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## History of Smoking and Postcessation Weight Gain among Weight Loss Surgery Candidates

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### Abstract

Smoking cessation often results in weight gain. Although smoking cessation frequently is recommended to patients presenting for weight loss surgery (WLS), the relationship between smoking cessation and weight gain among WLS candidates is poorly understood. Thus, we sought to document the history and prevalence of smoking and smoking-related weight gain among WLS candidates. Subjects (N = 67) presenting for bariatric surgery provided demographic information, were interviewed about smoking, and weighed and measured prior to operation. Sixty-seven percent of patients reported a lifetime history of smoking, and 26.9% were current smokers. Among lifetime smokers who had attempted to quit, the average maximum amount of weight gained following smoking cessation was 28.1 pounds, but there was wide variability in postcessation weight gain. These data suggest that smoking among candidates for bariatric surgery is prevalent, and that previous cessation attempts were associated with considerable weight gain. Because patients often receive recommendations to quit smoking and lose weight prior to surgery, additional information on the impact of presurgical smoking cessation on long-term weight control in this population is needed.

### Keywords

tobacco smoking; smoking cessation; body weight; obesity; surgery

## 1. Introduction

Bariatric surgery, the recommended treatment for obese individuals with Class 3 [body mass index (BMI) greater than 40] or Class 2 (BMI of 35 to 40) obesity with medical comorbidities (NIH Consensus Development Panel, 1992), has become increasingly common (Santry, Gillen, & Lauderdale, 2005). Bariatric, or weight loss, surgery (WLS) typically results in loss of 61% of excess weight (Buchwald et al., 2004), and resolution or improvement in obesity-related comorbidities (Buchwald et al., 2004; Maggard et al., 2005). However, it also carries the risks of a major abdominal operation, with adverse events in about 20% of cases (Maggard et al., 2005).

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Cigarette smoking is one documented risk factor for surgical complications in general and for complications after WLS in particular. Among patients undergoing pulmonary surgery, smokers had more post-operative complications than those who had never smoked (Nakagawa, Tanaka, Tsukama, & Kishi, 2001), and smokers tend to have longer hospital stays than do non smokers (Barrera et al., 2005). Smoking also has been related to thromboembolic and pulmonary complications (Gonzalez, Haines, Nelson, Gallagher, & Murr, 2006) and poor long-term survival for WLS patients (Zhang, Mason, Renquist, & Zimmerman, 2005). Although smoking cessation is associated with improvements in health and decreases in surgical complications, it also is associated with weight gain. On average, smokers gain 8–10 pounds upon quitting smoking (e.g., Hudmon, Gritz, Clayton, & Nisenbaum, 1999; Klesges et al., 1997), and some subgroups of smokers, most notably those concerned about postcessation weight, have reported maximum previous weight gains of 13.7 pounds (Levine, Perkins, & Marcus, 2001). The high rates of psychiatric comorbidity in WLS candidates (Sarwer, Wadden & Fabricatore, 2005; Kalarchian et al., in press) and the well-established link between psychiatric disorders and tobacco use (Breslau, Novak & Kessler, 2004; John, Meyer, Rumpf & Hapke, 2004) further complicate the potential impact of smoking cessation on weight for WLS candidates. Thus, the relationship between smoking cessation and weight change may be important in WLS.

Estimates of the prevalence of smoking prior to surgery among WLS patients range from 16% to 38% (Grace, Pederson, Speechley, & McAlpine, 1990; Nguyen, Rivers, & Wolfe, 2003; Zhang et al., 2005). However, data on the effects of smoking on WLS outcome are limited. Some investigations have shown that smoking pre- (Dixon, Dixon & O'Brien, 2001), or postoperatively (Grace et al., 1990) modestly increased weight loss after operation, but others have found preoperative smoking to be associated with decreased weight loss (Latner, Wetzler, Goodman, & Glinski, 2004). Nonetheless, the current recommendation is that patients quit smoking prior to WLS (Saltzman et al., 2005). Patients are also encouraged to lose weight prior to WLS (Saltzman et al., 2005). Given these recommendations, it is important to understand smoking and cessation-related weight gain among individuals presenting for WLS. Thus, we documented rates of lifetime and current smoking and the relationship between smoking and smoking-related weight gain in candidates for weight loss surgery.

## 2. Method

### 2.1. Participants

Participants were 67 individuals presenting for WLS at a large medical center. On average, participants were 40.1 ( $\pm 6.2$ ) years old, with a BMI of 52.4 ( $\pm 9.8$ ). Most were female (85.1%) and white (82.1%).

### 2.2. Procedure

Participants provided demographic information, and were weighed, measured and interviewed about smoking behaviors prior to operation. Participants were divided into lifetime smokers and never smokers according to self-reported smoking status. Lifetime smokers were participants who indicated having ever been a smoker, while never smokers reported neither current nor lifetime smoking. Lifetime smokers were further divided according to smoking status at the preoperation interview into current smokers and former smokers, with former smokers representing participants who had smoked in their lifetime, but were not smoking at the time of the preoperation evaluation. Among lifetime smokers, we further separated those reporting having ever made a quit attempt (attempted quitters).

Data analyses included descriptive statistics to document rates of lifetime and current smoking, the number of cessation attempts, amount of cessation-related weight gain. Current smokers were compared to never and former smokers, using t-tests and chi-squares for continuous and

categorical responses, respectively. To examine the association of postcessation weight gain to other presurgery characteristics (demographic and weight factors), we divided attempted quitters according to those who did ( $n = 25$ ) and did not ( $n = 9$ ) report weight gain in previous smoking cessation attempts and compared these groups using t-tests and chi-squares for continuous and categorical responses, respectively. In addition, the association between previous postcessation weight gain and preoperation weight and BMI was examined using bivariate Pearson correlations.

### 2.3. Assessments

**2.3.1. Weight and demographic information**—Preoperation weight and height were obtained from the surgeon's records, and BMI was calculated as weight in kilograms divided by height in meters squared. Prior to surgery, patients completed a brief questionnaire on which they indicated their marital status, race and ethnicity, highest completed level of education and gender.

**2.3.2. Smoking behavior**—Patients were asked if they had ever been a smoker, and those answering yes were considered lifetime smokers. Lifetime smokers were asked about current smoking, efforts to quit and weight gain associated with smoking cessation. Patients who indicated having ever made a quit attempt, (attempted quitters) were asked to report the maximum amount of weight gained after quit attempts, the time frame in which the weight gain occurred and whether weight gain related to a resumption of smoking.

## 3. Results

Sixty-seven percent ( $n = 45$ ) reported a lifetime history of cigarette smoking, and 26.9% ( $n = 18$ ) were smoking at the time of the preoperation evaluation. As shown in Table 1, current smokers were younger ( $36.3 \pm 9.1$  vs.  $43.5 \pm 8.5$  years,  $p = .01$ ) and less well educated (33% vs. 85% with some college education,  $p < .001$ ) than were former smokers. However, there was no difference in preoperation BMI between current and former smokers ( $49.7 \pm 12.0$  vs.  $53.3 \pm 8.5$   $\text{k/m}^2$  for current vs. former smokers, respectively). Most lifetime smokers (82.2%;  $n = 37$ ) reported having tried to quit (i.e., attempted quitters), with an average of  $3.3 \pm 4.2$  cessation attempts. Additional details on the smoking histories of attempted quitters are provided in Table 2.

Among attempted quitters, 45.9% reported worrying about gaining weight with smoking cessation, and 67.6% reported having gained weight after quitting smoking. On average, surgery candidates reported having gained  $28.1 (\pm 20.6)$  pounds over an  $8.7 (\pm 12.8)$  month period after smoking cessation. As shown in Figure 1, there was wide variability in maximum postcessation weight gains. Close to half (45.9 %) of WLS candidates indicated that weight gain led to a resumption of smoking. However, maximum postcessation weight gain was not correlated with preoperation BMI,  $r = .10$ ,  $p = .67$ . In addition, patients who did and did not gain weight upon quitting were similar in preoperation weight, BMI, age, sex, marital status or education level.

## 4. Discussion

A considerable proportion of individuals presenting for bariatric surgery report a history of smoking. In this study, 67% of WLS candidates had a lifetime history of smoking, and 27% were currently smoking. By comparison, estimates of the population prevalence of lifetime (ever smoking 100 cigarettes or more) and current smoking (smoking on at least some days) are 42.4% and 21%, respectively (CDC, 2005). Thus, the rate of current smoking among WLS candidates appears to be similar to, and the rate of lifetime smoking appears to be higher than, that observed in the general population.

Additionally, previous attempts at smoking cessation in WLS candidates were associated with considerable weight gain. Average maximum postcessation weight gain in this sample (28 pounds) is approximately double that found among smokers with specific concerns about postcessation weight gain (Levine et al., 2001), and larger than the average amount gained by smokers within six months of cessation (Hudmon et al., 1999; Perkins et al., 2001). Although the presurgical BMIs of former and never smokers did not differ, the large weight gains experienced by WLS candidates in previous cessation attempts suggest that the recommendations to WLS patients to lose weight and quit smoking prior to operation (Saltzman et al., 2005) may conflict. Patients who are asked to quit smoking in anticipation of WLS may gain weight. However, patients are also encouraged to lose weight prior to surgery, and the weight gain associated with smoking cessation could negatively affect efforts to lose weight before WLS. It is also possible that a smoking cessation attempt made in preparation for surgery may be followed by relapse to smoking after operation. Pre-operative smoking cessation programs have been shown to increase abstinence rates before (Ratner et al., 2004; Wolfenden et al., 2005) and immediately post surgery (McHugh et al., 2001; Wolfenden et al., 2005), but the longer-term cessation outcome of these interventions is poor (Ratner et al., 2004). Moreover, WLS has not been shown to affect smoking behavior reliably (Karason, Lindroos, Stenlof, & Sjostrom, 2000; Latner et al., 2004). Thus, efforts to quit smoking in preparation for surgery may not increase rates of long-term smoking cessation and may negatively affect weight loss outcomes among WLS candidates. Nonetheless, any negative impact of smoking cessation on weight loss may be offset by the health benefits of quitting.

Although these data provide preliminary evidence of a smoking-related weight gain in bariatric surgery candidates, there are several important limitations to this study. First, these data are from a small sample of patients presenting for surgery, and both smoking and weight gain were assessed through retrospective, self-report. Thus, there is limited power for tests of differences among current, former and never smokers, and the data are subject to recall and reporting biases. Second, the majority of participants in this study were female, and the relationship between smoking and weight differs between men and women (e.g., Borrelli, Spring, Niaura, Hitsman, & Papandonatos, 2001; John, Meyer, Rumpf, & Hapke, 2005).

Nonetheless, these data suggest future work on smoking and smoking cessation among bariatric surgery patients is needed. For example, because many WLS patients may be worried about gaining additional weight, addressing smoking cessation in this population may require a specific focus on weight. A smoking cessation program treatment designed to ameliorate cessation-related weight concerns has been found to improve rates of cessation and to attenuate cessation-related weight gain relative to efforts to prevent weight gain after smoking cessation (Perkins et al., 2001), and may be useful with WLS candidates. Finally, medications, such as bupropion, that promote cessation and may mitigate weight gain (Hays et al., 2001; Jorenby et al., 1999) may be useful for WLS candidates.

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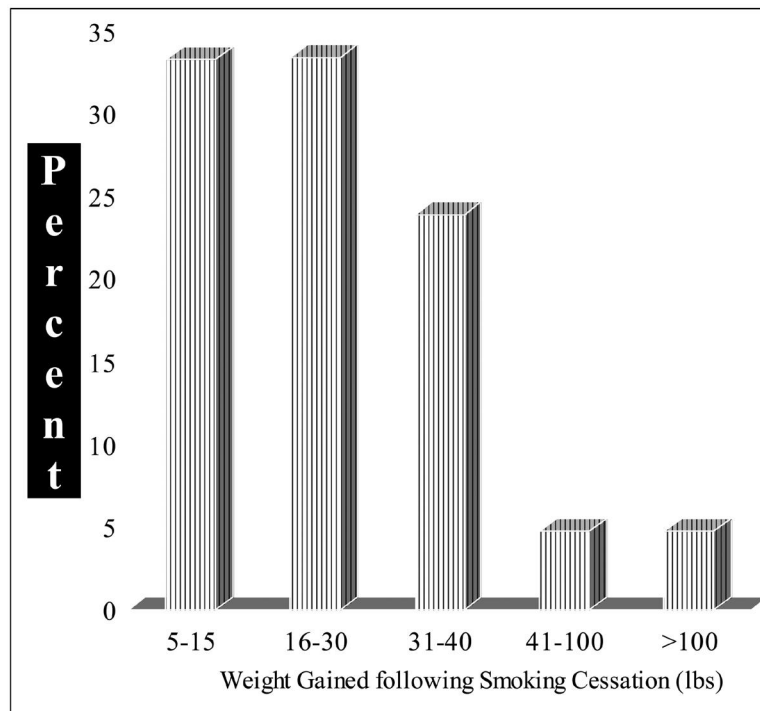
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**Figure 1.** Maximum postcessation weight gains among candidates presenting for WLS.

Table 1

Characteristics of WLS candidates by smoking status (N = 67).

	Never Smokers (n = 18)		Former Smokers (n = 27)		Current Smokers (n = 18)	
	[[Mean]]	[[SD]]	[[Mean]]	[[SD]]	[[Mean]]	[[SD]]
[[Current age]]	[[39.1a]]	[[10.2]]	[[43.5ab]]	[[8.6]]	[[36.3a]]	[[9.1]]
[[BMI]]	[[53.4a]]	[[9.1]]	[[53.3a]]	[[8.5]]	[[49.7a]]	[[12.0]]
[[% female]]	95.5 <sup>a</sup>		74.1 <sup>b</sup>		88.9 <sup>a,b</sup>	
[[% white]]	90.9 <sup>a</sup>		81.5 <sup>a</sup>		72.2 <sup>a</sup>	
[[% married]]	45.5 <sup>a</sup>		55.6 <sup>a</sup>		50.0 <sup>a</sup>	
% partial college or more	77.3 <sup>a</sup>		85.2 <sup>a</sup>		33.3 <sup>b</sup>	

Note. Cells with different superscripts are significantly different in post-hoc, two way comparisons.



**Table 2**

Smoking history of WLS patients who have attempted to quit smoking (n = 37).

	[[Mean]]	[[SD]]
[[Current age]]	[[41.0]]	[[9.0]]
[[Age started smoking]]	[[16.2]]	[[5.1]]
Age of regular smoking	[[19.1]]	[[6.6]]
Number of cessation attempts	[[3.3]]	[[4.2]]
[[% female]]	[[81.1]]	
[[% white]]	[[81.1]]	
[[% married]]	[[54.1]]	
% partial college or more	[[62.2]]	