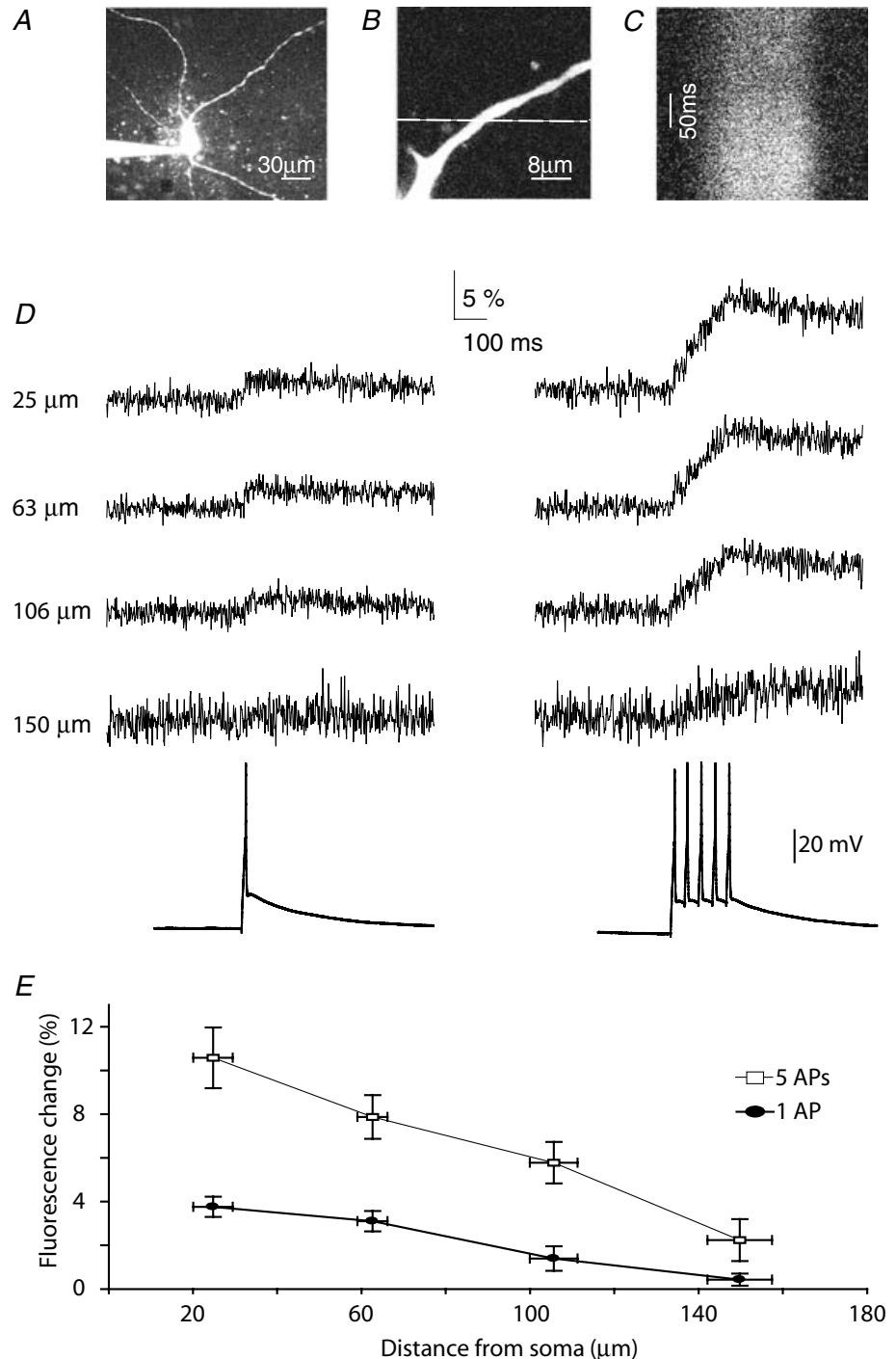
A, inward current, membrane capacitance and membrane conductance during a single action potential in nucleated patches (averaged trace,  $n = 7$ ). The voltage template is shown above the current trace (bracket line: voltage protocol was adjusted to start and end at  $-70$  mV to perform capacitance recordings). B, analogous responses during a train of action potentials in nucleated patches (averaged trace,  $n = 7$ ). The voltage template is shown above the current trace. C, pair wise comparison of capacitance changes between single and train of action potentials (paired *t* test,  $P < 0.05$ , paired data from individual experiments). D, capacitance changes are dependent on number of action potentials.

the somatic action potentials induced very little calcium influx at these locations (Fig. 4*D* and *E*). Inducing trains of five action potentials at 20 Hz induced much larger fluorescence changes, which were also well resolved at distal dendritic locations (150  $\mu\text{m}$ ; Fig. 4*D* and *E*). This suggests that in contrast to single action potentials, trains of action potentials do induce calcium influx at distal dendritic locations. These experiments indicate that in proximal dendritic regions up to 150  $\mu\text{m}$ , single action potentials could serve as the trigger for 5-HT release,

but that beyond 150  $\mu\text{m}$  single action potentials will not induce sufficient amounts of calcium influx to trigger release.

**Somatodendritic release evoked by NMDA-mediated calcium influx**

Since the backpropagating action potentials did not seem effective in generating a substantial calcium influx in dendrites more than 150  $\mu\text{m}$  away from the soma, we

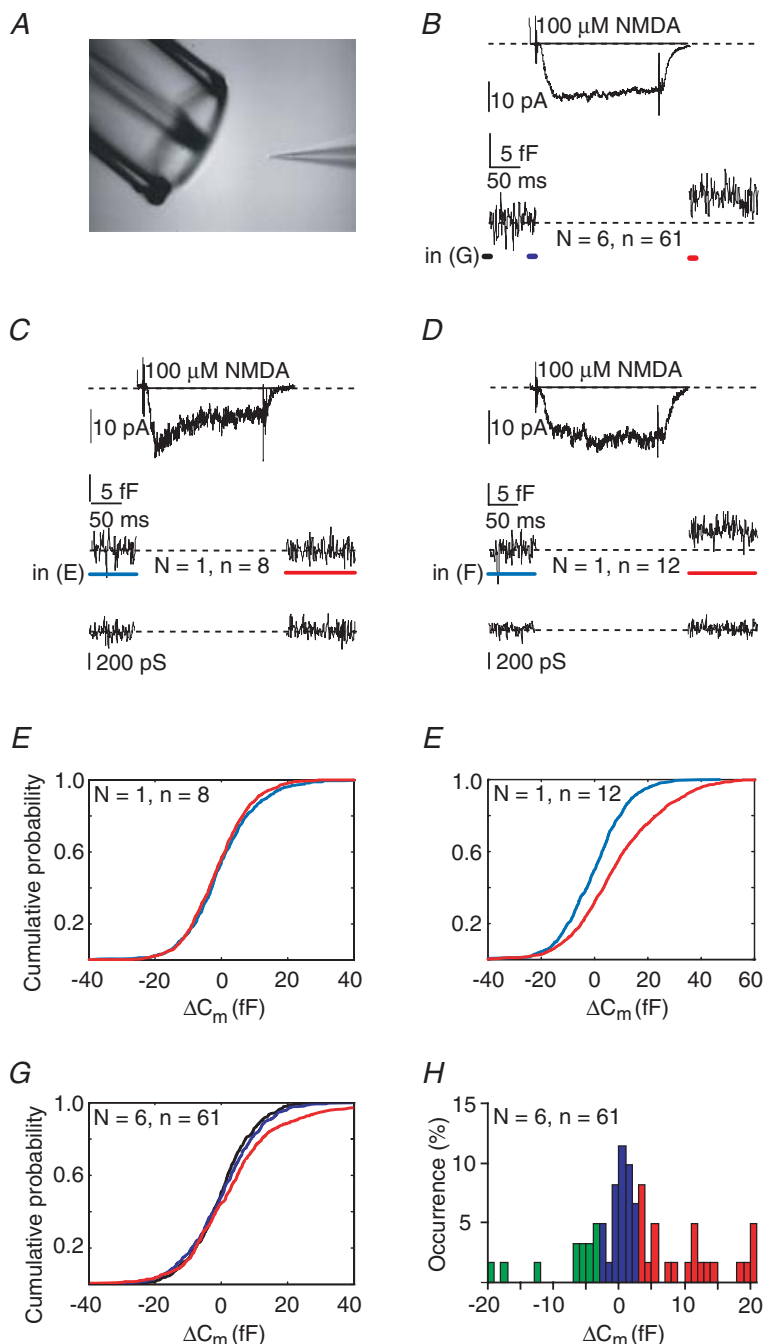


**Figure 4. Dendritic calcium influx induced by single somatic action potentials is restricted to proximal regions**  
*A*, overview of a 5-HT neurone.  
*B*, magnified image of a dendritic region used for line-scans. Dashed line indicates location where line-scan was taken. *C*, line-scan image following dendritic fluorescence of Fluo4 over time. *D*, green fluorescence transients ( $\Delta\text{green/red}$  ratio) in response to either single somatic action potentials (left) or trains of 5 action potentials (right) at different dendritic locations.  
*E*, summary data ( $n = 8$ ), showing that AP-induced changes in  $\Delta\text{G/R}$  ratio decrease with distance from the soma. Note that at 150  $\mu\text{m}$  distance from the soma, a single action potential induces almost no fluorescence change.

hypothesized that an alternative source of calcium influx, such as the one through NMDA receptors, may contribute to exocytosis by 5-HT neurones. NMDA receptors are known to be both sodium and calcium permeable at a ratio of  $\sim 85\% : 15\%$  (Burnashev *et al.* 1995), and are expressed by 5-HT neurones (Becquet *et al.* 1993). We studied NMDA-induced calcium influx and exocytosis by applying NMDA to nucleated patches (Fig. 5A) using  $Mg^{2+}$ -free extracellular medium to allow NMDA receptor activation at very negative membrane potentials (nucleated patches

were continuously voltage clamped at  $-70$  mV to prevent voltage-gated calcium channel activation).

NMDA activated inward currents occurred in all nucleated patches tested (Fig. 5B, average NMDA current amplitude  $23.4 \pm 2.9$  pA,  $n = 61$  NMDA applications from  $n = 6$  patches,  $N = 3$  animals). Corresponding capacitance recordings showed that NMDA receptor activation induced capacitance changes (in five out of six recordings), which is indicative of vesicle release. In Fig. 5D and F, a representative experiment is shown in



### Figure 5. NMDAR activation induces exocytosis without action potential firing of postsynaptic compartment

A, experimental set-up, with the nucleated patch positioned in front of a double-barrelled electrode attached to a piezo-element. NMDA ( $100 \mu\text{M}$ ) is rapidly applied (200 ms) by repositioning of the double barrelled electrode. B, average NMDA-induced current and corresponding membrane capacitance during NMDA application recorded from adult (6–8 weeks,  $n = 6$ ) male animals voltage-clamped at  $-70$  mV (pooled data, 6 nucleated patches, total of 61 applications, mean  $\pm$  s.d.:  $10 \pm 3$  applications per patch). Note that during the full protocol, nucleated patches were continuously voltage clamped at  $-70$  mV to prevent activation of voltage dependent calcium channels. C, average of NMDA-induced currents, capacitance changes and membrane conductance traces from one particular nucleated patch, which lacked NMDA induced capacitance changes ( $n = 8$ ). D, same as C, but in this example on average, the NMDA applications resulted in increased membrane capacitance, being indicative of vesicle release. E, cumulative all-point histograms of the recording in C, showing the lack of capacitance changes when comparing the blue and red regions. F, cumulative all-point capacitance histograms of the blue and red regions of the recording shown in D, indicating exocytosis. G, cumulative all-point histograms of capacitance changes of all pooled recordings and analysing the black, blue and red regions of the average as shown in B. H, probability histogram of capacitance changes when pooling all trials from all recordings. In five out of six recordings, on average 40% of the trials gave capacitance changes  $> 3$  fF. In addition, an average of 40% ‘failures’ (i.e. changes between  $-3$  and  $+3$  fF) occurred, where in a minority of the trials negative events occurred, which may imply that in addition endocytosis may occur. As indicated in text, in one recording (shown in C and E) only failures were observed. Moreover, three very negative events, referred to in text, shown in H (i.e.  $< -10$  fF) were all from one recording also showing failures and exocytotic events.



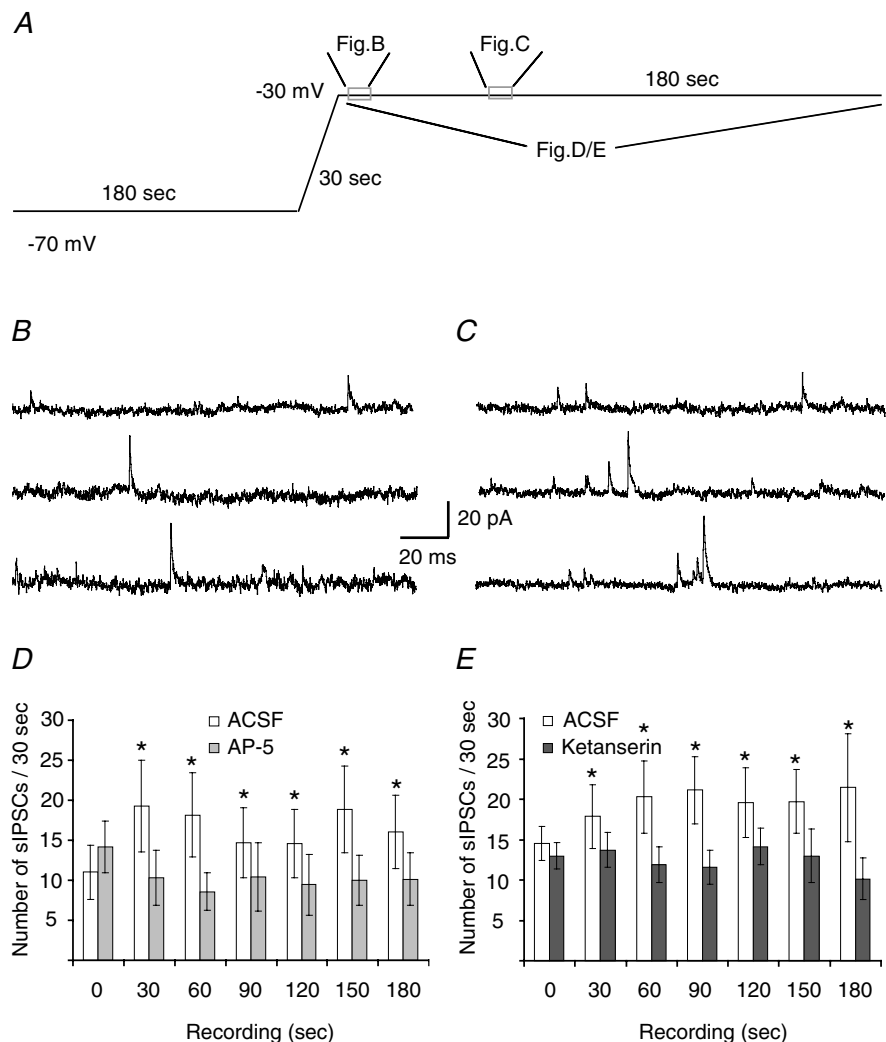
which NMDA application did result in significant increases in the capacitance of the patch. In this experiment and four other cells, positive capacitance changes occurred in a number of instances although failures occurred during each recording as well (Fig. 5H). The cell in which NMDA clearly induced an inward current but without capacitance changes is shown in Fig. 5C and E. These results show that activation of NMDA channels can induce exocytosis from the somatodendritic compartment of DRN neurones. The capacitance changes ranged from 3 to 20 fF, which would be the equivalent of ~8–50 vesicles being released. In one particular recording, both positive and negative capacitance changes were observed (see Fig. 5H legend). Possibly such negative changes in capacitance could result from endocytosis, which may alter depending on the local calcium dynamics (see de Kock *et al.* 2004 for discussion on this topic).

Fast applications of NMDA in this patch clamp mode were difficult to combine with amperometric recordings, given the turbulence of medium surrounding the patch

and the carbon fibre during pressure ejection from the application electrode. Therefore, we were unable to confirm the serotonergic content of released vesicle during NMDA application. However, in later experiments (Fig. 6) we provide additional evidence that 5-HT is released locally in response to NMDA receptor activation.

### Physiological relevance of NMDA-induced dendritic signalling

Presynaptic GABA release in the DRN was previously shown to be modulated by 5-HT<sub>2</sub> receptors (Liu *et al.* 2000). To test to what extent endogenous activation of NMDA receptors is capable of triggering retrograde 5-HT-mediated signalling via this pathway in the DRN slice preparation, whole-cell voltage-clamp recordings were made from ventral DRN neurones with a '5-HT' current clamp profile (see Fig. 1A). In these experiments spontaneous GABAergic inhibitory postsynaptic currents (IPSCs) were recorded under asymmetrical chloride



conditions. Activation of NMDA receptors was allowed, in the presence of a normal extracellular  $Mg^{2+}$  concentration. These recording conditions imply that inward synaptic currents are NMDAR dependent EPSCs whereas GABA<sub>A</sub>R mediated IPSCs are observed as outward events at depolarized potentials. For these experiments we only analysed the outward events. AMPA receptors were blocked by including CNQX in the bathing solution. In addition the DRN slices for these experiments were bathed in tryptophan, in order to boost the 5-HT synthesis, and in the presence of fluoxetine and L-CCG-III, in order to suppress reuptake of 5-HT and glutamate, respectively. In line with Liu *et al.* (2005), in current clamp recording we observed that tryptophan loading was sufficient to silence firing of 5-HT neurones in the DRN ( $n = 6$ , not shown). We have specifically tested that tryptophane itself does not acutely induce a hyperpolarizing current (in voltage clamp,  $n = 6$ , not shown), and hence we rely on the idea that tryptophane suffices as a precursor for 5-HT synthesis during the experiment. Finally, the mGluRs type II/III were blocked by CPPG to exclude heterosynaptic modulation.

The recorded cell was dialysed at  $-70$  mV for at least 3 min. During this period, activation of NMDA receptors is unlikely to occur, due to the magnesium block. Then we slowly depolarized the membrane potential from  $-70$  mV to  $-30$  mV over 30 s to relieve magnesium block of the NMDA receptors; this is likely to also cause the inactivation of voltage-dependent calcium channels (Fig. 6A), as established in previous work (de Kock *et al.* 2004). Thus here we rely on the endogenous activation of NMDA receptors, amplified by glutamate reuptake blockade. Since the postsynaptic cell was under voltage clamp throughout the experiment, action potential firing was not occurring. Within 60 s after clamping the cell at  $-30$  mV, the frequency of the outward detected GABAergic events increased to around 175% of the initial control level (Fig. 6B–D). During the subsequent 3 min period, the IPSC frequency remained to be increased (Fig. 6D and  $53 \pm 16\%$ ,  $N = 7$  animals,  $n = 7$  patches, for statistical details see legend). The IPSC amplitude was not affected in these recordings ( $n = 7$ , data not shown). The potentiation of IPSC frequency is most likely due to activation of 5-HT receptors on GABAergic neurones (see below).

In the presence of  $50 \mu M$  of the NMDA antagonist D-APV, the observed increase in frequency of GABAergic IPSCs was suppressed (Fig. 6D, paired experimental design). This indicates that NMDARs are mostly responsible for the calcium influx and that no additional calcium influx, such as through voltage-gated calcium channels, occurred to mediate the release of retrogradely acting 5-HT. Similarly, the increase in sIPSC frequency was suppressed in recordings performed using a pipette medium containing  $200 \mu M$  of BAPTA instead of  $100 \mu M$  EGTA (ANOVA  $P < 0.05$ ,  $n = 6$  from  $N = 3$  animals, not shown).

To find out whether the NMDAR-dependent facilitation of GABAergic transmission was mediated by the release of 5-HT from the postsynaptic neurone, we tested whether the potentiation of GABAergic transmission at  $-30$  mV was sensitive to the 5-HT<sub>2</sub> receptor antagonist ketanserin. Indeed, in the presence of  $1 \mu M$  ketanserin, the increase of sIPSC frequency was also absent (Fig. 6E,  $n = 7$  from  $N = 4$  animals, paired experimental design, for statistical details see legend), showing that NMDAR activation induces release of 5-HT, which then acts presynaptically to stimulate GABAergic transmission. It should be noted that 5-HT is not likely to be secreted from neighbouring neurones other than the cell being recorded from, since the tryptophan loading of the neurones (see Methods) during these experiments brings the DRN neurones into a non-firing mode, conforming with previous work (Liu *et al.* 2005). Also, NMDA-induced secretion of 5-HT from neighbouring cells is not likely, since at resting membrane potential, due to the magnesium block, this process would be suppressed.

We conclude here that modulation of the GABA input may occur locally, being mediated at the level of the somatodendritic region, in the absence of postsynaptic firing. These data imply that calcium influx through NMDA channels may contribute to regulation of local secretion of 5-HT within the DRN.

## Discussion

An unresolved question is whether activation of NMDA receptors is sufficient to induce somatodendritic secretion of neuroactive substances, without calcium influx through voltage-gated calcium channels. We have addressed this question specifically for secretion of 5-HT by dorsal raphe nucleus (DRN) neurones. We monitored vesicular secretion of 5-HT in nucleated outside-out patches of DRN neurones in response to short depolarization (10 ms) or fast (200 ms) application of NMDA. Influx of calcium through voltage-gated calcium channels triggered fusion of vesicles. However, two photon imaging of calcium-signalling in dendrites of 5-HT neurones in brain slices showed that calcium concentration changes induced by single somatic action potentials were limited to initial segments of dendrites within  $150 \mu m$  from the soma. Even trains of action potentials induced only moderate changes in calcium levels at these sites. This implied that beyond  $150 \mu m$  in distal dendrites of 5-HT neurones, other sources of calcium influx could dominate the induction of 5-HT vesicle fusion.

In nucleated outside-out patches, transient NMDA receptor activation also induced substantial amounts of vesicle fusion, in the absence of postsynaptic firing and without voltage-gated calcium channel activity. Therefore, glutamatergic inputs to DRN 5-HT neurones may induce

local release of 5-HT directly. That this actually does occur was shown in recordings from 5-HT neurones in brain slices of DRN. These experiments showed that local secretion of 5-HT upon NMDA receptor activation, but in the absence of postsynaptic firing, increased GABA release from synaptic inputs to DRN 5-HT neurones.

### Local release of 5-HT

Secretion of 5-HT in the DRN may occur in a very localized manner, possibly leading to heterosynaptic modulation between neighbouring synapses impinging on the same neurone, its dendrites or on nearby neurones. At the level of the somata of DRN neurones, simultaneous activity of voltage-gated calcium channels and NMDA receptors most likely leads to boosting of the local 5-HT secretion. In distal dendrites of DRN neurones, however, AMPA and NMDA receptor activation, in the absence of local voltage-gated calcium channel activity (see Fig. 4), is sufficient to induce release. Finally in the proximal dendrites, concurrent AMPA and NMDA receptor activation during back propagating action potentials may function as a coincidence detector, thereby facilitating 5-HT release when glutamatergic input is appropriately synchronized with DRN neurone firing. Therefore, NMDA receptor activation may be used to only increase 5-HT release at a few select synapses during different firing modes and thereby influence GABA release from a functionally distinct set of inputs.

What is the source of local 5-HT release? 5-HT containing vesicles are present in dendrites of DRN neurones (Kapadia *et al.* 1985; Liposits *et al.* 1985; Chazal & Ralston, 1987). Another source of local 5-HT could be axon collaterals from DRN neurones, although several studies report low incidence of these collaterals (Descarries *et al.* 1982; Blier *et al.* 1998). In addition, our amperometric measurements clearly indicate that serotonin can be released from non-synaptic specializations. We thus conclude that the source for local feedback by 5-HT is from somatic and most likely dendritic 5-HT containing vesicles.

### NMDA-receptors on DRN neurones

Is there evidence that NMDA receptor-mediated release of 5-HT can occur in DRN *in vivo* that acting via modulation of GABAergic inputs to 5-HT neurones? Extracellular 5-HT in the DRN is controlled by intrinsic 5-HT receptor signalling mechanisms as well as afferent inputs (Adell *et al.* 2002). Both electrophysiological and neuroanatomical data show a tight innervation of DRN by axons coming from the prefrontal cortex (PFC) (Hajos *et al.* 1999; Celada *et al.* 2001; Hajos *et al.* 2003; Puig *et al.* 2005). At least part of the PFC axons project directly onto tryptophan hydroxylase-immunolabelled processes in the DRN (Jankowski & Sesack, 2004; Commons *et al.* 2005).

The PFC has been shown to play a major role in activation of 5-HT<sub>1A</sub> receptor-induced inhibition of DRN neurones *in vivo* (Hajos *et al.* 1999; Stamford *et al.* 2000), which is most likely mediated by extrasynaptic secretion of 5-HT (Bunin & Wightman, 1998, 1999). In addition, 5-HT may act on 5-HT<sub>2</sub> receptors of presynaptic GABA-containing neurones, thereby increasing inhibition of 5-HT neurones (Liu *et al.* 2000). NMDA receptors, in particular of the NR1/NR2D subtype, are expressed throughout the DRN (Tolle *et al.* 1993; Pallotta *et al.* 1998), both as synaptic and extrasynaptic receptors (Lozovaya *et al.* 2004). Hence their activation may contribute to induction of release of 5-HT from somata and dendrites, even before 5-HT neurones fire action potentials.

### GABA-input to DRN neurones

GABA input occurs at the level of both the DRN somata and their dendrites (Mennini *et al.* 1986). Since 5-HT mediated effects on GABA inputs were previously shown to be sensitive to TTX (Liu *et al.* 2005), it has been suggested that 5-HT<sub>2</sub> receptors are localized on GABAergic cell bodies rather than on their terminals impinging onto 5-HT DRN neurones. This idea together with fact that there may be non-synaptic release of 5-HT within the DRN, would imply that under physiological conditions, several DRN neurones being synchronized by multiple glutamate inputs including those of the PFC would be necessary and sufficient to induce secretion of 5-HT from the postsynaptic cell, which then would activate somatic 5-HT<sub>2</sub> receptors on neighbouring GABAergic interneurones within the DRN.

### Dendritic release of neurotransmitters

Dendritic vesicular secretion is a widespread phenomenon in the brain. Indeed, amperometric recordings in the substantia nigra showed that extrasynaptic or somatodendritic secretion of dopamine-containing vesicles (Jaffe *et al.* 1998) is sensitive to both glutamate application and electrical stimulation (Rice *et al.* 1997). Later studies also addressed to some extent the putative role of calcium influx through NMDA receptors in somatodendritic secretion of dopamine in the substantia nigra (Chen & Rice, 2002). In the olfactory system NMDA was reported to facilitate the secretion of extrasynaptic GABA (Chen *et al.* 2000; Halabisky *et al.* 2000) by increasing postsynaptic excitation and activating voltage-gated calcium channels (Isaacson, 2001). Although synaptic regulation of somatodendritic release may differ in different brain areas (Hoffman & Gerhardt, 1999; Chen & Rice, 2001), we have shown previously that in hypothalamic oxytocin neurones activation of NMDA receptors is sufficient to induce vesicle fusion, without the activation of voltage-gated

calcium channels (de Kock *et al.* 2004). As we find in the present study on somatodendritic 5-HT release in DRN, dendritic secretion of oxytocin is also induced by calcium influx either through voltage-gated calcium channels during action potential firing or through NMDA receptors endogenously activated by glutamate (Kombian *et al.* 1997; de Kock *et al.* 2003). In addition, possibly oxytocin can be boosted by release of calcium from intracellular stores (Ludwig *et al.* 2002b).

The mechanism of direct stimulation of dendritic secretion by calcium influx through NMDA receptors may not be limited to the hypothalamus and DRN. Also in other brain areas extrasynaptic release of neurotransmitters may be triggered by NMDA receptor activation directly, or glutamate may depolarize neurones and induce action potential firing that then triggers vesicular secretion from dendrites by calcium influx through voltage-gated calcium channels. However, at present it is unknown whether in any of the other systems NMDA receptor-mediated dendritic release of neurotransmitters is involved in local heterosynaptic modulation of neighbouring synapses (de Kock *et al.* 2004), or whether more diffuse volume transmission-like processes affecting larger surrounding areas are involved (Zoli *et al.* 1999).

### Heterosynaptic modulation

What are the distinct features of NMDA receptor-mediated somatodendritic secretion in the DRN? The somatodendritic secretion of 5-HT is rapid and transient in line with previous findings (Bunin & Wightman, 1999). It may occur in a very localized manner in the direct vicinity of active glutamate synapses, since somatic action potentials do not substantially increase calcium levels in distal dendrites (see Fig. 4). This mechanism of heterosynaptic modulation by which activity in one synapse releases substances from the postsynaptic neurone which then acts on a different nearby neurone, is distinct from previously described mechanisms of heterosynaptic modulation in which spill-over of neurotransmitter acts on presynaptic metabotropic receptors on neighbouring synapses (Grillner & Mercuri, 2002). The mechanism described here is also distinct from retrograde signalling by endocannabinoids, which are also released in a calcium-dependent manner, but need suprathreshold activation of voltage-gated calcium channels (Piomelli, 2003). Retrograde signalling through endocannabinoids is not likely to be localized and restricted to a particular subcellular compartment or subset of synapses, given that endocannabinoids are not released through vesicles. NMDA receptor-mediated release of 5-HT in the DRN is vesicular and can occur below the threshold of firing, which provides this cell system with an efficient pathway for localized heterosynaptic modulation. Subthreshold signalling between specific glutamate synapses and 5-HT

release would enable single synaptic inputs from the PFC to regulate the excitability of dendritic regions of a single DRN 5-HT neurone.

### Mechanisms underlying brain pathology

What is the relation between regulation of the 5-HT system at this very local level and the putative aetiology of neuropsychiatric disorders, in particular depression? Experimental work supports the idea that adaptive changes in the 5-HT system may occur after antidepressant treatments (Artigas *et al.* 1996a) and that there may be a substantial role for the PFC innervation of the DRN in the occurrence of depression (Celada *et al.* 2002). Moreover, an up-regulation in 5-HT<sub>1A</sub> autoreceptor density on DRN dendrites was shown to occur in human suicide victims with a known history of severe chronic depression (Stockmeier *et al.* 1998). In line with this, in animals, 5-HT<sub>1A</sub> receptors have been successfully targeted, in particular during the initial stages of drug therapy of chronic depression (Artigas *et al.* 1996b; Blier & Ward, 2003). In addition to changes in 5-HT autoreceptor density, putative changes in the expression of the 5-HT reuptake transporter in the DRN have been reported (Arango *et al.* 2001). This is interesting since the reuptake transporter may be the limiting factor in determining the distance over which extrasynaptic concentrations of 5-HT will diffuse (Bunin & Wightman, 1998, 1999). Since 5-HT<sub>1A</sub> autoreceptors are likely to be activated by the type of local 5-HT release described in this report and since the antidepressant serotonin's selective reuptake inhibitors (SSRIs) are likely to affect the concurrent rise in extracellular 5-HT concentration upon local release, perhaps the regulation of this local 5-HT release should now also be added to the list of potential therapeutic targets.

There is accumulating evidence implicating disturbances in glutamate metabolism and NMDA receptor expression and/or functioning in depression and suicidal behaviour (Paul *et al.* 1994; Skolnick *et al.* 1996; Paul & Skolnick, 2003). The new evidence on the local regulation of excitability of DRN neurones presented here may contribute to a better understanding of putative physiological or mechanistic epistasis among the factors that control extracellular levels of 5-HT in the DRN, which in turn may be important in the aetiology of depression (Stoltenberg, 2005).

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