## Immunogenetic risk factors for anti-neutrophil cytoplasmic antibody (ANCA)associated systemic vasculitis

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#### SUMMARY

Wegener's granulomatosis (WG) and microscopic polyangiitis are systemic autoimmune diseases characterized by the presence of ANCA in the sera of patients. Little is known about the aetiologic factors and genetic predisposition as well as the pathogenesis of these disease entities. A slightly decreased representation of HLA-DRB1\*13 and HLA-DQB1\*0603 individuals was observed in our cohort of ANCA-associated systemic vasculitis (AASV) patients compared with controls. In addition, HLA-DRB1\*04 individuals were over-represented in a subgroup of patients with WG in end-stage renal disease as a result of renal vasculitis. In order to identify other genes relevant for these diseases, we investigated highly polymorphic markers in the vicinity of several immunorelevant genes, i.e. tumour necrosis factor  $(TNF)\alpha$ , IL-2, IL-5 receptor  $\alpha$  (IL-5RA), in a group of 102 patients with AASV and compared the representation with controls. Furthermore, functional polymorphisms were directly analysed in the promotor region of  $TNF\alpha$  as well as in the coding region of the  $Fc\gamma IIRA$  genes. Polymorphisms of the  $TNF\alpha$  promotor (TNF-308) as well as in the  $Fc\gamma IIRA$  gene were excluded as risk factors for the disease in our cohort. No major phenotype distribution differences were observed between patients and controls for the IL-2 and IL-5RA microsatellites. Most importantly, several haplotypes on chromosome 6p appeared strongly associated with proteinase 3 (PR3)-ANCA<sup>+</sup> AASV. Thus, as in other autoimmune diseases, different predisposing factors play differential aetiopathogenic roles in various groups of AASV patients.

**Keywords** genetic predisposition anti-neutrophil cytoplasmic antibody Wegener's granulomatosis HLA tumour necrosis factor-alpha

### INTRODUCTION

Major features of Wegener's granulomatosis (WG) and microscopic polyangiitis are inflammatory lesions of the upper and lower airways and pauciimmune glomerulonephritis with and without granuloma formation. The disease manifestations result from small vessel vasculitis. A characteristic feature for this group of diseases is the occurrence of ANCA, i.e. IgG autoantibodies against proteinase 3 (PR3), myeloperoxidase (MPO) and rarely azurozidin (AZU) as well as bacterial permeability-increasing protein (BPI). All autoantigens are located in the primary azurophilic granules of monocytes, polymorphonuclear granulocytes (PMN) [1] and on the surface of tumour necrosis factor-alpha (TNF- $\alpha$ )-primed PMN [2]. Several properties of ANCA have been described. PR3-ANCA promote the adhesion of PMN on human blood vessel walls via

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induction of E-selectin and vascular cell adhesion molecule-1 (VCAM-1) on endothelial cells [3,4] and of Mac-1 (CD11b/ CD18; granulocyte adhesion molecule) on PMN [5]. Secondly, the binding of PR3-ANCA as well as MPO-ANCA to PMN activated via CD18, leads to release of free oxygen radicals and proteases via concurrent binding of PR-3 and Fc $\gamma$ IIRA, both expressed on the PMN cell surface [6].

The search for associations of HLA class II alleles with WG has produced conflicting results. Either the associated HLA antigens varied in different studies [7–11] or disease associations were not demonstrated [12–15]. Due to the very low prevalence, the inheritance pattern of WG is also not clear. Furthermore, the association of WG with the defective (Z) allele of the proteinase inhibitor (Pi) of the  $\alpha_1$ -antitrypsin ( $\alpha I$ -AT) locus, a potent PR3 inhibitor, evidences relevant genetic factors other than the HLA region [16–18]. Allelic variants of additional immunorelevant proteins may alter the function or the ligand–receptor interaction and may contribute in combination with other

exogenous or endogenous factors to the manifestation of autoimmune disease.

Compared with Mendelian diseases, multifactorial traits are far more complex to investigate using molecular genetic techniques. Different strategies are used in the search for susceptibility regions and candidate genes for complex traits [19-21]. The aim of this study was to investigate polymorphisms of several immunorelevant candidate genes in a German cohort of patients with ANCAassociated systemic vasculitis (AASV). Inflammation processes are driven by a multitude of cytokines, some of which are known to be proinflammatory. TNF- $\alpha$  is a cytokine responsible for PMN priming, sensitizing them for further activation, e.g. by PR3-ANCA. IL-2 also exhibits strong proinflammatory activity. Expressed on B cells, the IL-5 receptor  $\alpha$  (IL-5RA) is required for development of antibody-secreting cells. Therefore these genes were among the prime candidates for genetic factors contributing to the risk for developing AASV. Known polymorphisms in the TNF $\alpha$  promotor and an exonic polymorphism in the Fc $\gamma$ IIRA gene were typed directly. The investigation of putative polymorphisms in the IL-2, IL-5RA and  $TNF\alpha$  genes was performed indirectly, using either intragenic or neighbouring microsatellite markers, because one or several alleles of the respective microsatellite are supposed to be in linkage disequilibrium with the putative coding polymorphism. Potential genetic predisposition factors were evaluated also for the different subgroups of AASV patients.

#### PATIENTS AND METHODS

#### Patients and controls

A total of 102 patients with AASV were included in this study. PR3-ANCA were detectable in 76 patients and 26 patients had MPO-ANCA. All patients gave informed consent, originated from Bavaria and were diagnosed and treated in the Departments of Medicine (Medizinische Klinik and Poliklinik, Klinikum Innenstadt, Medizininische Klinik I, Klinikum Großhadern, Ludwig-Maximilians-University, München; Department of Medicine IV, Städtisches Krankenhaus Harlaching, München; Department of Medicine, Klinikum Hohe Warte, Bayreuth; Department of Nephrology, St Joseph Hospital, Regensburg, Germany).

Serum PR3- and MPO-ANCA were demonstrated by indirect immunofluorescence (IIF) using ethanol-fixed neutrophils and their reactivity with PR3 or MPO was confirmed in ELISAs specific for PR3 and MPO (Elias, Freiburg, Germany), respectively. The patients were classified as having small vessel vasculitis. Crescent and necrotizing glomerulonephritis was considered to be part of the vasculitic spectrum. The diagnosis of ANCA vasculitis was determined in accordance with the definitions for systemic vasculitides by the Chapel Hill consensus conference (CHC) [22] and the classification criteria defined by the American College of Rheumatology (ACR) [23]. As controls, genotype data from a 'southern German' group of healthy donors were provided by E.A.

#### Clinical data

In order to compare groups of patients with regard to systemic dissemination of the disease, the involvement of different organ systems was recorded. The extent of disease manifestation was determined in accordance with the definitions of the disease extension index (DEI [24]) representing an extended ear/nose/ throat/lung/kidney (ELK) classification [25].

 
 Table 1. Baseline characteristics of myeloperoxidase (MPO)-ANCA<sup>+</sup> and proteinase 3 (PR3)-ANCA<sup>+</sup> vasculitis patients

	$\begin{array}{c} \text{MPO-ANCA} \\ n = 26 \end{array}$	PR3-ANCA $n = 76$
(F (female)/M (male))	12/14	37/39
Age at onset of disease, years		
(median)	61	52
(range)	(21-87)	(13-89)
Kidney survival, months		
Median	10.6	5.6
Range	3-24	1 - 12
Mean number of affected organs	3	4.9

Symptoms and signs compatible with vasculitic involvement in the following organ systems were recorded: ear, nose and throat (including larynx and paranasal sinuses); lung; kidney; eyes; skin; joints and muscles; heart, gastrointestinal tract; liver; peripheral/ central nervous system; others (e.g. fever, weight loss). Upper respiratory tract involvement was mainly based on symptoms (e.g. epistaxis), sinus x-rays and nasal biopsies. Lung involvement was judged from x-ray reports, fibroscopic bronchial biopsy samples or open lung biopsy. Kidney involvement was documented by biopsy, the follow up was based on changes in serum creatinine concentration and/or urinary findings (Table 1). According to the extent of the disease the PR3-ANCA<sup>+</sup> subgroup of patients with end stage renal disease (ESRD PR3-ANCA) or patients who died from the disease was analysed separately (n = 32).

## DNA preparation, HLA typing, microsatellite analyses and allele specific (ASO) hybridization

DNA was extracted from peripheral blood according Miller *et al.* [26]. Typing of HLA-DR and HLA-DQ has been detailed previously [27]. Microsatellite analyses of *IL-2*, *IL-5RA* and *TNFa* were performed using polymerase chain reaction (PCR) amplification with previously described primers [28,29]. *TNF* $\alpha$  promotor region polymorphism (G  $\rightarrow$  A transition at position 308) was analysed according to McGuire *et al.* [30]. The *Fc* $\gamma$ *IIRA* polymorphism was analysed using PCR primers and conditions described by Osborne *et al.* [31].

#### Statistical analysis

Statistical analyses were performed for both groups of AASV patients as well as for the ESRD subgroup of patients with PR3-ANCA. Statistical significance was evaluated by the  $\chi^2$  test or Fisher's exact test. The *P* values have been corrected for the number of comparisons made according to Svejgaard & Ryder (*P* = uncorrected, *P*<sub>c</sub> = corrected [32]). Relative risk (RR) was calculated as described [33]. Linkage disequilibria (LD) and probability values were calculated with the on-line version of LINKDOS [34]. Haplotype frequencies were estimated with the software Arlequin [35].

### RESULTS

#### HLA-DRB1 and DQB1 typing

Patients with AASV and the healthy controls were typed for *HLA*-*DRB1* alleles. The DRB1 phenotype frequencies for the PR3- and

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**Fig. 1.** Phenotype frequencies of HLA-DRB1 in controls, myeloperoxidase (MPO)-ANCA<sup>+</sup>, proteinase 3 (PR3)-ANCA<sup>+</sup> and the end stage renal disease (ESRD) subgroup of ANCA-associated systemic vasculitis (AASV) patients. Indicated *P* values represent significant differences of patient groups *versus* controls.

MPO-ANCA<sup>+</sup> patients and controls are given in Fig. 1. Decreased occurrence of DRB1\*13 antigens was observed among PR3-ANCA<sup>+</sup> patients compared with controls (12.5% *versus* 25.5%, RR = 0.4, P < 0.05). The comparison of the overall phenotype frequencies of the DRB1\*13/14 antigens in PR3-ANCA<sup>+</sup> patients and controls reached statistical significance after correction for multiple comparisons (RR = 0.3,  $P_c < 0.05$ ). The frequency of the DRB1\*04 type was elevated in the ESRD subgroup of PR3-ANCA<sup>+</sup> compared with the control group (53.5% and 26.1%, RR = 3.24, P < 0.005).

The phenotype frequencies of the *HLA-DQB1* alleles are shown in Fig. 2. The only statistically significant difference

between both AASV groups and the control group was a significant decrease of the DQB1\*0603 phenotype within the PR3-ANCA<sup>+</sup> patient group (3% and 16.8%, RR = 0.2, P < 0.005).

## Typing of the IL-2 and IL-5RA microsatellites and the $Fc\gamma$ IIRA polymorphism

Phenotype frequencies were compared between healthy controls and patients for the *IL-2* and *IL-5RA* microsatellites, respectively. No significant differences were found between the controls and the PR3- as well as the MPO-ANCA<sup>+</sup> groups (data not shown). Genotyping of the exonic polymorphism in the  $Fc\gamma IIRA$  gene in the AASV and control groups revealed similar allele and genotype



**Fig. 2.** Phenotype frequencies of HLA-DQ in controls, myeloperoxidase (MPO)-ANCA<sup>+</sup> and proteinase 3 (PR3)-ANCA<sup>+</sup> ANCA-associated systemic vasculitis (AASV) patients.



**Fig. 3.** Phenotype frequencies of the *TNFa* microsatellite alleles in controls, myeloperoxidase (MPO)-ANCA<sup>+</sup> and proteinase 3 (PR3)-ANCA<sup>+</sup> ANCA-associated systemic vasculitis (AASV) patients.

distributions. No significant differences were observed between the controls and both AASV groups (data not shown).

# *Typing of* TNFa *microsatellite and* TNF-308 *promotor polymorphisms*

The phenotype frequencies of the *TNFa* microsatellite are shown in Fig. 3. The phenotype frequency of allele 6a and 11a is elevated in patients with PR3-ANCA<sup>+</sup> compared with the controls (6a: 32% and 18·2%, RR = 2·1, P < 0.05; 11a: 45·3% and 29·87%, RR = 1·9, P < 0.05). For the same locus the *TNFa* promotor polymorphism was investigated in our AASV patients and in the healthy control group. No difference was detected between the allele and genotype frequencies in the promotor region of the *TNFa* gene between patients and controls (data not shown).

#### Linkage disequilibria analysis between TNFa microsatellite, HLA-DRB1 and HLA-DQB1 loci

LD analysis was performed between the *TNFa* microsatellite, the *HLA-DRB1* and the *DQB1* loci. *TNFa* and *DRB1* as well as *TNFa* and *DQB1* loci appeared in stronger LD in AASV patients

**Table 2.** Haplotype frequencies of the *TNFa* microsatellite, the HLA-DR and the HLA-DQ types in proteinase 3 (PR3)-ANCA<sup>+</sup> patients and controls

	PR3-ANCA	Controls	Р
TNFα-HLA-DR	n=73	n = 58	
TNFα 2a-DR4, %	11.7	0	<0.0005
<i>TNF</i> α 6a-DR2, %	6	0	<0.01
TNFα 10a-DR13	0	5.1	<0.01
TNFα-HLA-DQ	n = 68	n = 60	
TNFα 2a-DQ0602, %	0	9	<0.0005
TNFα 6a-DQ0301, %	5.5	0	<0.01
TNFα 11a-DQ0602, %	14.1	0.8	<0.0001
HLA-DR-HLA-DQ	n = 66	n = 180	
DR13-DQ0603, %	1.5	7.5	<0.05

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compared with controls (P < 0.0005 versus P > 0.05). This result stands in contrast to the situation of the *DRB1* and *DQB1* loci, which were in LD in both cohorts. Several significant haplotype frequency differences were evident between the *TNFa* microsatellite and HLA-DRB1/DQB1 in the PR3-ANCA<sup>+</sup> patient versus the control group. Haplotype analyses was not performed for the MPO-ANCA<sup>+</sup> group due to insufficient numbers of patients. The main differences in presumable haplotype frequencies between the PR3-ANCA<sup>+</sup> patients and the control groups are shown in Table 2. Although there were several significant haplotype frequency differences between the *TNFa* microsatellite and the *DRB1* locus as well as the *TNFa* microsatellite and the *DQB1* locus, these haplotypes apparently did not encompass this entire region.

#### DISCUSSION

Disease associations of WG with HLA antigens were observed several decades ago, albeit with contradictory results. Our results support the findings of Hagen et al. [11], i.e. DRw6 (subtype DRB1\*13) tends to occur with reduced frequencies in patients with WG. These authors presented a negative 'HLA-DR13DR6' association with AASV in a group of 224 patients compared with a control cohort comprising more than the 10-fold number of individuals. Our data support an association of the HLA class II locus and a possible 'protective' effect of DRB1\*13/14 antigens. The interpretation of this 'protective' effect of the DRB1\*13/14 and DQB1\*0603 types in the extended haplotype DRB1\*13-DQB1\*0603 and the functional relevance for the induction of autoreactive T cells is not straightforward. DRB1\*13/14 and/or DQB1\*0603 antigens, when presenting antigens from azurophilic granules, may modulate the response of autoreactive T cells. Carefully designed studies with further differentiation of the DRB1\*13 antigens comprising larger patient cohorts and matched controls must be performed to confirm a negative association of AASV with the DRB1\*13/14 antigen in our population. To identify predisposing genetic factors which increase the risk of disease development we investigated additional relevant

genes in the (auto)immune response of AASV. For loci encoding the proinflammatory molecules *IL-2* as well as *IL-5RA*, no association between PR3-ANCA manifestation and these genes was evident.

PR3- and MPO-ANCA have been shown to induce the respiratory burst in PMN and monocytes. A mechanism by which ANCA activate the signal transduction system from the cell surface leading to release of free oxygen radicals is supposed to be mediated through  $Fc\gamma IIRA$  [6].  $Fc\gamma IIRA$  genes express two alleles codominantly, R131 and H131. Both alleles differ in the ability to bind IgG2 and IgG3. The H131 allele is the only Fc receptor recognizing human IgG2 efficiently [36]. Heterozygous and homozygous carriers of the R131 allele were shown to be at higher risk of bacterial infections due to disturbed phagocytic functions of PMN and monocytes [37-39]. Additionally, the R131 allele is associated with nephritis in systemic lupus erythematosus (SLE) [40]. PMN H131/H131 and R131/R131 homozygous individuals differ by more than three-fold in the production of free oxygen radicals after binding ANCA to their ligand [41]. The authors concluded that  $Fc\gamma IIRA$  alleles may represent risk factors influencing the release of free oxygen radicals in inflammatory processes and tissue injury. The data in our cohorts as well as another study on 147 WG patients published recently [42] exclude an association of the  $Fc\gamma RIIA$  polymorphism and the manifestation of AASV. Local TNF- $\alpha$  production was found to be increased in renal biopsies of ANCA<sup>+</sup> glomerulonephritis and the TNF- $\alpha$  concentration correlated with the activity of the disease [43]. The  $TNF\alpha$  gene is situated in the class III region of the HLA complex on chromosome 6. The TNF-308 (A) allele of the promotor is part of an extended haplotype in the HLA region (including the DRB1\*03 antigen) and was shown to be associated with increased TNF- $\alpha$ production and with other inflammatory diseases such as rheumatoid arthritis, asthma and malaria [44-46]. To evidence a possible association of the genetically determined over-production of TNF- $\alpha$  and its subsequent over-stimulation of PMN with clinical manifestations of AASV, this polymorphism was typed in our cohorts. Our data support a recently published study excluding disease association with the promotor polymorphism TNF-308 in the patients [47]. Furthermore, we genotyped a polymorphic microsatellite in the vicinity of the  $TNF\alpha$  gene. In contrast to the  $TNF\alpha$  promotor polymorphism, in this case the frequencies differed between the patient and the control groups. Interestingly, we found an increased representation of allele 11a. The high phenotype frequencies of the allele 11a as well as the overrepresentation especially of the TNFa 2a/DRB1\*04 and TNFa 11a/DQB1\*0602 extended haplotypes in vasculitis patients with ESRD, together with a lack of a common haplotype between the  $TNF\alpha$ , HLA-DR and DQ loci, indicate however that another functional polymorphism might be located in the vicinity, contributing to the pathogenesis and especially to severe courses of WG. HLA typing as well as other markers in the MPO-ANCA<sup>+</sup> patient group show similarities to as well as a few differences from the PR3-ANCA<sup>+</sup> group. However, no statistical analysis could be performed because of the small number of individuals in this group.

In addition to the confirmed association of WG with the PiZ allele of the  $\alpha I$ -AT gene and the protective effect of the DRB1\*13/14 allele, no major genetic predisposing factors are known to play a role in WG and in AASV. Our data suggest that there are other polymorphisms located in the HLA region as well as in other genomic regions conferring susceptibility to AASV and relevant to the clinical course of the disease.

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