

***rax*, a novel paired-type homeobox gene, shows expression in the anterior neural fold and developing retina**

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ABSTRACT Development of the vertebrate eye has been found to require the activity of several genes encoding homeodomain proteins (Freund, C., Horsford, D. J. & McInnes, R. R. (1996) *Hum. Mol. Genet.* 5, 1471–1488). Some of these genes, or portions thereof, are highly conserved across phyla. In this paper, we report the identification of a novel homeobox gene, *rax* (retina and anterior neural fold homeobox), whose expression pattern suggests an important role in eye development. The predicted amino acid sequence of Rax comprises a protein with a paired-type homeobox, as well as the octapeptide that is found in many paired-type homeobox genes. In addition, in the C terminus of Rax, we found a 15-aa domain that we have named the OAR domain. This domain is also found in several other homeobox genes. In the early mouse embryo, *rax* is expressed in the anterior neural fold, including areas that will give rise to the ventral forebrain and optic vesicles. Once the optic vesicles form, *rax* expression is restricted to the ventral diencephalon and the optic vesicles. At later stages, *rax* expression is found only in the developing retina. After birth, the expression of *rax* is restricted to the zone of proliferating cells within the retina, and expression gradually decreases as proliferation declines. These findings suggest that *rax* is one of the molecules that define the eye field during early development and that it has a role in the proliferation and/or differentiation of retinal cells.

During vertebrate development, the central nervous system emerges as a highly complex, patterned structure with an enormous diversity of neuronal and glial cell types. The vertebrate retina is a relatively well described and accessible structure that provides an excellent model system for studies of patterning and cell fate determination within the central nervous system (1). In the early stages of eye development, the optic vesicles evaginate from the ventral forebrain, making contact with the overlying surface ectoderm at embryonic day 9 (E9) in the mouse. Subsequently, the optic vesicle invaginates to form the optic cup, while overlying surface ectoderm gives rise to the lens placode (2, 3). Retinal neurogenesis then proceeds within the inner layer of the optic cup. The mitotic progenitors within the optic cup line the surface apposed to the former lumen of the neural tube, in an area known as the ventricular zone (VZ). Postmitotic progeny of the retina migrate away from the VZ as they differentiate to form the laminar structure of the mature retina. While the different retinal cells are born in a sequence conserved among many species, more than one cell type is born at any one time (4). Lineage analyses in several species have shown that retinal progenitors remain multipotent throughout development (5–8).

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The aim of the present study was to identify genes involved in the regulation of retinal development. We have focused on several gene families known to have critical roles in the development of both vertebrate and invertebrate organisms, including those encoding homeodomain proteins. Previous work has indicated that several genes encoding homeodomain proteins are required for proper retinal development (9). *pax6* (10, 11), a gene encoding a homeodomain as well as a paired box, has been found to be required for proper development of several ocular tissues in several mammalian species (12–17). In addition, the *Drosophila* homolog of *pax6*, *eyeless* (18), has been found not only to be required for eye development, but to be able to induce ectopic eyes when misexpressed (19). Remarkably, the *pax6* gene of mouse also has this activity when misexpressed in *Drosophila* (19), although it is not known whether it can induce extra eyes when ectopically expressed in vertebrates. Although *pax6* is clearly a critical element in eye specification, it cannot be sufficient as it is expressed in multiple locations during mammalian development (10). Presumably, other genes, perhaps upstream of *pax6*, are required for specification of ocular structures. Multiple genes expressed early in retinal development have been identified, and functional studies have shown that at least one, *chx10* (20), another homeobox gene, has a function in retinal proliferation early in development (21). None of the genes identified to date, however, show the specificity of expression that might be expected for specification of the eye field. A gene playing such a role might be expressed fairly early in development, prior to *pax6*, and might later have its expression restricted to the developing eye.

Here we report the identification of a new paired-type homeobox gene, *rax* (retina and anterior neural fold homeobox), whose expression profile matches that of a gene that could play a role in specification of the eye field. *In situ* hybridization has shown that *rax* RNA is initially expressed in the region of the forebrain where optic vesicles are formed. It is expressed before *pax6* and approximately simultaneously with *six3* (22), a vertebrate homolog of *Drosophila sine oculis*, a gene required in *Drosophila* for visual system development. Later in development, *rax* is expressed in the VZ of the developing retina, and its expression is limited to the retina throughout development. As both *pax6* and *six3* are expressed more broadly, specification of the eye field may rely upon *rax*. Moreover, *rax* might be involved in the maintenance of retinal fate and/or proliferation of retinal progenitors later during development.

MATERIALS AND METHODS

RT-PCR, Isolation, and Analysis of Mouse *rax* Genes. Random-primed cDNA made from rat E18 and P4 retinal mRNA was subjected to 40 cycles of amplification by PCR, at

Abbreviation: VZ, ventricular zone.

Data deposition: The sequence reported in this paper has been deposited in the GenBank database (accession no. U73177).

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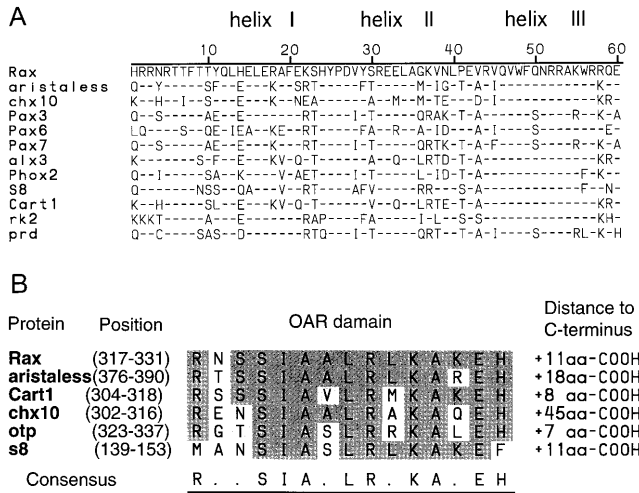


FIG. 2. (A) Alignment of homeodomain sequences for the paired-type homeodomain-containing proteins. Only amino acid residues that differ from those of the Rax homeodomain are shown. (B) Sequence alignment of OAR domain sequences. Conserved identical amino acid residues are shown with a dark shadow. Conservative changes of amino acid residues are shown with a light shadow. Sequences of homeodomains and OAR domains are taken from the following references: *aristales* (33), *chx10* (20), *pax3* (35), *pax6* (10), *pax7* (36), *alx3* (37), *phox2* (38), *s8* (39), *cart1* (40), *rk2* (41), *paired* (34), and *otp* (42).

domain of Rax contains 114 amino acids of which 42 (37%) are either proline, serine, or threonine.

Chromosomal Localization of *rax*. The chromosomal location of *rax* in the mouse was determined by Southern blot analyses of DNAs from the progeny of two sets of multilocus crosses (see *Materials and Methods*). *EcoRI* digestion produced fragments of 9.0 and 3.8 kb in NFS/N and C58/J mice, and 8.3 and 5.7 kb in *M. m. musculus*. *M. spretus* produced a *ScaI* fragment of 11.3 kb, and NFS/N and C58/J produced a fragment of 9.4 kb. Inheritance of the polymorphic fragments in both sets of crosses was compared with inheritance of more than 1,000 markers. The mapping results indicated that *rax* is located in the distal region of mouse chromosome 18 linked to *Lox*, *Ii*, and *Mbp* (Fig. 3). *rax* was mapped 5.3 cM distal of *Ii*.

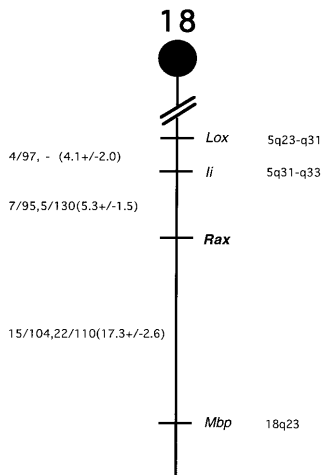


FIG. 3. Chromosomal location of the *rax* gene on mouse chromosome 18. (Left) Recombination fractions for adjacent loci: the first fraction represents data from the *M. spretus* crosses, and the second fraction is from the *M. m. musculus* crosses. In parentheses are recombinational distances and standard errors calculated according to Green (29). (Right) The positions of loci in human chromosomes, where known.

We have compared our interspecific map of chromosome 18 with a composite mouse linkage map that reports the map location of many uncloned mouse mutations (46). The region encoding *rax* lacks mouse mutations with a phenotype that might be expected for an alteration in this locus (data not shown). This region of mouse chromosome 18 is homoologous to human chromosome 18p11-q21 or 5q23-q33 (Fig. 3). At present there are no candidate human diseases that map to this region listed in the Online Mendelian Inheritance in Man (The Johns Hopkins University, Baltimore, MD).

Analysis of *rax* Expression in Mouse Embryos. Early mouse embryos were examined for *rax* expression by whole mount *in situ* hybridization. No hybridization signal was detected at E6.5 (data not shown). At E7.5, *rax* expression was observed in the cephalic neural fold (head fold), the area that will later become the forebrain and midbrain (Fig. 4A). At E8.5, *rax* transcripts were seen in the prospective forebrain region, including the region of the optic placodes (Fig. 4B). The hybridization signal was more intense than on E7.5. On E9.5, *rax* expression was restricted to the optic vesicles (Fig. 4C), the optic stalk, and the ventral diencephalon (Fig. 4D). On E10.5 and E11.5, the expression of *rax* was observed only in the retina (Fig. 4E-H). *rax* transcripts were not detected in the lens, optic fissure, or elsewhere in the embryo.

The *rax* expression pattern was compared with those of the homeobox-containing genes *pax6* (10), *six3* (22), and *otx2* (24).

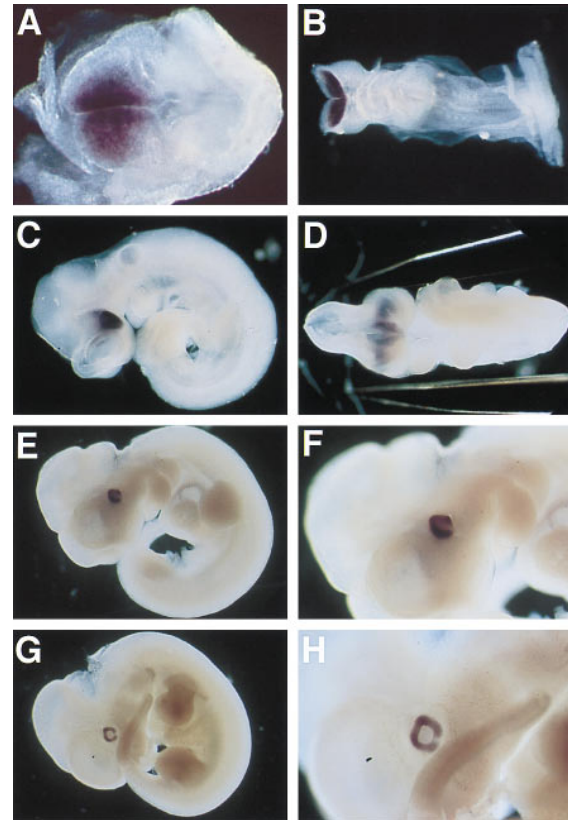


FIG. 4. Whole-mount *in situ* hybridization of E7.5 (A), E8.5 (B), E9.5 (C and D), E10.5 (E and F), and E11.5 mouse embryos (G and H). (Left) Anterior side of the embryos. (A) *rax* expression is detected in the cephalic head fold. (B) *rax* expression is at a high level in the prospective forebrain. (C) The embryo has finished turning and the optic vesicles have been formed. The optic vesicles exhibit a high level of expression of *rax*. (D) A ventral view of the embryo shown in C. *rax* expression is detected in the optic vesicles, optic stalk, and ventral diencephalon. (E-H) Lateral view of the embryos at E10.5 (E and F) and E11.5 (G and H). *rax* is expressed in the optic cup. F and H represent a higher magnification of E and G, respectively. Note that the optic fissures are negative for *rax* expression.

These genes are known to be expressed early in development in a region including the anlagen of the eye. At E8.0, *rax*, *otx2*, and *six3* were expressed in the anterior central nervous system. *pax6* was found to be expressed at a low level at E8.0, barely detectable by whole mount *in situ* hybridization. At E8.5, all four mRNAs were detected in the anterior head fold (Fig. 5A–E). *Six3* RNA was detected at the most anterior region of the forebrain, as previously reported (22). *six3* appears not to be highly expressed in the region of the optic evaginations (Fig. 5B). In late E8.5 embryos (i.e., E8.5 embryos that have turned), the domain of *six3* expression was seen to extend to the area including the optic evaginations (Fig. 5C). The transcripts of *rax*, *pax6*, and *otx2* were strongly expressed in the region containing the optic evaginations (Fig. 5A, D and E). Thus, *rax* is one of the few known homeobox genes that are expressed in the optic evaginations of the early mouse embryo.

At E9.0, *rax* signal was found in the optic vesicle, optic stalk, and ventral diencephalon, while *pax6*, *six3*, and *otx2* were found to be expressed in other areas of the embryo (Fig. 5F–I).

Expression of *rax* in the Developing Retina. As eye development proceeded, the *rax* expression pattern shown in Fig. 6 was observed. At E9.5, *rax* transcripts were found in the optic vesicle and the ventral diencephalon (Fig. 6A). Gradually, *rax* was found to be down-regulated in all areas except the developing retina, where it was strongly expressed (data not shown). At E11.5 and E18.5, *rax* transcripts were detected in the neural retina alone (Fig. 6B and C); unlike *pax6*, *rax* was not expressed in the lens or presumptive cornea. After birth, the expression of *rax* was dramatically reduced (Fig. 6D–I). At P0, *rax* expression was observed in the VZ. No hybridization signal was observed in the ganglion cell layer, the layer most advanced in its differentiation. During the postnatal period, the expression of *rax* appeared to decrease (Fig. 6G–I). *rax* expression was relatively stronger near the middle of the developing retinal layers where the most immature cells are located. In this region of the retina at P6, a limited number of cells expressing *rax* was present (Fig. 6H). *rax* expression was not detected in the adult retina (Fig. 6I). As the mitotic activity of the rat retina decreases to nearly zero by P8–P10 (47), there

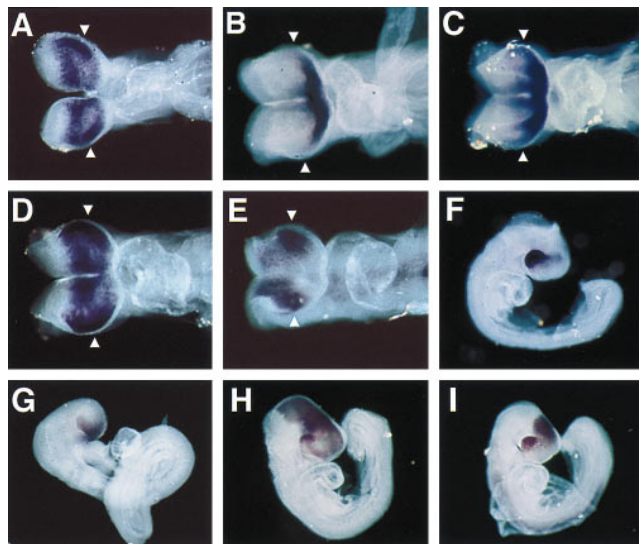


FIG. 5. Comparison of the expression patterns of *rax*, *six3*, *otx2*, and *pax6* during optic vesicle formation. (A, B, D, and E) Dorsal views of E8.5 mouse embryos. (C) A dorsal view of an E8.5 embryo after turning. (F–I) Side views of E9.0 embryos. Hybridization probes are as follows: (A and F) *rax*, (B, C, and G) *six3*, (D and H) *otx2*, (E and I) *pax6*. Arrowheads indicate the level where the optic vesicles begin to evaginate. Note that only *rax* expression is specific to the optic vesicles and adjacent ventral diencephalon.

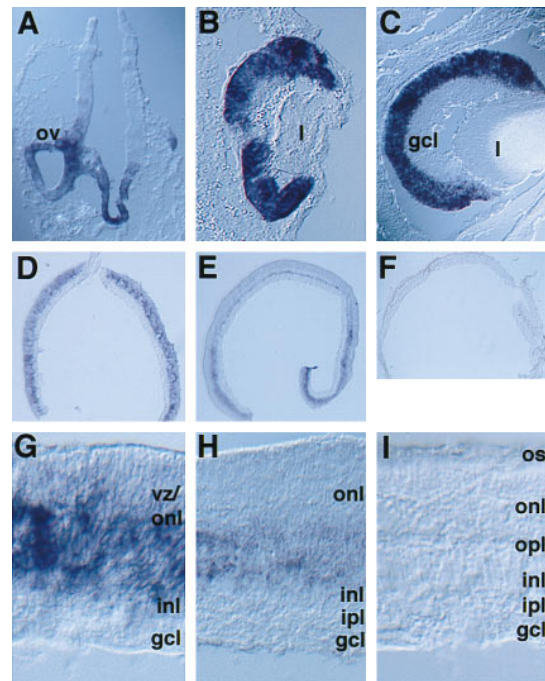


FIG. 6. *rax* expression during development of the mouse retina. (A) A coronal section of the forebrain of an E9.5 mouse embryo. The *rax* hybridization signal was detected in the optic vesicles and the ventral diencephalon. (B) A section through an E11.5 eye. (C) A section through an E18.5 eye. *rax* expression is not observed in the ganglion cell layer. (D–F) Cross-sections of a P0, P6, and adult mouse retina. Note the stronger signal in the peripheral retina, which lags in development behind that of the central retina. (G–I) Higher magnification of the cross-section of the retina shown in D–F. At P0 and P6, the outer nuclear layer and the inner nuclear layer are developing. Progenitor cells in the VZ are intermixed with these layers. ov, optic vesicle; gcl, ganglion cell layer; l, lens; inl, inner nuclear layer; ipl, inner plexiform layer; onl, outer nuclear layer; opl, outer plexiform layer; os, outer segment; vz, ventricular zone.

is an excellent correlation between the temporal and spatial aspects of mitotic activity and expression of *rax*.

Analysis of *rax* Transcripts in Adult Tissues. Expression of the *rax* gene in various adult tissues was examined on Northern blots with a radiolabeled *rax* cDNA probe. As a control, P0–P3 retinal RNA was used. Two bands of 1.8 kb and 4.0 kb were detected. The 1.8-kb band corresponds to the cDNA characterized here. The larger band has not yet been characterized. The *rax* probe did not detect a band in the other adult tissues examined, including the retina (Fig. 7), indicating that these tissues do not express *rax* to a level comparable to that of the developing retina.

DISCUSSION

***rax* Is a Novel Paired-Type Homeobox Gene.** This paper describes the identification and expression of a novel paired-type homeobox gene, *rax*. Within the amino-terminal portion of the open reading frame, *rax* encodes the octapeptide motif (44). Furthermore, the C terminus of Rax protein contains a 15-aa domain that we have noticed is conserved among several other genes and that we have named the OAR domain. The octapeptide and/or the OAR domain are observed in other paired-type homeodomain proteins, as well as other homeodomain proteins of other classes. The functions of the octapeptide and the OAR domain have not been reported. However, based on an analysis of deletion mutants of the Otp protein (42), a possible function of the OAR domain might be transactivation. Further analysis of this motif will be required to test this idea.

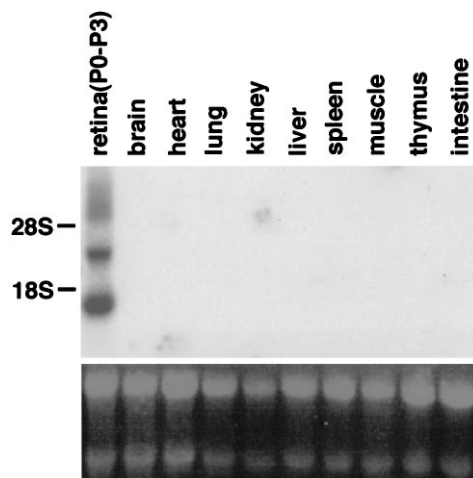


FIG. 7. Northern hybridization analysis of *rax* expression in adult mouse organs. (Upper) Hybridization signals obtained with the mouse *rax* cDNA. (Lower) Ethidium bromide staining of the RNA. The *rax* transcripts are ≈ 4.0 kb and 1.8 kb in the P0-P3 retina, which is used as a control for hybridization.

***rax* Is a Candidate Molecule for Specification of the Eye Field.** At E7.5, *rax* was expressed in the cephalic neural folds, the region of the prospective fore- and midbrain. One day later, *rax* expression was restricted to the region of the forebrain within which all of the cells that contribute to the optic vesicles are likely to originate. By E9.0, *rax* showed dramatically more restricted expression. It appeared only within the optic vesicle and the ventral diencephalon, from which the optic vesicles protrude. The expression pattern of *rax* suggests that cells expressing this gene are either competent to become, or are already specified to be, retinal progenitors. Other genes expressed in the anterior neural plate and neural folds during mouse development, as well as later in the retina, have been reported, including *pax6* (10), *otx2* (24), and *six3* (22). Because all of these genes have an expression domain that is larger than that of the presumptive eye, they may have a role in patterning more anterior structures than just the eye, as discussed below.

It has been suggested that *pax6*, a highly conserved gene expressed in the eyes of all species examined to date, is the master control gene for eye formation. This suggestion comes from work in *Drosophila*, in which ectopic expression of either mouse *pax6* or *Drosophila eyeless* leads to the formation of extra eyes on legs, wings, and antennae (19). However, *pax6* is unlikely to be required for the formation of optic vesicles in mice, because optic vesicles are formed in homozygous *sey* ("small eye") mice (48, 49), which are believed to have no functional Pax6 protein. *pax6* may be necessary for maintenance of proliferation of retinal progenitors, as growth of optic vesicles is distorted in *sey* homozygotes (48, 49). *pax6* expression starts later than that of *rax*, suggesting that *rax* might be directly or indirectly upstream of *pax6* in the series of events that lead to optic vesicle formation. *otx2* expression is detectable during very early development in mouse embryos, beginning between E5.5 and E5.7, and is observed in the embryonic retina (24). Animals heterozygous for a loss-of-function mutation of *otx2* show abnormalities in the anterior portion of the embryo, including dislocation of the retina and the loss of the lens (50). This eye phenotype, however, is likely to be a secondary effect, resulting from a deformity of the entire rostral region, as the homozygous *otx2* mutants exhibit loss of both the fore- and midbrain (50–52). *six3*, a murine homolog of the *sine oculis* gene in *Drosophila*, is reported to be specifically expressed in the most anterior ridge region of the forebrain (22), which will give rise to derivatives of the nonneural ectoderm. The expansion of the domain of *six3*

expression to include the optic pits occurs in relatively later stages, at $\approx E8.5$. Due to this expression pattern, it is unlikely that *six3* functions at the very beginning of optic vesicle formation. *rax* is the best candidate so far reported to have a role in the specification of the eye before evagination of the optic vesicles. Analysis of the biological function of *rax* by examination of animals with a loss-of-function or gain-of-function of *rax* activity is of course required to establish its role(s). In addition, examination of the conservation of *rax* from vertebrates through invertebrates, as has been done for *pax6*, *six3*, and *otx2*, will be informative.

***rax* Expression in the Retina Correlates with Proliferation.**

The paired-type homeobox genes have been shown to play essential roles in pattern formation and proliferation. At late embryonic stages, *rax* mRNA is detected exclusively in the retina, and exclusively in proliferating cells within the retina. It is possible, however, that a specific population of cells in some organs express *rax* and that this was not detected by Northern blot analysis or *in situ* hybridization. As development proceeds, *rax* expression in the retina declines; by the adult stage, it is undetectable. *rax* expression appears to decline as soon as cells leave the VZ and differentiate. It is absent in the ganglion cell layer beginning at approximately E11.5. [³H]thymidine-labeling studies have shown that ganglion cells become postmitotic between E11 and E18 in the mouse (53) and are the first cells to form a layer of differentiating cells. After P0, *rax* expression gradually declines within the outer retinal layers. The last layer to show *rax* expression is the last layer to differentiate, the outer nuclear layer, where rod and cone photoreceptor cells reside. *rax* expression thus appears to be restricted to mitotic progenitors in the retina. *Rax* protein may regulate the proliferation of retinal progenitors and/or prevent their differentiation. To initiate differentiation, down-regulation of *Rax* may be necessary.

Interestingly, the expression profile of *rax* within the developing retina is most similar to that of mouse *notch1* (54). *notch1* is also expressed in mitotic progenitors in the rodent retina and its expression is reduced during development (48, 55). Because the expression of *notch1* starts later than that of *rax*, *rax* might be one of the upstream regulators of *notch1* in the mouse retina. Another potential target gene of *rax* is *chx10* (20). However, *chx10* expression at E9.5 is restricted to the anterior part of the optic vesicles (20). Its regulation would thus require additional factors, because *rax* is expressed throughout the optic vesicle. Identification of the target genes of *Rax*, its interactions with other genes active in early eye development, and the roles of these genes in eye specification and morphogenesis should further our understanding of eye development and evolution.

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