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## Electrophysiological Responses to Affective Stimuli in Mexican-Americans: Relationship to Alcohol Dependence and Personality Traits

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### Abstract

The relationship between the P450 component elicited by affective stimuli and: a personal history of alcohol dependence, antisocial personality disorder/conduct disorder (ASPD/CD) or affective anxiety disorders (ANYAXAF) was examined in Mexican Americans, a group with high rates of heavy drinking. Data from two hundred and twenty two young adults between the ages of 18 and 30 were used in the analyses. ERPs were collected using a task that required discrimination between faces with neutral, sad and happy facial expressions. DSM-III-R diagnoses were obtained using a structured interview and personality traits were indexed using the Maudsley personality inventory. Men had significantly diminished P450 responses, when compared to women which were further reduced in men with ASPD/CD; whereas, a significant increase in P450 amplitudes was seen in those participants with ANYAXAF. P450 amplitudes were also significantly increased in men with high extraversion scores and in women with high neuroticism scores. No significant associations were seen between the P450 amplitude and the diagnosis of alcohol dependence. These data suggest that interpretations of P450 responses in Mexican Americans need to take into account the interactions between gender, the affective valence of the eliciting stimuli, as well as psychiatric status.

### Keywords

Mexican-Americans; Alcohol Dependence; ASPD; Emotion; P450; Affective Stimuli; Anxiety; Affective; Extraversion; Neuroticism

### 1. Introduction

Hispanic American males, like Native Americans, as a group, are more likely to drink frequently and to consume larger quantities of alcohol than Whites or Blacks (Caetano, 1984; Caetano and Kaskutas, 1995; Dawson, 1998; Nielsen, 2000; Stinson et al., 1998). Additionally, the total lifetime prevalence rate of alcoholism (alcohol abuse and dependence)

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has been found to be higher among Hispanic American men than among White men in the Epidemiologic Catchment Area (ECA) study (Helzer et al., 1991). Hispanic American subgroups bring with them a diversity of racial heritage as well as cultures that vary in psychosocial, religious, and economic bases. The importance of specifying subgroups of Hispanics to avoid inaccurate generalizations has been stressed (Caetano et al., 1998). Mexican Americans represent the largest subgroup of Hispanic Americans, nearly two thirds of the total U.S. Hispanic population, followed by Puerto Ricans, Cubans, Caribbeans, Central and South Americans. A recent report suggested that Mexican Americans show high rates of heavy drinking and alcohol-related problems (Caetano, 2003).

There is evidence to suggest that electrophysiological variables may represent 'markers' of vulnerability to or endophenotypes for alcohol dependence (see Begleiter and Porjesz, 1999; Ehlers et al., 1999; Enoch et al., 1995, 1999; Polich et al., 1994). The P300 or P3 component of the event-related potential (ERP) has received considerable attention as a possible neurophysiological marker for alcohol dependence risk. Many studies have demonstrated that the P3 amplitude is reduced in subjects with a family history of alcohol dependence, but who have not yet developed the disorder (Begleiter et al., 1984; Berman et al., 1993; Elmasian et al., 1982; Hill et al., 1988, 1990, 1995, 1999a, 1999b; O' Connor et al., 1987; Porjesz and Begleiter, 1990, 1998; Whipple et al., 1988). Findings from other studies are less conclusive or do not support this hypothesis (see Bauer, 1997; Bauer and Hesselbrock, 1999a, 1999b; Polich and Bloom, 1988; Rodriguez Holguin et al., 1998). Additionally, reduced P3 amplitudes have been found to be associated with other psychiatric disorders that have significant comorbidity with alcohol dependence, such as conduct disorder, borderline personality disorder and depression (see Bauer, 1997, 2002; Bauer and Hesselbrock, 1999a, 1999b, 2001, 2003; Bauer et al., 1994a, 1994b, 2001; Carlson et al., 1999; Ceballos et al., 2006; Costa et al., 2000; Hill et al., 1999a; Houston et al., 2003, 2004a, 2004b, 2005; Iacono et al., 2002, 2003; O' Connor et al., 1994).

The P3 component, can in some paradigms, consist of two separate positive subcomponents, P3a and P3b, or early and late P300 (for a review, see Polich and Criado 2006). The earlier P3a component (in the 300 msec range in visual tasks) has a fronto-central distribution and has been associated with the "novelty" of a stimulus, and the redirection of attention monitoring (Barcelo et al., 2000; Courchesne et al., 1975; Hartikainen et al., 2003; Knight 1990, 1997; Spencer et al., 2001). In contrast, the late P3b component (400 msec range in a visual task) has a temporo-parietal distribution and has been associated with attention and may index memory updating (Knight et al 1989; Yamaguchi and Knight, 1992; for a review, see Polich and Criado, 2006). Previous studies have shown that presentation of affective stimuli elicits a P3b-like component that peaks between 300 and 600 msec after stimulus presentation (Barret and Rugg, 1989; Johnston et al., 1986; Sommer et al., 1991). This component, which is generated by a paradigm different from those traditionally used to generate P3a and P3b components, has been labeled a P450 wave (Kestenbaum and Nelson, 1992; Lang et al., 1990). Using a modified version of the facial recognition task developed by Erwin et al. (1992), we have demonstrated, in Southwest California (SWC) adults, a significant relationship between alcohol dependence and a reduction in the amplitude of the P450 component (Criado and Ehlers, in submission). These findings are consistent with previous reports showing that the P3 component is reduced in alcoholic individuals, supporting the view of its potential as an important endophenotype for alcohol dependence (see Begleiter and Porjesz, 1999; Ehlers et al., 1999; Enoch et al., 1995, 1999; Polich et al., 1994). The relationship between the P450 component elicited by affective stimuli and a personal history of alcohol dependence in Mexican Americans is not well understood.

The expression of certain personality traits has been suggested to be one of the most prominent factors implicated to increase the risk for alcoholism and substance abuse (see Acton,

2003;Cloninger et al., 1988;Larkins and Sher, 2006;Pihl and Peterson, 1995;Sher and Trull, 1994;Sher, et al., 1999,2000). There is also support to the view that biological mechanisms proposed to underlie a number of personality traits may be risk factors for alcoholism (Acton, 2003). Previous studies have also provided evidence to suggest that personality traits may influence the P300 component of the ERP (Cahill and Polich, 1992;Daruna and Karrer, 1984;Ditraglia and Polich, 1991;Orlebeke et al., 1989;Pritchard, 1989;Simons et al., 1982;Stelmack et al., 1993). Two personality traits, extraversion and neuroticism, have been suggested to influence the use and abuse of alcohol (see Larkins and Sher, 2006;Read and O'Connor, 2006;Sher and Trull, 1994). However, the relationship between alcohol dependence, P450 amplitude and personality traits has not been previously studied in Mexican Americans.

In the present study, a facial discrimination task was used to generate the P450 component of the ERP in a population of young adult Mexican Americans. Participants in the study had to be self-identified as Mexican American and both parents had to be of Mexican heritage. This study has several objectives. First, we sought to determine the relationships among P450 amplitudes, a personal history of alcohol dependence and two frequently comorbid disorders: antisocial personality disorder/conduct disorder (ASPD/CD) and affective/anxiety disorders (ANYAXAF). Studying the relationship between alcohol dependence other psychiatric disorders that may be co-morbid with alcohol dependence is important since this Mexican American sample had high co-morbidity of alcohol dependence with anxiety and affective disorders (Gilder et al., 2007), whereas Native Americans living in the same county have been reported to have low co-morbidity of alcohol dependence with anxiety and affective disorders (Gilder et al., 2004). Secondly, we investigated the relationship between P450 and the basic personality traits of extraversion and neuroticism. Because women as a whole have larger P450 amplitudes, the analyses were conducted in the population as a whole and in men and women separately.

## 2. Materials and methods

### 2.1. Participants

Participants were recruited using a commercial mailing list that provided the addresses of individuals with Hispanic surnames in 11 zip codes in San Diego County that were identified as having a population that was over 20% Hispanic heritage and were within 25 miles of the research site. The mailed invitation stated that potential participants must be of Mexican American heritage, be between the ages of 18 and 30 years, be residing in the United States legally, and be able to read and write in English. Potential participants were requested to phone research staff for more information. During the phone interview potential participants were screened for the presence of the inclusion criteria as listed on the invitation, and were excluded if they were: pregnant or nursing, currently had a major medical or neurological disorder, or a head injury that might bias the ERP testing. Participants were asked to refrain from alcohol or any other substance use for 24 hours prior to testing. None of the participants exhibited physical or behavioral signs of alcohol withdrawal. On the test day, after a complete description of the study to the participants, written informed consent was obtained using a protocol approved by The Institutional Review Board of The Scripps Research Institute.

### 2.2. Psychiatric Diagnoses

Each participant completed a face-to-face interview with the Semi-Structured Assessment for the Genetics of Alcoholism (SSAGA) (Bucholz et al., 1994), which was used to make substance use and other psychiatric disorder diagnoses according to DSM-III-R criteria. The SSAGA is a fully structured, polydiagnostic psychiatric interview that has undergone both reliability and validity testing (Bucholz et al., 1994;Hesselbrock et al., 1999). Participants were eliminated

from the current data analyses if they were taking psychoactive medication that may affect the ERP or had a positive breath-analyzer test on the day of the evaluation. Lifetime history of alcohol dependence, antisocial personality disorder/conduct disorder (ASPD/CD) and affective/anxiety disorders (ANYAXAF= any of the following diagnoses: major depressive disorder, social phobia, agoraphobia, panic disorder or obsessive compulsive disorder), in this population, were defined by DSM-III-R criteria. Basic features of personality were assessed using the Maudsley personality inventory (MPI) (Eysenck and Eysenck, 1975), which yields scores for extraversion (E) and neuroticism (N). For statistical analyses, ERP variables in participants with scores that were greater than one standard deviation from the mean, for each scale of the Maudsley (E and N), were compared to the remainder of the participants in the study. Additionally, Pearson correlations between P450 amplitudes and scores on the Maudsley were also calculated (E and N).

### 2.3. ERP Collection and Analyses

Seven channels of ERP data (FZ, CZ, PZ, F3, F4, F7, and F8, referenced to linked ear lobes with a forehead ground, international 10–20 system) were obtained using gold-plated electrodes with impedances held below 5 K $\Omega$ . Frontal electrodes were emphasized in the montage, as previous data had suggested that P3 decrements in frontal areas distinguished subjects with a risk for alcohol dependence (see Bauer, 1997). An electrode placed left lateral infraorbitally and referenced to the left earlobe was used to monitor both horizontal and vertical eye movements. ERP signals were amplified (time constant 0.3 s, 35 Hz low pass) using a Nihon Kohden EEG machine and were transferred on-line to a PC. The combined gain of the EEG amplifiers and the analog-to-digital multiplexer amplifier was 50K.

The present study used a facial discrimination task (Erwin et al., 1992; Gur et al., 1992; Heimberg et al., 1992) that was adapted for use in an ERP paradigm (Orozco and Ehlers, 1998). The stimuli were digital photographs of happy, neutral and sad faces presented on a computer screen for 1000 ms with an inter-trial interval of 1000–1500 ms. The pre-stimulus interval was 150 ms. Participants were instructed to depress a counter whenever a happy or sad face was displayed (36 trials each) and not to respond to neutral faces (144 trials). There were 36 total faces (12 each of happy, neutral, and sad) presented in random order for a total of 216 trials. The number of male and female faces presented was also equally distributed among neutral, sad and happy stimuli.

The ERP trials were digitized at a rate of 256 Hz (bandwidth 0.5–35 Hz). Individual trials containing excessive eye movement artifact as well as trials where the EEG exceeded  $\pm 250$  microvolts (<5% of the trials) were eliminated before averaging. Trials in which subjects responded below the 300 ms and above the 1000 ms latency window were excluded. The occurrence of eye movements was noted on individual trials and eliminated prior to averaging. For target stimuli, only trials with correct identification were included in the averaging. The P450 component of the ERP was quantified using a computerized peak detection routine that identifies baseline-to-peak amplitudes (in  $\mu\text{V}$ ) within specified latency windows (400–600 msec). The baseline was determined by averaging the 100 ms of pre-stimulus activity obtained for each trial. The routine is user-driven and each peak detection must be verified by the user. All peaks were quantified by one investigator (R EEG Tech), and verified by a second investigator, both of whom were blind to participants' characteristics. Time of recording with respect to the menstrual cycle was not controlled, as previous studies have demonstrated that the ERP variables under study are not sensitive to time during the cycle (see Ehlers et al., 1996).

## 2.4. Data Analysis

Data analyses focused on several hypotheses that were generated based on previous ERP research in other ethnic populations at varying degrees of risk for the development of alcohol dependence (see Bauer and Hesselbrock, 1999a, 1999b; Ehlers et al., 1998). To analyze the P450 component of the ERP, a principal component analysis (PCA) was performed over the seven electrode locations for P450 amplitudes to the stimuli in the facial recognition task, as described previously (Ehlers et al., 2001) (Fig. 1). For each of the stimuli, varimax rotation yielded two components (eigenvalues > 1, range= 1.0–4.7). The electrode sites loading on the first factor were the frontal leads (FZ, F3, F4, F7, F8), and on the second factor were the two more posterior leads (CZ, PZ) (loadings ranged from .67 to .91).

The two orthogonal factors each explained between 15–68% of the variance for the ERP task. P450 amplitudes were averaged across the electrode sites within each of the two identified components: 1 = (FZ, F3, F4, F7, F8), 2 = (CZ, PZ), generating a mean for each of the two regions. These regionally averaged scores were generated for each stimulus condition (neutral, sad, happy), generating mean amplitudes for each of the two component regions, for each stimulus category for each individual. The regionally averaged P450 amplitudes generated by the facial discrimination task were used as dependent variables. P450 amplitudes identified in the PCA (frontal leads, centro-parietal leads) generated to the stimuli obtained from the facial discrimination task (happy, neutral, sad) were evaluated using multivariate ANOVAs for the entire group and for men and women separately. Statistical significance was set at the 0.05 probability level. Pearson correlations were used to compare P450 amplitudes and scores on the Maudsley Personality Inventory.

## 3. Results

### 3.1. Descriptive Data and gender differences in P450

Demographic data on the 222 participants with valid ERP data are presented in Table 1. When P450 responses were compared in the entire population between men and women significant differences emerged as seen in figure 1. Women were found to have significantly higher amplitude P450 responses to Happy (frontal:  $F=6.1$ ;  $df=1,216$ ;  $p<0.01$ , centro-parietal:  $F=5.7$ ;  $df=1,221$ ;  $p<0.02$ ) and Sad (frontal:  $F=4.2$ ;  $df=1,216$ ;  $p<0.04$ , centro-parietal:  $F=4.1$ ;  $df=1,219$ ;  $p<0.04$ ) but not neutral facial expressions. Women also had significantly longer latencies from presentation of the stimuli to identification by depressing the correct button (Happy, men:  $635 \pm 7$  msec, women:  $656 \pm 6$  msec  $F=5.8$   $df=1,221$ ;  $p<0.016$ , and Sad, men:  $659 \pm 7$  msec, women:  $681 \pm 6$  msec  $F=5.5$   $df=1,221$ ;  $p<0.02$ ).

### 3.2. Associations of P450 responses in Mexican American young adults with Alcohol Dependence, ASPD/CD and ANYAXAF

P450 amplitudes were evaluated in the frontal and centro-parietal leads in the entire population ( $n=222$ ), and in men and women separately, as a function of alcohol dependence, ASPD/CD and ANYAXAF. Multivariate ANOVA revealed that those participants with a lifetime DSM-III diagnosis of alcohol dependence did not differ from those without alcohol dependence on any P450 variables (data not shown). No significant differences in the latencies from presentation of the stimuli to identification by depressing the correct button was found based on a lifetime diagnosis of alcohol dependence, ASPD/CD and/or ANYAXAF.

A significant association was found between P450 amplitudes and a lifetime diagnoses of ANYAXAF. As seen in figure 2, a significant increase in P450 amplitudes to sad ( $F=4.67$ ;  $df=1,218$ ;  $p<0.03$ ) and to neutral ( $F=8.47$ ;  $df=1,220$ ;  $p<0.004$ ) faces in centro-parietal areas was found in those participants with ANYAXAF. Associations between a personal history of ANYAXAF and P450 amplitudes were additionally tested in men and women separately.

Women with a personal history of ANYAXAF were also found to have significantly elevated P450 response to neutral faces ( $F=5.23$ ;  $df=1,128$ ;  $p<0.02$ ) when compared to women without those disorders.

Multivariate ANOVA revealed no significant associations between ASPD/CD and P450 amplitudes when the entire population was evaluated in the analyses. However, as seen in figure 3, associations between a personal history of ASPD/CD and P450 amplitudes were additionally tested in men and women separately and significant reductions in P450 amplitudes to happy faces in frontal ( $F=6.54$ ;  $df=1,90$ ;  $p<0.01$ ) and centro-parietal ( $F=6.72$ ;  $df=1,90$ ;  $p<0.01$ ) areas were found in men with ASPD/CD as compared to men without the disorder. No significant associations between CD/ASPD and the P450 component were found in women.

### 3.3. Associations of P450 responses in Mexican American young adults with Extraversion and Neuroticism

To evaluate further the relationship between P450 amplitudes and basic personality traits, the Maudsley personality inventory (MPI) was used to measure two dimensions of personality: extraversion and neuroticism. ANOVA revealed that those participants with extraversion scores that were over one standard deviation from the mean had significant elevated P450 responses to sad faces in frontal leads ( $F=8.2$ ;  $df=1,213$ ;  $p<0.005$ ) when compared to the remainder of the population. When associations between extraversion and the P450 component were tested in men and women separately, men with extraversion scores greater than one standard deviation from the mean were found to have significantly higher component amplitudes in response to happy (centro-parietal;  $F = 7.8$ ;  $df = 1,88$ ;  $p<0.006$ ) and sad faces (frontal;  $F = 8.2$ ;  $df = 1,88$ ;  $p<0.005$ ) when compared to men with lower scores. No significant associations between extraversion and the P450 component were found in women (see table 2).

A significant relationship was also found between P450 amplitudes and neuroticism scores in both frontal and centro-parietal leads in response to happy, neutral and sad faces as seen in Table 2. In the entire population sample, participants with scores above one standard deviation from the mean on the neuroticism scale of the MPI were found to have increases in P450 amplitudes in response to happy (centro-parietal;  $F= 13.1$ ;  $df = 1,218$ ;  $p<0.0001$ ), neutral (centro-parietal;  $F= 11.0$ ;  $df = 1,218$ ;  $p<0.001$ ) and sad (frontal;  $F = 6.2$ ;  $df = 1,213$ ;  $p<0.01$ ; centro-parietal;  $F = 12.5$ ;  $df = 1,216$ ;  $p<0.001$ ) facial expressions. Significant correlations between scores on the neuroticism scale and P450 amplitude were also found using Pearson's test (Happy: frontal leads  $r=0.145$ ,  $p<0.034$ ; centro-parietal leads  $r=0.172$ ,  $p<0.011$ , Neutral: centro-posterior leads  $r=0.164$ ,  $p<0.015$ , Sad: frontal leads  $r=0.194$ ,  $p<0.004$ , centro-posterior leads  $r=0.187$ ,  $p<0.005$ ). No significant correlations were found between extraversion scores and the P450 amplitude.

When men and women were run separately significant increases in P450 amplitudes continued to be seen in women with neuroticism scores over one standard deviation from the mean in response to happy (centro-parietal areas:  $F= 9.8$ ;  $df = 1,126$ ;  $p<0.002$ ), neutral (centro-parietal areas:  $F= 9.4$ ;  $df = 1,126$ ;  $p<0.003$ ) and to sad faces (centro-parietal:  $F= 10.5$ ;  $df = 1,124$ ;  $p<0.002$ ), as compared to women with lower scores. No significant associations between neuroticism and the P450 component were found in men. Because neuroticism and ANAXAF may be indexing similar or different constructs in their relationship to P450 amplitude, an ANOVA was conducted in women for ANAXAF using their neuroticism score as a covariate in the analysis. Significant associations between ANYAXAF and P450 responses to: happy faces in the centro-posterior leads ( $F=4.12$ ;  $df=2,127$ ;  $p<0.018$ ), neutral faces in the centro-posterior leads ( $F=3.12$ ;  $df=2,127$ ;  $p<0.048$ ), and sad faces in the centro-posterior leads ( $F=3.80$ ;  $df=2,127$ ;  $p<0.025$ ) were still found in that analysis, suggesting that the relationship between ANYAXAF and P450 amplitude is not entirely accounted for by neuroticism. No

significant differences in the latencies from presentation of the stimuli to identification by depressing the correct button were found based on extraversion or neuroticism scores.

#### 4. Discussion

This investigation extends our previous studies in other ethnic groups (see Ehlers et al., 2001,2003;Orozco and Ehlers, 1998), by characterizing, in Mexican American young adults, associations between the amplitude of the P450 component of the ERP and alcohol dependence and related comorbid disorders. The present study found a series of relationships between P450 amplitude, gender, the affective valence of the eliciting stimuli, and the psychiatric status of the participant group. Women displayed higher P450 amplitude responses to the target stimuli (happy and sad faces) than men; however, there were no differences between men and women in response to neutral faces. These data could be interpreted as either a greater electrophysiological response to emotional stimuli in women or a less intense response to emotional stimuli in men. These data confirm what has been reported previously for simple oddball tasks (see Hoffman and Polich, 1999) where women were found overall to have higher amplitude P350 and P450 ERP components than men.

Data from a number of electrophysiological and behavioral genetics studies have converged on the idea that substance dependence and antisocial behavioral disorders comprise a spectrum that may have common risk factors (Begleiter and Porjesz, 1999;Button et al., 2005;Du et al., 2006;Iacono et al., 2003;Patrick et al., 2006;Waldman and Slutske, 2000). There is also ample evidence supporting an association between low P3 amplitude and these externalizing disorders (Bauer, 1997;Bauer and Hesselbrock, 1999a,1999b,2003;Bauer et al., 1994a;Costa et al., 2000;Iacono et al., 2002,2003;Kamarajan et al., 2005,2006). The present study also found that a personal history of ASPD/CD was associated with significant reductions in P450 amplitudes; specifically to happy faces in frontal and centro-parietal areas and only in men, not in women. Previous studies that have reported P3 amplitude reductions in populations with externalizing disorders have primarily focused on paradigms that did not use affective stimuli. Additionally, most studies evaluated participants that were entirely or predominantly male (e.g. Bauer and Hesselbrock, 1999b;Costa et al., 2000;Iacono et al., 2003), as well as under age 30. Our studies suggest that, in this select population of Mexican American young adults, P450 reductions are associated with a personal history of ASPD/CD, only in males, and only in response to happy facial expressions.

Reductions in P450 amplitudes, as a function of alcohol dependence, have been reported in a population of Native Americans using the same task that was employed in the present study (Criado and Ehlers, in submission). In contrast to what has been reported previously in the P450 literature, no P450 amplitude decrements were found as a function of personal history of alcoholism in this population of Mexican American young adults. One reason that this population may not have significant associations of P450 amplitudes and alcohol dependence may be that it was a community sample of young adults with relatively milder alcohol dependence than what is seen in Native Americans residing in the same county (Ehlers et al., 2004), or what has been reported for the COGA study (Schuckit et al., 2002).

Another potential difference in the present population of Mexican American young adults, that may have influenced the expression of P450 responses, is the pattern of comorbidity of alcohol dependence with other psychiatric disorders. A high prevalence of anxiety and affective disorders has been found in this population (Gilder et al., 2007) when compared to Native Americans living in the same geographical region (Gilder et al., 2004). Having a lifetime diagnosis of any of a select group of anxiety and affective disorders (ANYAXAF) was found to be associated with increases in P450 amplitudes to sad and neutral faces in centro-parietal areas in this Mexican American population. These studies confirm previous findings in a Native

American population residing in a similar geographic location where an increase in both P350 and P450 amplitudes were found in individuals with a personal history of affective disorder (Criado and Ehlers, in submission). These findings, however, do not confirm the study of Hill et al. (1999a) who found reduced P300 amplitude in female alcoholics with a comorbid lifetime diagnosis of depression. However, two other studies have also found larger P3 amplitudes in participants with affective disorder. In a study of female adolescents with a history of a major depressive episode, P300 amplitude was less dependent on task suggesting “cognitive inflexibility” (Houston et al., 2004a). Additionally, Bruder et al. (2002) found a late P3 (P400) elicited by a phonetic task was larger in patients having comorbidity of anxiety and depressive disorders. Taken together these studies underscore the importance of taking comorbidity into account in studies of cognitive ERPs and drug use disorders.

The present study found that scores on the MPI for the extraversion and introversion scales that were above one standard deviation from the mean were also associated with P450 amplitude. Men with high extraversion scores showed significantly higher P450 amplitudes in response to happy and sad faces. These findings are consistent with a study by Hansenne (1999) who found that P300 amplitude was positively correlated with novelty seeking on the Temperament and Character Inventory (TCI), a trait often associated with extraversion. Previous studies have shown that extraverts may exhibit lower P300 amplitude than introverts in tasks where many trials are presented (Daruna et al., 1985; O’Connor, 1983; Polich and Martin, 1992). However, in tasks where fewer target trials are recorded, extraverts show larger P300 amplitudes than introverts (Cahill and Polich, 1992; Ditraglia and Polich, 1991). Studies by Ditraglia and Polich (1991) also suggest that P300 amplitudes show habituation to target stimuli in extraverted, but not introverted participants. These results might be due to the tendency of extraverts to react more strongly during the initial trials (Eysenck, 1967; Marton, 1972), or as in the present study, to affectively salient target stimuli.

The present study also found increases in P450 amplitudes in response to happy and sad faces in the entire sample and in women, with high neuroticism scores. Anxiety has been shown to be an essential trait of the neurotic personality dimension (Otten et al., 1995). In one study of a community sample, it was found that auditory P300 amplitudes were high in individuals with anxiety disorders (Enoch et al., 2001), although not all studies have confirmed these findings (Bauer et al., 2001). The present findings suggest that trait neuroticism may increase electrophysiological responses to affective stimuli, particularly in women.

In summary, these data suggest that P450 response to affective stimuli in Mexican American young adults differ depending on gender and diagnosis. Mexican American men with ASPD/CD were found to have diminished P450 responses to happy facial expressions. Mexican American women with anxiety and affective disorders and/or high neuroticism scores were found to have enhanced P450 response to neutral and sad facial expressions. These data suggest that interpretations of P450 responses need to take into account both ethnicity and the interactions between gender, the affective valence of the eliciting stimuli, as well as psychiatric status.

The results of this study should be interpreted in the context of several limitations. First, the findings may not generalize to all Mexican Americans, or all Hispanic young adult Americans. Over half of the participants in the present were women and thus findings may not generalize to previous studies that have focused on samples of entirely male participants. Second, the study was limited to young adults between the ages of 18 and 30 years, and the sample size limits interpretation of gender differences. Further studies employing a longitudinal design will be required to test the relationship of P450 amplitude, gender, alcohol dependence and comorbid disorders. Despite these limitations, this report represents an important step in an



ongoing investigation to determine risk and protective factors associated with development of substance use disorders in Mexican Americans.

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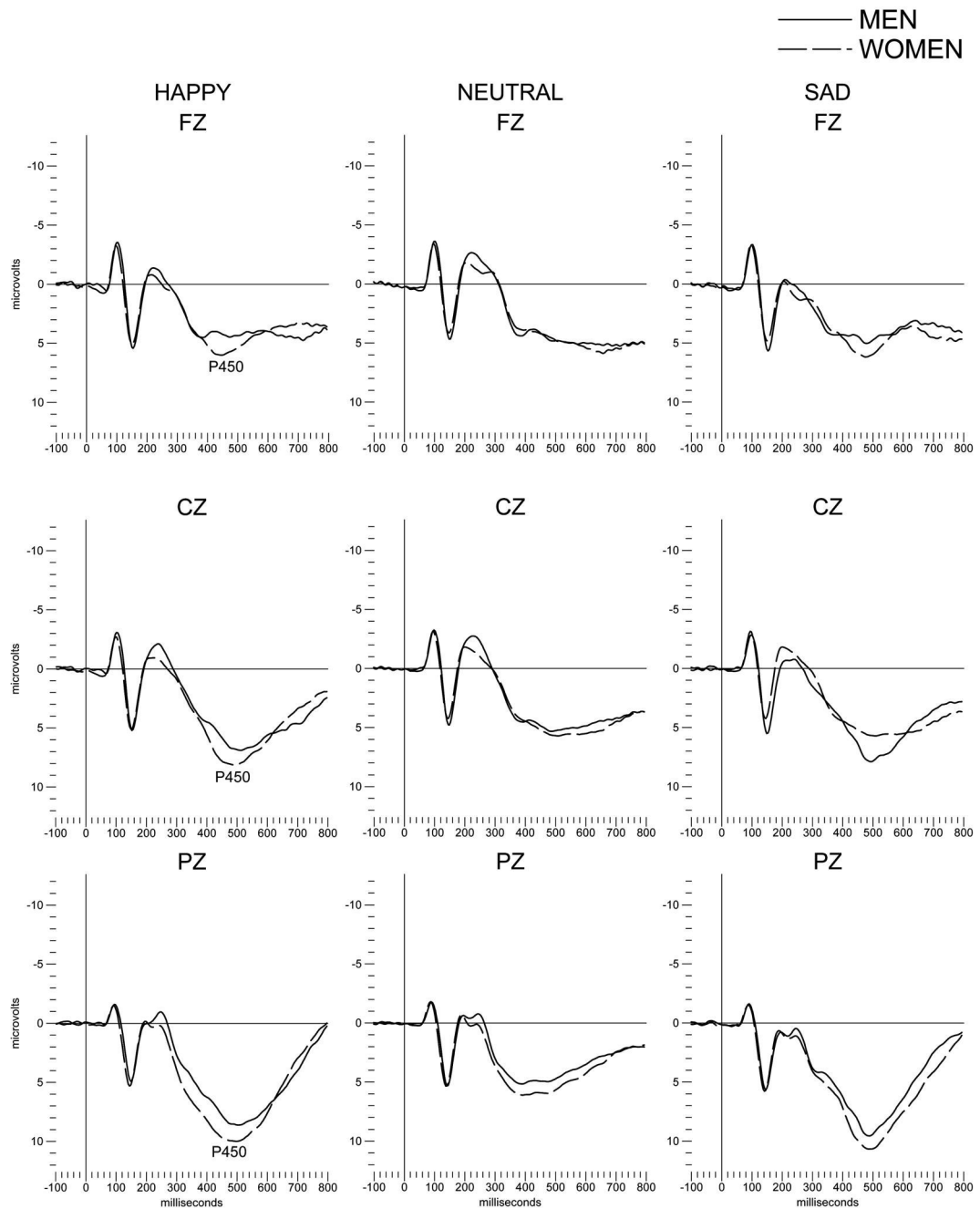
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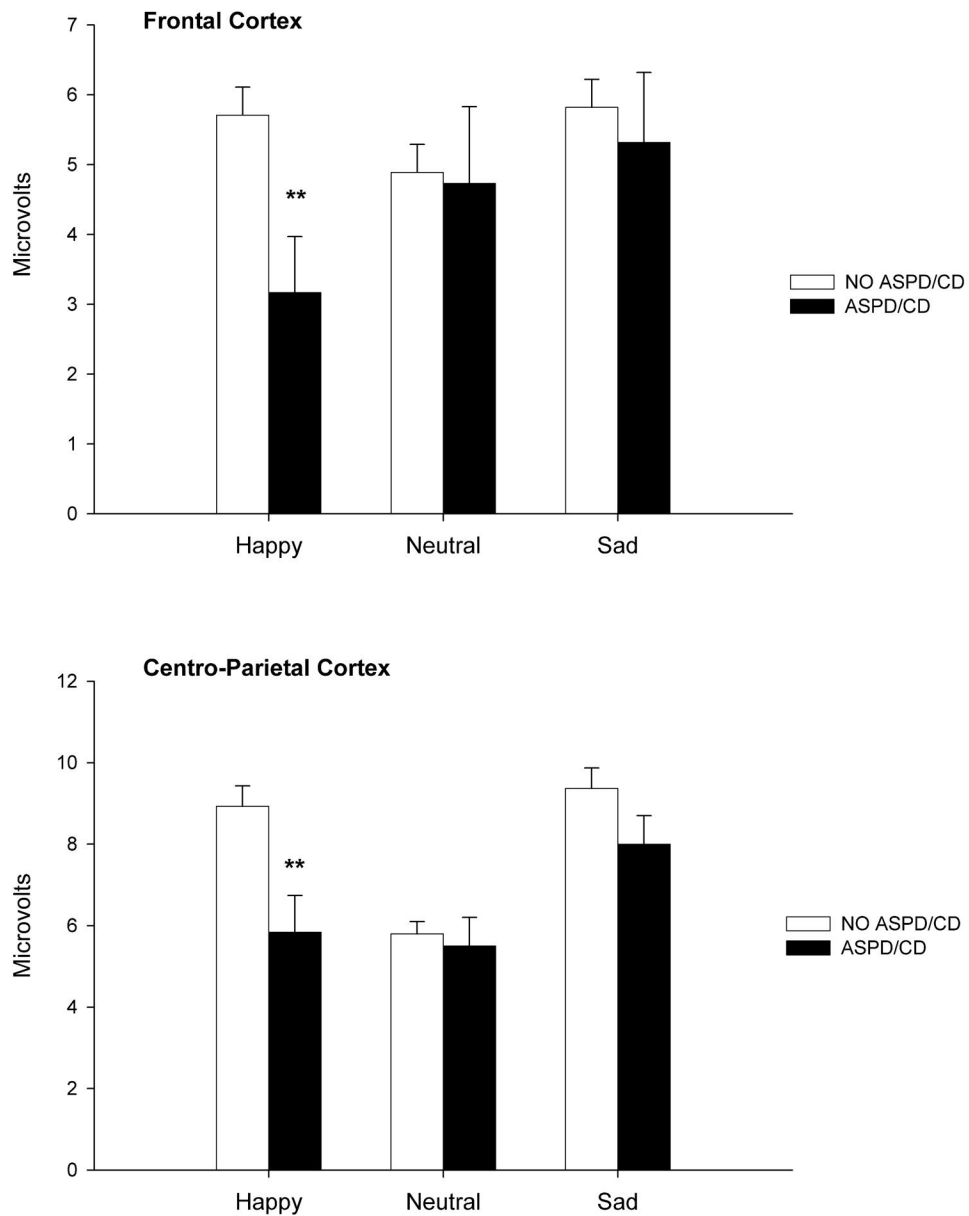
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**Figure 1.**

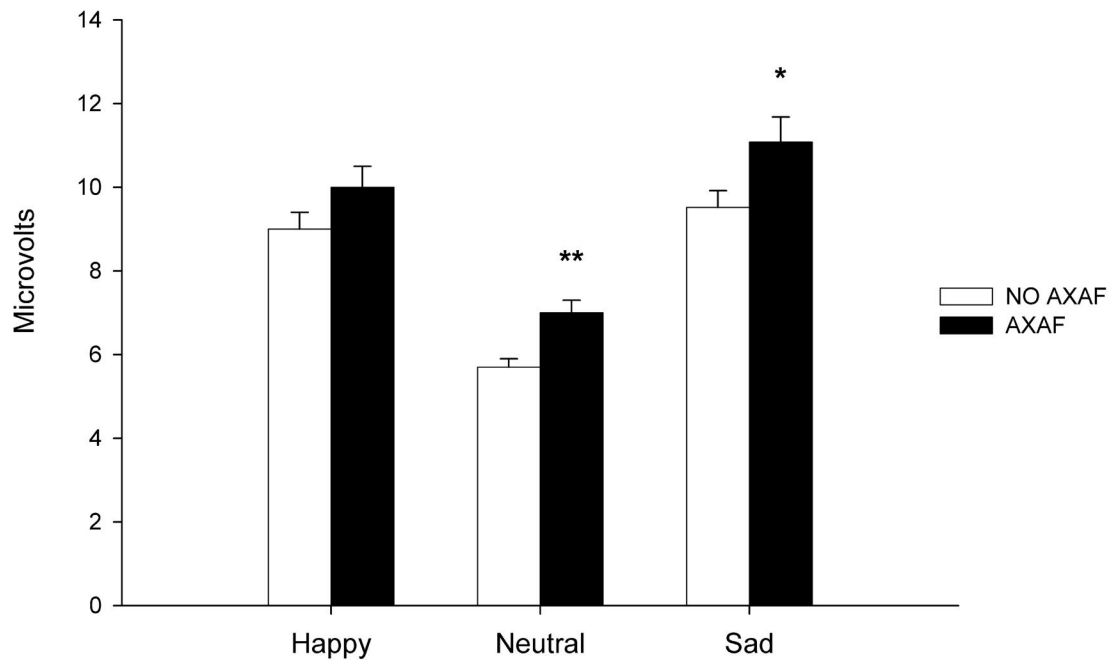
Grand averages of event-related potentials (ERPs) elicited by a facial discrimination task in Mexican American young adults. Averages are presented for frontal (Fz) and central (Cz) and parietal (Pz) leads as elicited by each of the three types of facial expressions (happy, neutral, sad). The P450 component is indicated; solid lines are for male participants and dashed lines for females.

## 450 Amplitude in Mexican American Males with CD/ASPD



**Figure 2.** P450 Amplitude (microvolts) to happy, neutral, and sad facial expressions in those participants with a select anxiety or affective disorder (AXAF) in the black bars, and in those without a select anxiety or affective disorder (no AXAF) in the open bars. Values are given as means  $\pm$ SEM. Asterisk indicates a significant difference between groups. (\* $p < 0.05$ , \*\*  $p < 0.01$ )

### 450 Amplitude in Mexican Americans with Anxiety and Affective disorders in Centro-Parietal leads



**Figure 3.** P450 Amplitude (microvolts) to happy, neutral, and sad facial expressions in male participants with conduct disorder and/or antisocial personality disorder (ASPD/CD) in the black bars and in those without conduct disorder and/or antisocial personality disorder (no ASPD/CD) in the open bars. Upper graph is data from Frontal Cortical Leads. Lower graph is from centro-parietal leads. Data are presented as means $\pm$ SEM. Asterisks indicate significant difference between the groups. \*\*P<0.01)



Table 1

Demographic characteristics of Mexican American participants comparing alcohol dependence groups, ASPD/CD diagnosis groups, ASPD/CD diagnosis groups, any anxiety or affective disorder groups, extraversion groups and neuroticism groups (n = 222)

Demographic variable	Total sample (n = 222)	Alcohol dependence (n = 53)	ASPD/CD diagnosis (n = 25)	Any anxiety or affective disorder (n = 64)	Extraversion score $\geq 18$ (n = 18)	Neuroticism score $\geq 16$ (n = 22)
Age (years)	23.3 $\pm$ 0.3	24.1 $\pm$ 0.6	24.6 $\pm$ 0.9	22.3 $\pm$ 0.5 *	21.3 $\pm$ 0.9 *	21.9 $\pm$ 0.9
Gender (n)						
Male	92	27	13	23	9	6
Female	130	26	12	41	9	16
Years of education	13.5 $\pm$ 0.1	14.0 $\pm$ 0.2 *	13.1 $\pm$ 0.4	13.1 $\pm$ 0.2 *	13.0 $\pm$ 0.4	13.1 $\pm$ 0.3
Employed						
No	58	11	9	21	4	10 *
Yes	164	42	16	43	14	12
Extraversion Score	13.0 $\pm$ 0.2	13.7 $\pm$ 0.5	13.8 $\pm$ 0.6	12.7 $\pm$ 0.4	N/A	N/A
Neuroticism Score	8.4 $\pm$ 0.3	10.6 $\pm$ 0.7 *	9.6 $\pm$ 1.2	11.2 $\pm$ 0.7 *	N/A	N/A

Values are Means  $\pm$  SEM unless indicated

\* p < 0.05 compared to larger control sample

**Table 2**

P450 amplitudes ( $\mu\text{V}$ ) in response to affective stimuli in Mexican Americans with extraversion or neuroticism traits

<b>Extraversion Score <math>\geq 18</math></b>			
	<b>Men (n = 9)</b>	<b>Women (n = 9)</b>	<b>Total (n = 18)</b>
Frontal lead			
Facial expression			
Happy	7.11 $\pm$ 1.52	7.67 $\pm$ 1.24	7.39 $\pm$ 0.95
Sad	8.92 $\pm$ 1.69**	9.07 $\pm$ 2.55	8.99 $\pm$ 1.48**
Neutral	5.73 $\pm$ 1.46	6.01 $\pm$ 1.11	5.87 $\pm$ 0.89
Centro-Parietal lead			
Facial expression			
Happy	11.99 $\pm$ 1.58***	9.40 $\pm$ 1.05	10.69 $\pm$ 0.97
Sad	12.15 $\pm$ 2.10*	11.35 $\pm$ 1.44	11.75 $\pm$ 1.24
Neutral	6.65 $\pm$ 0.91	6.55 $\pm$ 1.01	6.60 $\pm$ 0.66
Mean Extraversion Score (for entire sample, n = 222)	13.5 $\pm$ 0.4	12.6 $\pm$ 0.3	13.0 $\pm$ 0.2
<b>Neuroticism Score <math>\geq 16</math></b>			
	<b>Men (n = 6)</b>	<b>Women (n = 16)</b>	<b>Total (n = 22)</b>
Frontal lead			
Facial expression			
Happy	7.04 $\pm$ 1.96	7.42 $\pm$ 0.82	7.31 $\pm$ 0.79
Sad	7.77 $\pm$ 2.15	8.68 $\pm$ 1.05	8.43 $\pm$ 0.94*
Neutral	5.10 $\pm$ 1.05	6.05 $\pm$ 0.82	5.79 $\pm$ 0.66
Centro-Parietal lead			
Facial expression			
Happy	10.73 $\pm$ 0.91	13.15 $\pm$ 0.89**	12.49 $\pm$ 0.72***
Sad	10.65 $\pm$ 1.67	14.25 $\pm$ 1.21**	13.27 $\pm$ 1.03***
Neutral	6.64 $\pm$ 0.94	8.51 $\pm$ 0.65**	8.00 $\pm$ 0.56**
Mean Neuroticism Score (for entire sample, n = 222)	4.9 $\pm$ 0.5	5.3 $\pm$ 0.5	8.4 $\pm$ 0.3

Values are Means  $\pm$  SEM

\* p < 0.05,

\*\* p < 0.01,

\*\*\* p < 0.001 comparing groups shown to Mexican American participants without extraversion or neuroticism traits