

Capture of linear fragments at a double-strand break in yeast

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ABSTRACT

Double-strand breaks (DSBs) are dangerous chromosomal lesions that must be efficiently repaired in order to avoid loss of genetic information or cell death. In all organisms studied to date, two different mechanisms are used to repair DSBs: homologous recombination (HR) and non-homologous end joining (NHEJ). Previous studies have shown that during DSB repair, non-homologous exogenous DNA (also termed ‘filler DNA’) can be incorporated at the site of a DSB. We have created a genetic system in the yeast *Saccharomyces cerevisiae* to study the mechanism of fragment capture. Our yeast strains carry recognition sites for the HO endonuclease at a unique chromosomal site, and plasmids in which a *LEU2* gene is flanked by HO cut sites. Upon induction of the HO endonuclease, a linear extra-chromosomal fragment is generated in each cell and its incorporation at the chromosomal DSB site can be genetically monitored. Our results show that linear fragments are captured at the repaired DSB site at frequencies of 10^{-6} to 10^{-4} per plated cell depending on strain background and specific end sequences. The mechanism of fragment capture depends on the NHEJ machinery, but only partially on the homologous recombination proteins. More than one fragment can be used during repair, by a mechanism that relies on the annealing of small complementary sequences. We present a model to explain the basis for fragment capture.

INTRODUCTION

Double-strand breaks (DSBs) can be created by different DNA-damaging agents or can occur spontaneously during cell growth. If not properly repaired, DSBs have the potential to affect cell viability, or to cause the loss or

modification of genetic information (1). In all organisms studied to date, two different mechanisms are used to repair DSBs: homologous recombination (HR) and non-homologous end joining (NHEJ) [reviewed in (2)]. In HR a DNA break or gap is repaired by copying similar information present on a sister chromatid, a homologous chromosome, or at an ectopic location. In contrast, in NHEJ broken ends are ligated together without the need for extensive homology.

Among eukaryotes, these processes have been best characterized at the genetic and molecular level in the yeast *Saccharomyces cerevisiae*. Homologous recombination is the major repair pathway in yeast. Yeast cells are able to repair broken chromosomes by carrying out a genome-wide search for homology, and using that information as a template to patch the broken chromosome. This usually results in the transfer of genetic information between the two loci, and may also lead to crossovers. If the information is present at different genomic locations, HR may result in genomic rearrangements, such as translocations, inversions and deletions [reviewed in (3)]. HR usually requires a set of genes termed the *RAD52* epistasis group [reviewed in (4)]. These proteins help search for homologous sequences and carry out a strand exchange reaction between regions sharing sequence similarities.

NHEJ is a repair mechanism that is conserved from bacteria to higher eukaryotes [reviewed in (5)]. While NHEJ appears to be the major pathway for DSB repair in human cells (6), it represents a relatively minor pathway in *Saccharomyces cerevisiae*. A conserved set of proteins is required for NHEJ, including the Ku70/Ku80 heterodimer, DNA IV ligase and its associated factor Lif1/XRCC4. The MRX complex (MRN in humans) plays a role in promoting DNA joining both by HR and by NHEJ (7).

The relative contribution of HR and NHEJ varies depending on both the organism and the context of the DSB. In organisms with genomes rich in repeats, recombination between non-allelic sequences can

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potentially lead to crossover and genome rearrangements. In such a case, NHEJ might prove safer (8). However, NHEJ has also been linked to chromosomal rearrangements associated with the repair of a specific, induced DSB. These repair events consist of insertions, deletions, translocations and inversions (9–13).

Previous studies have shown that unrelated DNA fragments, sometimes termed ‘filler DNA’ can be inserted into junctions during the joining reaction. For example, in mammalian lymphoid cells, extra nucleotides of filler DNA are usually found at VDJ joints; only part of this filler DNA is generated by terminal transferase activity (14). DSB repair events in mammalian cells can also be associated with the capture of endogenous or exogenous DNA sequences up to several kilobases in length (15). Filler DNA is also commonly observed in the repair of DSBs in plant cells (16,17). The insertion of exogenous DNA has also been observed in yeast cells. cDNA sequences of the natural Ty1 yeast retrotransposon could be found at repaired DSB sites (9,11,18). Additionally, short mitochondrial DNA segments can be captured at break sites. This integration was not accompanied by modification of the junction sites, except for the loss or gain of 1–5 nucleotides (10,11).

A selectable assay system has been developed to study NHEJ-mediated chromosomal rearrangements associated with repair of a unique DSB in haploid yeast cells. Using this system Yu and Gabriel showed that extrachromosomal DNA fragments could be captured at the DSB site, in particular Ty1 cDNA and mitochondrial DNA sequences. In both wild type and *rad52* cells, the inserted sequences were flanked by sequences with microhomology to the cut site, suggesting that NHEJ plays a central role in generating these events. Accordingly, the insertion process was shown to be *RAD52*-independent and *YKU80* dependent (11,12).

In order to study in more detail the mechanism that captures filler DNA, we created yeast strains that allow the generation of a linear DNA fragment in each cell undergoing a chromosomal DSB. The experimental setup allowed us to directly identify events in which insertions had occurred at the break site. As there are no sequences in the genome of these strains sharing extensive homology to the region undergoing the DSB, survival becomes dependent on the NHEJ repair pathway. Here we use this selectable assay system to show that annealing of complementary sequences at the termini of linear fragments play an important role in linear fragment capture. We also show that more than one linear fragment can be used during a single repair event. Finally, we present a model for DSB repair by capture of a linear fragment.

MATERIALS AND METHODS

Yeast strains

All yeast strains were originally derived from YFP17 (*ho*, Δ *hml::ADE1*, Δ *ata::hisG*, Δ *hmr::ADE1*, *ade3::GAL-HO*, *ade1*, *lys5*, *trp1::hisG*, *ura3-52*, *leu2::HOcs*) (19). Strains AGY670 and strain AGY673

carry an intronless copy of the *ACT1* gene (*ACT1 Δ i*) and a *URA3* allele into which the *ACT1* intron was inserted (*URA3::ACT1-i* or *URA3::ACT1-i::HOcs*, respectively). Strain AHY22 is a *leu2 Δ* derivative (YFP17, *URA3::ACT1-i::HOcs*, *Aleu2::kanMX4*, *ACT1 Δ i*).

Strains AHY52 (AHY117, *URA3::ACT1-i::2dirHOcs*) and AHY51 (AHY117, *URA3::ACT1-i::2invHOcs*) were made in several steps. Strain AHY117 was streaked onto 5-FOA plates to obtain a spontaneous Ura-clone (AHY156). The non-functional *ura3* allele on chromosome V was replaced with the *URA3*-containing *Bam*HI fragment from either plasmid pAH146 (*URA3::ACT1-i::2dirHOcs* cassette) or plasmid pAH148 (*URA3::ACT1-i::2invHOcs* cassette). Correct integration of the cassette at the *URA3* locus was confirmed by PCR and sequencing.

Δ *ku80::kanMX4*, Δ *rad51::kanMX4* and *rad52::hisG* derivatives of AHY119 were obtained by one-step gene replacement.

Plasmids

All plasmids were transformed into *E. coli* DH5 α competent bacteria. *E. coli* SURE competent bacteria (Stratagene) were used for the palindrome-containing inverted orientation.

pAH150 (‘Direct’ plasmid) and pAH129 (‘Inverted’ plasmid) were derived from pLAY98 (20) and pRS414, a *TRP1*-CEN plasmid (21). Plasmid pAH174 (No-HOcs) was constructed by ligation of the *Sal*I/*Sma*I *LEU2* fragment into *Sal*I and *Sma*I-digested pRS414.

pAH146 (*URA3::ACT1-i::2dirHOcs* cassette) and pAH148 (*URA3::ACT1-i::2invHOcs*) were constructed simultaneously. First, the 117-bp HOcs was amplified using primers pMH1, which contains an engineered *Mfe*I site upstream of the HOcs, and primer pMNH2, containing both *Mfe*I and *Nco*I sites downstream of HOcs. The HOcs from plasmid pAH129 was used as a PCR template. The amplified fragment was then cut with *Mfe*I and ligated to *Mfe*I-digested pAGE1658 (*URA3::ACT1-i::HOcs*) (11). Plasmids carrying both possible orientations were distinguished by digestion with *Nco*I and *Xho*I (a site unique to the plasmid). Candidates were subjected to sequencing to confirm the presence of the predicted configuration.

To construct plasmid pAH316 [referred to as ‘Inverted +2invHOcs’ plasmid], the double HOcs from plasmid pAH148 was amplified and ligated to a *Sna*BI-digested pAH129 (‘Inverted’ plasmid).

To construct plasmid pAH256 (‘Inverted-Fit’), pLAY98 was modified by replacing the upstream HOcs with an HOcs engineered to contain *Kpn*I and *Xho*I/*Nco*I ends, thus ensuring its orientation. The *LEU2* fragment cut with *Sal*I and *Sma*I was ligated into this modified pLAY98 plasmid that was cut with the same restriction enzymes. The resulting *LEU2* gene flanked with inverted HO cut sites was cut with *Pvu*II and ligated to pRS414 as described earlier.

Media and growth condition

Yeast cells were grown in yeast extract-peptone-dextrose (YP-dextrose) or synthetic complete media (SC) with

appropriate amino acids missing (22). Yeast extract-peptone-galactose (YP-galactose) and Yeast extract-peptone-raffinose (YP-raffinose) contain 2% galactose and 1% raffinose (w/v), respectively. 5-fluoroorotic acid (5-FOA) plates are SC-glucose plates supplemented with 1 mg/ml of 5-FOA (23).

Induction of HO endonuclease, determination of DSB repair efficiency (survival frequency), and 5-FOA resistance frequencies

Twelve to 36 independent colonies of various yeast strains were inoculated into 3 ml liquid medium and grown at 30° to a final concentration of $\sim 3 \times 10^7$ cells/ml. Serial dilutions were then plated on YP-dextrose or YP-galactose plates and counted after 3–5 days. The survival frequency was calculated by determining the ratio of colonies growing on YP-galactose plates versus YP-dextrose plates (median values). Statistical analysis was carried out using a non-parametric Mann–Whitney rank test. Standard deviations were always lower than 20% of the median value.

YP-galactose plates were replica plated onto 5-FOA plates. The ratio of colonies growing on 5-FOA to those growing on galactose was used to calculate the frequency of 5-FOA resistance per survivor. The frequency of 5-FOA^R per plated cells was calculated by multiplying the previous two terms.

Colonies growing on 5-FOA plates were replica plated to SC-leu and SC-trp plates. The frequency of 5-FOA^R per plated cell with particular marker combinations was determined by multiplying the previous calculation by the proportion of the total 5-FOA^R colonies shown to have or lack those markers (i.e. Leu⁺ Trp⁻, Leu⁺ Trp⁺ and Leu⁻ Trp⁻).

Analysis of repaired chromosomes

5-FOA resistant colonies were purified and grown in liquid YP-dextrose at 30° over night before total genomic DNA was isolated (24). Genomic DNA samples were used as templates for PCR, using primers upstream and downstream of the HO cut site and the *URA3::ACT1-i::HOcs* cassette. The PCR products were analyzed by sequencing.

RESULTS

The experimental system

The experimental system used (Figure 1) is based on the strains developed by Yu and Gabriel (11). Haploid yeast cells contain a single HO recognition sequence (HO cut site or HOcs) placed into a non-essential portion of the *ACT1* intron, which has itself been engineered into the coding domain of the *URA3* gene on chromosome *V*. This *URA3::ACT1-i::HOcs* allele is efficiently spliced, resulting in uracil prototrophy. In addition, this strain (AGY117) has been deleted of all *MAT*-related sequences, and also contains an integrated, galactose-inducible HO endonuclease gene (11). When transferred to galactose-containing media, a persistent and lethal DSB is formed on

chromosome *V*, unless the break is repaired in a way that eliminates the HO recognition sequence. Using this system Yu *et al.* captured extrachromosomal DNA fragments at the DSB site, by selecting for resistance to 5-fluoroorotic acid (5-FOA, i.e. loss of uracil prototrophy). In particular Ty1 cDNA (140 bp to 5.6 kb) and mitochondrial DNA sequences (33 to 219 bp) were identified.

To expand this work and study the mechanism by which filler DNA is incorporated into DSBs, we set up a related system to examine the fate of a defined extrachromosomal fragment in a cell with a defined DSB. We created a plasmid that upon induction of the HO endonuclease generates a linear DNA fragment carrying the *LEU2* marker gene. In our strains, a centromeric *TRP1* plasmid carries a selectable *LEU2* marker that is flanked by two HOcs. The two HOcs sequences are present either in the same (referred to as ‘Direct’) or in opposite (referred to as ‘Inverted’) orientation relative to each other (Figure 1). These plasmids were independently transformed into AHY22, a strain carrying the *URA3::ACT1-i::HOcs* allele in which all the relevant homologous sequences (the *ACT1* intron and the *LEU2* gene) had been deleted. Upon transfer of cells to galactose-containing medium, the HO endonuclease is transcriptionally activated and cleaves the three HO cut sites, causing the release of the *LEU2* fragment from the plasmid and creating at the same time a single DSB in the genome (Figure 1). The cell’s ability to survive depends on successful repair of the genomic DSB in a way that modifies the HO recognition sequence and forms HO endonuclease-resistant colonies. In previous studies, carried out in the absence of a linearized DNA molecule, the most common form of repair was an imprecise end joining event within the intron, that does not interfere with splicing, and which therefore does not make the cells resistant to FOA (11,12). We reasoned that if the linear *LEU2* fragment could participate in these repair events, its insertion at the DSB site should interfere with splicing and thus generate FOA resistant uracil auxotrophs. This experimental setup therefore allows screening for repair events in which the DSB has incorporated a defined linear fragment: these are detectable as colonies that, upon loss of the *TRP1*-marked plasmid, remain Ura⁻ and Leu⁺. ‘Direct’ *LEU2* fragments can in principle be joined with the DSB either through annealing of the complementary 3’ overhanging four bases at both ends and precise re-ligation or by imprecise end joining of the non-complementary 3’ overhanging four bases (Figure 1). However, if the break is repaired by precise re-ligation, it will be re-cut by the HO endonuclease. In order to stably insert a linear fragment at the DSB site, cells must use more complex mechanisms that modify the junction sequences, either by imprecisely joining complementary overhangs or by joining ends where the overhanging bases are non-complementary. For ‘Inverted’ fragments only one terminus can anneal, while the other must be joined in the absence of terminal complementarities. This can occur in either orientation of the *LEU2* fragment relative to the *URA3* gene (Figure 1). As a control we constructed

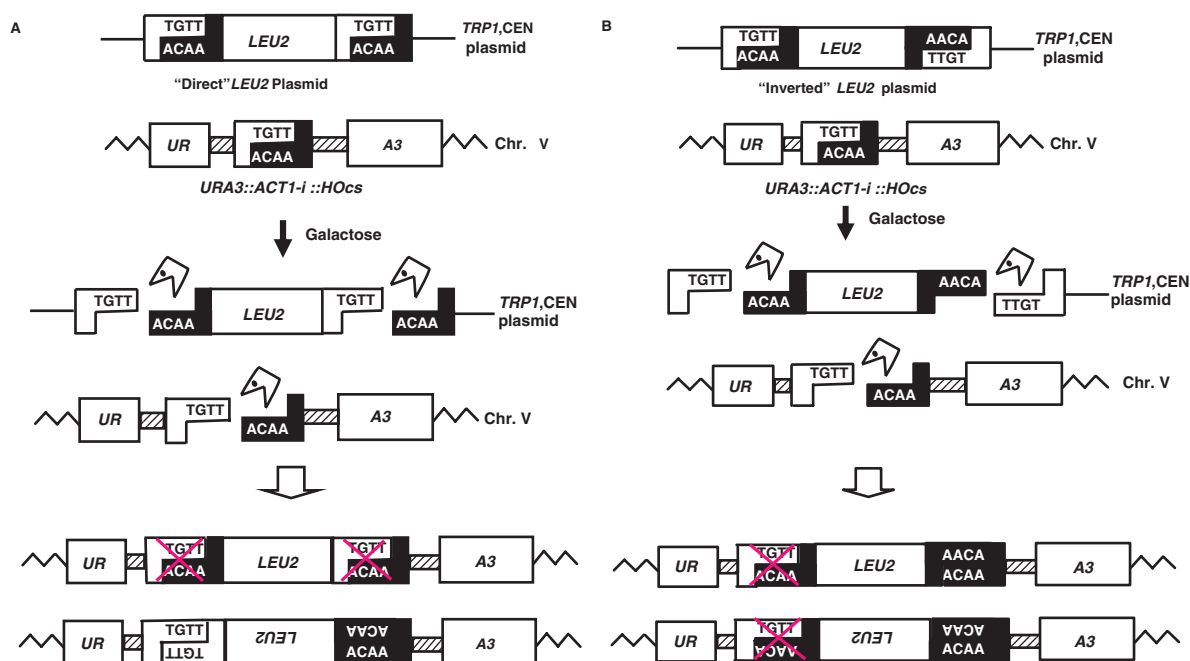


Figure 1. Genetic system used. The *URA3::ACT1-i::HOcs* is located on Chromosome V. The *LEU2* gene is carried by a *TRP1*-marked centromeric plasmid. (A) 'Direct' *LEU2* orientation. Upon transfer of cells to galactose, the HO endonuclease cleaves the three HO cut sites. The 'Direct' *LEU2* fragments can be inserted in either orientation. Crossed HOcs boxes represent additional mutations required to inactivate the HOcs and prevent it from being cut again. Note that only the upper orientation allows annealing of both ends. (B) The 'Inverted' *LEU2* fragment. Note that in both orientations, only one end can undergo simple annealing.

a plasmid without any HO cut sites. In this case, there is no release of a linear fragment.

Repair of a DSB by insertion of a linear fragment

Wild-type cells carrying plasmids with the 'Direct', 'Inverted' or control 'uncuttable' fragment were plated on galactose-containing medium. Under these conditions, chromosome V is cut by the HO endonuclease, and only cells able to repair the broken chromosome will survive to form colonies. Southern blot analysis showed that the efficiency of DSB formation by HO was very similar in all strains (data not shown). Survival of the three strains was also very similar (~1% survival). These results suggest that the availability of linear fragments does not significantly increase a cell's ability to survive a DSB.

Most of the surviving colonies were 5-FOA sensitive, as expected from previous studies (11). Our experimental system allows us to directly identify potential insertion events, which result in FOA resistance. The frequency of these events differed greatly among the various strains (Table 1). Except for cells carrying the 'No-HOcs' plasmids, all *Ura*⁻ colonies recovered were *Trp*⁻, i.e. they had lost their plasmids. This result demonstrates that the HOcs in the plasmids were cut with high efficiency. The 5-FOA resistant colonies were then tested for leucine prototrophy, to identify *LEU2* fragment insertions, and individual colonies were analyzed by PCR amplification of the genomic region surrounding the chromosomal DSB at the *URA3::ACT1-i::HOcs* locus.

In wild-type cells carrying a plasmid that released a linear 'Direct' *LEU2* fragment, the frequency of 5-FOA^R

survivors per plated cell was 2.05×10^{-4} and 94% of the 5-FOA^R cells carried a *LEU2* insertion (Table 1). This is in marked contrast to cells carrying the linear fragment with inverted termini. In this configuration, the frequency of *Leu*⁺ colonies per plated cell was 0.01×10^{-4} , a 200-fold difference (Table 1). These results demonstrate that a linear fragment present in the cells can be captured and used to repair a broken chromosome, but that the termini of the linear fragments affect the frequency of recoverable insertional repair. As expected, in cells carrying the plasmid lacking HO cut sites, no *LEU2* insertions were detected among the FOA resistant survivors (less than 10^{-9} *Leu*⁺ colonies per plated cell).

We carried out PCR analysis of the junctions of 52 insertion events. All 'Direct' linear fragments were inserted in the same orientation relative to the target locus (*URA3* gene). This is the orientation expected from events in which the complementary ssDNA ends can undergo annealing (Figure 1A). This bias strongly suggests that annealing of the compatible ends plays an important role in directing the capturing of the linear fragment. We sequenced 10 of the insertions, and found that in 9 out of 10 survivors both ends of the inserted fragment exhibited an addition of 2 bp (+GT) (Figure 2A). Addition of GT appears to be the predominant modification of the HOcs that prevents the site from being re-cut by the HO endonuclease. The GT addition was detected in previous studies (9), and in more than 100 other sequences analyzed in this work (see further data later).

Sequence analysis of the junctions of three insertion events obtained with the 'Inverted' plasmid revealed

Table 1. Quantitative analysis of epair events after a DSB at the *URA3* cassette locus

Plasmid	wt (HOcs)		<i>Rad52</i>		<i>rad51</i>		<i>ku80</i>		<i>2inv HOcs</i>		<i>2dir HOcs</i>	
	FOA ^R cells (10 ⁻⁴)	Leu+ among FOA ^R cells	FOA ^R cells (10 ⁻⁴)	Leu+ among FOA ^R cells	FOA ^R cells (10 ⁻⁴)	Leu+ among FOA ^R cells	FOA ^R cells (10 ⁻⁴)	Leu+ among FOA ^R cells	FOA ^R cells (10 ⁻⁴)	Leu+ among FOA ^R cells	FOA ^R cells (10 ⁻⁴)	Leu+ among FOA ^R cells
'Direct'	2.05	94%	0.15*	7%	0.49*	64%	nd	–	0.15*	34%	3.11	84%
'Inverted'	0.18*	6%	0.09*	nd	0.17*	7%	nd	–	0.12*	26%	0.74*	12%
'Inverted fit'	–	–	–	–	–	–	–	–	1.84	99%	1.12	30%
'No HOcs'	0.31	nd	0.06	nd	0.24	nd	nd	–	0.30	nd	0.26	nd

Note: nd: not detected (<10⁻⁹). '–' not applicable.

*Significantly different from the frequency obtained for the strain with a single HOcs and the 'Direct' plasmid (*P*<0.001).

A. WT

"Direct" *LEU2* fragment
 GCGGAA--(*LEU2*)--TTTACTGTGT
 ACAACGCCTT AATATG

URA3::ACT1-i::HOcs UR---TTTACTGTGT GCGGAA---A3
 AATATG ACAACGCCTT

LEU2 insertion at *URA3* cassette:

UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (9/10)
 UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (1/10)

"Inverted" *LEU2* fragment
 GCGGAA--(*LEU2*)--TTCCGCAACA
 ACAACGCCTT AAGGCG

URA3::ACT1-i::HOcs UR---TTTACTGTGT GCGGAA---A3
 AATATG ACAACGCCTT

LEU2 insertion at *URA3* cassette:

UR---TTTACTGTGT GCGGAA--(*Δ*77)--- insertion/deletion of the *URA3*
 UR---TTTACTGTGT GCGGAA--(*Δ*77)-truncated *LEU2*-TTTACTGTGT GCGGAA---A3
 UR---TTTACTGTGT GCGGAA--(*Δ*77)-deletion of 22bp from the HOcs-AA ---A3

B. *rad52*

"Direct" *LEU2* fragment
 GCGGAA--(*LEU2*)--TTTACTGTGT
 ACAACGCCTT AATATG

URA3::ACT1-i::HOcs UR---TTTACTGTGT GCGGAA---A3
 AATATG ACAACGCCTT

LEU2 insertion at *URA3* cassette:

UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (4/11)
 UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (1/11)
 UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (1/11)
 UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (1/11)
 UR---TTATA_GIT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (1/11)
 UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (1/11)
 UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTAT_GTT GCGGAA ---A3 (1/11)
 UR---TTTACTGT del. 6 nt ATAA--(*Δ*77)--- del. 94nt from HOcs ---A3 (1/11)

C. *rad51*

"Direct" *LEU2* fragment
 GCGGAA--(*LEU2*)--TTTACTGTGT
 ACAACGCCTT AATATG

URA3::ACT1-i::HOcs UR---TTTACTGTGT GCGGAA---A3
 AATATG ACAACGCCTT

LEU2 insertion at *URA3* cassette:

UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (6/9)
 UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGTGT GCGGAA ---A3 (1/9)
 UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTTACTGT GCG_A ---A3 (1/9)
 UR---TTTACTGTMT GCGGAA--(*LEU2*)--TTTACTGTMT GCGGAA ---A3 (1/9)

M*-Mitochondria sequence insertion-AATTATTTATGTAATTAACATAATGTGGAAAA

"Inverted" *LEU2* fragment
 GCGGAA--(*LEU2*)--TTCCGCAACA
 ACAACGCCTT AAGGCG

URA3::ACT1-i::HOcs UR---TTTACTGTGT GCGGAA---A3
 AATATG ACAACGCCTT

LEU2 insertion at *URA3* cassette:

UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTCCGCAACA del. of HOcs and *URA3*---A3 (2/3)
 UR---TTTACTGTGT GCGGAA--(*LEU2*)--TTCCGCAACA del. of HOcs and *URA3*---A3 (1/3)

Figure 2. Sequence of the repaired DSBs at the *URA3::ACT1-i::HOcs* locus. (A) DNA sequences of independent 5-FOA^R, Leu⁺ colonies of a wild type strain. The HOcs at the *URA3* cassette is colored red, the HOcs from the linear *LEU2* fragments is colored black. The new sequences at the insertions are colored blue. The insertions are shown in bold. (B) Events obtained in the *rad52* genetic background. (C) Events obtained in the *rad51* genetic background.

simple end-joining events at one terminus with addition of 2 bp (+GT) while the other terminus exhibited a complex event (Figure 1B). In one case the terminal region of the *LEU2* fragment was deleted, and in one case a genomic sequence (part of the *RNR1* gene) was inserted at the

deleted end including the downstream *URA3* gene. These results suggest that repair of a chromosomal end where simple end-joining cannot occur is associated with degradation of either the linear fragment, the chromosomal HOcs and associated *URA3* sequences or both.

As these results suggested that degradation of the 'Inverted' fragment could in many cases result in insertion of a partially deleted *LEU2* gene, which could produce a Leu⁻ phenotype, we analyzed 20 independent 5-FOA resistant Ura⁻ Leu⁻ colonies obtained from the strain with the 'Inverted' plasmid, by PCR analysis with primers on either side of the HOcs. In these cases, the repaired chromosome exhibited either Ty or mitochondrial sequences insertions, or deletion of the HO cut site (11). No truncated *LEU2* fragment was detected suggesting that mechanistically, end-joining precedes degradation, since the compatible ends of the same 'Inverted' fragment are not degraded.

Genetic control of fragment capture

To investigate whether the homologous recombination repair system affects the ability of cells to assimilate linear fragments, we carried out similar experiments in cells deleted either for the *RAD51* or for the *RAD52* gene. *RAD51* encodes a RecA homolog, and is known to be involved in strand exchange. In contrast, the function of Rad52 is less understood; it is, however, an essential component of the HR pathway and its inactivation affects most forms of recombination (4).

Rad52 cells carrying the linear fragment with direct or inverted termini exhibited a 2–4-fold decrease in viability and a frequency of FOA^R colonies per plated cell that was 10–20-fold lower than the wild type (Table 1). Furthermore, only 7% of the FOA resistant surviving *rad52* cells with the 'Direct' fragment carried *LEU2* insertions (0.011×10^{-4} Leu⁺ colonies per plated cell, Table 1). This represents a 183-fold reduction in the frequency of *LEU2* DNA capture events. None of the *rad52* cells carrying the plasmid with the inverted configuration had a *LEU2* insertion (Table 1). These results suggest that Rad52p plays a central role in the capture of linear fragments, despite the lack of extensive homology between the chromosomal ends and the captured fragment.

We carried out an analysis of the junctions of 13 insertion events obtained from *rad52* colonies carrying the 'Direct' plasmid. In 12 out of the 13 samples the 'Direct' linear fragment was inserted in the same orientation to the target locus (*URA3* gene).

We sequenced both junctions of 11 insertion events obtained from *rad52* colonies carrying the 'Direct' plasmid. All survivors carried mutations in the upstream and downstream HO cut sites. However, in contrast to wild-type cells, only half of the junctions exhibited the common GT insertion. Additional junctions included insertion of TT, TGT or a simple G (Figure 2B). In the only case in which the 'Direct' linear fragment was inserted in the opposite orientation to the target locus, sequencing revealed a complex event: at the upstream HOcs, the terminal 3' T nucleotide of the chromosomal HOcs was deleted, and ligated to the HOcs of the *LEU2* fragment that lost its 6 terminal nucleotides. In addition, a 50-bp deletion of the HOcs of the *LEU2* fragment and 43-bp deletion of the chromosomal HOcs sequences were seen at the downstream HOcs (Figure 2B).

Rad51 cells carrying the 'Direct' plasmid exhibited a 5-fold lower frequency of FOA^R colonies per plated cell, compared to the wild type (Table 1). Furthermore, a 6-fold decrease in the frequency of cells that repaired the chromosomal break by capturing the 'Direct' *LEU2* fragment was observed (0.31×10^{-4} Leu⁺ colonies per plated cell). In contrast, the number of FOA resistant *rad51* cells carrying the 'Inverted' fragment that captured the *LEU2* fragment was similar to that of the wild-type control (0.011×10^{-4} Leu⁺ colonies per plated cell).

Junction analysis revealed that in all samples the 'Direct' linear fragment was inserted in the same orientation to the target locus (*URA3* gene). These results, similar to those observed in wild type and *rad52* cells, suggest again that the cohesive orientation is preferred, and that this preference is independent of the main HR proteins. Sequence analysis revealed a pattern very similar to that observed in wild-type cells: GT or TGT additions at both ends for the 'Direct' insertions, and GT addition on one end and rearrangement in the other for the 'Inverted' fragment (Figure 2C).

These results show that, in contrast to the results obtained in the *rad52* strain, lack of *RAD51* activity lowers the efficiency of capture in the 'Direct' orientation, without affecting the mechanism of capture in the 'Inverted' orientation.

Yku80 is part of the Ku heterodimer (composed of Yku70 and Yku80 in yeast), required for accurate NHEJ. To investigate whether Ku plays a role in linear fragment assimilation, we repeated our experiments in *yku80* cells. Survival of *yku80* cells on galactose was severely impaired (1000-fold lower than wild type). We were unable to recover any survivor carrying a *LEU2* fragment. These results indicate the importance of the Ku complex for survival after a DSB when homologous recombination is not an option, and further, its apparently absolute requirement for the incorporation of linear fragments into the broken chromosome.

Repair of a DSB by insertion of a linear fragment lacking compatible ends

In the vast majority of events examined, the 'Direct' linear fragment was inserted into the target locus in the same orientation. This suggests that simple annealing of the ends plays a dominant role in the capture of our linear fragments. To test this possibility, we changed the genomic target locus to an HO cut site that is incompatible with the fragment termini. Two new yeast strains were created. The first (AHY51, *URA3::ACT1-i::2inv HOcs*) carries two HOcs in an inverted orientation relative to one another (hereafter named '2inv' strain). The second strain (AHY52, *URA3::ACT1-i::2dir HOcs*) contains two HOcs in direct orientation ('2dir' strain). Upon transfer of cells to galactose-containing medium, the HO endonuclease cleaves both HOcs simultaneously, leaving non-complementary 3'-overhanging termini on chromosome V in the '2inv' strain and complementary 4-base 3'-overhanging termini in the '2dir' strain. The '2dir' strain exhibited a survival frequency similar to that observed in cells with a single HOcs. In contrast, the '2inv' strain

exhibited a 24-fold lower survival frequency in the presence of either plasmid (Table 1). These results indicate that the presence of complementary ends at the DSB site on chromosome *V* is the major determinant of survival, despite the additional requirement for a mutation that prevents re-digestion by the HO endonuclease.

We carried out PCR analysis of insertion events of 'Direct' *LEU2* fragments inserted at the *2inv* locus, resulting in *Leu*⁺, 5-FOA resistant colonies. These were of particular interest, since cleavage of the HO cut sites on chromosome *V* should leave non-complementary ends, making the complementary overhang present at one end of the *LEU2* fragment as the only likely joining molecule. The *LEU2* fragments appeared in both orientations with respect to the *URA3* target gene (12/20 insertions in the same orientation, and 8/20 insertions in the opposite one, Figure 3A). Surprisingly, all survivors, irrespective of the orientation of insertion, still carried two inverted HO cut sites upstream of *LEU2* and a single HOcs downstream of the *LEU2* insert. All the double HOcs analyzed carried inactivating mutations resulting from insertion of GT dinucleotides in each HOcs (Figure 3A). These results indicate that both HOcs at the chromosomal locus were cut and repaired, but that the 95 bp DNA fragment located between them was not lost or degraded. Our observations suggest a repair mechanism that keeps the broken ends together before the insertion of the linear fragment (see 'Discussion' section).

For cells with the *2inv* locus carrying the linear 'Inverted' *LEU2* fragment, no complementary ends from the *LEU2* fragment should be present to be captured at the DSB site, so insertions should require a complex NHEJ event at each end. In all samples analyzed (10 independent colonies) the linear fragment was inserted in the same orientation as the target locus. Sequence analysis revealed that the two inverted HOcs were retained either upstream (4/10 insertions) or downstream (5/10 insertions) of the *LEU2* insert, mutated by GT/AC additions and in one case (1/10 insertion) the two inverted HOcs were retained in both upstream and downstream of the *LEU2* insert. In all cases examined, the single HOcs at the other end of the insert contained a partial deletion (Figure 3B). Thus, as with the 'Direct' linear *LEU2* fragment (Figure 3A) the chromosomal DSB is repaired by using two linear fragments, one released from the double-HOcs digestion, and a second one released from the cuts in the donor plasmid.

The 'Inverted + 2 invHOcs' plasmid

The results presented earlier suggest that the small fragments generated by the endonuclease are utilized for DNA capture at the nearby break. This could suggest that the small fragment remains somehow associated with the break. In order to test whereas this adaptor molecule acts only in *cis* or represent the capture of an additional linear molecule that can be supplied in *trans*, we created a new

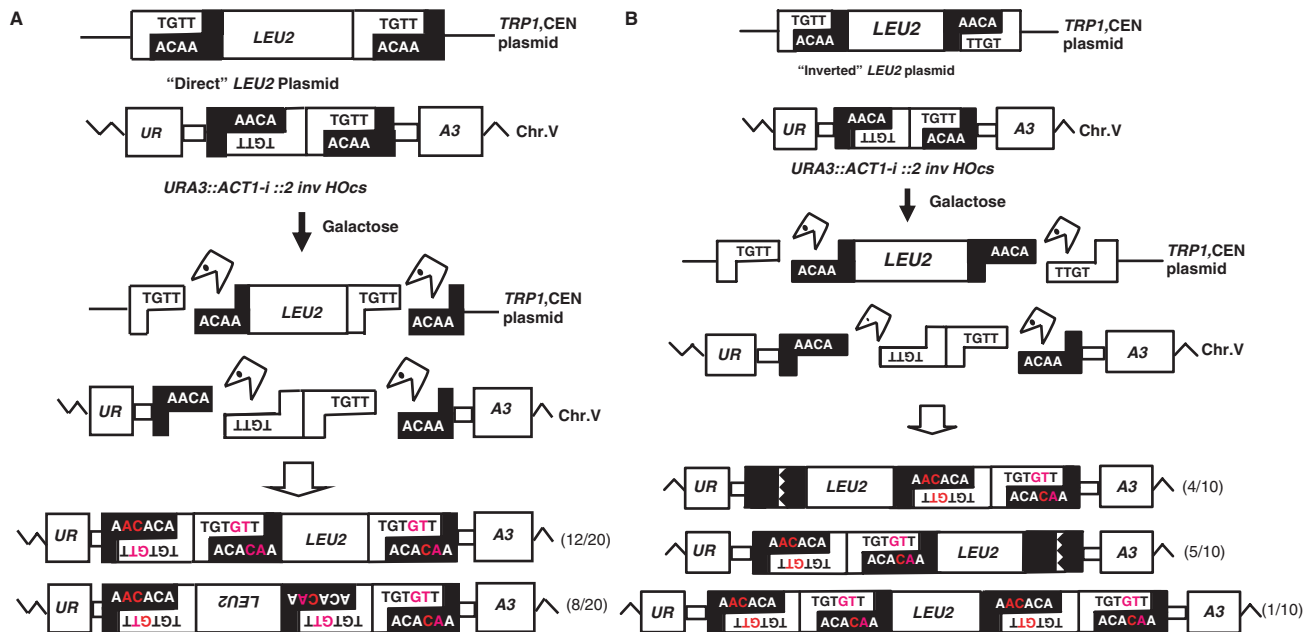


Figure 3. Capture of linear *LEU2* fragment into a DSB at the *URA3::ACT1-i::2 inv HOcs* locus. After HO endonuclease cleavage the termini of the broken chromosome *V* cannot anneal. (A) For the 'Direct' *LEU2* fragment all samples carried two inverted HO cut sites with GT/AC insertions upstream of *LEU2*, and a single HOcs with a similar mutation downstream. (B) For the 'Inverted' *LEU2* fragment all colonies analyzed carried two inverted HOcs exhibiting GT/AC insertions on one end of the fragment, and complex events on the other. (C) For the 'Inverted fit' *LEU2* fragment, none of the events involved the use of the small inverted HOcs fragment. (D) Proposed mechanism of fragment capture (a single HOcs with a 'Direct' fragment are shown). The HO endonuclease cleaves both HO cut sites in the 'Direct' plasmid and the HOcs at the *URA3* cassette, resulting in 4-bp 3' overlapping sequences. Annealing between the terminal Adenine of the bottom strand and one T nucleotide prior to the terminal in the top strand, followed by DNA synthesis and ligation creates a GT insertion. DNA synthesis requires the removal of the terminal 3' T nucleotide from the top strand.

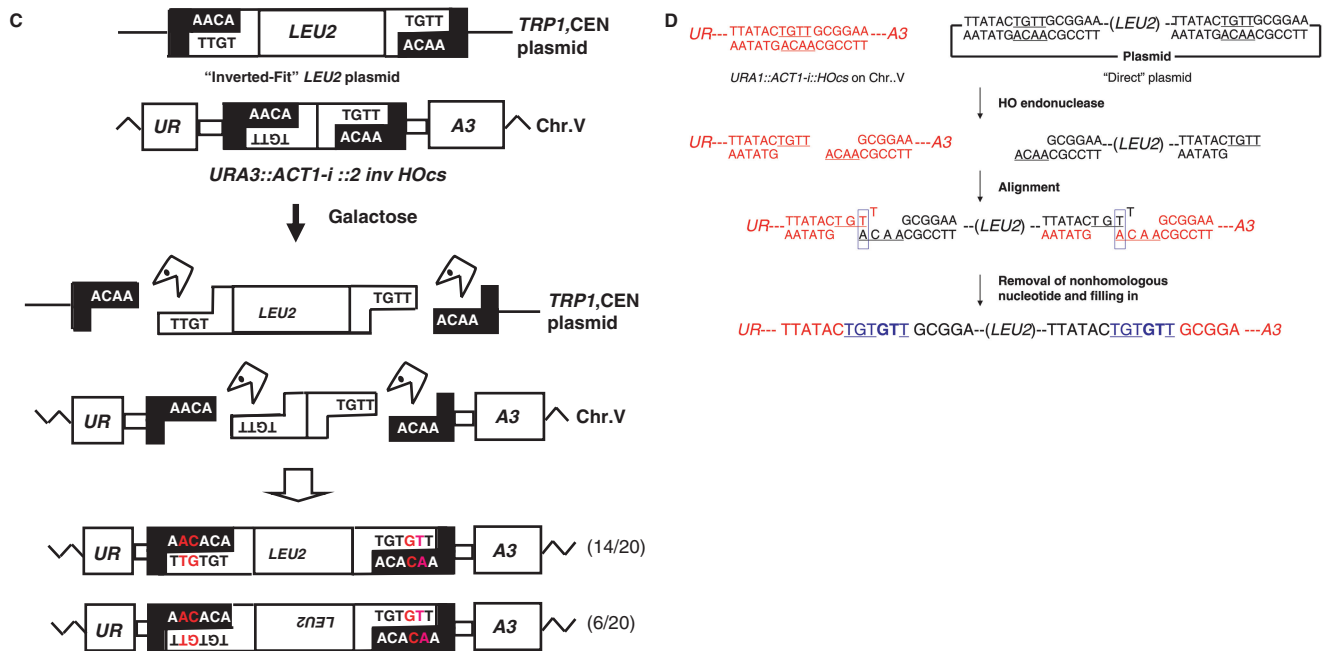


Figure 3. Continued.

plasmid carrying the ‘Inverted’ linear donor, plus, at a second location in the plasmid, a copy of the *2invHOcs* construct. Upon expression of the HO endonuclease, five different DSBs are created: one at the *URA3* locus on chromosome *V*, and two pairs of breaks that release a linear *LEU2* fragment and a linear ‘adaptor’ fragment.

The presence of the *2invHOcs* construct on the plasmid increased the efficiency of fragment capture: the frequency of 5-FOA resistant colonies was 8×10^{-4} per plated cell, a 44-fold increase with respect to the ‘Inverted’ linear fragments. Moreover, the frequency of Leu⁺ colonies was similar to that observed with the ‘Direct’ linear fragment (1.44×10^{-4} per plated cell). An analysis of insertion events revealed that in all cases, DNA capture involved the use of the small HOcs-derived fragments. In 13 out of 20 samples analyzed the *LEU2* fragment was oriented as the target locus, whereas in the seven remaining samples it was oriented in the opposite direction. In all cases analyzed, all HOcs carried GT insertions. These results demonstrate that a broken chromosomal end can efficiently capture two independent linear fragments.

Fragment capture occurs through annealing

The highest frequency of capture was observed in strains carrying a single DSB at the *URA3::ACT1-i::HOcs* locus and ‘Direct’ plasmids. We reasoned that if this is due to its ability to carry out simple annealing of both ends (provided there is an alteration of the terminal sequences during the joining process), then similar combinations of chromosomal breaks and linear ends should result in similar high levels of capture. We created an additional linear substrate, which we call ‘Inverted-Fit’ (Figure 3C), which can be inserted into the DSB at the *URA3::ACT1-i::2invHOcs* locus by simple annealing. A similar case is

found in cells with the *2dir* locus carrying ‘Direct’ *LEU2* fragment.

As predicted, in both cases the frequency of 5-FOA^R colonies was similar to that of cells with a single HOcs carrying the ‘Direct’ plasmid (Table 1). Similarly, nearly all (99 and 84%) of the cells able to grow on 5-FOA had an insertion of the *LEU2* fragment. An analysis of Leu⁺ colonies of these strains showed that the small excised inverted HOcs pair fragment was not used during repair. As before, both junctions carried single HOcs with GT insertions (Figure 3C and data not shown).

Thus, the small fragment containing the inverted HOcs was retained in cases where direct annealing between the linear ends was not possible, but was not utilized when annealing was readily carried out. These results once more point to annealing between complementary base pairs as a crucial step in the capture of linear fragments.

DISCUSSION

When a chromosome is broken, cells must repair the two pieces to reconstitute the genome’s integrity. Extrachromosomal DNA has been found at the repaired junctions in several organisms, including yeast (9–11,18), plants (17) and mammalian cells (15,25–28). In the present study, we have analyzed the mechanisms of DNA capture by using a single genomic DSB and defined linear fragments as the DNA to be captured. The presence of a linear *LEU2* fragment (presumably in every cell of the population) enhanced the frequency of capture, but only when the ends were compatible with an annealing mechanism (see later). In cases in which at least one end had to undergo extensive processing, such as cells carrying the ‘Inverted’ plasmid, the percent of Leu⁺ colonies among those that contained an insert was relatively low.

This suggests that DNA molecules that can serve as filler DNA are quite abundant, and it is end-processing that limits their utilization. Analysis of randomly picked 5FOA^R Leu⁻ colonies confirmed previous work that showed that Ty cDNA and random mitochondrial fragments constitute the main classes of 'filler DNA' in yeast (9,11,18).

Modification of the HOcs sequences

Insertion of GT appears to be the main HOcs modification that prevents the site from being recut by HO endonuclease. The GT insertion was detected in previous studies (9), and in more than 100 sequences analyzed in this work (in both wild type, *rad51* and *rad52* strains). A potential mechanism for this type of repair was previously proposed by Moore and Haber (9). In the case of a 'Direct' fragment, for example, the termini generated at the chromosome are complementary to those of the linear fragment. Simple annealing of such sequences results in the re-creation of HOcs that will be cut again by the enzyme. However, annealing misalignment of the terminal A nucleotide of the bottom strand with the penultimate T nucleotide on the top strand, followed by removal of the terminal 3' T nucleotide in the top strand, DNA synthesis and ligation, results in a repair event that adds two nucleotides and is resistant to cleavage by HO (Figure 3D). In five cases (1 in wild type, 2 in *rad52* and 2 in *rad51*) there was an insertion of 3 bp (+TGT) at the upstream or downstream HOcs, which can be most simply explained by annealing of the terminal nucleotides (terminal A and terminal T). Although the repair mechanism for the TGT insertion is simpler than that of the GT insertion (there is no need for removal of the terminal nucleotide) this insertion mutation was rare (5 out of >100 sequences in this work, and 1 out of 45 candidates in Moore and Haber (9)). We have at present no explanation for this preference for the GT insertion over the TGT insertion, but it may imply that the intermediate shown in Figure 3D represents a particularly favorable conformation of ends for proteins such as the Ku heterodimer and the MRX complex to hold the broken chromosomes together and initiate the processing events that lead to an imprecise rejoining.

Annealing of homologous ssDNA sequences plays a role in fragment capture

All the results obtained in the present study point to the critical role played by annealing of the complementary ssDNA in fragment capture.

The frequency of insertion events differed greatly (200-fold) between wild-type cells carrying a plasmid that released a linear fragment with two complementary termini, compared to cells carrying a linear fragment with only a single complementary terminus (Table 1). In all cases analyzed (52 out of 52 cases), 'Direct' fragment insertion was carried out through simple complementary annealing/ligation. This led to insertion in a preferred orientation. In contrast, 'Inverted' fragments are inserted by simple annealing of one terminus but the other end must be joined by NHEJ without annealing. Sequencing

analysis of insertion events revealed that in all cases there were simple imprecise end-joining events at one terminus, whereas the other end exhibited a partial deletion event.

The cell can capture more than one fragment in order to repair a DSB

The excised small fragment generated by the double HO digestion, carrying complementary single-stranded DNA, was used for the repair of the DSB, but only if the *LEU2* fragment did not end in compatible ends. For example in the case of the *2inv* allele repaired by capturing the 'Direct' fragment, the two contiguous HOcs (inactivated by GT mutation) were always upstream of the *LEU2* gene irrespective of its orientation relative to the *2inv* allele, presumably because the upstream end in the *LEU2* fragment could not anneal to any DSB end in *2inv* (Figure 3A). Similarly, all the capture events involving the 'Inverted + *2inv*HOcs' plasmid carried double HOcs, however, this time the orientation of the *LEU2* fragment was random, as expected from the fact that either end was equally likely to anneal to the broken chromosomal ends. In contrast, the small 'adaptor' fragment was lost in all cases in which the *LEU2* linear molecule ended in compatible ends able to anneal (e.g. *2dir* with the 'Direct' fragment or *2inv* with the 'Inverted-Fit' fragment).

We showed that this small linear fragment could be supplied either in *cis* or in *trans*. Moreover, capture events involving the *LEU2* fragment and either chromosomal or mitochondrial DNA as 'adaptor molecules' were also detected (Figure 2). DNA insertion events involving capture of more than one fragment were described in several studies (10, 11, 15). In a previous study of repair of the *URA3::ACT1-i::HOcs* Yu *et al.* (11) found that in 3 out of 21 clones containing Ty1 cDNA sequences, two discontinuous fragments were inserted.

Capture is dependent on the Ku heterodimer and partially on HR

Survival of *yku80* strains that underwent a DSB was extremely low, and we were unable to recover any survivor carrying a *LEU2* fragment, implying that Ku-cells are incapable of incorporating extrachromosomal linear fragments into their chromosomes. Previous work by several laboratories has shown a role for Ku in the repair of DSBs in regions of the genome-lacking homology. However, the magnitude of the effect was smaller, and Ku-independent events were readily detected (12,29). This difference may be due in part to the absence, in our system, of flanking sequences that could allow repair by a pathway that has been named Microhomology-Mediated End Joining [MMEJ (30)]. This mechanism strongly depends on the MRX complex and on the Rad1/Rad10 endonuclease, but is independent of the Ku heterodimer (30). It seems that capture of linear fragments into a chromosomal DSB is particularly sensitive to defects in the NHEJ machinery, possibly because of its role in fragment stabilization. In contrast, mutations in the HR machinery had relatively mild effects on survival.

Deletion of the *RAD52* gene, which abolishes most types of homologous recombination events, reduced the

efficiency of capture of the 'Direct' fragment into a DSB by a factor of 180-fold and capture of the 'Inverted' fragment was below detection (Table 1). This suggests a role for Rad52p in capturing the linear fragment, probably by affecting either interaction with processed DNA ends necessary for capture, or the annealing efficiency. Rad52p has been shown to be able to promote strand annealing *in vitro* (31). Since sequence homology is extremely short, and repair seems to depend on both Ku and Rad52 activity the NHEJ and HR machineries may be required to cooperate in our system. Similar results have been observed in other systems (32,33). Notably, deletion of *RAD51*, the yeast RecA homolog, had a relatively mild effect on the capture of 'Direct' fragments, and no effect on the 'Inverted' system. These results are consistent with a repair mechanism that relays on annealing rather than strand invasion. Our results show that the use of homology, even a single base pair, is preferred over a mechanism that involves more complex processing of non-homologous DNA ends.

It is notable that the bias in orientation observed for integration of the 'Direct' linear fragment, which stresses the role of annealing in directing integration, is conserved in *rad52* and *rad51* strains. Thus, although Rad52 can promote annealing of homologous sequences *in vivo*, it is not completely essential for this step. In contrast, all capture is abolished in the absence of NHEJ.

Whereas annealing could drive insertion of complementary sequences, non-complementary 3' overhangs should be first aligned by a DNA-binding protein to permit fill-in DNA synthesis on the opposite gapped strand and ligation. Sandoval and Labhart, using Ku-immunodepleted *Xenopus* egg extracts, showed that indeed the Ku heterodimer plays a role in the joining of fragments with overhangs. Moreover, overhangs carrying A:T base pairs, as in our system, were more affected by Ku depletion than those carrying G:C base pairs, suggesting that the requirement for Ku was dependent on the stability of the two cohesive DNA ends (34). Additional studies, using other eukaryotic systems, corroborate the role of Ku in stabilizing pairing overhangs (35).

The mechanism of linear fragment capture

Does the end-modification take place before or during the capture mechanism?

Sequencing of PCR products created by inverse PCR on the self-annealed 'Direct' fragment did not reveal any change in sequence. This suggests that before integration into the chromosomes, the ends remain unmodified. Modification is more likely to take place during capture, and not before.

Our results suggest that capture of a linear DNA fragment is a multi-step process that relies on one hand on the ability of the linear fragment to anneal to the broken ends, in a process that may require Rad52p but not Rad51p, and on the other hand on the NHEJ machinery, to join linear fragments. We therefore propose a model in which linear fragments are first synapsed with the broken ends by a process that involves the Rad52 homology recognition pathway. This process, which is akin to the

homology recognition step of the single-strand annealing (SSA) mechanism (36), is Rad51-independent, as no strand invasion is necessary. In addition to its role in bringing the fragments together, Rad52 may play a role in catalyzing their annealing, similar to the one observed *in vitro* reactions (31). As only non-precise end-joining events can give rise to viable 5-FOA^R colonies, the ends must be modified to introduce mutations that inactivate the chromosomal HOcs. This process is dependent on Ku, and probably on other members of the NHEJ machinery, and is likely to take place after a first recognition/annealing step has taken place. A local disengagement allows annealing of the penultimate chromosomal T residue with the internal adenine of the incoming fragment, as described in Figure 3D. An interesting possibility is that this repair event takes place only once, and is then copied during the repair of the second DSB. This could explain the high efficiency of repair of events containing several ends, all of which have to be modified, and the fact that usually the same mutation is found in the upstream and downstream HOcs. Notably, such a copying mechanism should be independent of Rad51p (as clearly demonstrated by the isolation of a capture event carrying identical copies of a mitochondrial sequences at both ends of the *LEU2* insert) although it is apparently partially Rad52 dependent: about half of the capture events in *rad52* strains carried different insertion mutations at the upstream and downstream HOcs. The Ku heterodimer and Rad52 may collaborate in this complex event that requires the recognition of homology and end modification.

Concluding remarks

Integration of linear fragments can have important genetic consequences, changing the genome in ways that may affect the future evolution of the organism. Genetic information from mitochondria has migrated to the nucleus of eukaryotic cells by a mechanism akin to the one we have described here (10). Similarly, linear DNA fragments of endogenous or exogenous origin can be incorporated into the genome to generate gene sequence duplications or to import useful traits from other organisms during horizontal transmission. On an evolutionary scale, these are events that create new opportunities for adaptation and speciation (29,37,38). We have shown that fragment capture is a complex event that involves both the NHEJ and HR machineries, and may utilize not only abundantly found DNA fragments, such as mitochondrial DNA or Ty cDNA, but also single copy sequences.

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