

# Inferring Somatic Mutation Rates Using the Stop-Enhanced Green Fluorescent Protein Mouse

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## ABSTRACT

A new method is developed for estimating rates of somatic mutation *in vivo*. The stop-enhanced green fluorescent protein (EGFP) transgenic mouse carries multiple copies of an EGFP gene with a premature stop codon. The gene can revert to a functional form via point mutations. Mice treated with a potent mutagen, *N*-ethyl-*N*-nitrosourea (ENU), and mice treated with a vehicle alone are assayed for mutations in liver cells. A stochastic model is developed to model the mutation and gene expression processes and maximum-likelihood estimators of the model parameters are derived. A likelihood-ratio test (LRT) is developed for detecting mutagenicity. Parametric bootstrap simulations are used to obtain confidence intervals of the parameter estimates and to estimate the significance of the LRT. The LRT is highly significant ( $\alpha < 0.01$ ) and the 95% confidence interval for the relative effect of the mutagen (the ratio of the rate of mutation during the interval of mutagen exposure to the rate of background mutation) ranges from a minimum 200-fold effect of the mutagen to a maximum 2000-fold effect.

**S**OMATIC mutation is a process of fundamental importance in many human diseases such as cancer (HANAHAN and WEINBERG 2000; PONDER 2001; YANG *et al.* 2003); it may also play a role in biological processes such as aging, although this is controversial (SEDELNIKOVA *et al.* 2004; GORBUNOVA and SELUANOV 2005). Many factors influence the rates (and patterns) of somatic mutation. Some influences are environmental (*e.g.*, exposure to chemicals and UV-radiation, etc.) and others are genetic (*e.g.*, GC content of DNA sequences, mutations in DNA repair genes, etc.). To uncover the important factors influencing rates of somatic mutation (and by extension, rates of cancer, etc.) in a mammalian system, improved mutation detection systems are required for estimating rates of somatic mutation in cells exposed to potential environmental, or genetic, risk factors.

In the early 1990s, transgenic mouse mutation detection systems were developed by inserting either the *lacZ* or the *lacI* bacterial transgene into mice (GOSSEN *et al.* 1989; KOHLER *et al.* 1991). Such transgenic mutation detection systems have been widely used for measuring mutant frequency (*i.e.*, the relative number of mutants in a population of cells) after treatment with various mutagenic agents in different tissues (DEAN *et al.* 1999; SUZUKI *et al.* 1999; THYBAUD *et al.* 2003). The validity of these systems for studying somatic mutations

in mammals has been questioned, however, because many features of the bacterial *lacZ* and *lacI* genes, the target genes for mutations, are typically prokaryotic and the systems therefore may not represent the characteristic patterns of mutations one would expect to see in mammalian genes (SKOPEK 1998). Another shortcoming is that overall mutant frequency can be influenced by various nonmutagenic factors such as the clonal expansion of mutant cells, the sampling time following treatment when the mutation assay is performed, etc. (HEDDLE 1999a; SUN and HEDDLE 1999; THYBAUD *et al.* 2003). Estimation of the mutation rate (*i.e.*, the rate at which mutations arise, rather than the frequency of mutants) is desirable in mutation studies because the mutation rate better reflects the underlying mutational mechanisms and addresses mutagenicity questions more directly than the mutant frequency (DRAKE 1970; THOMPSON *et al.* 1998).

Recently, a novel transgenic mouse system has been developed that carries an enhanced green fluorescent protein (EGFP) gene containing a premature stop codon (referred to as the stop-EGFP gene) and the wild-type enhanced blue fluorescent protein (EBFP) gene. This system has been applied to trace clonal cell lineages *in vivo* (RO 2004; RO and RANNALA 2004, 2005). In the stop-EGFP mouse, a cell having undergone a mutation at the premature stop codon within the stop-EGFP gene (and its descendant cells) expresses functional revertant EGFP, thus allowing clonal cell lineages to be traced using fluorescence imaging. Because the stop-EGFP gene can function as a reporter for mutation and green

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fluorescent mutant cells generated by revertant mutations at the premature stop codon within the stop-EGFP gene can be easily detected using fluorescence imaging, the stop-EGFP system has the potential to be utilized as an *in vivo* mutation detection system. Several characteristics of the stop-EGFP mouse are anticipated to be advantageous for *in vivo* mutation studies. First, the EGFP gene has been genetically modified to enhance mammalian characteristics (YANG *et al.* 1996) and thus the stop-EGFP gene is expected to reveal mutational characteristics typical of mammalian genes. Second, the stop-EGFP gene is transcribed in cells (RO and RANNALA 2004), which will further enhance the similarity to mammalian endogenous genes. Third, because the EBFP gene, colocalized with the EGFP gene, provides a target nucleotide for GC → AT transitions, the stop-EGFP system allows all possible point mutations to be detected that may arise by either transitions (AT → GC and GC → AT) or transversions (AT → TA, AT → CG, GC → TA, and GC → CG) (see RO and RANNALA 2004).

Finally, independent mutations in a tissue of the stop-EGFP mouse can be counted by detecting green fluorescent colonies (clonal cell lineages originating from mutant cells), facilitating estimation of mutation rate. Here, we use the stop-EGFP system to study somatic mutation rates. We treated the stop-EGFP mouse with *N*-ethyl-*N*-nitrosourea (ENU) at a dose of 150 mg/kg body weight and examined the mutagenicity of the substance in the liver. Mutagenesis in live mammals is a complex process that involves DNA damage incurred by exposure to a mutagen, fixation of mutations, transcription from a mutant gene, expression of mutant phenotypes, etc. (HEDDLE 1999b). A mutation assay carried out at an earlier time point might underestimate the actual mutagenic potential of a substance because of insufficient expression of the mutant phenotype from some mutant cells (SUN and HEDDLE 1999). To better evaluate the mutagenic potential of a substance using the stop-EGFP mouse system we carried out a time-course study of mutations observed in the left caudal liver lobe after exposure to ENU. On the basis of estimation by maximum likelihood using a novel statistical model and inference procedure developed in this study, we compared the rate of mutation (in the liver) induced by ENU with the background rate and also estimated the waiting time until a revertant phenotype is expressed in a cell.

## MATERIALS AND METHODS

**Preparation of ENU solution:** The ENU solution was prepared in a type IIB2 biosafety cabinet that exhausts 100% to the outside. A vial containing ~1 g of ENU powder was purchased (ISOPAC; Sigma, St. Louis). A 10-ml quantity of 95% ethanol was injected into a vial through the rubber injection port and ENU was dissolved by gentle shaking of the vial. A 90-ml quantity of phosphate-citrate (0.1 M sodium phosphate and 0.05 M sodium citrate, pH 5.0) was added to the ENU solution and mixed by inverting and shaking the vial.

**Animal experiments:** All experiments using live mice were performed in compliance with the recommendations of the Canadian Council on Animal Care and have been approved by the Health Sciences Animal Policy and Welfare Committee of the University of Alberta.

**Animal treatment:** The optical density (OD) of the ENU solution at 398 nm was measured immediately prior to injection to precisely determine the concentration of the ENU solution. A concentration of 1 mg/ml of ENU corresponds to OD 0.72 at 398 nm (JUSTICE *et al.* 2000). Only male mice were used for this study. Mice were anesthetized by inhalation of isoflurane gas prior to injection. ENU was administered intraperitoneally at a dose of 150 mg/kg body weight. Control mice were injected intraperitoneally with vehicle (9.5% ethanol in phosphate-citrate buffer, see above) in a volume of 20 ml/kg body weight.

**Mutation assay:** For mutation assay, a mouse was killed by CO<sub>2</sub> asphyxiation and perfused with 10 ml of saline followed by 10 ml of 4% paraformaldehyde. The liver was removed from the mouse and stored in 4% paraformaldehyde at 4° with gentle agitation for 11 hr. After fixation, the organ was transferred to PBS with 1 mM MgCl<sub>2</sub> and stored at 4° overnight. The organ was sectioned into slices (100 μm in thickness) using a vibratome (VT1000S; Leica, Deerfield, IL). Each slice was then transferred to a 24-well plate containing PBS with 1 mM MgCl<sub>2</sub> and stored until the imaging experiment. At least 4 hr before imaging, slices were transferred to microscopic slides for mounting. Ultrapure glycerol (Invitrogen, San Diego) and 10× PBS were mixed with a ratio of 9:1 and used as mounting media. Slices were illuminated by use of a 50-W mercury lamp and scanned using a Zeiss Axiovert 200M inverted microscope with a 10× F-Fluar lens (NA 0.5) and LP 520 emission filter (Carl Zeiss, Thornwood, NY). Images of green fluorescent cells were collected with a confocal laser scanning microscope (LSM 510 NLO, software version 3.2; Carl Zeiss) mounted on the Zeiss Axiovert 200M inverted microscope with a 25× multi-immersion F-Fluar lens (NA 0.8). EGFP was excited with the 488-nm laser line and a band-pass filter (505–530 nm wavelength) was used for detecting emissions from EGFP.

**4',6-Diamidino-2-phenylindole staining and colocalization of nuclei:** Several slices containing EGFP signals were selected and used to verify colocalization of 4',6-diamidino-2-phenylindole (DAPI)-stained nuclei and bright EGFP signals within mutant cells. Slices were incubated for 30 min at room temperature in a PBS solution containing 1 μg/ml of DAPI. Slices were then briefly washed with PBS and dehydrated by storing them in 30, 50, 60, 70, 90, 100% ethanol, serially for 5 min at each step. Slices were then mounted in methyl salicylate. The DAPI-stained EGFP-expressing cells were imaged with a 25× multi-immersion F-Fluar lens (NA 0.8) on a confocal laser scanning microscope (LSM 510 NLO, software version 3.2; Carl Zeiss), using the 488-nm laser line to activate EGFP and a two-photon laser of 760 nm to activate DAPI-stained DNA.

**Estimation of the total number of cells in each lobe:** The total number of cells in the left caudal liver lobe of each mouse was estimated by multiplying the volume of each lobe by the total cell number in a unit volume. To calculate the volume, the whole area of each slice (100 μm in thickness, see above) of the lobe was imaged by the "Tile scan" function using a motorized scanning stage of a confocal laser scanning microscope (LSM 510 NLO, software version 3.2; Carl Zeiss) with a 2.5× Fluar lens (NA 0.12). Autofluorescence in slices was activated with the 488-nm laser line and detected using a band-pass filter (535- to 590-nm wavelength). The total area of each slice was then measured using MetaMorph software (version 6.26; Molecular Devices, Menlo Park, CA). To calculate the total cell number in a unit volume, randomly chosen 100 × 100 μm areas (15 different areas of a liver slice stained with DAPI) were

scanned along the  $z$ -axis and all nuclei contained in this volume were imaged with a  $25\times$  multi-immersion F-Fluar lens (NA 0.8) on a confocal laser scanning microscope (LSM 510 NLO, software version 3.2; Carl Zeiss) using 760 nm as excitation light. The number of total nuclei in the specified volume was counted using the spot-counting module of Imaris software (version 4.2, Bitplane AG).

## THEORY

To model the process of somatic mutation in untreated mice and mice treated with a potential mutagen, we develop a simple three-parameter model. Let the observed data be represented as a vector  $\mathbf{X} = \{X_i\}$ , where  $X_i$  is the number of independent stop-EGFP mutations observed for mouse  $i$  and  $i = 1, \dots, n$ . The observed (and/or experimentally fixed) model parameters (for mouse  $i$ ) are the total number of cells examined,  $N_i$ , the age of the mouse when the mutation assay is performed,  $T_A$ , and the age at time of exposure (to either the mutagen or the vehicle),  $T_{E_i}$ , both in units of days. The unobserved parameters to be estimated are the background rate of mutation (per cell, per day),  $\mu$ , the rate of mutation during exposure to the mutagen,  $\delta$ , and the expected time (in units of days) until a mutant cell begins expressing a revertant protein,  $1/\lambda$ .

It is natural to assume that mutation processes in individual mice are independent, and thus we first develop the probabilistic model for a single mouse (dropping subscripts for simplicity). The stochastic model we develop assumes background mutations arise according to a homogenous Poisson process with rate  $\mu$  per cell; the expected number of mutations per unit time is therefore  $\mu N$ . Time-dependent mutation rates could be easily incorporated, but the data are likely insufficient to estimate the parameters of a more complex model. At time-point  $T_E$ , a spike in the mutation rate occurs so that the expected number of mutations during the period of mutagen exposure is  $\delta N$ .

**Background mutation:** We first consider the model of background mutation. Let  $Y$  be the total number of mutations arising on the time interval  $(0, T_A)$ . The expectation of  $Y$  is  $\mathbb{E}(Y) = \mu N T_A$ . We assume that no more than one mutation occurs in a cell, which is reasonable when  $\mu T_A = \mathbb{E}(Y)/N$  is very small. The marginal distribution of the age at which any given mutation arises is uniform on  $(0, T_A)$ . We assume that the waiting time until a revertant protein is expressed in a cell, given that a mutation has occurred, follows an exponential distribution with mean  $1/\lambda$ . Conditional on a mutation having occurred in a cell, the probability that it is expressing the revertant protein at time  $T_A$  is

$$p = \int_{t=0}^{T_A} \int_{y=t}^{T_A} \frac{1}{T_A} \lambda e^{-\lambda(y-t)} dy dt = 1 + \frac{e^{-\lambda T_A} - 1}{\lambda T_A}.$$

The probability that at time  $T_A$ ,  $n_B$  cells are expressing revertant proteins that arose via the background mutation process is then

$$\begin{aligned} \Pr(n_B) &= \sum_{Y=n_B}^{\infty} \frac{e^{-\mu N T_A} (\mu N T_A)^Y}{Y!} \times \binom{Y}{n_B} \times p^{n_B} \times (1-p)^{Y-n_B} \\ &= \frac{1}{n_B!} (\mu N T_A p)^{n_B} e^{-\mu N T_A p}. \end{aligned}$$

In deriving this result, we assume that the number of cells is stationary, but this can be easily relaxed by allowing the number of cells to be a function of time  $N(t)$  and using a nonhomogenous Poisson model (to account for changes in cell number in early development, for example).

**Exposure to a mutagen:** It is assumed that the period of exposure to a mutagenic substance is of short duration (*e.g.*, a few days), which is true for the mutagen (ENU) that we use in this study. This implies that mutations induced by the mutagen arise only at time  $T_E$  (*e.g.*, the day on which the treatment is applied). The probability that a mutation arising at time  $T_E$  is expressed as a revertant protein at time  $T_A$  (assuming an exponential distribution for the waiting time to expression) is

$$q = \int_{y=T_E}^{T_A} \lambda e^{-\lambda(y-T_E)} dy = 1 - e^{-\lambda(T_A-T_E)},$$

and the probability that  $n_E$  mutations are induced by the mutagen and are expressing revertant protein at time  $T_A$  is

$$\begin{aligned} \Pr(n_E) &= \sum_{Y=n_E}^{\infty} \frac{e^{-\delta N} (\delta N)^Y}{Y!} \times \binom{Y}{n_E} \times q^{n_E} \times (1-q)^{Y-n_E} \\ &= \frac{1}{n_E!} (\delta N q)^{n_E} e^{-\delta N q}. \end{aligned}$$

**The likelihood:** The observed data for mouse  $i$  are the total number of mutations, which are the sum of background mutations,  $n_{B_i}$ , and mutagen-induced mutations,  $n_{E_i}$ , namely  $X_i = n_{B_i} + n_{E_i}$ . This is a convolution of two Poisson distributions, which is also a Poisson distribution,

$$\Pr(X_i | \mu, \delta, \lambda) = \frac{1}{X_i!} \beta_i^{X_i} e^{-\beta_i},$$

but with an expectation,  $\beta_i$ , that is the sum of the expectations of the two variables,

$$\begin{aligned} \beta_i &= \mathbb{E}(X_i) = \mathbb{E}(n_{B_i}) + \mathbb{E}(n_{E_i}) \\ &= \mu N_i \left( T_{A_i} + \frac{e^{-\lambda T_{A_i}} - 1}{\lambda} \right) + \delta N_i (1 - e^{-\lambda(T_{E_i} - T_{A_i})}). \end{aligned}$$

Now let  $k$  be the number of mice treated with the mutagen and  $n - k$  be the number of mice treated with the vehicle alone. Order the labels of the mice such that if mouse  $i$  is treated with the vehicle alone then  $i \leq n - k$ .

For the mice treated only with the vehicle (controls), we assume that  $\delta = 0$ . The joint likelihood is

$$L(\boldsymbol{\mu}, \delta, \lambda | \mathbf{X}) = \prod_{i=1}^{n-k} \Pr(X_i | \boldsymbol{\mu}, \delta = 0, \lambda) \times \prod_{j=n-k+1}^n \Pr(X_j | \boldsymbol{\mu}, \delta, \lambda).$$

The log-likelihood was numerically maximized using the R statistics package (<http://r-project.org>) to obtain joint maximum-likelihood estimates (MLEs) of parameters  $\boldsymbol{\mu}$ ,  $\delta$ , and  $\lambda$ . It is possible to analytically calculate the gradients of the likelihood and the Hessian matrix (the matrix of partial second derivatives) and we therefore used the R package “trust” that uses gradients and Hessians to carry out optimization (<http://www.stat.umn.edu/geyer/trust/>). Functions were written in R to simulate samples from this likelihood allowing the parametric bootstrap to be applied to generate confidence intervals (and a variance–covariance matrix) for the estimates without appealing to asymptotic theory. An R script implementing these procedures is freely available from <http://rannala.org>.

**Likelihood-ratio test of mutagenicity:** To test the mutagenicity of a substance we propose a likelihood-ratio test (LRT). Under the null hypothesis, exposure to a substance does not produce an increased rate of mutation and  $\delta = 0$  in both control and treated mice. Under the alternative hypothesis,  $\delta = 0$  in control mice and  $\delta > 0$  for treated mice. The LRT statistic is  $-2\log \Lambda$ , where  $\Lambda$  is

$$\Lambda = \frac{L(\hat{\boldsymbol{\mu}}, \hat{\delta}, \hat{\lambda} | \mathbf{X})}{L(\hat{\boldsymbol{\mu}}, \delta = 0, \hat{\lambda} | \mathbf{X})},$$

where the numerator is the likelihood of the data with the MLEs obtained by maximizing over the three parameters jointly and the denominator is the likelihood of the data with MLEs obtained jointly for  $\boldsymbol{\mu}$  and  $\lambda$  with  $\delta = 0$ . The test statistic should follow an asymptotic  $\chi^2$ -distribution with 1 d.f. However, because  $\delta = 0$  is a boundary condition this is not guaranteed and so we also calculated significance using a parametric bootstrap approach (simulating data under the null hypothesis). The estimate of  $\boldsymbol{\mu}$  appears to be insensitive to the value of  $\lambda$  for biologically reasonable values of the waiting time to expression (*e.g.*,  $\lambda > 0.01$  and  $\lambda < 100$ ). Fixing  $\lambda$  it is possible to obtain an analytical expression for the MLE of  $\boldsymbol{\mu}$ ,

$$\hat{\boldsymbol{\mu}} = \frac{\sum X_i}{\sum (N_i [T_{A_i} + (e^{-\lambda T_{A_i}} - 1)/\lambda])}.$$

This expression was used to obtain MLEs of  $\boldsymbol{\mu}$  under the null model for the LRT and the C.I. for  $\boldsymbol{\mu}$  was obtained by parametric bootstrap simulations.

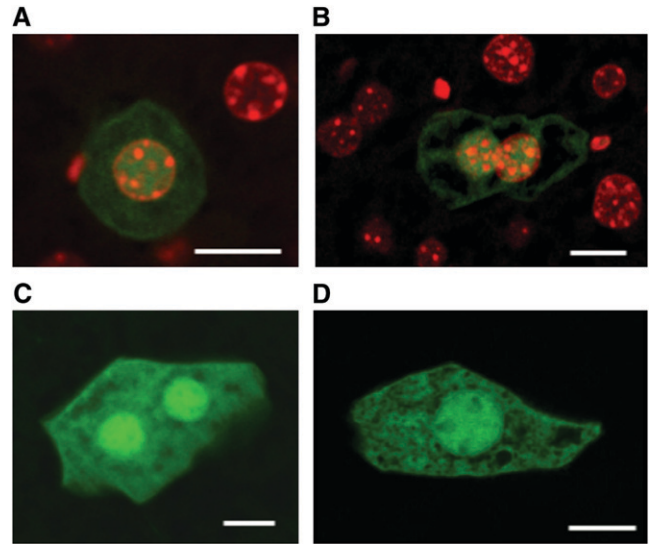


FIGURE 1.—Green fluorescent mutant cells in the liver. (A and B) Merged images of EGFP signals and DAPI signals of mutant cells detected in the liver. The bright green fluorescent signals within these cells are colocalized with the DAPI-stained nuclei. (C and D) Images of EGFP signals of mutant hepatocytes. Bars, 10  $\mu\text{m}$ .

## RESULTS

### Detection of mutations in the left caudal liver lobe:

Mutations in the liver of the stop-EGFP mouse were directly observed *in situ* using fluorescence imaging. The assay is based on identifying mutant cells having undergone a revertant mutation at the premature stop codon within the stop-EGFP gene, which would express a functional EGFP revertant. For mutation assay, slices from the left caudal liver lobe were scanned using a fluorescence microscope. Mutant cells exhibited bright green fluorescence when illuminated with the excitation light of 488 nm and were easily identified (Figure 1). Mutant cells contained what appeared to be brighter nuclei. The bright spots were confirmed to be nuclei by use of DAPI staining (Figure 1, A and B).

A cell having undergone a mutation at the premature stop codon and its clonal descendant cells will constitute a green fluorescent patch (*i.e.*, a mutant colony), which can be regarded as a single mutation. Thus, the number of independent mutations in the left caudal liver lobe of each mouse can be determined by counting the number of green fluorescent colonies in the lobe.

### Time-course study of mutations in livers of ENU-exposed and control mice:

We performed a mutation assay on the livers of 10 stop-EGFP mice at 5 months postvehicle treatment (see MATERIALS AND METHODS) as a control. The number of mutations and estimated total number of cells in the liver lobe of each mouse are shown in Table 1 (ID nos. 1–10) as well as the ages of mice at the time of mutation assay. The data were used to estimate the rate of spontaneous mutation in the liver. Consistent with our previous data, a mutant colony

**TABLE 1**  
**Mutation counts**

Mouse ID	$T_E$	$T_A$	No. mutations	No. cells	Treatment/control
1	69	220	0	$2.07 \times 10^8$	Control
2	231	382	0	$1.52 \times 10^8$	Control
3	82	233	0	$1.60 \times 10^8$	Control
4	57	208	0	$1.43 \times 10^8$	Control
5	204	355	1	$1.80 \times 10^8$	Control
6	57	208	1	$1.21 \times 10^8$	Control
7	82	233	2	$1.74 \times 10^8$	Control
8	69	220	2	$1.41 \times 10^8$	Control
9	284	435	2	$1.58 \times 10^8$	Control
10	284	435	4	$1.71 \times 10^8$	Control
11	158	188	0	$1.16 \times 10^8$	Treatment
12	55	85	2	$1.06 \times 10^8$	Treatment
13	55	85	0	$1.02 \times 10^8$	Treatment
14	158	188	0	$1.23 \times 10^8$	Treatment
15	71	163	2	$1.25 \times 10^8$	Treatment
16	139	231	3	$1.70 \times 10^8$	Treatment
17	101	193	7	$1.53 \times 10^8$	Treatment
18	62	276	0	$1.31 \times 10^8$	Treatment
19	71	285	2	$1.39 \times 10^8$	Treatment
20	71	285	1	$1.30 \times 10^8$	Treatment
21	96	310	12	$1.61 \times 10^8$	Treatment
22	144	358	6	$1.62 \times 10^8$	Treatment

Mutation counts were obtained by imaging the left caudal liver lobe of mice treated with a mutagen ENU (treatment) or the vehicle alone (control).  $T_E$  is the time of exposure (to ENU or vehicle) in days since birth,  $T_A$  is the time at which a mouse was imaged (in days since birth). The number of mutations (column 4) is the total number of mutant EGFP-expressing colonies observed and the number of cells (column 5) is the total number of liver cells scanned.

carrying more than two nuclei has not been detected in the liver (RO and RANNALA 2004), and this may reflect the slow rate of cell proliferation in this tissue.

We also performed a mutation assay in the liver at different time points following exposure to ENU to investigate how the number of observed mutations changes. Twelve stop-EGFP mice were treated with ENU at a dose of 150 mg/kg body weight and mice were killed at 1, 3, and 7 months post-ENU administration. The number of observed mutations and estimated total number of cells in the left caudal liver lobe of each mouse are shown in Table 1 (see ID nos. 11–22). These data were used to estimate the rate of mutation induced by ENU in the liver.

The data suggest that a longer waiting time between exposure and the mutation assay results in more mutations (on average) presumably because some cells carrying a mutation may not exhibit the mutant phenotype due to insufficient time to express a revertant protein. At 1 month post-ENU administration, few mutations were found in the ENU-treated liver (Table 1). We speculate the time period of 1 month after treatment with ENU might be too short for mutant cells in the liver to commonly exhibit the mutant phenotype. Expression of the mutant phenotype in the liver of a stop-EGFP mouse is a complex multistage process that requires fixation of a mutation, transcription from a mutant copy of the

stop-EGFP gene, accumulation of mutant mRNA containing no premature stop codon, and protein synthesis from the mutant mRNA at a level sufficient for detection under a fluorescence microscope (HEDDLE 1999b). These processes may take months in the liver (DOUGLAS *et al.* 1996; WANG *et al.* 2004).

**Estimates of parameters:** On the basis of the experimental data given in Table 1 and the model developed in this study (see THEORY), we estimated the background mutation rate per cell per day ( $\mu$ ), a spike in the mutation rate (per cell per day) due to the treatment with ENU ( $\delta$ ), the ratio of the two parameters ( $R = \delta/\mu$ ) that quantifies the relative effect of the mutagen, and the waiting time until a revertant protein is expressed in a cell ( $1/\lambda$ ). The marginal log-likelihoods of each of the three parameters (obtained by setting the remaining two parameters at their MLE values) are shown in Figure 2. The MLEs and associated 95% confidence intervals of the parameters are given in Table 2. The confidence intervals are based on a parametric bootstrap using 1000 simulated data sets. The LRT statistic is  $-2 \log \Lambda = 21.04$ , which is significant at the  $\alpha = 0.01$  level based on either a  $\chi^2$ -distribution with 1 d.f. or a parametric bootstrap analysis (see Figure 3). Thus, the treatment with ENU appears to elevate the mutation rate significantly over the rate of spontaneous mutation. This is also clear from the 95% confidence interval of

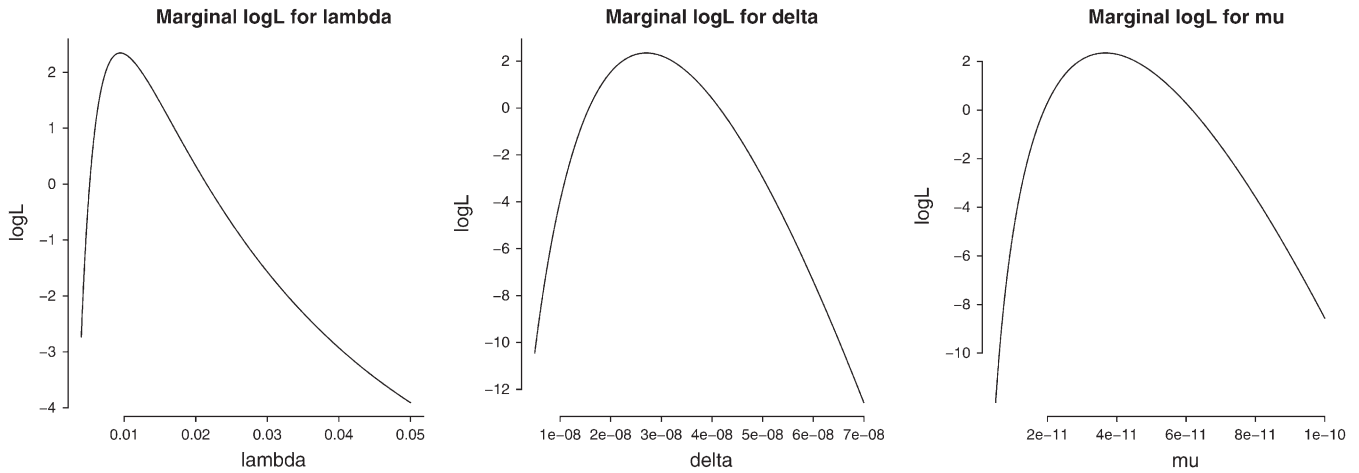


FIGURE 2.—Marginal log-likelihood ( $\log L$ ) curves for the three parameters  $\lambda$ ,  $\delta$ , and  $\mu$  obtained using the stop-EGFP mouse data given in Table 1. The marginal  $\log L$  of each parameter is plotted with the remaining two parameters fixed at their maximum-likelihood estimates.

$R$  that ranges from a minimum 200-fold effect to a maximum 2000-fold effect of the mutagen on mutation rates. It is interesting to note that although the mutation rate estimates themselves are quite imprecise, the relative mutagenic effect of ENU is very strongly supported by the data.

## DISCUSSION

In this study, we have applied the stop-EGFP mouse to quantify the numbers of independent mutations having arisen *in vivo* and to estimate rates of somatic mutation in the liver. Our analysis using stop-EGFP transgenic mice allowed us to determine the mutagenic effect of ENU on liver cells. The study suggests that an  $\sim 1000$ -fold increase over the spontaneous mutation rate was induced by treatment with ENU ( $2.7 \times 10^{-8}$  vs.  $3.7 \times 10^{-11}$  per cell per day). Previously, mutation studies using transgenic mouse systems carrying a bacterial transgene showed only modest increases in mutant frequencies in ENU-treated liver over control (COLLABORATIVE STUDY

GROUP FOR THE TRANSGENIC MOUSE MUTATION ASSAY MAMMALIAN MUTAGENESIS STUDY GROUP OF THE ENVIRONMENTAL MUTATION SOCIETY OF JAPAN 1996; YAMADA *et al.* 1999). In many cases, such studies have simply compared mutant frequencies between treated and control groups at the time of the experimental end point. This approach can underestimate the specific mutagenic effect of ENU because mutant cells having arisen due to spontaneous mutations over the lifetime of a mouse might outnumber mutants generated by ENU treatment during a very short time period. To specifically determine the mutagenic effect of ENU we estimated the rate of mutation induced by ENU during the time interval of the ENU administration and compared this rate with the background mutation rate based on the model developed in this study. ENU is very unstable under physiological conditions with a half-life of  $< 1$  hr at pH 6.8–7.3 (WINTER and GEARHART 2001) and thus it is expected that most of the mutagen will have degraded within  $\sim 1$  day and mutations induced by treatment with ENU will most likely arise within a single day following

TABLE 2

Parameter estimates

Model	Parameter	MLE	95% C.I.	$\log L$
$H_0: \delta = 0$	$\mu$	$5.6 \times 10^{-11}$	$(3.9 \times 10^{-11}, 7.1 \times 10^{-11})$	-8.174
$H_1: \delta > 0$	$\mu$	$3.7 \times 10^{-11}$	$(1.6 \times 10^{-11}, 1.3 \times 10^{-7})$	2.346
	$\delta$	$2.7 \times 10^{-8}$	$(1.3 \times 10^{-8}, 1.1 \times 10^{-4})$	
	$R = \delta/\mu$	737	(311, 1956)	
	$1/\lambda$	106	$(13, 8 \times 10^6)$	

Maximum-likelihood estimates of parameters and 95% confidence intervals (obtained using a parametric bootstrap procedure) are shown. The parameters are  $\mu$ , the spontaneous rate of mutation per site,  $\delta$ , the rate of mutation per site induced by treatment, and  $1/\lambda$ , the average waiting time (in units of days) until an EGFP mutant cell expressed revertant protein. Note that because proportionality constants that do not affect inferences have been removed from the log-likelihood function,  $\log L$  may be positive.

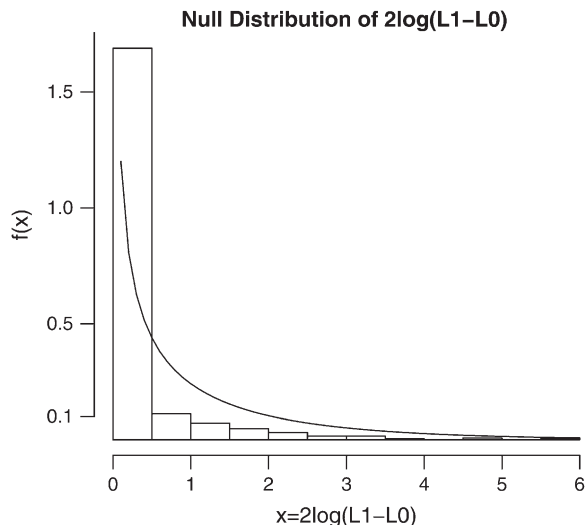


FIGURE 3.—Sampling distribution of likelihood-ratio test statistic  $2 \log(L_1 - L_0)$  for a mutagenic effect of ENU under the null hypothesis that ENU has no effect on mutation rate (e.g.,  $\delta = 0$ ). The histogram bars represent the sampling distribution estimated by parametric bootstrap simulations and the smooth curve represents a  $\chi^2$ -distribution with 1 d.f. (the asymptotic sampling distribution). The observed value of  $2 \log(L_1 - L_0)$  was 21.04, which is highly significant.

ENU administration. This is explicitly accommodated in our model.

One might argue, on biological grounds, that the preferred units for measuring mutation rate are “per cell division” rather than “per cell per day” as in our study. Although it would be interesting to estimate the per cell division mutation rate induced by ENU treatment and compare it with the spontaneous mutation rate per cell division, there are technical difficulties with precise determination of the number of cell divisions during the period of ENU administration and thus estimates of per cell division rates were not attempted in this study. However, as opposed to base analogs, ENU, an alkylating agent, is mutagenic regardless of whether DNA is replicating (SNUSTAD and SIMMONS 2006). By transferring the ethyl group to oxygen or nitrogen radicals at a number of reactive sites, ENU can be mutagenic to nonreplicating DNA (BALLING 2001). Thus, it is questionable whether mutation rate per cell division would be any more informative than mutation rate per cell per day in the case of ENU or other alkylating agents.

The waiting time until the mutant phenotype is expressed in the liver is estimated to be 106 days ( $\sim 3.5$  months) after ENU treatment. The estimated average waiting time is slightly longer than the observed time of maximum mutant frequency achieved in the liver of the transgenic mouse carrying a bacterial transgene ( $\sim 1$ – $2$  months after ENU treatment) (DOUGLAS *et al.* 1996; WANG *et al.* 2004). In the stop-EGFP system, to exhibit the mutant phenotype, mutant cells must express revertant EGFP at a level sufficient for detection under a

fluorescence microscope. However, in bacterial transgene-based systems such as the *lacZ* or *lacI* transgenic mouse, mutant phenotypes are observed in an *in vitro* bacterial assay system and therefore gene expression from the mutant gene *in vivo* is not required for the assay (GOSSEN *et al.* 1989; KOHLER *et al.* 1991). Thus, it is reasonable to speculate that more waiting time may be required to detect a mutant phenotype from mutant cells in the stop-EGFP system than in the *lacZ* or *lacI* transgenic mouse.

A similar approach to that used in this study could be applied to identify other potential mutagens or to quantify their relative mutagenic effects. As well, several strains of knockout mice with a deficient DNA repair gene have already been generated (WINTER and GEARHART 2001; WIJNHOFEN and VAN STEEG 2003) and rates of somatic mutation in these strains of knockout mice could be estimated using the stop-EGFP system after crossing the knockout mice with stop-EGFP mice. It would also be interesting to see whether an increase in mutation rate (i.e., genomic instability) is observed in cancerous tissues using the stop-EGFP mouse (NOWELL 1976; BECKMAN and LOEB 2006). Various types of tumors can be induced in stop-EGFP mice by either treating them with a carcinogen or crossing them with tumor-prone mice such as mice with a viral oncogene (JAKUBCZAK *et al.* 1996) or a deficient p53 (BUETTNER *et al.* 1996). Mutation rates then could be estimated in tumor samples and compared with those of normal cells, quantifying levels of hypermutability in various cancers.

The stop-EGFP system carrying the stop-EGFP gene and the EBFP gene can detect all possible point mutations involving base pair substitutions (BPSs). One type of transition (AT  $\rightarrow$  GC) and all types of transversions (AT  $\rightarrow$  TA, AT  $\rightarrow$  CG, GC  $\rightarrow$  TA, and GC  $\rightarrow$  CG) can be detected at the premature stop codon (TAG) of the stop-EGFP gene, while the other type of transition (GC  $\rightarrow$  AT) can be detected at codon 66 of the EBFP gene (see RO and RANNALA 2004). Mutations involving insertions or deletions (“indels”) are unlikely to be detected using this system except when a deletion mutation removes three (or a multiple of three) nucleotides containing at least one nucleotide of the premature stop codon of the stop-EGFP gene. If the aim were instead to specifically detect frameshift mutations, a new type of “frameshifted” stop-EGFP gene could instead be generated with nucleotides [e.g., a poly(G) tract] inserted within the coding region of the functional EGFP gene. A double transgenic mouse that carries both the stop-EGFP gene and the frameshifted EGFP gene could, in principle, be used to detect indels or frameshift mutations as well as BPSs.

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