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## Role of retinoic acid during forebrain development begins late when *Raldh3* generates retinoic acid in the ventral subventricular zone

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### Abstract

Retinoic acid (RA) synthesized by *Raldh3* in the frontonasal surface ectoderm of chick embryos has been suggested to function in early forebrain patterning by regulating *Fgf8*, *Shh*, and *Meis2* expression. Similar expression of *Raldh3* exists in E8.75 mouse embryos, but *Raldh2* is also expressed in the optic vesicle at this stage suggesting that both genes may play a role in early forebrain patterning. Also, *Raldh3* is expressed later in the forebrain itself (lateral ganglionic eminence; LGE) starting at E12.5, suggesting a later role in forebrain neurogenesis. Here we have analyzed mouse embryos carrying single or double null mutations in *Raldh2* and *Raldh3* for defects in forebrain development. *Raldh2*<sup>-/-</sup>;*Raldh3*<sup>-/-</sup> embryos completely lacked RA signaling activity in the early forebrain, but exhibited relatively normal expression of *Fgf8*, *Shh*, and *Meis2* in the forebrain. Thus, we find no clear requirement for RA in controlling expression of these important forebrain patterning genes, but *Raldh3* expression in the frontonasal surface ectoderm was found to be needed for normal *Fgf8* expression in the olfactory pit. Our studies revealed that later expression of *Raldh3* in the subventricular zone of the LGE is required for RA signaling activity in the ventral forebrain. Importantly, expression of dopamine receptor D2 in E18.5 *Raldh3*<sup>-/-</sup> embryos was essentially eliminated in the developing nucleus accumbens, a tissue lying close to the source of RA provided by *Raldh3*. Our results suggest that the role of RA during forebrain development begins late when *Raldh3* expression initiates in the ventral subventricular zone.

### Keywords

Forebrain; Retinoic acid; *Raldh2*; *Raldh3*; Subventricular zone; Lateral ganglionic eminence; Striatum; Nucleus accumbens; Dopamine receptor D2

### Introduction

Inductive interactions are crucial for anteroposterior (Shimamura and Rubenstein, 1997; Storm et al., 2006) and dorsoventral (Gunhaga et al., 2003; Lupo et al., 2006) regionalization of the forebrain during early development. Important signals include secreted proteins generated by *Fgf8* expressed anteriorly in the anterior neural ridge, *Shh* expressed ventrally in the prechordal plate mesendoderm, and *Wnt* genes expressed dorsally at the junction between the forebrain and epidermal ectoderm. Retinoic acid (RA), a small molecule derived from vitamin A that

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serves as a ligand for nuclear RA receptors, has also been proposed to play a cell-cell signaling role in forebrain regionalization (Halilagic et al., 2003; Marklund et al., 2004; Ribes et al., 2006; Schneider et al., 2001). However, a clearly established function for RA in the forebrain has not emerged. Early studies in chick embryos reported that *Raldh3* expression in the frontonasal surface ectoderm at stage 10 may be a potential source of RA for the forebrain, and that embryos treated with RA receptor antagonists at that stage exhibit a complete loss of *Fgf8* and *Shh* expression in the forebrain field (Schneider et al., 2001). In contrast, forebrain defects and loss of *Shh* expression observed in vitamin A deficient quail embryos were proposed to be due to an earlier effect of RA generated in the anterior endoderm by *Raldh2* at stages 3-4 (Halilagic et al., 2003). However, null mice lacking RA synthesis controlled by *Raldh2* displayed relatively mild reductions in *Fgf8* and *Shh* expression in the forebrain field, and these effects were apparent only at later stages (Ribes et al., 2006). Other studies of chick embryos treated with RA receptor antagonists reported a loss of *Meis2* expression in the intermediate region of the forebrain (progenitor of the striatum) leading to the hypothesis that RA might control intermediate character along the dorsoventral axis, with SHH regulating ventral character and FGF plus WNT regulating dorsal character (Marklund et al., 2004). In contrast to these examinations of forebrain RA function, RA plays a well-established role in development of the hindbrain and spinal cord. RA is required during early posteriorization of the central nervous system to generate the posterior hindbrain (Dupé and Lumsden, 2001; Gavalas and Krumlauf, 2000; Maden et al., 1996; Niederreither et al., 2000; Sirbu et al., 2005) and spinal cord (Liu et al., 2001; Molotkova et al., 2005), plus RA is required for dorsoventral patterning of the spinal cord to generate motor neurons (Del Corral et al., 2003; Novitch et al., 2003; Wilson et al., 2004) and later for specifying motor neuron sub-type identity (Sockanathan and Jessell, 1998; Sockanathan et al., 2003; Vermot et al., 2005).

In order to ascertain a role for RA in forebrain development (if any), one approach is to establish the identity and expression patterns of RA receptors as well as enzymes that may synthesize RA that could reach the forebrain (RA sources), then establish the locations where RA signaling activity occurs in the forebrain (RA target tissues). RA serves as a ligand for three nuclear RA receptors (RAR) that bind DNA as heterodimers with retinoid X receptors (RXR); binding of all-*trans*-RA to the RAR component of RAR/RXR heterodimers is necessary for early development, whereas the isomer 9-*cis*-RA (which can bind RXR under pharmacological conditions) is unnecessary and undetectable under physiological conditions (Mic et al., 2003). During the early stages of central nervous system development, expression of RAR $\alpha$  and RAR $\gamma$  is widespread throughout the mouse embryo including the developing forebrain, but RAR $\beta$  expression is limited to hindbrain and spinal cord (Mollard et al., 2000). Thus, RA signaling could potentially occur throughout the early forebrain due to the presence of RAR $\alpha$  and RAR $\gamma$ . At later stages, RAR $\beta$  is expressed at high levels in the lateral ganglionic eminence (LGE) which gives rise to the striatum located in the ventral forebrain (Mollard et al., 2000). However, the location of RA signaling will also depend on the location of RA synthesis which is not as widespread as RAR expression.

The final step of all-*trans*-RA synthesis (oxidation of retinaldehyde to RA) is carried out by retinaldehyde dehydrogenases encoded by *Raldh1*, *Raldh2*, and *Raldh3* (*Aldh1a1*, *Aldh1a2*, and *Aldh1a3* - Mouse Genome Informatics) expressed in non-overlapping patterns (Mic et al., 2002; Niederreither et al., 1999; Reijntjes et al., 2005). In mouse embryos, two enzymes could serve as RA sources for the early forebrain as *Raldh2* is expressed in the optic vesicle starting at E8.25 and *Raldh3* is expressed in the frontonasal surface ectoderm starting at E8.5 (Li et al., 2000; Mic et al., 2002). In chick embryos, *Raldh3* could serve as an early RA source as it exhibits frontonasal expression similar to mouse, but *Raldh2* is not expressed in the optic vesicle or anywhere else rostrally (Blentic et al., 2003). Studies on quail embryos reported that *Raldh2* is transiently expressed at stage 3-4 in the hypoblast and anterior endoderm (Halilagic et al., 2003), but such expression was not observed in mouse or chick embryos. In both mouse

and chick embryos, *Raldh1* expression in the head initiates at a later stage and is limited to the dorsal retina. The *RARE-lacZ* RA-reporter transgene has been particularly useful for determining RA target tissues in mouse embryos (Rossant et al., 1991). *RARE-lacZ* expression is first observed at E7.5 in the posterior mesoderm where *Raldh2* is first expressed (Sirbu et al., 2005). Anterior expression of *RARE-lacZ* does not occur until E8.25 when *Raldh2* expression initiates in the optic vesicle (Wagner et al., 2000). Crosses of *RARE-lacZ* mice with *Raldh* null mice can be used to determine the contribution of each *Raldh* gene for RA signaling activity in a given tissue. For instance, wild-type embryos carrying *RARE-lacZ* exhibit RA activity throughout much of the head at E8.75 during early forebrain development, and *Raldh2*<sup>-/-</sup> embryos carrying *RARE-lacZ* lose all RA activity in the forebrain while retaining RA activity in the frontonasal surface ectoderm where *Raldh3* is expressed (Mic et al., 2004). As frontonasal expression of *Raldh3* does not generate RA that reaches the forebrain neuroepithelium, this source of RA may be designed for another developmental purpose.

*Raldh3* may play a much later role in ventral forebrain development as it is specifically expressed in the LGE starting at E12.5 in the mouse (Li et al., 2000). Also, *Raldh3* may be responsible for RA detected in radial glia in the LGE (Toresson et al., 1999) and *Raldh3* expression is under the control of the homeobox gene *Gsh2* which plays an essential role in development of the LGE which gives rise to the striatum (Waclaw et al., 2004). Here, *Raldh2* and *Raldh3* single and double null mutant embryos have been analyzed to provide further insight into the role of RA during early or late forebrain development. We do not find clear evidence of an RA signaling requirement during early forebrain patterning, but RA generated by *Raldh3* in the LGE was found to be necessary for later stages of forebrain development.

## Materials and methods

### Raldh null mice

Several *Raldh* mutant mice have been previously described including *Raldh2*<sup>-/-</sup> embryos which exhibit midgestation lethality (Mic et al., 2002) and *Raldh3*<sup>-/-</sup> embryos which exhibit postnatal lethality just after birth (Molotkov et al., 2006). Generation of compound *Raldh2-Raldh3* null mice was performed by mating of adult mice heterozygous for both null mutations. Embryos derived from timed matings were genotyped by PCR analysis of yolk sac DNA. Following mating, noon on the day of vaginal plug detection was considered embryonic day 0.5 (E0.5).

### Rescue of *Raldh2*<sup>-/-</sup> early lethality with dietary RA supplementation

Rescue of *Raldh2*<sup>-/-</sup> embryos and *Raldh2*<sup>-/-</sup>;*Raldh3*<sup>-/-</sup> embryos by maternal dietary RA supplementation was performed similar to a previous description (Molotkov et al., 2006) with an RA dose demonstrated to be in the normal physiological range (Mic et al., 2003). Briefly, all-*trans*-RA (Sigma Chemical Co.) was dissolved in corn oil and mixed with powdered mouse chow to provide a final concentration of 0.1 mg/g for treatment from E6.75-E8.5. In some cases embryos were analyzed when the mother was still on the RA-supplemented diet, but in other cases the mother was returned to standard mouse chow at E8.5 and embryos were analyzed at E10.5. Such food was prepared fresh twice each day (morning and evening) and provided *ad libitum*.

### In situ hybridization and immunohistochemistry

Whole-mount in situ hybridization of mouse embryos was performed using digoxigenin-labeled antisense RNA probes as described previously (Wilkinson, 1992). Probes for mouse *Raldh2* and *Raldh3* have been described (Mic et al., 2002). We also performed whole-mount in situ hybridization on sections of embryonic forebrain as follows. The whole brains of E14.5 or E18.5 embryos were dissected and fixed overnight in 4% paraformaldehyde at 4°C. On the

next day brains were washed for 20 min at 4°C in phosphate-buffered saline containing 0.1% Tween-20, embedded in 3% agarose and sectioned on a vibratome at 150 µm. Sections were individually collected, dehydrated and stored in 100% methanol at -20°C until analysis. The remaining steps for in situ hybridization of these whole-mount sections were performed as described previously (Wilkinson, 1992). Immunohistochemistry was performed on paraffin sections as previously reported (Haselbeck et al., 1999) using an antibody against mouse DARPP-32, 1:1000 (Chemicon).

### Detection of retinoic acid

Embryos carrying the *RARE-lacZ* RA-reporter transgene which places *lacZ* (encoding β-galactosidase) under the transcriptional control of a retinoic acid response element (RARE) were used to detect RA in E8 whole-mount embryos (Rossant et al., 1991). Stained embryos were embedded in 3% agarose and sectioned at 50 µm with a vibratome. For detection of RA in E14.5 embryonic forebrain tissues, a RA bioassay was performed utilizing Sil-15 F9-RARE-lacZ RA-reporter cells (Wagner et al., 1992). Dissected tissue explants of equal size from wild-type and mutant embryos were first incubated overnight in tissue culture medium to allow diffusion of RA into the medium, and then this conditioned medium was placed upon a confluent lawn of F9-RARE-lacZ RA-reporter cells, followed by detection of β-galactosidase activity as described previously (Luo et al., 2004).

## Results

### Two potential RA sources during mouse early forebrain development

At E8.75, mouse embryos express *Raldh2* in the optic vesicles and *Raldh3* in the frontonasal surface ectoderm (Fig. 1A-B). At E9.0, the RA-reporter transgene *RARE-lacZ* is expressed throughout the optic vesicles and frontonasal surface ectoderm, as well as the forebrain neuroectoderm that will form the telencephalic vesicles (Fig. 1C-D). By E10.5, *Raldh2* expression is no longer observed in the eye or anywhere nearby the forebrain, plus *Raldh3* expression in the frontonasal surface ectoderm is now limited to the olfactory pits and *Raldh3* is now expressed in the eye (Fig. 1E-F). Thus, the mouse has two potential sources of RA located outside of the developing telencephalon that can provide RA to this tissue. However, previous studies of *Raldh2*<sup>-/-</sup> embryos carrying *RARE-lacZ* revealed that RA activity is no longer observed in the forebrain neuroectoderm whereas *Raldh3* mRNA and RA activity are still observed in the frontonasal surface ectoderm (Mic et al., 2004). These findings demonstrate that in mouse embryos *Raldh3* does not provide RA to early telencephalic progenitor cells, but that *Raldh2* does. However, a role for *Raldh2* in providing RA for early telencephalon development is not conserved as avian embryos do not express *Raldh2* in the optic vesicles (Blentic et al., 2003).

### Lack of RA requirement for *Fgf8* and *Shh* expression in early forebrain

Previous studies suggested that RA is necessary for expression of *Fgf8* and *Shh* in the forebrain field (Halilagic et al., 2003; Ribes et al., 2006; Schneider et al., 2001). In order to follow up on these findings, we generated mouse embryos lacking all RA synthesis. RA activity was examined in *Raldh2* and *Raldh3* single and double null embryos carrying *RARE-lacZ* at E8.75; *Raldh2*<sup>-/-</sup> embryos are still relatively healthy at E8.75, but deteriorate quickly after that due to somite and cardiovascular defects (Niederreither et al., 1999); on the other hand *Raldh3*<sup>-/-</sup> embryos survive to birth (Dupé et al., 2003). Whereas *Raldh3*<sup>-/-</sup> embryos exhibited no significant decrease in forebrain RA activity compared to wild-type, *Raldh2*<sup>-/-</sup> embryos exhibited a large reduction in forebrain RA activity, and *Raldh2*<sup>-/-</sup>;*Raldh3*<sup>-/-</sup> embryos exhibited no forebrain RA activity (Fig. 2A-D). *Fgf8* was still expressed in the anterior neural ridge of E8.75 *Raldh2*<sup>-/-</sup>;*Raldh3*<sup>-/-</sup> embryos completely lacking RA activity (Fig. 2E-H); an apparent slight reduction in *Fgf8* expression is likely due to the entire head being slightly smaller in

embryos carrying *Raldh2*<sup>-/-</sup> due to deterioration of embryonic health. *Raldh2*<sup>-/-</sup>;*Raldh3*<sup>-/-</sup> embryos exhibited relatively normal expression of *Shh* in the prechordal plate at E8.75 (Fig. 2I-L). These results demonstrate that *Raldh2* and *Raldh3* are responsible for all RA activity observed in the early forebrain, but that elimination of this RA does not have a significant effect on *Fgf8* or *Shh* expression in the forebrain field at E8.75.

### **Raldh3 is required in the olfactory pit**

As our findings suggest that *Raldh3* expression in the frontonasal surface ectoderm is not required for forebrain development, we examined whether *Raldh3* plays a function locally in the olfactory pit where its expression becomes concentrated by E10.5 (Fig. 1F). Previous studies on chick embryos treated with the RA synthesis inhibitor citral suggested that RA is required to upregulate *Fgf8* expression in the olfactory pit (Song et al., 2004). This is in contrast to previous studies on *Raldh3*<sup>-/-</sup> mouse embryos which reported that endogenous RA functions to downregulate *Fgf8* expression along the ventral edge of the olfactory pit; this defect was proposed to contribute to blockage of the nasal passages leading to lethality just after birth (Dupé et al., 2003). Examination of our *Raldh3*<sup>-/-</sup> embryos at E10.75 confirmed that *Fgf8* expression is upregulated along the ventral edge of the olfactory pit (Fig. 2M-N). Previous examination of our *Raldh3*<sup>-/-</sup> embryos carrying *RARE-lacZ* has demonstrated that such embryos have no RA activity in the olfactory pit at E9.75-E11.5, thus correlating with the observations that *Raldh2* expression in the eye field ends soon after E9.5 and RA activity generated by *Raldh1* expression in the dorsal eye is too weak to reach the olfactory pit (Molotkov et al., 2006). Thus, our findings and those of others (Dupé et al., 2003) indicate that the function of *Raldh3* in the frontonasal surface ectoderm is to generate RA required to regulate olfactory pit development rather than forebrain development.

### **RA is unnecessary for Meis2 expression in the forebrain**

*Meis2* is a homeobox gene expressed specifically in the intermediate region of the forebrain which gives rise to the LGE and later the striatum (Toresson et al., 1999). Previous studies on chick embryos treated with an RAR antagonist suggested that RA is necessary for expression of *Meis2* in the intermediate region of the telencephalon (Marklund et al., 2004). As telencephalic *Meis2* expression does not begin in mouse embryos until E9.5, whereas *Raldh2*<sup>-/-</sup> embryos exhibit lethality after E8.75 (Niederreither et al., 1999), we performed a mild RA treatment on *Raldh2*<sup>-/-</sup> embryos to rescue early lethality. Previous studies have shown that maternal dietary RA supplementation of *Raldh2*<sup>-/-</sup> embryos from E6.75-E8.5 is sufficient to rescue somitogenesis defects that contribute to lethality, and that the administered RA functions primarily in the posterior neuroectoderm and node ectoderm as opposed to throughout the embryo (Sirbu and Duester, 2006). Here, we show that such RA treatment does not stimulate RA activity in the forebrain as shown by analysis of RA activity in E8.5 rescued *Raldh2*<sup>-/-</sup> embryos carrying *RARE-lacZ* (Fig. 3A-B). Thus, this treatment allows one to look at later defects in *Raldh2*<sup>-/-</sup> embryos similar to a conditional null allele. *Raldh2* and *Raldh3* single and double null embryos rescued in this fashion were examined for *Meis2* expression at E10.5 when the telencephalic vesicles are well-established. *Meis2* expression was still observed in the intermediate region of the telencephalon in all embryos examined including *Raldh2*<sup>-/-</sup>;*Raldh3*<sup>-/-</sup> embryos that lack RA activity in the forebrain; telencephalon morphology was also relatively normal (Fig. 3C-F). These findings suggest that RA is not required to initiate *Meis2* expression in the forebrain.

### **Raldh3 is required for RA activity detected in the LGE**

*Raldh3* expression initiates in the mouse forebrain at E12.5 specifically in the LGE (Li et al., 2000). Here, we demonstrate that *Raldh3* expression at E14.5 is localized in the subventricular zone of the LGE (Fig. 4A). RA has previously been detected in the forebrain specifically in

the LGE but not the medial ganglionic eminence (MGE) or cortex (Liao et al., 2005; Luo et al., 2004; Toresson et al., 1999). Those studies employed tissue explant bioassays to detect RA as the *RARE-lacZ* transgenic mouse does not express *lacZ* in the LGE or ventral forebrain (Luo et al., 2004). Also, the *RARE-lacZ* transgenic mouse expresses *lacZ* ectopically in the cortex where no RA is detected using tissue explant bioassays, and *RARE-lacZ* expression persists in the cortex of *Raldh1<sup>-/-</sup>;Raldh2<sup>-/-</sup>;Raldh3<sup>-/-</sup>* triple null embryos (Molotkov et al., 2006). Thus, *RARE-lacZ* expression in transgenic mice is for some unknown reason not useful to monitor RA activity during late forebrain development.

Using a tissue explant RA bioassay previously reported (Luo et al., 2004), we found that all RA activity detectable in the wild-type LGE at E14.5 was eliminated in *Raldh3<sup>-/-</sup>* embryos (Fig. 4D-E). As controls we found much lower RA activity in the *Raldh3<sup>-/-</sup>* eye compared with wild-type (Fig. 4B-C); this is consistent with loss of RA synthesis by *Raldh3* expressed in the ventral retina, but retention of RA synthesis by *Raldh1* expressed in the dorsal retina (Molotkov et al., 2006). We also found that wild-type cortex contained no RA activity, and this was unchanged in *Raldh3<sup>-/-</sup>* embryos (Fig. 4F-G). These findings demonstrate that *Raldh3* is responsible for RA detected specifically in the LGE.

### Endogenous RA upregulates RAR $\beta$ expression in the LGE (striatum)

Although RAR $\beta$  is normally expressed only in the striatum, RA treatment of mouse striatum and cortex tissue explants results in the induction of RAR $\beta$  expression in both (Liao et al., 2005). We found that E18.5 *Raldh3<sup>-/-</sup>* embryos exhibit a significant decrease in RAR $\beta$  expression in the striatum, particularly ventrally in the region encompassing the nucleus accumbens (Fig. 5A-B). Our studies now demonstrate that endogenous RA generated by *Raldh3* in the LGE (striatum) is required to upregulate RAR $\beta$  to achieve its normal level of expression in the striatum. However, some RAR $\beta$  expression remains in the absence of *Raldh3* function.

### Raldh3 is not required to generate DARPP-32 striatal projection neurons

*Gsh2<sup>-/-</sup>* embryos, lacking a homeobox gene important for striatal development, exhibit a 50% reduction in striatal volume and a large reduction in DARPP-32 immunoreactivity which marks striatal projection neurons (Waclaw et al., 2004). *Gsh2<sup>-/-</sup>* embryos also exhibit a reduction in forebrain *Raldh3* expression, and RA treatment of these embryos from E11.5-E17.5 to replace the missing RA was reported to increase DARPP-32 immunoreactivity at E18.5, although striatal volume was not increased (Waclaw et al., 2004). We found that DARPP-32 immunostaining was not significantly changed in *Raldh3<sup>-/-</sup>* versus wild-type striatum at E18.5; also *Raldh3<sup>-/-</sup>* forebrains did not exhibit a significant decrease in striatal volume compared to wild-type (Fig. 5C-D). Thus, we find no evidence that endogenous RA generated by *Raldh3* is necessary for DARPP-32 neuron differentiation.

### Raldh3 is required for dopamine receptor D2 expression in nucleus accumbens

Adult mice carrying RA receptor mutations were previously reported to exhibit impaired locomotion and reduced expression of dopamine receptor D2 (*Drd2*) specifically in the ventral striatum, suggesting that the nucleus accumbens may be a target of RA action (Krezel et al., 1998). The nucleus accumbens can be visualized at E18.5 as a site of high *Drd2* expression in the ventromedial region of the striatum (Corbin et al., 2000). We compared *Drd2* mRNA in serial coronal sections of E18.5 wild-type and *Raldh3<sup>-/-</sup>* forebrains. *Drd2* expression was observed in a wide swath across the anteroposterior axis of both wild-type and *Raldh3<sup>-/-</sup>* striatum, and this was mostly unchanged in the mutant except that high-level *Drd2* expression in the region where the nucleus accumbens develops was not observed (Fig. 6A-B; Supplementary Fig. S1). Low-level expression of *Drd2* in this region suggests that neurons comprising the nucleus accumbens do exist, but detailed studies on neuronal migration are

needed to directly address this question. In addition to the specific loss of *Drd2* expression in the nucleus accumbens, a reduction in *Drd2* expression is also observed in more dorsomedial tissue adjacent to the subventricular zone of the *Raldh3*<sup>-/-</sup> forebrain (Fig. 6A-B). In a comparable E18.5 wild-type coronal section at the same anteroposterior position, robust *Raldh3* expression is observed in the subventricular zone of the ventral striatum located medial to the striatum and just dorsal to the nucleus accumbens (compare Fig. 6A and C). In an equivalent section, RAR $\beta$  expression is observed throughout the striatum and nucleus accumbens (Fig. 6D).

Examination of sagittal sections through E18.5 wild-type forebrains demonstrated that *Raldh3* mRNA is limited to a small domain along the anteroposterior axis of the striatum near the anterior end of the ventricle, located just dorsal to where the nucleus accumbens is located (Fig. 6E). Sagittal sections revealed that RAR $\beta$  mRNA is localized to a similar anteroposterior domain as *Raldh3*, although extending further ventrally into the region where the nucleus accumbens resides (Fig. 6F). Altogether, these findings indicate that RA generated by *Raldh3* is required for high-level expression of *Drd2* in the nucleus accumbens, but not for *Drd2* expression in the rest of the forebrain. The expression domains of *Raldh3* and RAR $\beta$  are consistent with this region of the forebrain being a robust site of RA signaling.

## Discussion

Previous studies in avian embryos utilizing either RAR/RXR antagonists or vitamin A deficiency suggested that RA generated by *Raldh2* or *Raldh3* may play a role during early forebrain development to induce expression of genes needed to establish regionalization; i.e. *Raldh2* in anterior endoderm at stage 3 was proposed to control *Shh* (Halilagic et al., 2003), *Raldh3* expressed in frontonasal surface ectoderm at stage 10 was proposed to control *Fgf8* and *Shh* (Schneider et al., 2001), and *Raldh3* expressed in frontonasal surface ectoderm at stage 14 was proposed to control *Meis2* in the intermediate region of the telencephalon (Marklund et al., 2004). Previous studies on *Raldh2*<sup>-/-</sup> mouse embryos reported that expression of *Fgf8* and *Shh* expression is initially unaffected at E8.75 but is reduced by E9.5 (Ribes et al., 2006). As *Raldh3* expression remains in *Raldh2*<sup>-/-</sup> embryos, it is possible that this is also an important source of RA that may prevent the severe early forebrain defects observed in RAR antagonist-treated or vitamin A deficient chick embryos. However, the genetic studies presented here suggest that *Raldh2* and *Raldh3* are not required for early forebrain regionalization. *Raldh2*<sup>-/-</sup>;*Raldh3*<sup>-/-</sup> double null embryos carrying *RARE-lacZ* revealed that these two enzymes generate all RA detectable rostrally during early stages of forebrain development. Despite a complete loss of RA activity, *Raldh2*<sup>-/-</sup>;*Raldh3*<sup>-/-</sup> embryos displayed relatively normal expression of *Fgf8* and *Shh* in the forebrain field at E8.75. In addition, conditionally rescued *Raldh2*<sup>-/-</sup>;*Raldh3*<sup>-/-</sup> embryos (that still lack RA in the early forebrain) exhibit normal expression of *Meis2* in the intermediate region of the telencephalon at E10.5.

Our previous studies on mouse embryos demonstrated that RA generated by *Raldh3* in the frontonasal surface ectoderm does not reach the forebrain neuroectoderm (Mic et al., 2004). As *Raldh3* expression in chick embryos is quite similar, RALDH3 is unlikely to be a source of RA for chick forebrain. As rostral *Raldh2* expression during early forebrain development has been reported to be present only during presomite stages in quail (Halilagic et al., 2003) but not until early somite stages in mouse (Sirbu et al., 2005) and absent in chick (Blentic et al., 2003), *Raldh2* does not appear to be an evolutionarily conserved source of forebrain RA. We previously reported that the early rostral *Raldh2* expression domain for mouse that exists in the optic vesicle neuroepithelium is unnecessary for eye development, but that a later *Raldh2* domain in the mesenchyme adjacent to the optic vesicle is necessary for optic cup formation (Molotkov et al., 2006). Importantly, this later mesenchymal *Raldh2* domain is conserved in chick (Blentic et al., 2003) suggesting a conserved role for *Raldh2* in eye

development, but our findings suggest this mesenchymal *Raldh2* expression domain is unnecessary to establish *Meis2* expression in the forebrain.

We suggest that findings from studies utilizing RAR/RXR antagonists may not reflect the physiological role of endogenous RA as such compounds may have non-specific effects. As RXRs are heterodimer partners for at least 10 nuclear receptors other than RARs (Chawla et al., 2001), RAR and RXR antagonists may block many important functions of RXR that are independent of RA signaling. Also, embryos that lack RA due to vitamin A deficiency or a null mutation in *Raldh2* will suffer cardiovascular and somite defects that gradually compromise overall embryonic survival (Dersch and Zile, 1993; Lai et al., 2003; Niederreither et al., 1999), and this may lead to non-specific effects on forebrain development at later stages. Thus, compromised embryonic survival might explain why *Raldh2*<sup>-/-</sup> embryos display normal expression of *Fgf8* and *Shh* expression in the forebrain field at E8.75, but experience a reduction in expression at E9.5 (Ribes et al., 2006). However, conditional RA treatment of *Raldh2*<sup>-/-</sup> embryos to rescue overall embryonic survival will produce healthy embryos at E9.5-E10.5 that still completely lack RA activity in the forebrain; this method was used here for analysis of *Meis2* expression.

*Raldh3* expression in the frontonasal surface ectoderm does not appear to have a function in early forebrain development, but it is essential for olfactory pit development. Our findings and those of others (Dupé et al., 2003) have shown that *Raldh3* expression in the frontonasal surface ectoderm, which later resolves into the olfactory pit ectoderm, is required to generate RA that limits *Fgf8* expression in the ventral olfactory pit. Excessive *Fgf8* expression in this location may play a role in the development of nasal passage blockage in *Raldh3*<sup>-/-</sup> embryos that results in lethality soon after birth (Dupé et al., 2003); we have also observed the same lethal effect in our strain of *Raldh3*<sup>-/-</sup> mice. As RA has been found to antagonize *Fgf8* expression in other locations, particularly the node ectoderm and posterior neural plate (Sirbu and Duester, 2006), RA antagonism of *Fgf8* may represent a common mechanism of RA action. In contrast, chick embryos treated with the RA synthesis inhibitor citral were reported to have decreased *Fgf8* expression in the olfactory pit suggesting that RA may induce *Fgf8* (Song et al., 2004). However, RALDH inhibitors such as citral or disulfiram may affect metabolism of compounds other than retinoids as the three RALDHs are members of a family containing 15 additional aldehyde dehydrogenases with functions other than RA synthesis, and these enzymes may also be inhibited by citral and disulfiram (Sophos and Vasiliou, 2003).

Previous studies on RAR double null mutants concluded that RA signaling is required for proper development of the hindbrain neuroepithelium leading to closure of the rhombencephalon by E10.5; such a failure impairs the accumulation of cerebrospinal fluid in the ventricular system leading to abnormal folding of the forebrain neuroepithelium observed at E11.5 (Lohnes et al., 1994). Studies on RAR/RXR compound null mutants reported no effect on forebrain development (Kastner et al., 1994). Thus, a specific requirement for RA signaling in the early forebrain neuroepithelium (up to E11.5) has not been supported by examination of RAR and RXR mutants. Other studies have suggested the possibility of an RA-independent function for RARs during early forebrain development as these receptors can, in the absence of ligand, recruit corepressor complexes that may keep a nearby gene silent (Weston et al., 2003). This possibility has not been ruled out by previous studies on RAR null mutants, nor by our studies which have removed the ligand.

Instead of an early role in forebrain development, our findings suggest that RA plays a late role. Adult mice carrying double null mutations of RAR $\beta$ , RXR $\beta$ , or RXR $\gamma$  were previously found to exhibit impaired locomotion and reduced expression of dopamine receptors in the ventral and medial striatum, thus uncovering a potential late role for RA in the forebrain (Krezel et al., 1998). Other studies found that the dopamine receptor D2 (*Drd2*) promoter



contains a retinoic response element that binds RAR-RXR heterodimers and stimulates RA-inducible transcription (Samad et al., 1997). *Drd2* is expressed throughout much of the striatum with very high levels ventrally and medially in the nucleus accumbens (Lu et al., 1998), a tissue where it has striking neurobehavioral effects as demonstrated by the observation that *Drd2*<sup>-/-</sup> mice exhibit Parkinsonian-like locomotor defects (Baik et al., 1995). In our studies we found that *Raldh3*<sup>-/-</sup> embryos have a specific loss of *Drd2* expression in the nucleus accumbens and a reduction in medial striatum. Such a loss would presumably affect development of the neuronal circuits needed for proper locomotor control. Further studies are needed to determine if specific neurons in the nucleus accumbens are lost in the absence of RA, or whether neurons are retained but lose *Drd2* expression. This effect of RA on *Drd2* expression is likely to exist only during forebrain development as *Raldh3* expression in the ventral telencephalon is not observed after postnatal day 15 (Wagner et al., 2002). Thus, our findings suggest that the locomotor defects observed in adult RAR-RXR double mutants (Krezel et al., 1998) may be due to defective development of the nucleus accumbens rather than a requirement for RA signaling in the adult striatum.

*Gsh2* encodes a homeobox gene that specifies ventral character in the telencephalon important for normal striatal development (Yun et al., 2001). *Gsh2*<sup>-/-</sup> embryos exhibit a large reduction in *Raldh3* expression in the striatum (Waclaw et al., 2004) and *Gsh1*<sup>-/-</sup>; *Gsh2*<sup>-/-</sup> embryos exhibit a complete loss of striatal *Raldh3* expression (Toresson and Campbell, 2001). As *Gsh2*<sup>-/-</sup> embryos also display a loss of *Drd2* expression in the nucleus accumbens (Corbin et al., 2000), our results suggest that this may be due to reduced *Raldh3* expression observed in *Gsh2*<sup>-/-</sup> embryos.

Our studies on *Raldh3* and RAR $\beta$  expression in wild-type and *Raldh3*<sup>-/-</sup> embryos indicate that the ventral striatum is a robust site of RA signaling. Within this region (nucleus accumbens) we suggest that *Drd2* is a direct target of RA signaling; this is supported by previous studies demonstrating a retinoic acid response element in the *Drd2* promoter (Samad et al., 1997). Our observation that RA synthesis by *Raldh3* in the ventral subventricular zone is required to regulate *Drd2* expression in the nucleus accumbens is another example of paracrine signaling in which the source of RA synthesis is distinct from the responding target tissue. RA has been found to function exclusively in a paracrine fashion in several other tissues including the optic cup and perioptic mesenchyme (Molotkov et al., 2006), node ectoderm (Sirbu and Duester, 2006), hindbrain (Sirbu et al., 2005), spinal cord (Molotkova et al., 2005), and pancreas (Molotkov et al., 2005; Stafford et al., 2006).

In conclusion, our genetic studies demonstrate that RA is not required to regulate early patterning of the forebrain as previously suggested from inhibitor studies. Instead, we find that the role of RA during forebrain development begins much later during generation of specific neurons of the ventral forebrain. Our studies point out the importance of utilizing genetic loss-of-function studies to identify RA target tissues and RA target genes.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

### Acknowledgements

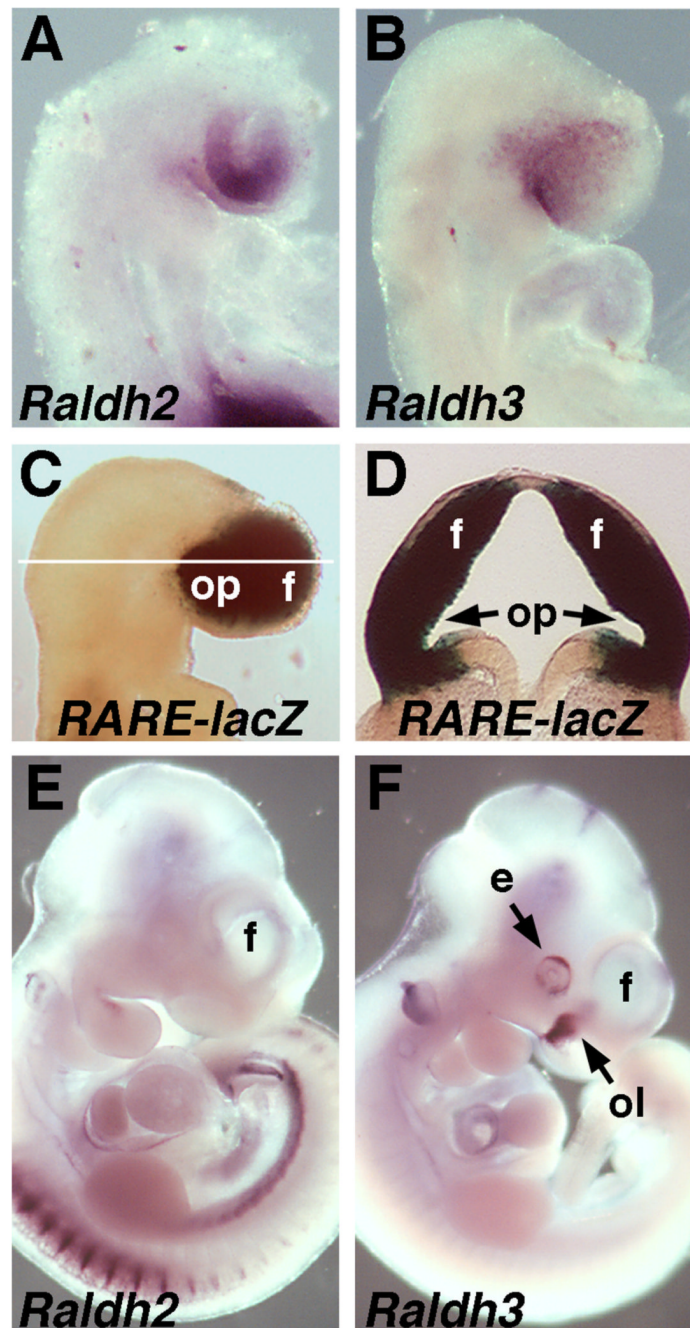
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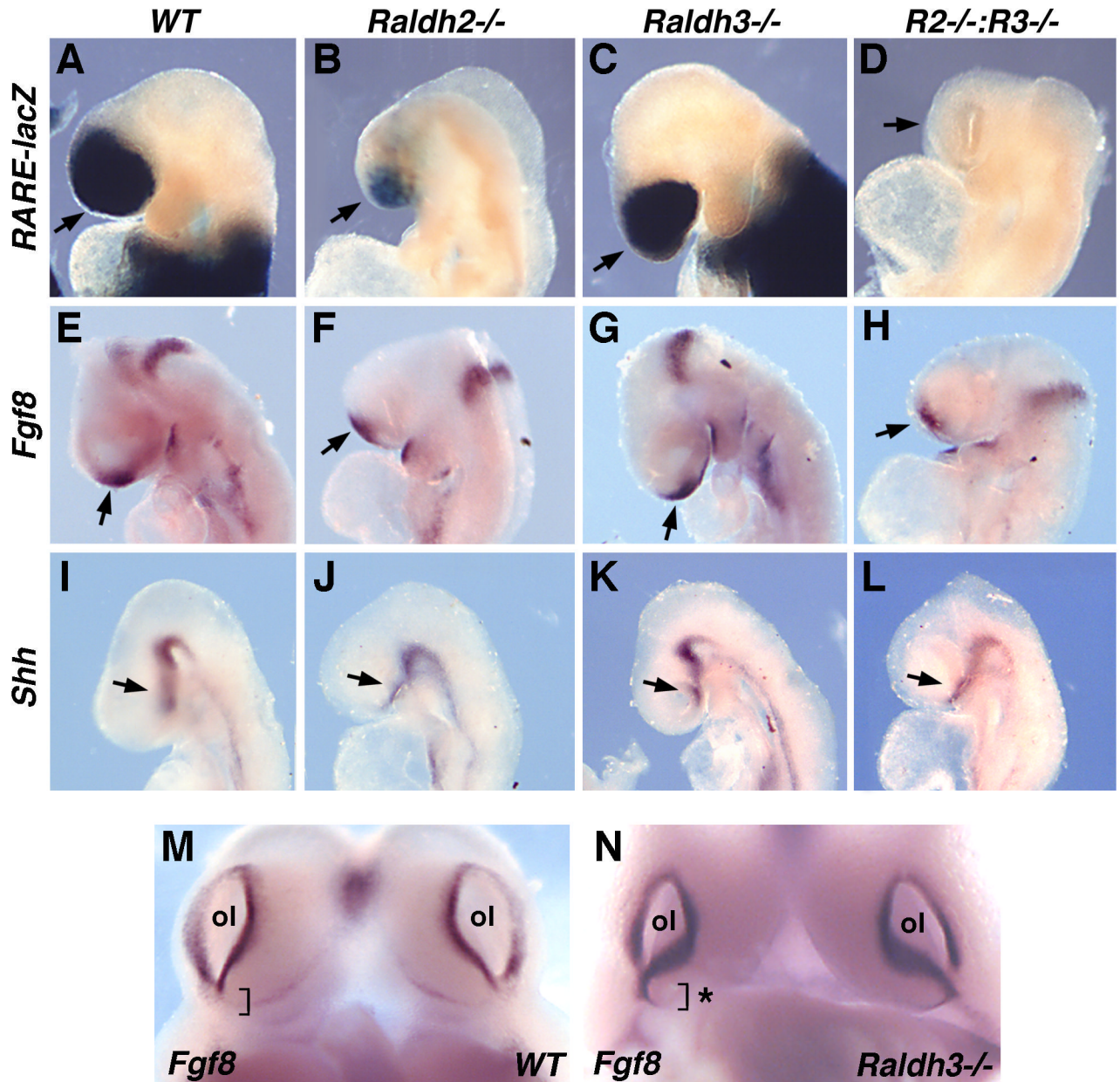
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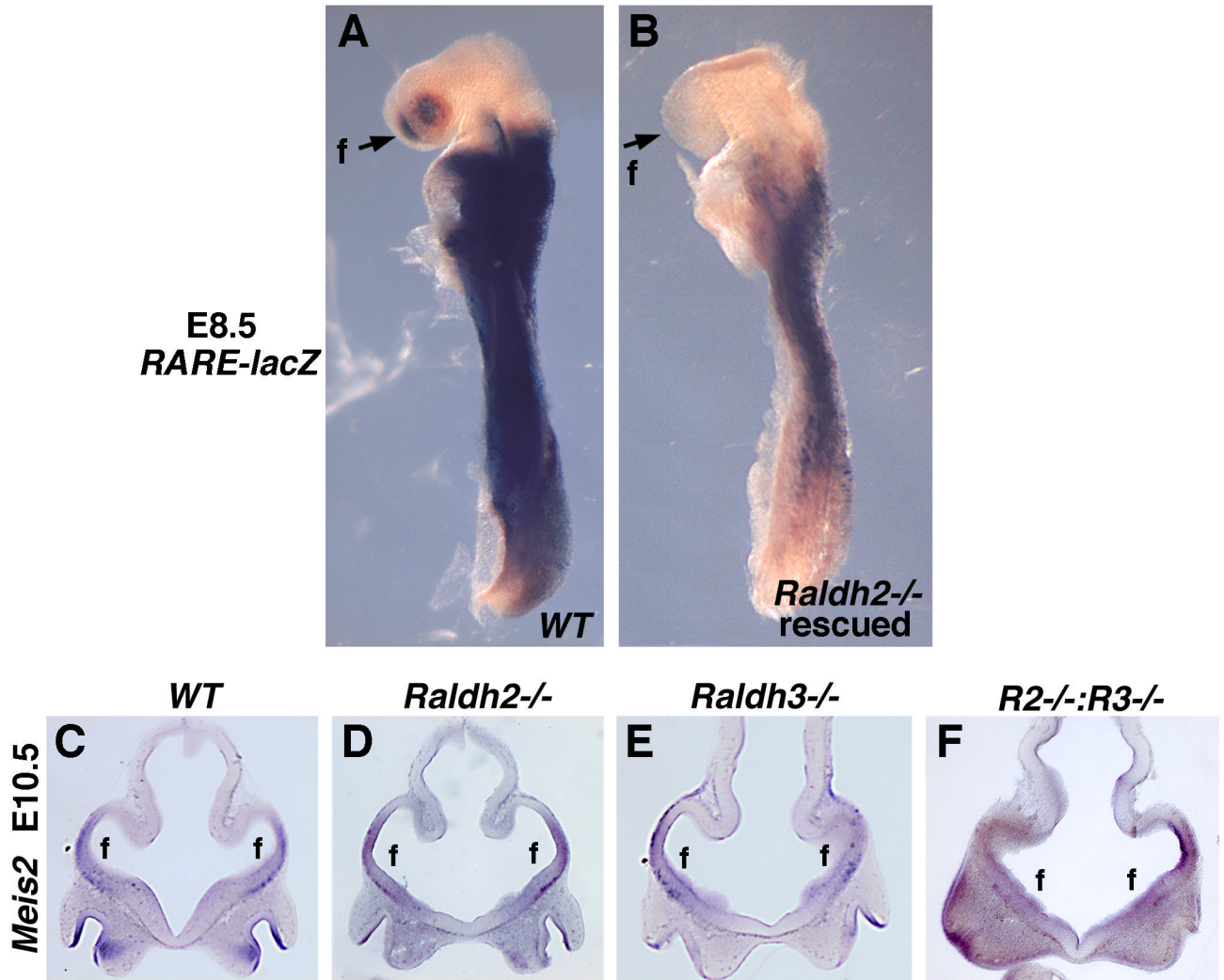
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**Fig 1. Location of rostral RA synthesis and RA activity in the developing mouse embryo**  
 (A-B) Detection of *Raldh2* and *Raldh3* mRNAs at E8.75. (C-D) *RARE-lacZ* expression at E9.0 and transverse section demonstrating RA activity throughout the forebrain. (E-F) Detection of *Raldh2* and *Raldh3* mRNAs at E10.5. e, eye; f, forebrain telencephalic vesicle; ol, olfactory pit; op, optic vesicle.

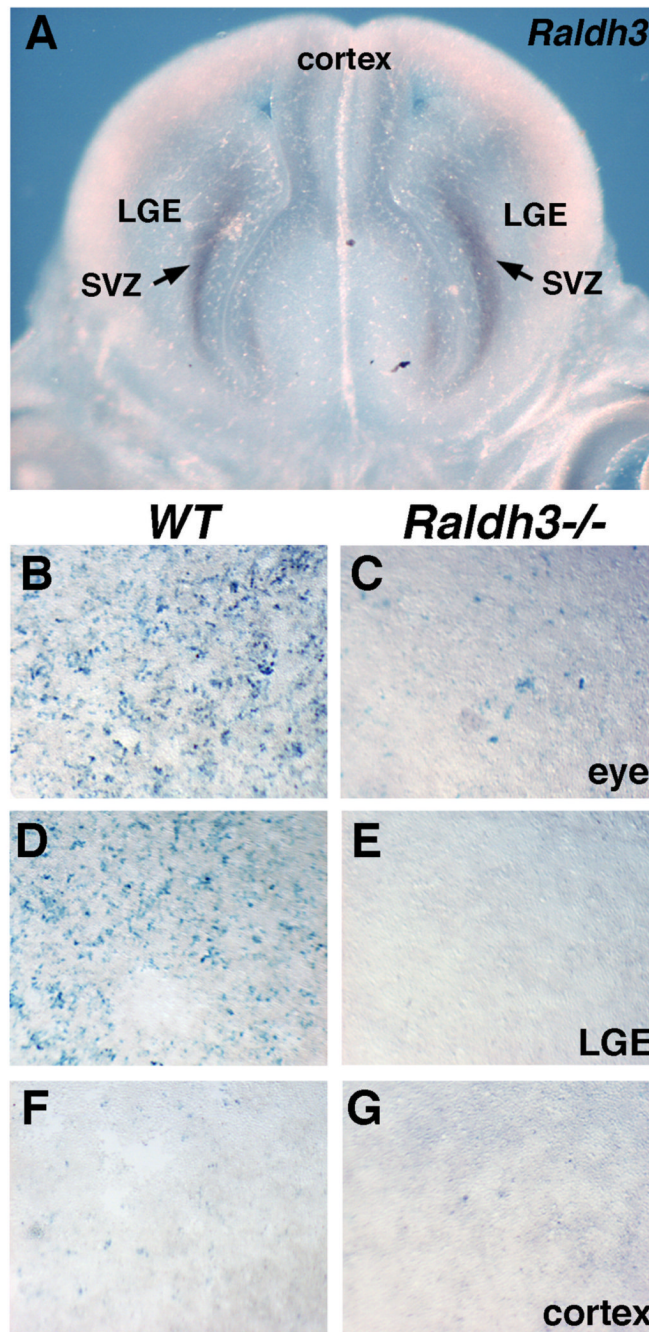


**Fig 2. Loss of rostral RA activity does not affect *Fgf8* or *Shh* expression except in olfactory pit**  
 All embryos shown are at E8.75 and are unrescued (not treated with RA). (A-D) *RARE-lacZ* expression demonstrating partial loss of RA activity in *Raldh2* null mutant and complete loss of RA activity in *Raldh2-Raldh3* double null mutant (*R2*<sup>-/-</sup>:*R3*<sup>-/-</sup>). (E-H) *Fgf8* expression and (I-L) *Shh* expression are not significantly altered following complete loss of RA; arrows point to the relevant expression domains in the forebrain field. (M-N) *Fgf8* mRNA in *Raldh3*<sup>-/-</sup> olfactory pit (ol) exhibits abnormal ventral extension indicated by asterisk.



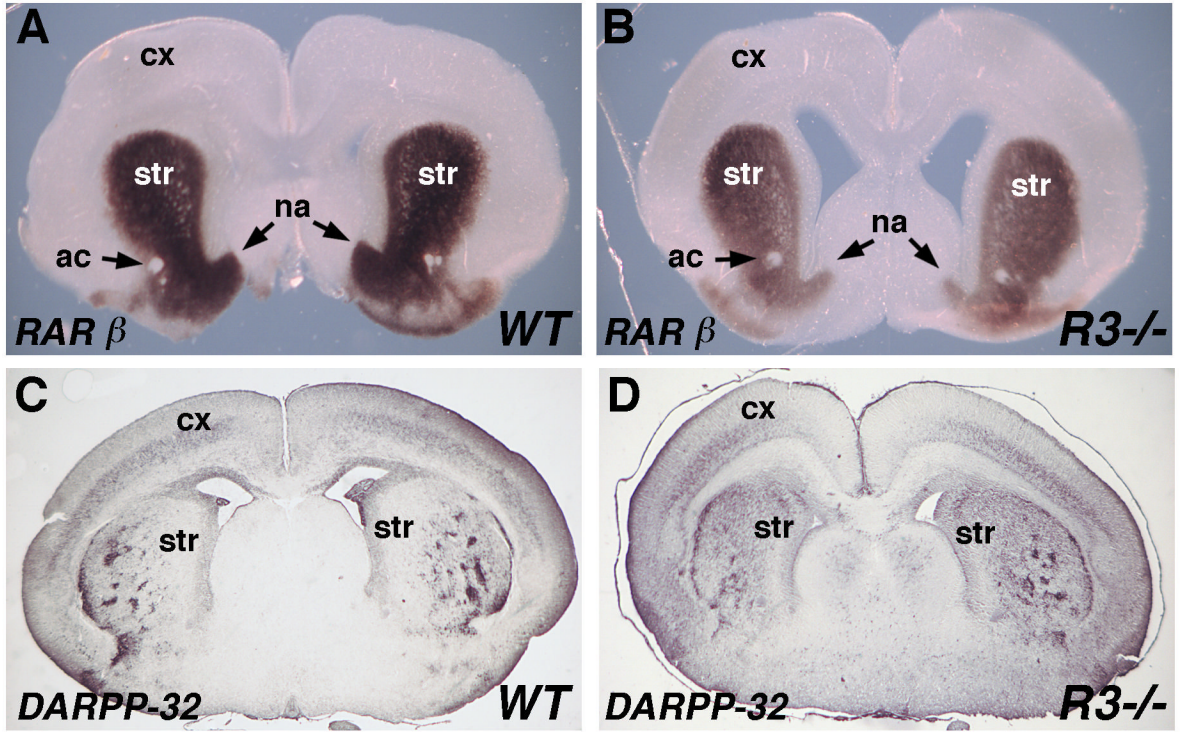
**Fig 3. Loss of rostral RA activity does not affect expression of *Meis2*, a marker of forebrain intermediate character**

(A-B) Rescue of *Raldh2*<sup>-/-</sup> early lethality by maternal RA treatment from E6.75-E8.5 does not stimulate RA activity in the forebrain or other head tissues. (C-F) Coronal sections through the telencephalon showing that *Meis2* expression is not significantly affected in E10.5 single mutants or *Raldh2*-*Raldh3* double null mutants (*R2*<sup>-/-</sup>:*R3*<sup>-/-</sup>) rescued by maternal RA treatment from E6.75-E8.5 (which does not stimulate RA activity in the forebrain as shown in panel A). f, forebrain telencephalic vesicles.



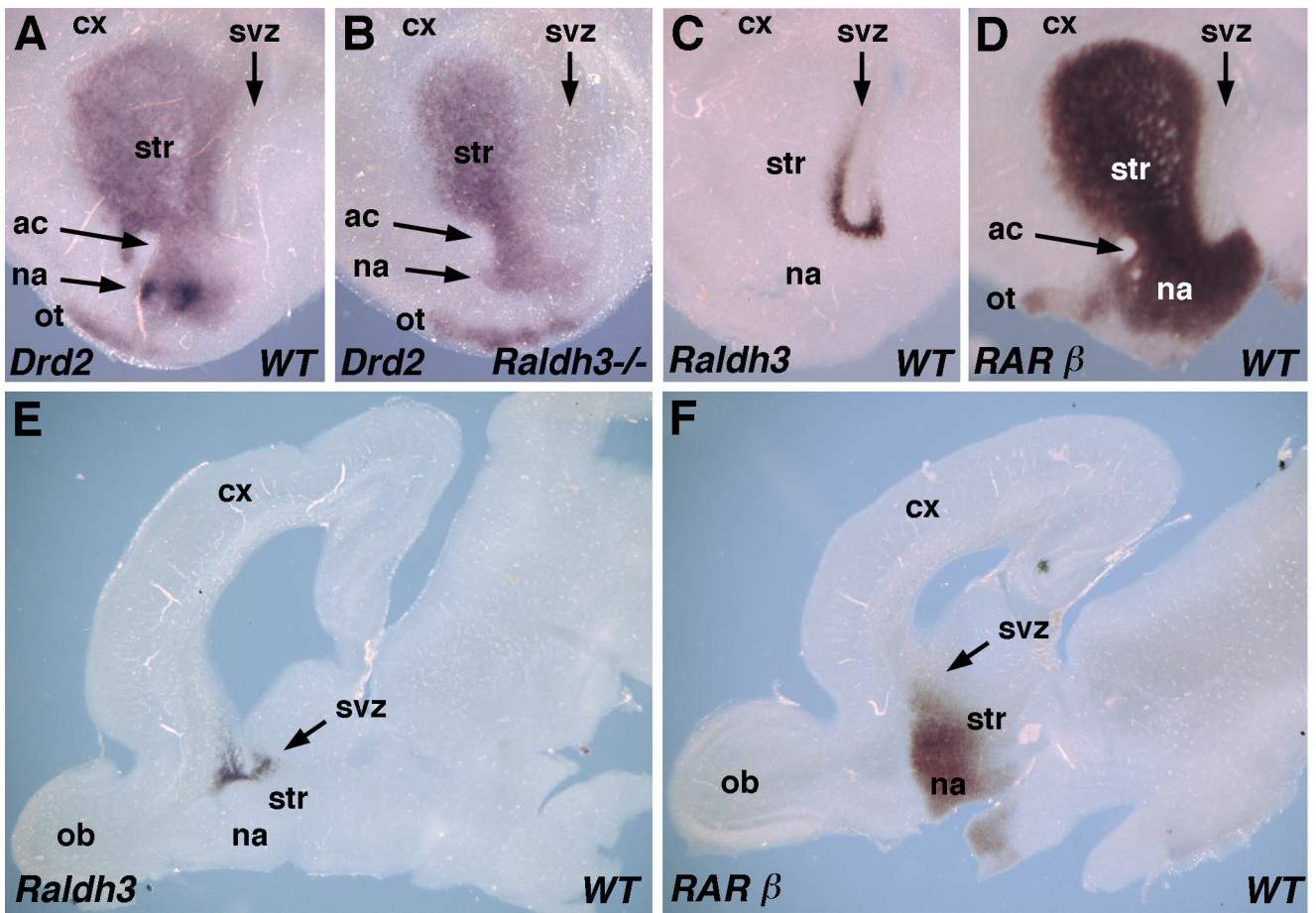
**Fig 4. *Raldh3* is responsible for RA detectable in ventral forebrain at later stages**  
 (A) Coronal section through E14.5 forebrain demonstrates that *Raldh3* mRNA is localized to the subventricular zone (SVZ) of the lateral ganglionic eminence (LGE). (B-G) F9-RARE-lacZ RA-reporter cells exposed to supernatants of cultured E14.5 embryonic tissues; in wild-type tissues RA activity is detected in the eye and LGE but not cortex; in *Raldh3*<sup>-/-</sup> tissues RA activity is greatly reduced in the eye and not detected in the LGE.





**Fig 5. RA generated by *Raldh3* regulates *RARβ* but not DARPP expression in LGE**

All panels are coronal sections through the forebrain. (A-B) At E18.5, *RARβ* mRNA is greatly reduced in the striatum (LGE) of an *Raldh3*<sup>-/-</sup> (*R3*<sup>-/-</sup>) embryo particularly in the ventral region including the nucleus accumbens. (C-D) DARPP-32 immunohistochemistry at E18.5 demonstrating no significant difference between wild-type and *Raldh3*<sup>-/-</sup> forebrain. ac, anterior commissure; cx, cortex; LGE, lateral ganglionic eminence; na, nucleus accumbens; str, striatum.



**Fig 6. *Raldh3* functions in a paracrine fashion to provide RA for control of *Drd2* expression in the nucleus accumbens**

(A-B) Loss of *Drd2* expression in an E18.5 *Raldh3*<sup>-/-</sup> forebrain is specific for the nucleus accumbens; coronal sections through the left lobe are shown. (C) Forebrain *Raldh3* expression in an E18.5 wild-type coronal section (left lobe) comparable to that shown for *Drd2* in panels A-B; *Raldh3* is expressed in the subventricular zone of the ventral striatum positioned just outside the nucleus accumbens in the dorsomedial direction. (D) *RARβ* expression at E18.5 in sections equivalent to those of panels A-C. (E-F) Comparison of *Raldh3* and *RARβ* mRNAs in sagittal sections through the forebrain at E18.5; *Raldh3* expression occurs in a small domain along the anteroposterior axis near the anterior end of the ventricle; *RARβ* expression is also localized to this same anteroposterior domain, but extends further ventrally than *Raldh3* into the region where the nucleus accumbens resides. ac, anterior commissure; cx, cortex; LGE, lateral ganglionic eminence; na, nucleus accumbens; ob, olfactory bulb; ot, olfactory tubercle; str, striatum; svz, subventricular zone.