

Patterns in interspecies similarity correlate with nucleotide composition in mammalian 3'UTRs

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Received April 21, 2003; Revised July 11, 2003; Accepted August 4, 2003

ABSTRACT

Post-transcriptional regulation and the formation of mRNA 3' ends are crucial for gene expression in eukaryotes. Interspecies conservation of many sequences within 3'UTRs reveals selective constraint due to similar function. To study the pattern of conservation within 3'UTRs, we compiled and aligned 50 sets of complete orthologous 3'UTRs from four orders of mammals. We observed a mosaic pattern of conservation, with alternating regions of high (phylogenetic footprints) and low similarity. Conservation in 3'UTRs correlates with their base composition and also with the synonymous substitution rate in corresponding coding regions. The non-uniform distribution of conservation is more pronounced for 3'UTRs with a moderate or low level of overall conservation, where invariant nucleotides are more numerous, and their runs of lengths 4–7 occur more frequently than if conservation were random. Many runs of invariant nucleotides are AU-rich or pyrimidine-rich. Some of these runs coincide with known functional *cis*-elements of eukaryotic mRNAs, such as the U-rich upstream element, polyadenylation signal and DICE regulatory signal. More divergent regions of multiple alignments of 3'UTRs are often more G- and/or C-rich. Our results provide evidence on the importance of moderately conserved regions in 3'UTRs and suggest that regulatory functions of 3'UTRs might utilize gene-specific information in these regions.

INTRODUCTION

Selective constraint affects both coding and non-coding DNA sequences (1,2). On average, 5'-untranslated regions (UTRs) and 3'UTRs of protein-coding genes are less conservative across species than protein-coding DNA sequences (CDSs), but more conservative than untranscribed sequences (3,4). Still, conservation of UTRs is quite substantial and sometimes even exceeds the conservation of the corresponding coding regions (5).

Patterns in conservation of coding sequences offer important clues on protein structure and function. Highly conservative amino acids are crucial for protein folding or catalytic activity (6,7). A similar, although much less studied, correspondence between conservation and function also exists in UTRs (8). Thus, comparing homologous UTRs can shed light on their function, which is still rather poorly studied.

There is evidence that conserved elements in 3'UTRs control post-transcriptional gene regulation and the stability of mRNAs (9). A remarkable finding is the existence of highly conserved regions within 3'UTRs (10,11). However, even moderately conservative stem-loop structures in 3'UTRs can possess crucial, well defined functions (12).

We studied the extent of interspecies conservation of orthologous 3'UTRs from four orders of mammals. We found a mosaic pattern with regions of high similarity (phylogenetic footprints, further referred to as footprints) interspersed with non-alignable sequences, and detected some shared sequence motifs among footprints. Conservation in 3'UTRs correlates with their base composition and also with the synonymous substitution rate in the corresponding coding regions. Our results show that functional regions of 3'UTRs may include longer sequences than those covered by the known short conservative *cis*-elements. Although motif sequences are likely to act by similar mechanisms, our computer analysis can be used to identify footprints and to search for common new motifs within UTRs, but not to predict their functions.

MATERIALS AND METHODS

Fifty orthologous complete 3'UTR sequences from four mammalian orders (Primates, Rodentia, Carnivora and Artiodactyla) were selected from GeneBank, HOVERGEN and UTRDB databases using standard searching procedures (see <ftp://ftp.ncbi.nih.gov/pub/kondrashov/utr>) and aligned by CLUSTAL W using the default parameters (13).

Footprints were isolated from CLUSTAL W alignments using the following heuristic. We first isolated a kernel defined as a 15 nt frame with at least nine matches giving >60% similarity. Each kernel was extended in both directions with 7 nt frames. The ends were trimmed so that the final similarity of the extended regions was >50% and the boundaries were a match. These parameters are rather conservative; a simple argument is as follows. The lowest significantly non-random level of similarity for two-sequence alignments (A ~30%, U ~30%, G ~20% and C ~20%) is 42% (2). In the case of

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four-sequence alignments, 42% four-way matches would also be significant, 50 and 60% are even more so. Footprints, in which the Karlin–Altschul p -value was below 0.01 (match = 1, mismatch = -1, gap = -1) for at least one of the six pairs of sequences, were selected (14). The final set contains 261 footprints that cover ~50% of the UTR sequences.

We distinguish two types of matches in the alignments. Multiple matches are sites occupied by the same nucleotide in all the four sequences (also known as invariant nucleotides). A pairwise match corresponds to a match between any two sequences. For example, a nucleotide column AUUU in a multiple alignment contains three pairwise U-U matches. We analyzed the ratios of pairwise and multiple matches in the multiple alignments and their pairwise sub-alignments. To estimate the expected numbers of multiple matches for each multiple alignment, we created randomly simulated sets of multiple alignments with the observed number of matches between all six pairs of sequences.

The consensus sequence in the conservative positions of alignments consists of real nucleotides A, U, G and C, if >50% of the same nucleotide is present in a position. Statistical analyses were conducted using Excel (Microsoft, USA) and our statistical tools. The levels of synonymous and non-synonymous divergence (K_S and K_A , respectively) were calculated with the PAML program (<ftp://abacus.gene.ucl.ac.uk/pub/paml/>) using the default parameters and the yn00 estimation method (15).

We identified common fragments of runs of invariant nucleotides (further referred to as runs) and footprints. We required common fragments to be at least 6 nt long, since most regulatory signals have conservative cores of six or more nucleotides. Fragments also had to occur in at least eight alignments. We performed single-linking clustering on these fragments using the HistogramAC program (16).

RESULTS

Multiple alignment statistics

We aligned 3'UTR sequences from four species of mammals and isolated footprints within alignments, as described in Materials and Methods. A sample alignment is presented in Figure 1. Alignments of footprints mostly contain unambiguously aligned, highly similar segments of sequences. More than 97% of runs of multiple matches group together with pairwise matches to form footprints. Footprints alternate with relatively short inter-footprints, which are mostly composed of low similarity segments or are aligned against gaps (Table 1 and Fig. 1). The lengths of orthologous UTRs in the four species are highly similar ($t = 6.2$, r ranges from 0.82 to 0.52, $P < 0.001$). There is a positive correlation ($r = 0.92$, $P < 0.0001$) between the length of the UTR and the number of conserved nucleotides in it. On average, footprints are 70% similar in our set of UTRs; they are significantly more common within nucleotides 45–65 and 120–220 after the stop codon. Multiple alignment statistics are presented in Table 1; the general pattern of similarity in footprints is different from that of 3'UTRs. 3'UTRs can be classified into three classes: those with low overall conservation (<0.4), group A; with an average level of conservation (≥ 0.4 and <0.6), group B; and highly conserved (≥ 0.6), group C.

Base composition and 3'UTR conservation

As seen from the overall base composition for the first 250 nt of 3'UTRs, the frequency of G is low (~18%) throughout this region, and there are some sequence fragments with a low frequency of C (Fig. 2). Our results indicate that there is no position-specific conservation within the first 250 nt after the stop codon, despite the high interspecies conservation in the region. Runs in 3'UTRs are AU-rich; UTRs are depleted in G nucleotides, but GC content is higher in footprints than in runs (lengths 6 nt or longer) (Table 2). There are significant positive correlations between complementary G and C nucleotides ($r = 0.55$, $P < 0.0001$) in 3'UTRs. Positive correlation between complementary nucleotides A and U is somewhat lower ($r = 0.41$, $P < 0.005$).

Correlation coefficients for GC(G) content and overall conservation in 3'UTRs, K_S values (the level of synonymous divergence), and GC(G) content in the 4-fold degenerate sites (GC4 and G4) of corresponding coding regions are presented in Table 3. There is a significant negative correlation between the frequency of G and the overall conservation in 3'UTRs (Fig. 3). The correlation between GC content and overall conservation is somewhat lower. The GC content in the 4-fold degenerate sites of coding regions is correlated with GC content in 3'UTRs ($r^2 = 23\%$). K_S values in coding regions and the 3'UTR conservation are negatively correlated ($r^2 = 22\%$). The average K_S value for mRNAs in group A (low conservation in 3'UTR) is 0.68; it is 0.55 in group B and 0.43 in group C. We found a significant positive correlation between GC(G) content in UTRs and the level of synonymous divergence (K_S values) for the corresponding protein-coding regions; there was no significant correlation between K_A and GC(G) content. GC4 and K_S are correlated better than GC4 and GC content in 3'UTRs, and better than K_S and GC content in 3'UTRs.

Patterns of similarity and variability in 3'UTRs

Assuming that matches are independent events occurring with frequency p , the probability of a run of n matches is $(1-p)p^{n-1}$. We compared this theoretical distribution with the distributions of runs of matches in our multiple alignments for the three different groups of 3'UTRs. These distributions of runs show that the length scale is no longer than ~20 nt (Fig. 4). Frequencies of runs of 3–7 matches for group A, of 4–8 matches for group B, and of 8–12 matches for group C, were above expectation. On average, runs of 1–3 matches are under-represented, and runs of 6–10 matches are over-represented. These results indicate that some matches are clumped. Although the frequency of runs of matches is in good agreement with what is expected under random mutations with a uniform rate, the parameter of the distribution ($p = 0.66$) is higher than the baseline probability of a match ($p = 0.44$). The following conserved oligonucleotide motifs in the 3'UTRs were revealed by the HistogramAC clusterization program: AAUAAA, AUUUAu/a, UUAUUU, c/uCAGAA, GGCCc/uC, CUCCc/a, UUCUU, c/uUUUc/u, and other CU-rich motifs, which resemble DICE motifs.

In looking for characteristic features and structure of similarity in 3'UTRs, we estimated the expected number of multiple matches for each 3'UTR alignment. Theoretical frequencies of multiple matches for each multiple alignment



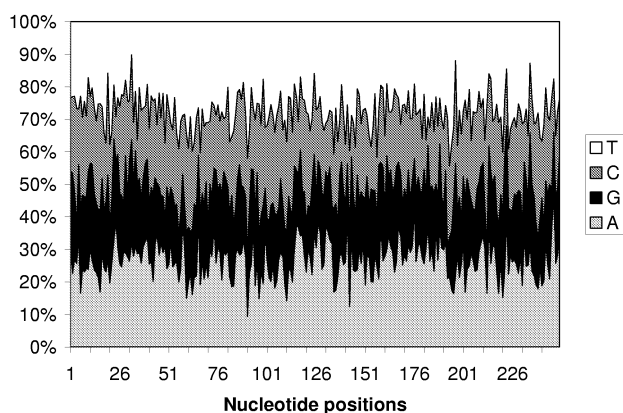
Figure 1. Sample multiple alignment of 3'UTRs of cGMP phosphodiesterase delta subunit (PDE6D) mRNAs. Multiple matches conserved for all four sequences are indicated with asterisks. Footprints are shown in bold.

were calculated using a Monte Carlo simulation procedure with the same numbers of pairwise matches (see Materials and Methods). We estimated the over-representation of multiple matches for each set of UTRs based on the predicted average frequencies of multiple matches (Table 4). Bold numbers are significantly higher (<1%) than the average number of multiple matches in the simulated alignments. The differences

between the real and predicted numbers of multiple matches have a significant negative correlation with the overall conservation of 3'UTRs ($r = -0.55$, $P < 0.0001$), a significant positive correlation with G and GC content in 3'UTRs ($r = 0.49$ and 0.38 , $P < 0.0001$), and a significant positive correlation with K_S values for the corresponding protein-coding regions ($r = 0.42$, $P < 0.0001$).

Table 1. Average nucleotide length of characteristic features in alignments of orthologous mammalian 3'UTRs, phylogenetic footprints and regions between footprints (inter-footprints)

	Primates		Rodentia		Carnivora		Ruminantia	
	nt	%	nt	%	nt	%	nt	%
3'UTR length	510.8		553.7		478.2		469.3	
Alignment length	542.4	100.0	614.7	100.0	512.4	100.0	509.7	100.0
Multiple matches	233.5	43.1	259.6	42.2	223.9	43.7	222.0	43.6
Multiple mismatches	277.3	51.1	294.1	47.8	254.3	49.6	247.3	48.5
Insertion/deletion (indels)	31.6	5.8	61.0	9.9	34.3	6.7	40.5	7.9
Pairwise matches	353.6	65.2	365.4	59.4	334.0	65.2	328.8	64.5
Pairwise mismatches	142.8	26.3	203.0	33.0	138.2	27.0	142.7	28.0
Pairwise indels	46.0	8.5	46.3	8.5	40.3	7.4	38.2	7.0
Footprints	261.5	48.2	287.5	46.8	251.3	49.0	250.8	49.2
Multiple matches	178.8	68.4	197.7	68.8	171.5	68.2	170.7	68.1
Multiple mismatches	76.9	29.4	81.9	28.5	75.3	29.9	74.5	29.7
Indels	5.8	2.2	7.9	2.8	4.6	1.8	5.5	2.2
Pairwise matches	215.0	82.2	228.7	79.6	206.2	82.1	205.0	81.7
Pairwise mismatches	40.3	15.4	52.5	18.3	38.6	15.4	40.1	16.0
Pairwise indels	6.2	2.4	6.3	2.2	6.5	2.6	5.7	2.3
Inter-footprints	280.9	51.8	327.2	53.2	261.2	51.0	259.0	50.8
Multiple matches	54.8	19.5	61.9	18.9	52.4	20.1	51.3	19.8
Multiple mismatches	200.4	71.3	212.2	64.9	179.1	68.6	172.8	66.7
Indels	25.8	9.2	53.1	16.2	29.7	11.4	34.9	13.5
Pairwise matches	138.6	49.3	136.7	41.8	127.8	48.9	123.8	47.8
Pairwise mismatches	102.5	36.5	150.5	46.0	99.6	38.1	102.6	39.6
Pairwise indels	39.9	14.2	40.0	12.2	33.8	12.9	32.6	12.6

**Figure 2.** The overall base composition for the first 250 nt of 3'UTRs.

DISCUSSION

There are two possible explanations for the presence of runs and footprints in 3'UTRs: they are either mutational cold spots or are under selective constraint due to conservative function. The second is more likely in view of the observed nucleotide frequency patterns and moderate non-random clumping of matches in UTRs with low and average overall conservation, as well as experimental studies that link runs and footprints with regulatory signals (8,9,17,18). Although interspecies conservation is substantially elevated within the first 250 nt after the stop codon, we did not find any universal regulatory signals or instances of position-specific consensus in this region. Most likely, these conservative sequences contain gene-specific information.

The order of nucleotide preference for 3'UTRs, $A > U > C > G$, is the same as for cleavage site nucleotides (19). We

Table 2. Base composition of runs with lengths of 6 nt or longer, footprints and total 3'UTRs

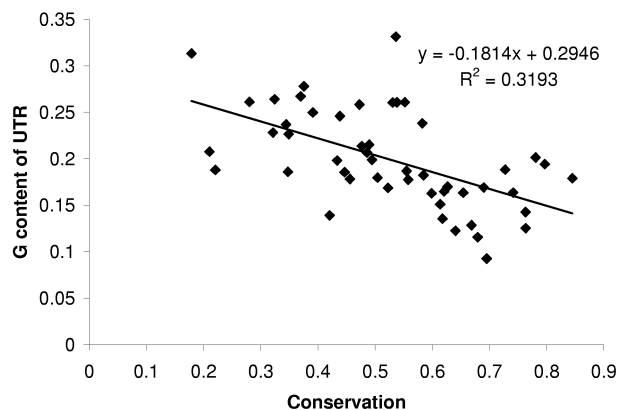
	Runs		Footprints		Overall	
A	4996	30.8%	13 352	28.0%	28 062	27.8%
C	2964	18.3%	10 408	21.8%	21 602	21.4%
G	2760	17.0%	9620	20.2%	20 109	19.9%
T	5476	33.8%	14 264	29.9%	29 978	29.7%
A+T	10 472	64.7%	27 616	58.0%	58 040	57.5%
C+G	13 436	35.3%	38 024	42.0%	79 642	41.3%

showed that runs in 3'UTRs are AU-rich and have a lower GC content than footprints. Since most of the runs are located within footprints, moderately conserved sequences in footprints have a higher GC content than UTRs on average (see Tables 2 and 3). These GC-rich areas within footprints could be structurally important.

Most mammalian genomes consist of discrete regions of distinct G and C content, so-called isochores (20). Local similarities of GC content in linked genes in rodents and humans supports the existence of such a pattern (21). The above-mentioned data agree with our results on positive correlation between GC contents in 3'UTRs and in the corresponding coding regions, and negative correlation between conservation of 3'UTRs and K_S in the corresponding CDSs (Table 3). These data may indicate different selection in different parts of genomes. The existence or the absence of correlation between K_S and GC4 is important for understanding the forces responsible for the evolution of isochores, which is one of the unresolved problems debated between neutralists and selectionists (22). The K_S -GC4 correlation and correlation between GC base composition and conservation in 3'UTRs (Table 3) suggest the existence of a general constraint

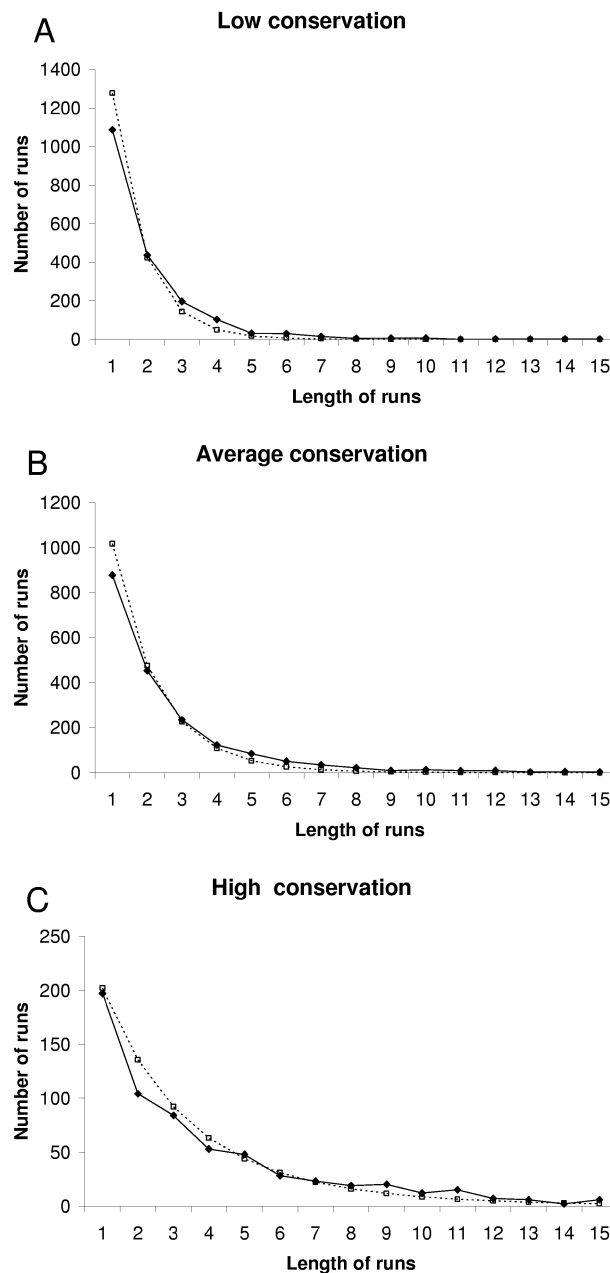
Table 3. Correlation coefficients for GC(G) content and conservation in 3'UTRs, and GC4(G4) content and K_S in the corresponding coding regions

	GC4(G4) CDS	Conservation 3'UTRs	K_S CDS
GC(G) 3'UTRs	0.48 (0.42), $P < 0.005$	-0.49 (-0.56), $P < 0.0005$	0.38 (0.43), $P < 0.01$
GC(G4) CDS		-0.48 (-0.46), $P < 0.001$	0.54 (0.47), $P < 0.001$
Conservation 3'UTRs			-0.47, $P < 0.001$

**Figure 3.** Regression of the G content of the 3'UTR against the level of overall 3'UTR conservation.

that is acting on both 3'UTRs and coding sequences. Partially, this constraint may be due to the necessity to maintain base composition of mRNA sequences. Because K_S in the coding regions and conservation in 3'UTRs, GC4 and K_S are correlated better than one could expect solely due to the compositional constraint in 3'UTRs, additional constraints may be acting on these sequences. Correlation between K_S in coding regions and conservation in 3'UTRs and the similarity of K_S and K values (1) may imply that not all synonymous sites in CDSs are neutral. Such an interpretation agrees with the results of the analysis of orthologous human-mouse-rat mRNAs done by Makalowski and Boguski (1) and with a recently proposed differential CpG content hypothesis (23). GC content in 3'UTRs cannot explain much of the variance in K_S ($r^2 \sim 16\%$), and there is neither a significant correlation between K_A and G(GC) content nor between K_A and overall UTR conservation. We cannot answer definitely the question whether it is possible to consider GC content and conservation of 3'UTRs as one of the real predictors of K_S in mammalian genes. Additional methodological studies are needed to solve the problem of the proper determination of K_S (22).

A positive correlation between frequencies of complementary nucleotides in 3'UTRs and a significant inverse correlation between the GC content of 3'UTRs and their lengths (3) probably indicates the importance of stable secondary structure formation of mRNA. Since the energy of interaction for G-C base pairs is approximately three times higher than for A-U pairs (24), G-C interaction may be more important for the secondary structure stability in 3'UTRs. There is evidence that moderately conserved GC-rich regions in 3'UTRs have many non-random invariant positions: the differences between real and predicted numbers of multiple matches negatively correlate with overall conservation of

**Figure 4.** (A–C) The distributions of theoretically predicted (dashed lines) and calculated (solid lines) numbers of runs in 3'UTRs with different overall conservation: low, <40% (A); average, $\geq 40\%$ and <60% (B); high, $\geq 60\%$ (C).

3'UTRs and positively correlate with G and GC content. These results also provide evidence on the importance of moderately conserved regions in 3'UTRs.

Table 4. Calculated pairwise and multiple matches, and theoretical average of multiple matches in simulated multiple alignments of 3'UTRs

Name	Pairwise matches	Multiple matches	Theoretical average	SD
11-HSD2	2405	310	296.1	3.44
3b_HSD	1353	130	106.9	3.82
adrenomedullin	2693	330	312.6	3.77
AF298813	1040	117	109.1	2.76
ATPaseNaK	954	127	125.7	1.23
AVPR2	702	50	29.5	3.36
B3AR	2110	205	174.8	5.14
BIGLYCAN	895	87	73.5	3.14
CAT	2694	317	304.8	4.04
CCALAC	1119	129	124.2	2.72
CD34	4401	425	322.9	7.39
CD9	1682	243	237.7	2.06
collagentypeII	2325	348	346.2	1.97
DECORIN	378	53	51.1	0.96
G_CSF	1847	213	182.8	4.07
GAMMA	1801	233	219.4	2.86
glut_transp	6039	650	583.8	7.25
G-protein	1471	211	205.6	2.11
ICAM_1	4599	515	454.9	5.89
IL-10	831	107	101.6	2.09
IL2	1267	171	166.2	2.25
IL6	1966	260	252.0	2.81
K_channel	1359	187	180.4	2.00
mcp1	805	90	79.0	2.23
MHC_DQB1	1002	71	48.2	3.81
MMP13	4228	463	431.7	5.70
MOTILIN	652	81	78.2	1.95
Na_Caexchanger	1208	179	177.0	1.55
NHE_1A	2817	264	195.1	5.82
NPC-1A	1931	241	216.5	3.55
OB1	8845	902	767.5	9.91
P53A	2866	262	218.7	6.01
PAI_1	6193	655	538.4	7.84
PDE6	2439	324	310.8	3.19
PHOSDUCI	1020	115	106.9	2.78
PININ	1572	228	226.2	1.58
PP2A	3695	565	562.3	2.12
Prolactin_a	609	74	70.6	1.84
protocollagen	1635	242	234.4	2.02
Pselectin	550	75	76.0	0.93
PTH1	873	91	80.9	2.68
S_albumin	889	114	113.5	1.85
SF-1	1887	232	213.3	3.39
SP17	783	99	92.6	2.07
STAR	2204	209	185.1	4.87
STS1	712	98	99.3	1.32
Sum_tranth	588	69	63.8	2.01
THYRO	1104	136	131.9	2.52
TIMP	466	60	61.4	1.37
VCAM	1247	144	140.1	2.71

The distribution of runs (Fig. 4) and conserved oligonucleotide motifs provide evidence on the existence of selective constraint specific for 3'UTRs. Although runs of matches are distributed exponentially, the parameter of this distribution is higher than the baseline probability. Lengths of conserved runs (4–7 nt) correspond to those of protein-binding sites, conserved elements of RNA secondary structure and functional elements that influence the UTR stability and regulate the formation of mRNA 3' ends. Higher than expected frequencies of runs suggest a biological function (25,26), or can be explained by local sequence complexity and mutable contexts (fuzzy hot spots) (27,28).

Some runs of invariant AU-rich or pyrimidine-rich nucleotides in 3'UTRs have homology to known functional *cis*-elements of eukaryotic mRNAs, such as the U-rich upstream element, the poly(A) site (AAUAAA) and DICE regulatory signals. Most of the AU-rich runs and motifs are functionally important for RNA–protein interactions, and are possibly involved in the control of mRNA stability (5,8,29). Two AU-rich elements (AUUUA or UUAUUU) are the most frequent motifs in our set of UTRs. These oligonucleotides are present in one or several copies within A/U-rich elements (AREs), which are responsible for ARE-mediated mRNA decay. They also have been found in numerous short-lived mRNAs encoding regulatory proteins, such as growth factors and their receptors, inflammatory mediators and cytokines (30,31). In mammalian cells, one of the three important elements that specify transcript cleavage and polyadenylation, is the highly conserved hexanucleotide AAUAAA (18).

We also found some protein-binding CU-rich motifs, which may be involved in UTR regulation. The key element of the translation silencing is the presence of repetitive CU-rich motifs, called DICE (differentiation control elements) (32). mRNA–protein complex formed between DICE in the 3'UTR and hnRNPs K and E1 prevents 15-lipoxygenase translation. One possible explanation of the abundance of conserved pyrimidine-rich sites, and of the low G content of 3'UTRs is their complementary interaction with different RNAs, for example, with G-rich clinger fragments in 18S rRNAs (33,34), with G-rich 5'UTRs, with the coding regions of the same mRNA forming secondary structures, or with microRNAs. Nucleotide G,C-rich motifs that are less common in the 3'UTRs may interact with specific RNA-binding proteins, as well as perform a structural function. For example, the G,C-rich *cis*-regulatory element of the glucose transporter (GLUT1) mRNA has been shown to contribute to both rapid GLUT1 mRNA decay as well as to its stabilization in response to TNF treatment (35).

In contrast with DNA regulatory signals, the biological function of RNA regulatory signals depends on a combination of the primary and secondary structures, i.e. on both the nucleotide sequence and the surrounding stem–loop elements. Some secondary structure elements in the UTRs of mRNAs (selenocystein and iron-responsive stem–loops) are particularly conservative and play an important functional role (12,36).

Distinct 3'UTR *cis*-elements, such as the U-rich upstream element, the poly(A) site, may be too short to precisely define the 3' end cleavage and formation. We suggest that moderately conserved elements with enhanced GC content probably work as structural or additional functional elements in the regulation of these processes. Our results provide evidence on the importance of moderately conserved regions in 3'UTRs and suggest that regulatory functions of 3'UTRs might utilize gene-specific information in these regions.

ACKNOWLEDGEMENTS

We thank Igor Rogozin and Alexey Spiridonov for helpful discussions and comments. We also thank Nikolay Spiridonov for reading the manuscript and two anonymous referees for helpful comments on an earlier version.

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