

CD96 is a leukemic stem cell-specific marker in human acute myeloid leukemia

Naoki Hosen^{*†‡}, Christopher Y. Park^{*}, Naoya Tatsumi[§], Yusuke Oji[§], Haruo Sugiyama[§], Martin Gramatzki[¶], Alan M. Krensky^{||}, and Irving L. Weissman^{*‡}

^{*}Departments of Pathology and Developmental Biology, Institute of Stem Cell Biology and Regenerative Medicine, Stanford Cancer Center, Stanford University School of Medicine, Stanford, CA 94305-5323; [§]Department of Functional Diagnostic Science, Osaka University School of Medicine, Osaka 565-0871, Japan; [¶]Division of Stem Cell Transplantation and Immunotherapy, Second Department of Medicine, University of Kiel, Kiel 24105, Germany; and ^{||}Department of Pediatrics, Stanford University School of Medicine, Stanford, CA 94305

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Permanent cure of acute myeloid leukemia (AML) by chemotherapy alone remains elusive for most patients because of the inability to effectively eradicate leukemic stem cells (LSCs), the self-renewing component of the leukemia. To develop therapies that effectively target LSC, one potential strategy is to identify cell surface markers that can distinguish LSC from normal hematopoietic stem cells (HSCs). In this study, we employ a signal sequence trap strategy to isolate cell surface molecules expressed on human AML-LSC and find that CD96, which is a member of the Ig gene superfamily, is a promising candidate as an LSC-specific antigen. FACS analysis demonstrates that CD96 is expressed on the majority of CD34⁺CD38⁻ AML cells in many cases (74.0 ± 25.3% in 19 of 29 cases), whereas only a few (4.9 ± 1.6%) cells in the normal HSC-enriched population (Lin⁻CD34⁺CD38⁻CD90⁺) expressed CD96 weakly. To examine whether CD96⁺ AML cells are enriched for LSC activity, we separated AML cells into CD96⁺ and CD96⁻ fractions and transplanted them into irradiated newborn Rag2^{-/-}γc^{-/-} mice. In four of five samples, only CD96⁺ cells showed significant levels of engraftment in bone marrow of the recipient mice. These results demonstrate that CD96 is a cell surface marker present on many AML-LSC and may serve as an LSC-specific therapeutic target.

hematopoietic stem cell

The cancer stem cell hypothesis holds that cancers are composed of a subset of cells that have the unique ability to transplant disease as well as self-renew (1–4). This concept may radically alter approaches to cancer therapies. We have proposed a multistep model of leukemogenesis in which protooncogenic events short of activating the self-renewal process occur in the self-renewing hematopoietic stem cell (HSC) population (5). Therefore, the clonal progression of preleukemias likely occurs in a succession of HSC subclones until augmented or poorly regulated self-renewal pathways are activated, leading to the emergence of final stage leukemic stem cells (LSCs) usually at the level of a downstream progenitor (5). We previously showed that in human acute myeloid leukemia (AML) characterized by *t*(8;21), the LSCs reside in the CD34⁺CD90⁻CD38^{lo}Lin⁻ fraction of progenitors, whereas pre-LSC, detected during hematological remission, are present in the CD34⁺CD90⁺CD38^{lo}Lin⁻ fraction (6), which is typically enriched for HSC (7). These observations suggest that cell surface antigens that are expressed on progenitor cells, but not on normal HSC, are possibly expressed on AML-LSC. Nevertheless, cell surface markers that can distinguish AML-LSC from normal HSC are still lacking, except for the IL-3Rα chain (CD123) (8).

One promising strategy for therapeutic targeting of LSC is immunotherapy with monoclonal antibodies (9). In fact, different antibodies or immunoconjugates, including CD20 (10), CD33 (11), and CD52 (12), have been successfully used for the treatment of hematologic malignancies. Previous studies have pointed to antibody-dependent cell-mediated cytotoxicity (ADCC) and FcR-mediated phagocytosis by the macrophage

lineage as important mechanisms of activity for these antibody therapies (13). Therefore, when a cell surface antigen specific for LSC is identified, depleting antibodies against it can be potentially developed as therapeutics. In addition, cytotoxic substances such as chemotherapeutics, radioimmunoconjugates, or toxins can be coupled to enhance efficacy.

To identify cell surface markers selectively expressed on AML-LSC, we applied a signal sequence trap PCR method (14) to highly purified CD34⁺CD38⁻ AML cells, a fraction enriched in AML-LSC activity (15). We find that CD96 is selectively expressed in AML-LSC. CD96, which is also known as Tactile, is a member of the Ig gene superfamily and was first identified as a gene expressed in activated T cells (16). Furthermore, we demonstrate that CD96⁺, but not CD96⁻, AML cells can engraft immunodeficient mice (17). Taken together, CD96 is a marker for AML-LSC and therefore a potential target for LSC-specific therapy.

Results

Identification of CD96 as an AML-LSC-Specific Marker. To identify cell-surface molecules expressed on AML-LSC, we used the signal sequence trap PCR screening method, which allows for the detection of mRNAs that contain signal sequences by virtue of their ability to redirect a constitutively active mutant of c-mpl to the cell membrane, and thereby induce IL-3-independent growth of Ba/F3 cells (14) (Fig. 1A). We initially applied this method to amplified cDNA from 3.6 × 10⁴ FACS-purified CD34⁺CD38⁻ AML cells (FAB classification: M2). Completion of the screen resulted in the identification of 33 independent genes [supporting information (SI) Table 2; 31 known, 2 unknown]. We then used an unamplified cDNA library prepared from 3 × 10⁷ FACS-purified CD34⁺CD38⁻ cells from another AML (M2) sample and identified 41 genes (SI Table 2; 36 known, 5 unknown). Candidate AML-LSC marker genes were selected from these two data sets by excluding those genes that would not be expected to effectively discriminate AML-LSC from HSC, such as CD45. The expression levels of the

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Conflict of interest statement: I.L.W. was a member of the scientific advisory board of Amgen and owns significant Amgen stock. I.L.W. is also a cofounder and director of Stem Cells, Inc., and cofounded Cellerant, Inc. N.H. and I.L.W. have applied for a U.S. patent entitled "Identification of Cell Surface Marker for Acute Myeloid Leukemia Stem Cells" through the Stanford University Office of Technology and Licensing.

Abbreviations: AML, acute myeloid leukemia; HSC, hematopoietic stem cell; LSC, leukemic stem cell; NK, natural killer.

[†]Present address: Department of Cancer Stem Cell Biology, Osaka University Graduate School of Medicine, Osaka 565-0871, Japan.

[‡]To whom correspondence may be addressed. E-mail: hnaoki@imed3.med.osaka-u.ac.jp or irv@stanford.edu.

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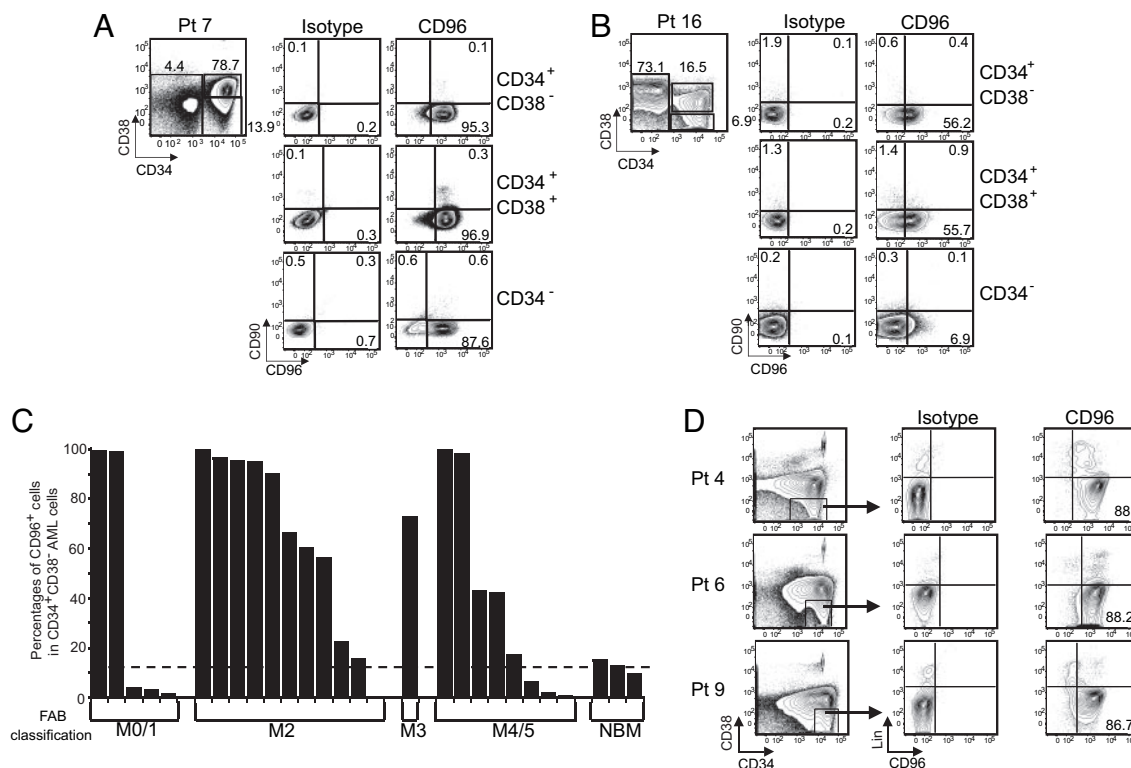


Fig. 3. CD96 expression in AML. (A and B) Expression profiles of CD34, CD38, CD90, and CD96 in AML samples. Cells were separated into subpopulations according to the expression of CD34 and CD38 and then analyzed for CD90 and CD96 expression. Representative data from AML samples that contain high (A) and intermediate (B) percentages of CD96⁺ cells in the CD34⁺CD38⁻ population are shown. (C) Percentages of CD96⁺ cells in the AML CD34⁺CD38⁻ population. Each bar represents a single AML sample. Pts 10, 15, 16, and 24 are not included in this graph because of the lack of information about FAB classification. The frequencies of CD96⁺ cells in normal BM CD34⁺CD38⁻ cells are shown for comparison. The dotted line represents the average in normal BM CD34⁺CD38⁻ cells. (D) Expression of lineage markers and CD96 in CD34⁺CD38⁻ AML blasts. Numbers represent the percentage of CD96⁺Lin⁻ cells in CD34⁺CD38⁻ AML blasts.

newborn Rag2^{-/-} γ_c ^{-/-} mice via the facial vein (SI Table 3). This highly immunodeficient mouse strain lacks B, T, and natural killer (NK) cells (20), and newborn Rag2^{-/-} γ_c ^{-/-} mice support efficient engraftment of human AML (C.Y.P., R. Majeti, and I.L.W., manuscript in preparation). Transplanted mice were killed at 6 to 10 weeks after transplantation and analyzed for engraftment of human leukemia cells in BM. The results of a typical experiment are shown in Fig. 4A, with CD96⁺ AML cells uniquely showing engraftment of human CD45 (hCD45)⁺ cells. We confirmed that engrafted hCD45⁺ cells were human myeloid leukemia blasts by measuring human CD13/CD14/CD33 expression (Fig. 4A) and/or evaluating Wright-Giemsa-stained cyto-spin preparations of the peripheral blood or BM cells (Fig. 4B and SI Fig. 7).

Transplantation experiments were performed by using five different AML samples (Fig. 4D and SI Table 3). For four of five cases, cells were separated into CD96⁺ and CD96⁻ populations regardless of the expression of CD34 or CD38 (Pts 5, 11, 14, 26). For one patient (Pt 3), cells were separated into CD34⁺CD38⁻CD96⁺ and CD34⁺CD38⁻CD96⁻ fractions. In four of five samples (Pts 3, 5, 11, 26), only CD96⁺ AML cells showed significant levels of engraftment in the BM of recipient mice, whereas CD96⁻ AML cells did not engraft. In the case of Pt 14, high levels of engraftment were observed with both CD96⁺ and CD96⁻ AML cells. It should be noted that for one specimen (Pt 26), the enrichment of LSC activity in the CD96⁺ AML fraction was observed despite the low percentage of CD34⁺ cells in this fraction. Finally, we analyzed CD96 expression on engrafted hCD45⁺ cells from BM of mice transplanted with CD96⁺ AML cells. As shown in Fig. 4C, transplantation of purified CD96⁺ cells resulted in engraftment of both CD96⁺ and CD96⁻ cells, recapitulating the heterogeneity of CD96

expression in the primary AML specimen. Collectively, these results demonstrate that CD96 is expressed on functional LSC in human AML.

Discussion

CD96 has been reported to be expressed in T and NK cells, but not in B cells, granulocytes, monocytes, or RBCs (16, 18). In nonhematopoietic tissue, CD96 is expressed in the convoluted tubular epithelium of the kidney, the mucosal epithelium of the small and large intestines, and the vascular endothelium (18). It was also reported that CD96 is expressed in $\approx 30\%$ of human AML samples, regardless of disease subtype, by examining whole BM cells from AML patients with immunohistochemical-staining (18); its expression was rare in the FAB-M5 subtype. In this study, we demonstrate that CD96 is frequently expressed in the CD34⁺CD38⁻ LSC population in $>60\%$ of the human primary AML samples examined. In some of the AML samples (e.g., Pt 16) (Fig. 3B), CD96 is highly expressed on the CD34⁺ blasts, but at a lower frequency in CD34⁻ blasts. Such samples may have been scored as negative for CD96 when unsorted leukemia cells were examined.

The xenotransplantation experiments reveal that CD96 is expressed on AML-LSC in all of the cases examined, and that in four of five cases, CD96⁺ AML cells are highly enriched for LSC activity compared to CD96⁻ AML blasts. Furthermore, CD96 is not detectable on the majority of cells in the normal adult BM HSC-enriched population (7). Collectively, these results indicate that AML-LSC can be distinguished from normal HSC by the presence of CD96 expression. This finding suggests that CD96 may prove to be an excellent target for antibody therapy against LSC because hematopoietic progenitors are regenerated rapidly

Table 1. Patient characteristics

Patient no.	FAB classification	Cytogenetic abnormality	CD96 ⁺ /CD34 ⁺ CD38 ⁻ , %	CD96 ⁺ /CD34 ⁺ CD38 ⁺ , %	CD96 ⁺ /CD34 ⁻ , %	CD96 ⁺ /Lin ⁻ CD34 ⁺ CD38 ⁻ , %
1	M5	FLT3 mutation	99.5	99.2	28.7	
2	M2	Inv(9)(p11q13)	99.2	95.1	51.8	
3	M1	Complex*	99.2	99.8	91.0	
4	M0/1	NA	97.8	99.8	32.9	99.6
5	M4Eo	Inv(16), FLT3D835	97.3	96.3	57.4	
6	M2	t(8;21), t(6;17)	97.4	98.6	69.9	94.9
7	M2	Normal karyotype	95.4	96.9	87.6	
8	M2	NA	93.4	98.7	33.6	
9	M2	NA	88.2	93.4	56.5	91.3
10	NA	FLT3ITD+	79.8	94.5	3.8	
11	M3	t(15;17) + complex [†]	72.7	91.6	88.4	
12	M2	NA	65.9	93.9	63.4	
13	M2	NA	59.9	51.6	59.8	
14	M2	t(8;21)	56.2	55.7	6.9	
15	NA	NA	51.1	37.8	63.2	
16	NA	6p+	43.3	59.5	17.0	
17	M5a	Normal karyotype	42.6	86.2	40.0	
18	M4Eo	del(16)(p13.1p13.3)	41.9	53.7	17.0	
19	M2	NA	22.1	21.9	5.8	20.7
20	M5	NA	16.8	26.2	3.1	0
21	M2	NA	15.1	14.2	6.8	18.3
22	M4/5a	13+	6.2	9.2	34.7	
23	M1	Normal karyotype	3.8	4.0	16.4	
24	NA	5q-	3.1	4.1	20.2	
25	M1	NA	2.8	1.1	11.2	
26	M4	NA	1.5	2.6	16.1	
27	M0/1	NA	1.1	3.1	66.0	
28	M5a	MLL translocation(FISH) 0.6 FLT3 mutation	1.2	3.4		
29	M2	NA	0	24.5	2.5	

NA, not available.

*46,XX,add(5)(q31),der(12)t(12;15)(q22~24;q11.2),der(12)add(12)(p13)?del(12)(q12), add(15)(q11.2)[cp3]/46,XX[cp17]

[†]46,XX, add(7)(q22), t(15,17)(q22;q12), add(x)(q22), add(3)(p11), add(4)(q21), der(9)add(9)(p13) del(9)(q?), t(11;20)(q13;q13)

from HSC. This therapy can be optimized by the development of CD96 antibodies that can induce cytotoxicity, such as ADCC, augmented macrophage phagocytosis, or complement-dependent cytotoxicity (21), although the expression of CD96 in T and NK cells, histiocytes, and nonhematopoietic cells has to be considered (18). In addition, *ex vivo* purging or FACS selection of stem cells with CD96 antibodies may be an avenue to pursue in autologous transplantation for AML patients.

An important observation made in our studies is that CD96⁺ AML cells are enriched in LSC activity even in a sample that contained a low percentage of CD96⁺ cells within the CD34⁺CD38⁻ population (Pt 26). These results indicate that the enrichment of LSC in CD96⁺ AML is not simply a reflection of the enrichment of CD34⁺ AML cells in the CD96⁺ population. This finding suggests that CD96 may play a functional role in LSC biology. CD96 expressed on NK cells has been shown to bind to the polio virus receptor (CD155) and thereby mediate NK-target cell interactions such as those between NK and cancer cells (22). In addition, CD155 has been implicated as a human homologue of a protochordate histocompatibility gene (23). CD96 expressed on AML-LSC may also interact with its ligand on other cells in the BM, possibly niche cells for AML-LSC, and in these cells binding to the ligand cannot result in killer function, but may play a role in leukemia properties. More information may be obtained by examining the expression of CD155 in BM nonhematopoietic cells, such as osteoblasts or endothelial cells.

Although CD96 may play a functional role in AML-LSC biology, it may also have implications for the cell of origin for

AML-LSC. One possibility is that AML-LSC may arise from the Lin⁻CD96⁺CD34⁺CD38⁻CD90⁻ population, ordinarily a non-self-renewing multipotent progenitor (R. Majeti, C.Y.P., and I.L.W., unpublished data); at a stage in leukemic progression in AML, this population may have gained the property of self-renewal. The lack of CD90 expression on most AML CD34⁺CD38⁻ cells (6, 24) suggests that the final leukemogenic event occurs in the CD90⁻ population, which is downstream of the CD90⁺ HSC population (7). In support of this hypothesis, we previously reported that AML1/ETO was expressed in Lin⁻CD34⁺CD38⁻CD90⁺ cells in remission, although CD90 expression was absent in the leukemia cells harboring the AML1/ETO translocation (6).

In summary, we provide evidence that CD96 is expressed on the majority of Lin⁻CD34⁺CD38⁻CD90⁻ AML blasts, the same population previously characterized as containing AML-LSC activity (6, 15, 25). Furthermore, we demonstrate that AML-LSC activity is highly enriched in the CD96⁺ AML blast fraction. Because the majority of cells in the normal HSC population do not express CD96, these results suggest that CD96 is a marker of AML-LSC and a candidate therapeutic target.

Materials and Methods

Leukemia and Normal BM cells. Diagnostic AML BM cells were obtained after informed consent and with the approval of the research ethics committee at Stanford University or Osaka University. Normal BM cells from healthy volunteers were purchased from All Cells (Emeryville, CA).

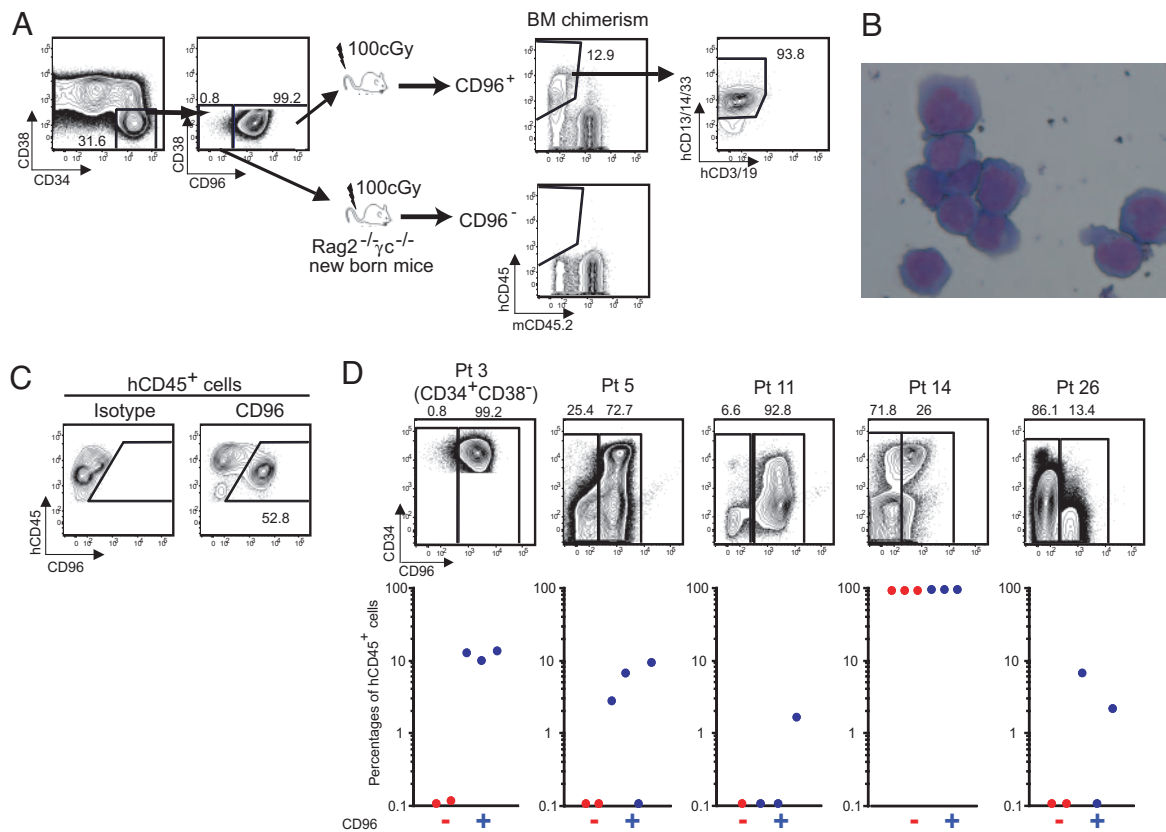


Fig. 4. CD96⁺ AML cells are enriched in LSC activity. (A) Representative results of transplantation of CD34⁺CD38⁻CD96⁺ or CD96⁻ AML cells from Pt 3. (Left) Expression profiles of CD34, CD38, and CD96 and the sorting gates. CD96⁺ or CD96⁻ AML cells were transplanted into sublethally irradiated newborn Rag2^{-/-}γc^{-/-} mice. (Right) Analyses of human (hCD45⁺) versus mouse (mouse CD45⁺) chimerism in the bone marrow (BM) at 6 weeks after transplant. (B) Wright-Giemsa stain of FACS-sorted hCD45⁺ BM cells from an engrafted mouse transplanted with CD96⁺ AML cells from Pt 3 (X630), showing that engrafted hCD45⁺ cells have myeloblastic morphology. (C) CD96 expression on engrafted hCD45⁺ cells in the BM of mice transplanted with CD96⁺ AML cells from Pt 3. (D) (Upper) Expression profiles of CD34 and CD96 and the sorting gates for CD96⁺ or CD96⁻ populations for each AML sample. Note that the CD34⁺CD38⁻ population is pregated in the case of Pt 3. CD96⁺ or CD96⁻ AML cells were transplanted into irradiated newborn Rag2^{-/-}γc^{-/-} mice. Analyses of human engraftment are shown as the percentage of hCD45⁺ cells in the BM at 6 to 10 weeks after transplantation. Each dot corresponds to an individual mouse recipient.

Cell Separation, Immunophenotyping, and Sorting. BM mononuclear cells were isolated by Ficoll (GE Healthcare, Piscataway, NJ) gradient centrifugation and cryopreserved for later use. CD34-positive normal BM cells were enriched by using the MACS (magnetically activated cell sorting) CD34 isolation kit (Miltenyi Biotec, Bergisch Gladbach, Germany). Single-cell suspensions were washed with PBS containing 2% FCS, incubated with 10% human AB serum for 20 min to prevent nonspecific antibody binding, and stained with either of two CD96 antibodies (G8.5; provided by A.M.K.) (16) or TH-111 (provided by M.G.) (18) or mouse IgG isotype control (eBioscience, San Diego, CA) for 30 min on ice. Cells were then washed and incubated with PE-conjugated anti-mouse IgG polyclonal antibody (eBioscience). Finally, cells were stained with Cy5-PE-conjugated lineage markers (CD2, CD3, CD4, CD8, CD10, CD11b, CD14, CD19, CD20, CD56, and Glycophorin-A) (Caltag, Carlsbad, CA), APC-conjugated CD34 (BD Bioscience, San Jose, CA), biotin-conjugated CD38 (Invitrogen, Carlsbad, CA), and FITC-conjugated CD90 (BD Bioscience) for 30 min on ice, followed by the incubation with streptavidin-Cy7PE (eBioscience). Cells were resuspended in 1 μg/ml propidium iodide. Analysis and cell sorting were performed on a FACS Aria (Becton Dickinson, San Jose, CA). Data analysis was done with Flow Jo software (Tree Star, Ashland, OR).

Signal Sequence Trap Cloning. SST-REX cloning (14) was performed with slight modifications. CD34⁺CD38⁻ AML cells were

FACS-purified, and total RNA was extracted by using the TRIzol reagent (Invitrogen). In the first experiment, cDNA was synthesized by using a PCR cDNA synthesis kit (SMART; Clontech, Palo Alto, CA) and oligo dT primer. cDNA was amplified by PCR and then digested with RsaI restriction enzyme and linked with a BstXI adaptor. cDNA fragments ranging from 0.5 to 2.0 kb were selected by electrophoresis on an agarose gel and subcloned into pMX-SST vector (a kind gift from Toshio Kitamura, Tokyo University, Tokyo, Japan). In the second experiment, full-length cDNA libraries were generated from FACS-purified CD34⁺CD38⁻ AML cells by using a cDNA library synthesis kit (Invitrogen) and random hexamers. cDNAs larger than 1 kb were selected by electrophoresis on an agarose gel and subcloned into pMX-SST vector. The SST-REX library was subjected to screening by transduction into BaF3 cells as previously described.

Quantitative RT-PCR. Quantitative RT-PCR analysis was performed by using SYBR Green on an ABI 7700 real-time PCR machine (Applied Biosystems, Foster City, CA) according to the manufacturer's protocols. The expression level of each gene was normalized to the β-actin expression level. PCR conditions and primer sequences are available on request.

Colony Assay. Methylcellulose culture assays were performed in Methocult H4334 (Stem Cell Technologies, Vancouver, BC,

Canada) according to the manufacturer's instructions. Colony numbers were enumerated and scored on day 14 of culture.

Transplantation into Newborn Rag2^{-/-} γ c^{-/-} Mice. FACS-sorted AML cells were transplanted into newborn Rag2^{-/-} γ c^{-/-} mice within 72 h after birth. Mice were irradiated with 100 cGy 4 to 24 h before transplantation and injected with sorted cells via the anterior facial vein. Mice were killed at 6 to 10 weeks of age to evaluate BM engraftment. Human chimerism was examined by staining with human CD45 antibody. Cells were stained with APC or Cy7PE-conjugated human CD45 (BD Bioscience), Alexa488-conjugated mouse CD45.2 (AL1-4A2), and Pacific blue or Cy5PE-conjugated anti-mouse Ter119 (eBioscience) and analyzed on a FACS Aria. In addition, PE-conjugated human CD13, CD14, and CD33 (BD Bioscience) and Cy5PE-

conjugated human CD3 and CD19 (Caltag) were used for lineage analysis of engrafted human cells. When hCD45-positive cells were detected, their morphology was examined by Wright-Giemsa staining of a cytospin preparation.

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