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## Antimutagenicity of Cinnamaldehyde and Vanillin in Human Cells: Global Gene Expression and Possible Role of DNA Damage and Repair

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### Abstract

Vanillin (VAN) and cinnamaldehyde (CIN) are dietary flavorings that exhibit antimutagenic activity against mutagen-induced and spontaneous mutations in bacteria. Although these compounds were antimutagenic against chromosomal mutations in mammalian cells, they have not been studied for antimutagenesis against spontaneous gene mutations in mammalian cells. Thus, we initiated studies with VAN and CIN in human mismatch repair-deficient (*hMLH1*<sup>-</sup>) HCT116 colon cancer cells, which exhibit high spontaneous mutation rates (mutations/cell/generation) at the *HPRT* locus, permitting analysis of antimutagenic effects of agents against spontaneous mutation. Long-term (1–3-week) treatments of HCT116 cells with VAN at minimally toxic concentrations (0.5–2.5 mM) reduced the spontaneous *HPRT* mutant fraction (MF, mutants/10<sup>6</sup> survivors) in a concentration-related manner by 19% to 73%. A similar treatment with CIN at 2.5–7.5 μM yielded a 13% to 56% reduction of the spontaneous MF. Short-term (4-h) treatments also reduced the spontaneous MF by 64% (VAN) and 31% (CIN). To investigate the mechanisms of antimutagenesis, we evaluated the ability of VAN and CIN to induce DNA damage (comet assay) and to alter global gene expression (Affymetrix GeneChip) after 4-h treatments. Both VAN and CIN induced DNA damage in both mismatch repair-proficient (HCT116 + chr3) and deficient (HCT116) cells at concentrations that were antimutagenic in HCT116 cells. There were 64 genes in common whose expression was changed similarly by both VAN and CIN; these included genes related to DNA damage, stress responses, oxidative damage, apoptosis, and cell growth. RT-PCR results paralleled the Affymetrix results for 4 selected genes (*HMOX1*, *DDIT4*, *GCLM*, and *CLK4*). Our results show for the first time that VAN and CIN are antimutagenic against spontaneous mutations in mammalian (human) cells.

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These and other data lead us to propose that VAN and CIN may induce DNA damage that elicits recombinational DNA repair and, consequently, reduces spontaneous mutation.

## Keywords

Cinnamaldehyde; Vanillin; Spontaneous mutagenesis; Microarray; Comet assay; Human cells; *HPRT*

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## Introduction

Epidemiological studies have demonstrated that certain components of the diet can have beneficial effects to health, such as reducing risks for various types of cancers [1–4]. A wide array of dietary agents have been studied or are considered for chemoprevention of cancer [5], and many have antimutagenic activity in laboratory studies [6]. Vanillin (VAN) and cinnamaldehyde (CIN) are food flavorings that inhibit induced mutations in bacteria [7–10] and mammalian cells [11–14]. Although VAN and CIN inhibit spontaneous mutation in bacteria [15–19], this effect has not yet been reported in mammalian cells. VAN and CIN have been classified as bioantimutagens because they can modulate cellular processes such as DNA replication and repair [18]. Studies in bacteria led to the hypothesis that VAN and CIN inhibited mutagenesis by increasing repair of DNA damage through the recombinational repair pathway [10]. Later studies in *Drosophila* supported this view [20,21].

We showed previously that VAN and CIN reduced spontaneous mutations in *Salmonella* TA104 only at GC sites and not at AT sites and that this inhibition required the pKM101 plasmid [17]. Recently, we demonstrated that VAN and CIN required RecA recombinational repair, but not mismatch, SOS, or nucleotide excision repair, in order to reduce spontaneous mutation in *Escherichia coli* [19]. In addition, VAN, but not CIN, was actually mutagenic in a mismatch repair (MMR)-defective strain of *E. coli* [19]. Thus, we proposed that VAN and CIN produced DNA damage that elicited recombinational repair, but not other types of repair, resulting in a reduction of spontaneous mutation in bacteria [19].

To examine whether these compounds exhibited antimutagenic effects in mammalian (human) cells, we evaluated the ability of VAN and CIN to reduce spontaneous mutagenesis in mismatch repair-deficient (MMR<sup>-</sup>) human colon cancer HCT116 cells. These cells have an elevated spontaneous *HPRT* mutation rate of  $\sim 86 \times 10^{-6}$  mutations/cell/generation, which is  $\sim 19$  times higher than in the mismatch repair-corrected cell line HCT116 + chr3 ( $4.55 \times 10^{-6}$ ) [22]. The spontaneous mutant fraction (MF) for the MMR<sup>-</sup> HCT116 cells is  $\sim 12.5$  times higher ( $150 \times 10^{-6}$ ) than in MMR<sup>+</sup> HCT116 + chr3 cells ( $12 \times 10^{-6}$ ) [23]. Cells with a high spontaneous mutation rate are needed to effectively detect treatment-induced reductions in spontaneous mutagenesis. HCT116 cells were used to demonstrate the antimutagenicity of dietary antioxidants such as ascorbate,  $\alpha$ -tocopherol, (-) epigallocatechin gallate (EGCG), and lycopene against spontaneous mutation [22,24]. Lycopene was the most effective of these antimutagens, reducing the spontaneous mutation rate at *HPRT* by 70% [22].

Because VAN was mutagenic in mismatch repair-deficient *E. coli* [19] and both VAN and CIN required recombinational repair in order to be antimutagenic, we evaluated the reduction of the spontaneous MF at the *HPRT* locus in HCT116 cells as well as the induction of DNA damage (comet assay) by VAN and CIN in both HCT116 and HCT116 + chr3 cells. In addition, we examined the influence of VAN and CIN on global gene expression in these cells in order to identify genes and pathways that might play a role in the antimutagenic effects of these agents.

## Materials and methods

### Chemicals

VAN (>98% pure, Sigma, St. Louis, MO) and CIN (*trans*-cinnamaldehyde, >99% pure, Aldrich, Milwaukee, WI) were dissolved in dimethyl sulfoxide (DMSO, Burdick and Jackson, Muskegon, MI), and stored at 4°C as stock solutions at 5 M for VAN and 0.5 M for CIN. Fresh dilutions were made in medium immediately before each experiment. The final concentration of DMSO in the culture medium was 0.1%.

### Cell culture

HCT116 cells are MMR-deficient (*hMLH1*<sup>-</sup>) human colon cancer cells derived from a hereditary non-polyposis colon cancer HNPCC patient (ATCC, Rockville, MD). The HCT + chr3 cell line used in the comet assay was kindly provided by Dr. Thomas Kunkel (National Institute of Environmental Health Sciences, RTP, NC) [25]. Cell lines were maintained in DF medium, which consisted of Dulbecco's modified Eagle medium:Ham's F12 (1:1) with 10% fetal bovine serum (FBS, Gemini Bio Products, Calabasas, CA). Cells were cultured at 37°C in an atmosphere of 5% CO<sub>2</sub>. To cleanse cultures of pre-existing *HPRT* mutants, cells were grown in HAT medium (100-μM hypoxanthine, 0.4-μM aminopterin, and 16-μM thymidine in DF medium) for 10–14 days before the start of each mutagenesis assay.

### Survival assays

To determine the optimal non-toxic dose range for VAN and CIN for the antimutagenesis studies, 7 day "in situ" clonal survival assays were conducted as per Mure and Rossman [22]. Briefly, 300 cells were seeded per 60-mm dish, in triplicate, with VAN (0.5–5.0 mM) or CIN (5–50 μM). After 7 days growth in the presence of the compound, the surviving colonies were stained and counted. Concentrations of VAN and CIN that yielded greater than 70% survival were identified.

For determination of long-term (1–3 wk) survival, HCT116 cells were seeded at a density of  $2.5 \times 10^6$  cells per 100-mm dish and grown in the presence of the indicated concentration of VAN or CIN for 1–3 weeks. Fresh VAN or CIN was added to the cell cultures at every cell population expansion (once per week), resulting in discontinuous but repeated exposures to the compounds that can be likened to occasional, non-daily human dietary exposures. At the end of 1, 2, or 3 weeks, the cells were trypsinized and then reseeded at a density of 300 cells per 60-mm dish without VAN or CIN. After 7 days of growth, colonies were fixed in methanol, stained with 0.5% crystal violet in 50% methanol, and counted. Two independent assays were performed.

In the short-term (4 h) studies, cell survival was determined by reseeding 300 cells per 60-mm dishes, in triplicate, immediately following the end of the 4-h treatment with 2.5-mM VAN or 7.5-μM CIN. Surviving clones were stained and counted after 7 days growth in DF medium without VAN or CIN.

### Long-term mutant frequency assays

Accumulating spontaneous mutations were measured over several weeks in cultured mammalian cells as described previously [26]. Briefly,  $5 \times 10^5$  cells from HAT-treated cultures were seeded in nonselective DF medium with or without VAN or CIN present during population growth expansion [22]. Mutants were then allowed to accumulate while the cultures grew for 15–18 generations over 3 weeks. At 1, 2, and 3 weeks,  $10^6$  cells were sampled and reseeded into medium containing 6.7 μg/ml of 6-thioguanine (6TG, Sigma, St. Louis, MO) at a density of  $10^5$  cells per 100-mm dish for mutant selection. The plating efficiency in nonselective medium and the total cell count were determined each week at the time of

sampling. 6TG medium was replenished after 7 days, and mutant colonies were stained after 12–14 days with 0.5% crystal violet in 50% methanol and then scored. Mutant fraction (MF) was calculated by dividing the number of mutant colonies by the number of cells seeded, corrected for plating efficiency.

For VAN, we carried out three independent assays, each including treatments of 0 (control), 0.5, 1.0, and 2.5-mM VAN. For CIN, we originally carried out three independent assays, each including treatments of 0 (control), 2.5, 5.0, 7.5- $\mu$ M CIN. Because the highest treatment concentration in the third assay was invalidated by contamination, we added a fourth assay that included only the control and the highest concentration.

### Short-term mutant frequency assay

Two days before treatment with VAN or CIN, HAT-treated HCT116 cells were seeded in two T-175 flasks at  $2 \times 10^6$  cells per flask in DF medium supplemented with 10% FBS. At 60% confluence ( $\sim 3.5 \times 10^6$  cells per flask), cells were treated with 7.5- $\mu$ M CIN or 2.5-mM VAN for 4 h at 37°C in DF and then harvested. Cells were seeded for survival as noted above. Concurrently, two T-75 flasks were each seeded with  $5 \times 10^5$  untreated cells or VAN- or CIN-treated cells and incubated for 1 week for mutant expression to deplete HPRT enzyme. The cells were then reseeded in medium containing 6.7  $\mu$ g/ml of 6TG at  $10^5$  cells per 100-mm dish for mutant selection. 6TG medium was replenished after 7 days, and mutant colonies were stained after 12–14 days with 0.5% crystal violet in 50% methanol and scored. The plating efficiency in nonselective medium was also determined at the time of plating into 6TG medium for mutant fraction calculations, with mutant fraction expressed as mutants/ $10^6$  surviving cells and corrected for plating efficiency. Three independent assays were performed.

### Comet assay

HCT116 and HCT116 + chr3 cells were exposed to VAN (0, 0.5, 1.0, and 2.5 mM) and CIN (0, 2.5, 5.0, and 7.5  $\mu$ M) in DF medium without FBS for 4 h at 37°C to evaluate DNA damage via a modified version of the comet assay described by Singh *et al.* [27]. Briefly,  $\sim 50,000$  cells were used to prepare each slide. Slides were placed in a horizontal electrophoresis tank containing 0.3-M NaOH and 1-mM NA2EDTA, pH 13 to unwind the DNA for 20 min before electrophoresis at a constant voltage of 25V for 40 min. After electrophoresis, the slides were neutralized, dried, and stained with 20  $\mu$ g/ml of ethidium bromide (dissolved in water), and 50 comets/slide were scored using Komet 5.0 (Kinetic Imaging Ltd., Liverpool, UK). Results were expressed as Olive Tail Moment (OTM). All experiments were done in low light to reduce background UV induction of DNA damage. Two independent experiments were conducted with VAN and CIN in both the HCT116 and HCT + chr3 cell lines, and 2 slides were prepared for each concentration of VAN and CIN. Thus, for each concentration, 200 cells from 4 slides were scored to evaluate the effects of VAN and CIN in each cell line.

### Microarray analysis

Gene expression analysis was conducted using Affymetrix Human U133A Genechip<sup>®</sup> arrays (Affymetrix, Santa Clara, CA), which assays approximately 14,500 human genes with 22,215 probesets. Total RNA from untreated HCT116 cells and cells exposed for 4 h to VAN (2.5 mM) and CIN (7.5  $\mu$ M) from three independent MF assays described previously was isolated using an RNeasy<sup>®</sup> Minikit (QIAGEN Inc., Valencia, CA). Total RNA from each of these experiments was amplified using the Affymetrix 1-Cycle cDNA Synthesis protocol. Starting with 1  $\mu$ g of total RNA, cRNA was produced according to the manufacturer's protocol. For each array, 15  $\mu$ g of amplified cRNAs were fragmented and hybridized to the array for 16 h in a rotating hybridization oven using the Affymetrix Eukaryotic Target Hybridization Controls and protocol. Slides were stained and washed as indicated in the Antibody Amplification Stain for Eukaryotic Targets protocol using the Affymetrix Fluidics Station FS450. Arrays were then

scanned with an Affymetrix Scanner 3000. Probe-level intensities were obtained using the Genechip® Operating Software (Version 1.2.0.037).

Validation of Affymetrix array results using real-time PCR amplification (RT-PCR) was conducted on four genes (*HMOX1*, *GCLM*, *CLK4*, and *DDIT4*), each of which had 1.5-fold or greater changes in gene expression for at least one of the two compounds. Briefly, cDNA was generated from 450 ng of RNA from each sample using a High Capacity cDNA Archive Kit (Applied Biosystems, Foster City, CA) according to the manufacturer's instructions. RT-PCR reactions consisted of 3 µl of cDNA (a total of 27 ng), 1 X TaqMan® Universal Master Mix (Applied Biosystems), and 1 X primer/probe mix for the four genes: *HMOX1* (hCG40033), *GCLM* (hCG3194), *CLK4* (hCG20100), and *DDIT4* (hCG2024489, Applied Biosystems) in a final volume of 10 µl. Signals were recorded during PCR using an ABI PRISM® instrument (Applied Biosystems). All gene expression results were normalized to 18S rRNA expression (Hs99999901 Applied Biosystems) and were calculated using the delta Ct method (Applied Biosystems).

### Data analysis

We analyzed MF as an outcome in the long-term assays assuming that the observed MF had an underlying Poisson distribution with possible extra-Poisson variation. Our analysis used generalized linear mixed models with Poisson error distribution and its canonical link function (logarithm); in essence, our analysis was a mixed-effects analysis of variance for Poisson-distributed data. We conducted separate analyses for each compound. Our analysis estimated a separate geometric mean value of mutant fraction for each week and concentration of VAN and CIN and accounted for relevant sources of variation, including the multiple independent assays and possibly differing week- or concentration-response patterns among those assays.

We also used a mixed-function-effects analysis-of-variance approach similar to the previous one to analyze MF as an outcome in the short-term assays. Here, our analysis estimated separate geometric mean values for control, 7.5-µM CIN, or 2.5-mM VAN and accounted for assay-to-assay variation.

We analyzed the log-transformed median OTM of 50 cells per slide as an outcome in the comet assay. Based on examination of the residual plots, and from our experience with comet data from previous studies (unpublished data), we concluded that these transformed values had an approximately normal distribution and carried out a mixed-model analysis of variance separately for each compound. Our analysis fit a separate mean value for each cell line and each concentration level and accounted for relevant sources of variation, including independent assays, differing concentration- or cell-line response patterns between assays, and slide-to-slide variation.

All of the preceding statistical analyses were carried out using SAS software (version 9.0, SAS Institute, Cary, NC). In particular, we fitted the generalized linear mixed models with the GLIMMIX macro <<http://support.sas.com/ctx/samples/index.jsp?sid=536>>.

Expression levels for each gene in each sample were obtained from probe-level intensities using Robust Multichip Average analysis [28], and the resulting data were uploaded into GeneSpring® (Version 7.2). The variance for each gene was estimated using the Cross-Gene Error Model (Rocke-Lorenzo; GeneSpring® version 7.2; Redwood City, CA). Fold change was calculated as the ratio of the mean of three experimental expression measures to the mean of three control expression measures. Functional annotation for selected genes was obtained from GeneCards (<http://www.genecards.org>), an integrated database of human genes that includes automatically mined genomic, proteomic, and transcriptomic information.



## Results

### Survival and antimutagenesis for VAN and CIN

Before antimutagenicity testing, the cytotoxicities of VAN and CIN were evaluated in 7 day “in situ” clonal survival assays and in 1–3-week exposure assays. In the 7-day clonal survival assays, survival curves were generated for HCT116 cells with 5–50- $\mu$ M CIN and 0.5–5.0-mM VAN (data not shown). At the highest concentrations tested for both CIN and VAN, cell survival was 10% or less. We chose concentrations that produced ~70% survival, 7.5  $\mu$ M CIN and 2.5-mM, as the maximum concentration of each compound for further studies. The 1–3-week survival data (Fig. 1A and 1B) confirmed that the minimally toxic VAN concentrations of 0.5 mM, 1 mM, and 2.5 mM and CIN concentrations of 2.5  $\mu$ M, 5  $\mu$ M, and 7.5  $\mu$ M were suitable for both the long- and short-term assays.

Typical long-term mammalian mutagenesis studies show exponential accumulations of mutants in continuous culture, and repeated dosing by effective antimutagens reduces this accumulation [22]. The MF concentration-response data for VAN and CIN (Fig. 2) represent the average of three independent experiments. VAN over a concentration range of 0.5–2.5 mM for 1–3 weeks suppressed the accumulation of *HPRT* mutants in HCT116 cells compared to untreated control cells in a concentration-related manner (overall trend test across all weeks,  $p < 0.001$ ). For example, 2.5-mM VAN reduced the MF by 53% (95% CI; 32, 67), 72% (58, 82), and 54% (36, 66) in weeks 1, 2, and 3, respectively (Fig. 2). CIN also suppressed spontaneous MF over a concentration range of 2.5–7.5  $\mu$ M, in a concentration-related manner (overall trend test across all weeks,  $p < 0.001$ ). For example, at 7.5  $\mu$ M CIN reduced the spontaneous *HPRT* MF by 56% (33, 71), 31% (5, 49), and 45% (25, 60) at 1, 2, and 3 weeks, respectively (Fig. 2).

In addition to the long-term exposures, HCT116 cells were exposed for 4 h to 2.5-mM VAN and 7.5- $\mu$ M CIN in three replicate experiments. Cell survival under these exposure conditions was 95% for CIN and 82% for VAN. At 2.5 mM, VAN significantly reduced the mutant fraction by 64% ( $p = 0.006$ ); at 7.5  $\mu$ M, CIN reduced the mutant fraction by 31%; however, this was not statistically significant ( $p = 0.06$ ).

### Comet assay

VAN and CIN were evaluated in the comet assay for DNA damaging effects in both the HCT116 and HCT116 + chr3 cell lines after 4-h exposures. At concentrations that were antimutagenic against spontaneous *HPRT* mutations, VAN induced significant, concentration-related increases in DNA damage (trend test,  $p < 0.01$ ) in both HCT116 and HCT116 + chr3 cells (Fig. 3A). At 2.5 mM VAN, DNA damage was slightly higher in the MMR<sup>+</sup> cell line HCT116 + chr3 than in the MMR<sup>-</sup> cell line HCT116, and DNA damage levels were significantly different between the two cell lines ( $p = 0.004$ ). CIN also induced significant, concentration-related increases in DNA damage (trend test,  $p < 0.01$ ) in both cell lines (Fig. 3B). However, in contrast to VAN, the levels of DNA damage induced in the two cell lines by CIN were not significantly different ( $p = 0.80$ ). Thus, both VAN and CIN induced concentration-related increases in DNA damage in both the MMR<sup>+</sup> and MMR<sup>-</sup> cell lines.

### Gene expression

Transcriptional changes after 4-h exposures to 2.5-mM VAN or 7.5- $\mu$ M CIN were evaluated from three independent experiments. Most statistically significant changes in gene transcription were modest, ranging from 1.20 to 5.05 fold (Table 1). Genes with probe-level intensities below 60 were considered noise, thus removing 13,875 probesets from the analysis. Differential expression was assessed at  $p < 0.01$  and  $p < 0.05$  by one-way analysis of variance for each treatment compared to control. For  $p < 0.05$  VAN had 459 significant probesets and

CIN had 291 significant probesets. The gene lists were compared, identifying 67 genes in common. Of these 67 genes, 64 genes changed from 14% to 52% in the same direction for both VAN and CIN. Table 1 shows a list of 23 selected genes that may have potential roles in DNA damage, oxidative and stress responses. For example, *HMOX1* and *HSPA1B* were up-regulated by both VAN and CIN. Expression of the damage-inducible transcript *DDIT4* was increased only after VAN exposure. In addition, genes in the glutathione synthesis pathway, *GCLM* and *GCLC*, were up-regulated by both VAN and CIN. A number of genes involved in growth and cell proliferation, including *MAP2K2*, *FGFR2*, *TGFB111*, *JUND*, *INSIG1*, and *CTGF*, were down-regulated to a similar extent by both VAN and CIN. For validation of the microarray results, RT-PCR was conducted for 4 genes (*HMOX1*, *DDIT4*, *GCLM*, and *CLK4*) with 1.5-fold or greater expression differences for at least one of the two compounds. Fold changes for these 4 genes were similar for both RT-PCR and microarray (Fig. 4).

## Discussion

Antimutagenic effects of VAN and CIN against a variety of chemical and physical mutagens have been reported in numerous studies in bacteria [6–9], mammalian cells [11–14,29,30], and *in vivo* systems [11,31–33]. Only a few studies have reported on the antimutagenic effects of VAN and CIN against endogenous mutations in bacteria [15–17] and for VAN in *Drosophila* [34]. In the present study, we found that VAN and CIN inhibited spontaneous mutagenesis in the human colon cancer cell line HCT116 in a concentration-dependent manner in long-term exposures (Fig. 2) and were also effective in short-term exposures.

Both VAN and CIN reduced MF relative to that of the untreated cells in all replicate experiments at nontoxic concentrations yielding 70–90% cell survival. In the long-term assays, VAN reduced MF at all time points (1, 2, and 3 weeks) in a concentration-related manner in all replicate experiments. For example, 2.5-mM VAN reduced the spontaneous MF by ~50% in weeks 1 and 3, and by 72% at 2 weeks (Fig. 2). CIN also consistently reduced spontaneous MF at weeks 1, 2, and 3 in a concentration-related manner. For example, 7.5- $\mu$ M CIN reduced MF by ~50% at 1 and 3 weeks, and by 31% at 2 weeks (Fig. 2). In the short-term assays (4-h exposures), VAN and CIN had consistent, inhibitory effects on the spontaneous MF. After short-term exposures, the MF was reduced by 31% by CIN (7.5  $\mu$ M) and by 69% by VAN (2.5 mM).

The underlying mechanisms for the antimutagenic effects of VAN or CIN are still not completely understood. Results from previous studies in bacterial strains, have suggested that VAN and CIN reduce spontaneous and induced mutagenesis by similar mechanisms, principally through enhancement of recombinational repair. However, results from the present study, along with recently published studies in mammalian cells [30,35–37], suggest that VAN and CIN may enhance recombinational repair through different mechanisms, possibly by inducing different types of DNA damage. Based on observations of the requirement for *recA* gene function in *E. coli* for the antimutagenic and survival-enhancing effects of VAN and CIN [7, 8,10], Ohta et al. [10] hypothesized that these antimutagens may inhibit mutations induced by chemical mutagens (e.g., 4-nitroquinoline) or physical mutagens (e.g., UV-light) by enhancing recombinational repair. In *Drosophila*, VAN inhibited mitomycin C-induced mutations and dramatically increased recombination [20]. VAN also significantly increased recombination in *Drosophila* exposed to bleomycin [21], indicating that VAN promotes homologous recombination in mutagen-treated organisms. We have shown that the *recA* recombination function in *E. coli lacI* strains is required for inhibition of spontaneous mutations [19]. VAN and CIN reduced spontaneous mutations in the wild-type strain NR9102 as well as in the nucleotide excision repair-defective strain NR11634 and the SOS repair-defective strain NR11475. However, in the *recA53* strain NR11317, which is deficient in both SOS repair and

recombination, both VAN and CIN were mutagenic, supporting a role for recombination in antimutagenesis by VAN and CIN.

Our observations that VAN and CIN were mutagenic in the recombination-defective *E. coli* strain NR11317 and that VAN was also slightly mutagenic in the *mutL* strain NR9319 [19], led us to examine the effects of VAN and CIN on DNA damage and on transcriptional changes in mammalian cells after 4-h exposures to minimally toxic concentrations of VAN and CIN in the present study. Although VAN and CIN clearly reduced the spontaneous MF in HCT116 cells after 4-h exposures, they also induced significant levels of DNA damage, detected as increased OTM in the comet assay, in both HCT116 and HCT116 + chr 3 cell lines (Fig. 3). The levels of DNA damage induced by VAN were greater in the MMR<sup>+</sup> cell line compared to the MMR<sup>-</sup> cell line, whereas the levels of DNA damage induced by CIN were not significantly different between the two cell lines. This observation suggests that the ability of VAN, but not CIN, to induce DNA damage is influenced by the MMR status of the cells.

In order to evaluate transcriptional changes after 4-h VAN or CIN treatment, we isolated RNA from each of three replicate antimutagenesis experiments for use in microarray experiments. Except for a 5-fold increase in heme oxygenase 1 (*HMOX1*), most statistically significant transcriptional changes were modest, with 2-fold or lower increases or decreases for all other genes analyzed, 23 of which are shown on Table 1. Among the genes up-regulated by VAN and CIN were *HMOX1*, a gene up-regulated in response to oxidative stress [38,39], and the heat shock 70 kDa protein 1B (*HSPA1B*). In addition, two genes in the glutathione synthesis pathway, *GCLC* and *GCLM*, were up-regulated after CIN exposure.

Several growth factor- and cell proliferation-related genes were down-regulated in response to VAN and CIN treatment, including *MAP2K2*, *FGFR2*, *TGFB1L1*, and *CTGF*. Down-regulation of growth factors and cell proliferation genes may also be consistent with a response to DNA damaging agents [40–43]. Gene expression changes in HCT116, a colon cancer cell line that was chosen for these antimutagenesis experiments because of its high spontaneous background, must be interpreted with caution because other cellular functions in these cells may also be dysregulated. However, the clear evidence of increased DNA strand breakage in the comet assay shows that VAN and CIN do induce DNA damage in mammalian cells.

Although the exact type of DNA damage induced by CIN is not known, results from several studies suggest that CIN may increase oxidative damage indirectly. At concentrations of CIN higher than used in our study, other researchers found that CIN depleted intracellular glutathione and protein thiol levels and increased reactive oxygen species (ROS) levels in mammalian cells [36,37]. Increased levels of ROS in turn induced apoptosis via decreased mitochondrial transmembrane potential and release of cytochrome c [37]. In the present study, increased transcription of the glutathione synthesis genes *GCLM* and *GCLC* is suggestive of glutathione depletion after CIN treatment.

In contrast to oxidant damage induced by CIN, VAN has been shown to have antioxidant and free-radical scavenging effects. VAN inhibited both singlet oxygen-induced single-strand breaks in the plasmid pBR322 and singlet oxygen-induced protein oxidation [44], scavenged free radicals [45,46], and inhibited lipid peroxidation [47,48]. Although VAN has exhibited antimutagenic effects against numerous genotoxic agents, co-treatment with VAN increased the *HPRT* mutant frequency in ethyl methanesulfonate (EMS)-treated V79 cells [30], and VAN increased recombination in *Drosophila* exposed to bleomycin without decreasing mutations [21]. These results suggest that the antimutagenic effect of VAN depends on the type of DNA lesion induced and the specific DNA repair pathway that corrects the DNA damage. In this regard, Durant et al. [35] reported that VAN inhibited non-homologous DNA end-joining (NHEJ) in human cell extracts by specifically inhibiting DNA PK enzyme activity without



affecting the level of RAD50/RPA foci formed during homologous recombination (HR) repair of DNA double-strand breaks. Thus, VAN may alter the balance between NHEJ, a potentially error-prone pathway, and HR, a relatively error-free pathway.

In summary, we have demonstrated for the first time that VAN and CIN reduced the spontaneous MF at minimally toxic concentrations in mammalian cells. Increased DNA damage, resulting from VAN and CIN treatment in the HCT116 and HCT116 + chr3 cell lines as detected in the comet assay, along with limited evidence of gene transcriptional responses to DNA damage, supports our hypothesis that VAN and CIN induce DNA damage, although the specific types of damage may differ between these compounds. As suggested by our bacterial studies [19], this damage may then elicit recombinational repair, correcting not only the DNA damage induced by VAN and CIN, but also removing endogenous DNA damage and contributing to the overall antimutagenic effect of these compounds.

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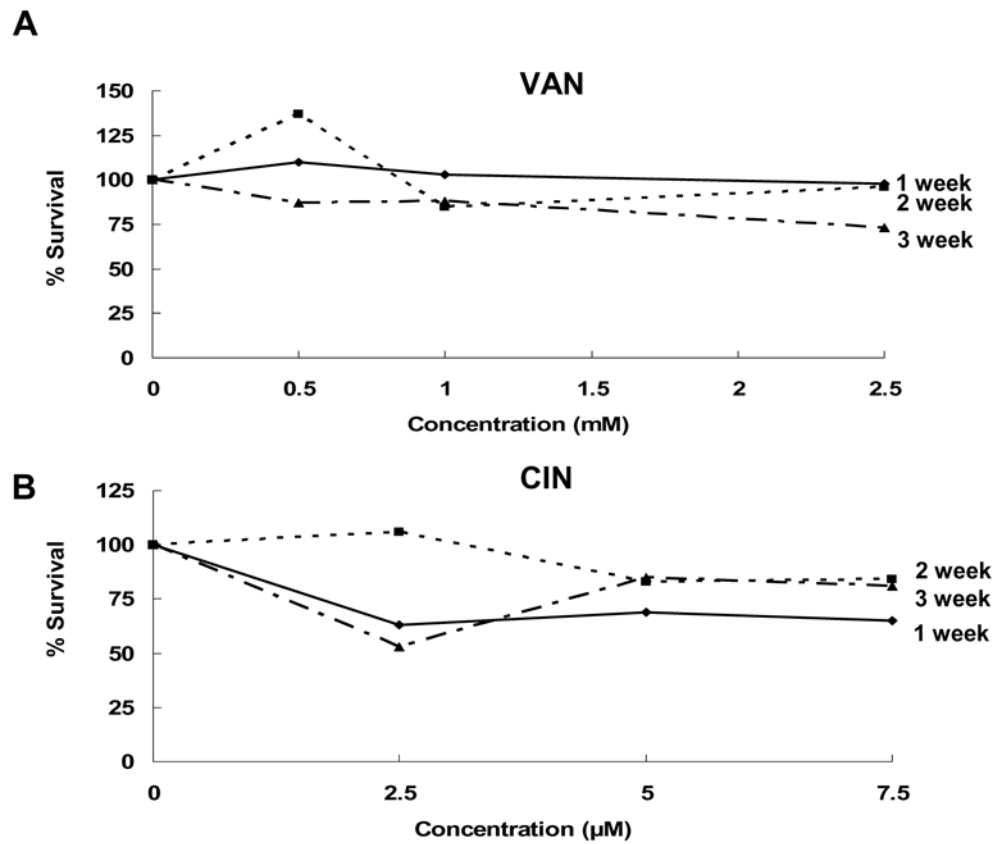
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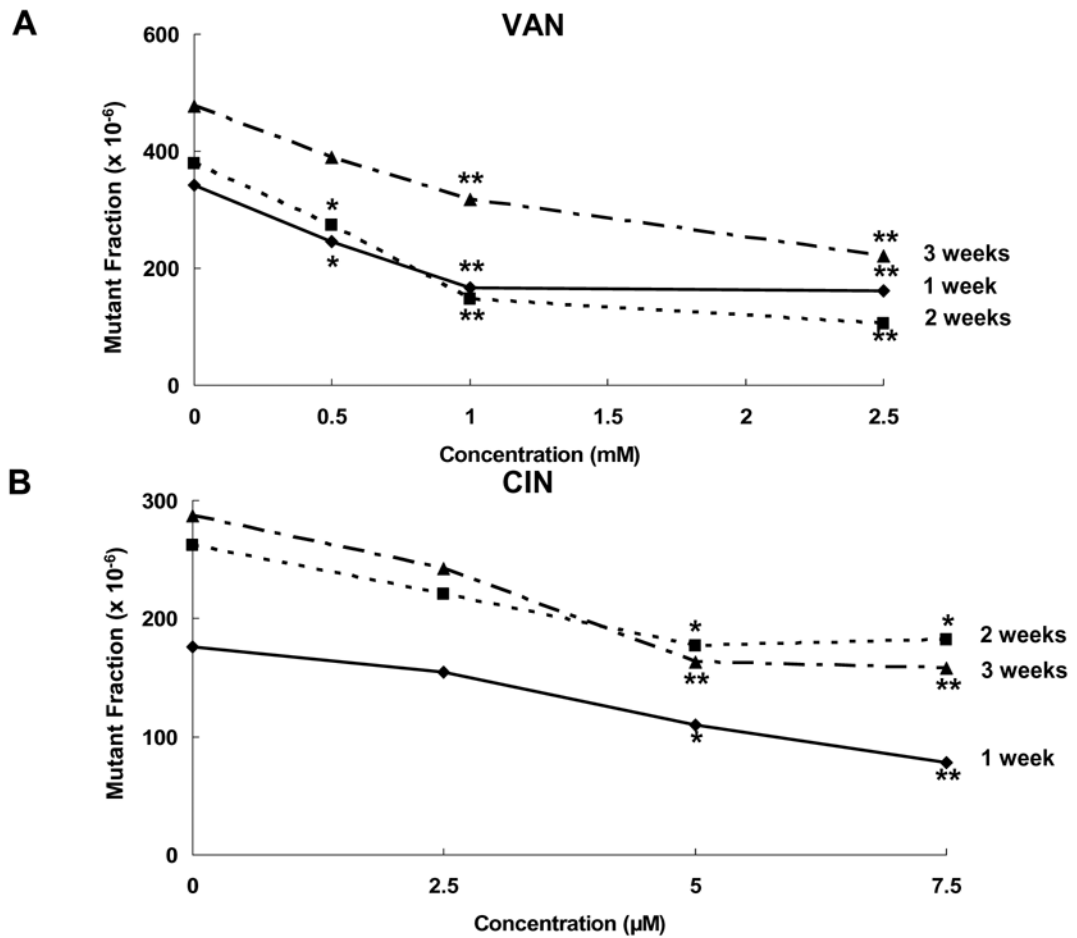
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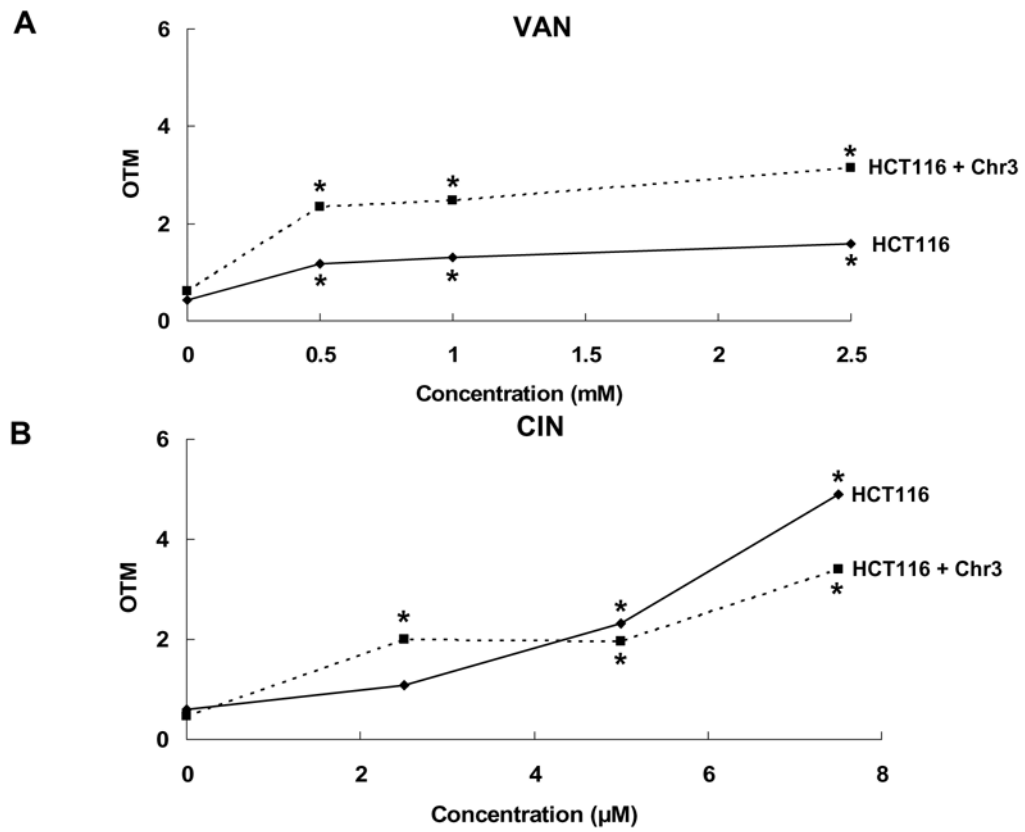


**Fig 1.** Clonal survival of HCT116 cells exposed to VAN (A) or CIN (B) for 1–3 weeks. Data represent the average of two independent experiments.

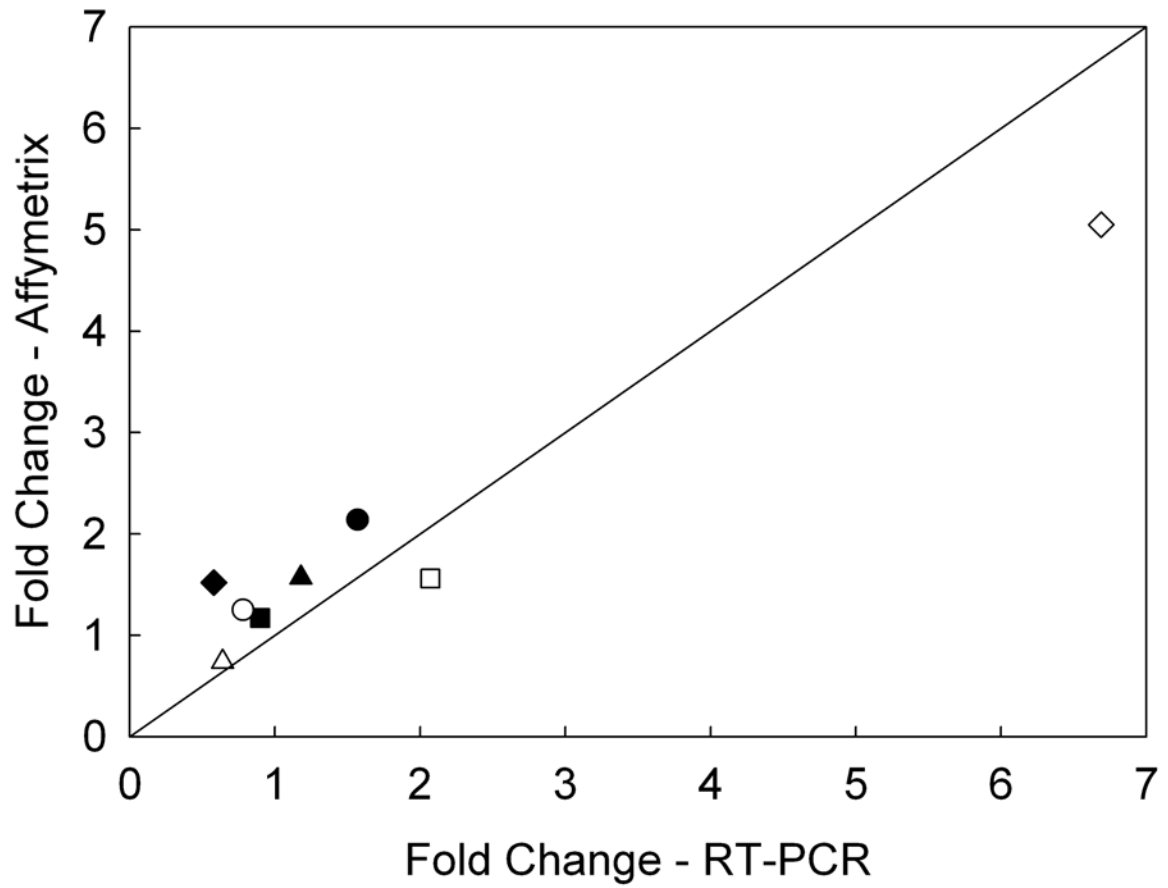


**Fig 2.** Reduction of spontaneous MF in HCT116 cells by VAN and CIN. The concentration-response curves for VAN (A) represent the data from three independent experiments. The concentration-response curves for CIN (B) represent data from 4 independent experiments. \* indicates a significant decrease in MF relative to untreated cells at  $p < 0.05$ . \*\* indicates a significant change at  $p < 0.01$ .





**Fig 3.** Effects of VAN (A) and CIN (B) on DNA damage in HCT116 and HCT116 + Chr3 cell lines in the comet assay. \* indicates a significant difference relative to untreated cells at  $p < 0.01$ .



**Fig 4.** Comparison of changes in gene expression after cells were exposed to VAN or CIN for 4 h among selected genes as measured by microarray versus RT-PCR. Filled symbols indicate fold changes with VAN treatment, and open symbols indicate fold changes with CIN treatment ( $r^2 = 0.97$ ,  $p = 0.0001$ ),  $\blacklozenge = HMOX1$ ,  $\square = DDIT4$ ,  $\bullet = CLK4$ ,  $\blacksquare = GCLM$ . The solid line indicates identical fold changes from both microarray and RT-PCR results.

**Table 1**  
Effect of VAN and CIN on expression of selected genes after a 4-h exposure

Gene symbol	Gene name	Fold change	
		VAN	CIN
<i>DDIT4</i>	Damage-inducible transcript 4	1.6 <sup>a</sup>	-1.3 <sup>a</sup>
<i>HMOX1</i>	Heme oxygenase (decycling)	1.5 <sup>a</sup>	5.1 <sup>a</sup>
<i>CLK2</i>	CDC-like kinase 2	1.4 <sup>a</sup>	-1.0 <sup>a</sup>
<i>SLC7A11</i>	solute carrier family 7	1.3 <sup>a</sup>	2.0 <sup>a</sup>
<i>TRIM16</i>	Tripartite motif-containing 16	1.2 <sup>a</sup>	2.2 <sup>a</sup>
<i>HSPA1B</i>	heat shock 70kDa protein 1B	1.2 <sup>a</sup>	1.4 <sup>a</sup>
<i>GCLM</i>	glutamate-cysteine ligase, modifier subunit	1.2 <sup>a</sup>	1.6 <sup>a</sup>
<i>GCLC</i>	glutamate-cysteine ligase, catalytic subunit	1.1 <sup>a</sup>	1.6 <sup>a</sup>
<i>TP53</i>	tumor protein p53 (Li-Fraumeni syndrome)	-1.1 <sup>a</sup>	-1.4 <sup>a</sup>
<i>UNG2</i>	uracil-DNA glycosylase 2	-1.1 <sup>a</sup>	-1.3 <sup>a</sup>
<i>MAP2K2</i>	mitogen-activated protein kinase kinase 2	-1.2 <sup>a</sup>	-1.3 <sup>a</sup>
<i>SLC35D2</i>	solute carrier family 35, member D2	-1.2 <sup>a</sup>	-1.2 <sup>a</sup>
<i>FGFR2</i>	fibroblast growth factor receptor	-1.2 <sup>a</sup>	-1.3 <sup>a</sup>
<i>TGFB11</i>	transforming growth factor beta 1 induced transcript 1	-1.3 <sup>a</sup>	-1.2 <sup>a</sup>
<i>DUSP6</i>	dual specificity phosphatase 6	-1.3 <sup>a</sup>	-1.1 <sup>a</sup>
<i>MARK4</i>	MAP/microtubule affinity-regulating kinase 4	-1.3 <sup>a</sup>	-1.3 <sup>a</sup>
<i>JUND</i>	jun D proto-oncogene	-1.3 <sup>a</sup>	-1.3 <sup>a</sup>
<i>INSIG1</i>	insulin induced gene 1	-1.3 <sup>a</sup>	-1.1 <sup>a</sup>
<i>CTGF</i>	connective tissue growth factor	-1.4 <sup>a</sup>	-1.1 <sup>a</sup>
<i>FZD2</i>	frizzled homolog 2 (Drosophila)	-1.5 <sup>a</sup>	1.0 <sup>a</sup>
<i>PPP1R10</i>	protein phosphatase 1, regulatory subunit 10	-1.6 <sup>a</sup>	-1.2 <sup>a</sup>
<i>UGP2</i>	UDP-glucose pyrophosphorylase 2	1.2 <sup>b</sup>	1.2 <sup>b</sup>
<i>DNMT3B</i>	DNA (cytosine-5-)-methyltransferase 3 beta	-1.2 <sup>b</sup>	-1.2 <sup>b</sup>

<sup>a</sup> Fold changes relative to untreated cells. Genes were differentially transcribed at  $p < 0.01$ .

<sup>b</sup> Genes were differentially transcribed at  $p < 0.05$ .