

## Targeted disruption of the mouse *Stat3* gene leads to early embryonic lethality

KIYOSHI TAKEDA\*<sup>†</sup>, KOICHI NOGUCHI<sup>‡</sup>, WEI SHI\*, TAKASHI TANAKA\*, MAKOTO MATSUMOTO\*, NOBUAKI YOSHIDA<sup>§</sup>, TADAMITSU KISHIMOTO<sup>†</sup>, AND SHIZUO AKIRA\*<sup>¶</sup>

Departments of \*Biochemistry and <sup>‡</sup>Anatomy and Neuroscience, Hyogo College of Medicine, 1-1 Mukogawa-cho, Nishinomiya, Hyogo 663, Japan; <sup>†</sup>Department of Medicine III, Osaka University Medical School, 2-2 Yamada-oka, Suita, Osaka 565, Japan; and <sup>§</sup>Research Institute, Osaka Medical Center of Maternal and Child Health, 840 Murodo-cho, Izumi, Osaka 590-02, Japan

Contributed by Tadamitsu Kishimoto, February 6, 1997

**ABSTRACT** Signal transducer and activator of transcription (STAT) proteins have been shown to mediate biological actions in response to cytokines. *Stat3*, a member of the STAT family, is activated by a variety of cytokines, including the interleukin 6 family of cytokines, leptin, granulocyte colony-stimulating factor, and epidermal growth factor. To address the biological function of *Stat3*, we generated mice deficient in *Stat3* by gene targeting. No viable *Stat3*-deficient mice could be obtained from heterozygote intercross. Analysis of embryos at several gestation times revealed that *Stat3*-deficient embryos showed a rapid degeneration between embryonic days 6.5 and 7.5, although they developed into the egg cylinder stage until embryonic day 6.0. These results demonstrate that *Stat3* is essential for the early development of mouse embryos.

Signal transducer and activator of transcription (STAT) proteins have been shown to play an important role in cytokine signaling pathways (1, 2). These proteins are tyrosine-phosphorylated by Janus kinases after cytokine binding to its receptor. Once phosphorylated, STAT proteins form homo- or heterodimers, through interaction between the Src homology 2 domain and phosphorylated tyrosine, rapidly translocate to the nucleus and induce several gene expressions. Until now, six members of STAT family, *Stat1* through *Stat6*, have been identified. Each member is shown to be activated by its specific cytokine and responsible for cytokine-mediated responses. Recent studies from mice deficient in several STAT family members have demonstrated that STAT proteins play an essential role in cytokine-mediated biological actions; *Stat1* is critical for interferon-mediated actions and innate immunity (3, 4). *Stat4* is essential for interleukin (IL)-12-mediated functions and Th1 cell differentiation, whereas *Stat6* is for IL-4-mediated functions and Th2 cell differentiation (5–9).

*Stat3* was originally identified as acute phase response factor, which is activated by IL-6 family of cytokines (10, 11). This molecule is shown to be important for IL-6-mediated biological effects on cultured cell lines (12, 13). Further studies have demonstrated that *Stat3* is activated in response to a variety of cytokines in addition to IL-6 family of cytokines. *Stat3* is shown to be tyrosine-phosphorylated by granulocyte colony-stimulating factor and epidermal growth factor (EGF) in cultured cells (11, 14). Furthermore, leptin, a hormone that regulates satiety and energy metabolism, has been shown to induce the activation of *Stat3* in the hypothalamus (15). To examine the biological functions of *Stat3*, we have generated *Stat3*-deficient mice.

The publication costs of this article were defrayed in part by page charge payment. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. §1734 solely to indicate this fact.

Copyright © 1997 by THE NATIONAL ACADEMY OF SCIENCES OF THE USA  
0027-8424/97/943801-4\$2.00/0  
PNAS is available online at <http://www.pnas.org>.

## MATERIALS AND METHODS

**Generation of *Stat3*-Deficient Mice.** The *Stat3* genomic DNA was screened from 129/Sv mouse genomic library, subcloned into pBluescript SK vector (Stratagene), and characterized by restriction enzyme mapping and DNA sequencing as described (16). A targeting vector was designed to replace a 3.0-kb genomic fragment containing exons 20, 21, and 22 with the pMC1-neo (Stratagene). The targeting vector was flanked by the 5.0-kb fragment at 3' end and the 0.9-kb fragment at 5' end and contains a HSV-tk cassette at the 3' end of the vector. The targeting vector was linearized with *SalI* and electroporated into E14-1 embryonic stem (ES) cells. The resistant clones to G418 and ganciclovir were screened for homologous recombination by PCR and confirmed by Southern blot analysis. Generation of chimeric mice and mutant mice was essentially as described (17). The primers shown in Fig. 1a were: a, 5'-AGCAGCTGACAACGCTGGCTGAGAAGCT-3'; b, 5'-TTGCTGCTCTCGCTGAAGCGCAGTAGG-3'; and c, 5'-ATCGCCTTCTATCGCCTTCTTGACGAG-3'.

**Histological Analysis.** The decidual swellings were fixed in 4% paraformaldehyde/0.1 M phosphate buffer, pH 7.4, overnight at 4°C, and then immersed in 30% sucrose/0.1 M phosphate buffer for cryoprotection. After 2 days, the tissues were frozen and cut with a cryostat at 16- $\mu$ m thickness. The tissue sections were stained with hematoxylin and eosin.

**PCR Genotyping of Tissue Sections.** Embryonic tissue sections before hematoxylin and eosin staining were microdissected with 26-gauge needle and transferred into 30  $\mu$ l of lysis buffer (50 mM KCl/1.5 mM MgCl<sub>2</sub>/10 mM Tris, pH 8.5/0.01% gelatin/0.45% Nonidet P-40/0.45% Tween-20/100  $\mu$ g/ml proteinase K). Tissues were lysed at 56°C for 1 hr and then incubated at 98°C for 10 min to inactivate proteinase K. Lysis solution (10  $\mu$ l) was used for templates of PCR amplification.

**In Vitro Culture of Blastocyst.** *Stat3* heterozygote males and females were intercrossed, and embryonic day 3.5 (E3.5) embryos were collected by flushing from uterus of the plugged females. Blastocysts were independently cultured in 24-well plates coated with 0.1% gelatin in ES medium without leukemia inhibitory factor (LIF). After 5 days of culture, photographs of the cultured embryos were taken, and the sizes of the outgrowths of inner cellular mass were measured. Their genotypes were determined by PCR.

## RESULTS

**Generation of *Stat3*-Deficient Mice.** The *Stat3* gene was inactivated in ES cells using a targeting vector as shown in Fig.

Abbreviations: STAT, signal transducer and activator of transcription; IL, interleukin; ES, embryonic stem; E, embryonic day; LIF, leukemia inhibitory factor; ICM, inner cellular mass; EGF, epidermal growth factor; R, receptor.

<sup>¶</sup>To whom reprint requests should be addressed. e-mail: akira@home.hyo-med.ac.jp.

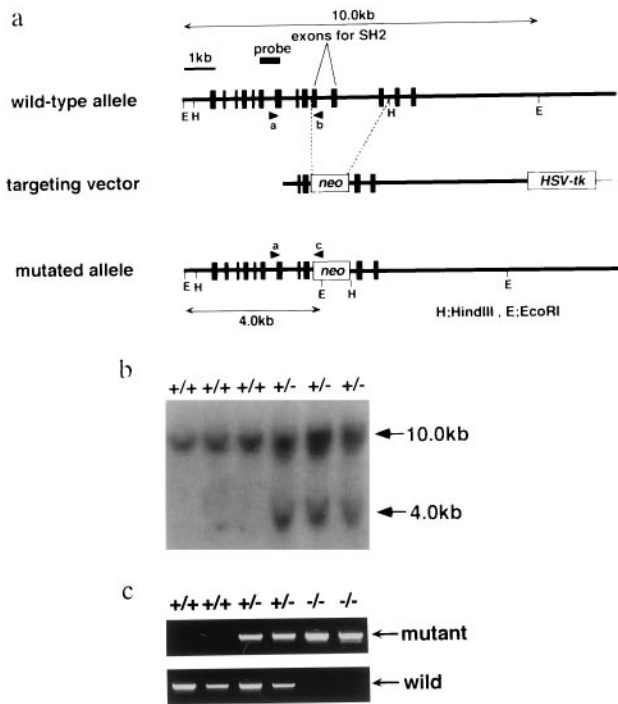


FIG. 1. Disruption of the *Stat3* gene. (a) The structure of the targeting vector and the mutated *Stat3* gene. Restriction sites were: E, *EcoRI*; H, *HindIII*. (b) Southern blot analysis of the offspring from intercross of *Stat3*<sup>+/-</sup> mice. (c) PCR analysis of the microdissected embryonic tissues at E6.5. Primers a and b were used for detection of the wild-type allele, and a and c were used for the mutated allele.

1a. In this targeting vector, a 3.0-kb fragment of *Stat3* genomic DNA including exons 20–22 was replaced with neomycin resistance (*neo*) gene (Fig. 1a). This deletion causes a loss of

Table 1. Genotypes of offspring from *Stat3*<sup>+/-</sup> intercross

	Normal phenotypes			Abnormal phenotypes
	+/+	+/-	-/-	
28 days of age	35	63	0	0
E14.5	2	11	0	6
E11.5	4	8	0	5
E9.5	3	9	0	4
E8.5	5	12	0	8
E7.5	4	8	5	
E7.0	3	14	4	
E6.5	4	12	5	
E6.0	4	11	6	

*Src* homology 2 domain and tyrosine residue, which are essential for activation of *STAT* proteins. ES cells were electroporated with the linearized vector and selected in the presence of G418 and ganciclovir. Two correctly targeted ES cell clones of 300 doubly resistant clones were screened by PCR and confirmed by Southern blot analysis. These ES cell clones were microinjected into C57BL/6 blastocysts to generate chimeric mice, and both successfully contributed to the germ line. Mice heterozygous for the *Stat3* mutation (*Stat3*<sup>+/-</sup>) were phenotypically normal and fertile. To generate *Stat3*<sup>-/-</sup> mice, *Stat3*<sup>+/-</sup> mice were intercrossed. The genotypes of offspring were determined at 28 days of age by PCR and Southern blot analysis of tail DNA (Fig. 1b). Of 98 offspring examined, 35 were wild type and 63 were *Stat3*<sup>+/-</sup>. No *Stat3*<sup>-/-</sup> mice could be detected, indicating homozygous mutation of the *Stat3* gene causes embryonic lethality (Table 1).

**Embryonic Development of *Stat3*<sup>-/-</sup> Mice.** To assess the time of death *in utero*, embryos from heterozygous intercrosses were dissected and genotyped at several gestation times from E8.5 to E14.5. No *Stat3*<sup>-/-</sup> fetuses were identified from decidua containing normal fetuses, and ≈30% of decidua were abnormal with no embryos, indicating that the *Stat3*<sup>-/-</sup> mu-

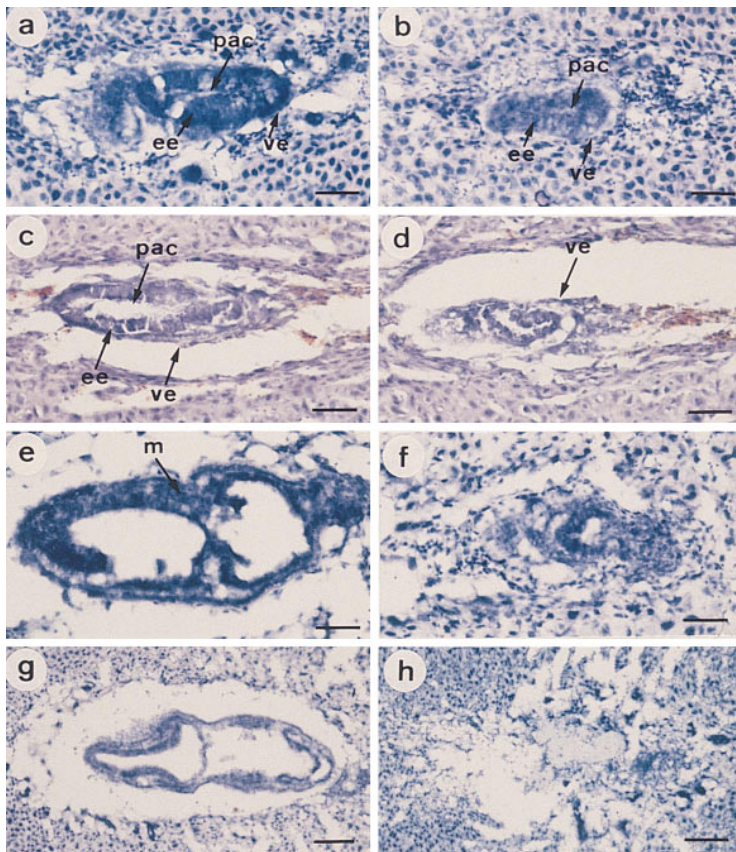


FIG. 2. Histological analysis of wild-type and *Stat3*<sup>-/-</sup> embryos. Wild-type embryos (a, c, e, and g) and *Stat3*<sup>-/-</sup> embryos (b, d, f, and h) were dissected at E6.0 (a and b), E6.5 (c and d), E7.0 (e and f), and E7.5 (g and h). Note the rapid degeneration of *Stat3*<sup>-/-</sup> embryos from E6.5 to E7.5. *Stat3*<sup>-/-</sup> embryos formed a two-layered egg cylinder at E6.0 (b) but were degenerated rapidly and resorbed completely by E7.5 (d, f, and h). ee, embryonic ectoderm; m, mesoderm; pac, proamniotic cavity; and ve, visceral endoderm. [Bars = 40 μm (a–f) and 100 μm (g and h).]

tant concepti die before E8.5 (Table 1). To precisely characterize the differences between wild-type and *Stat3*<sup>-/-</sup> embryos, histological analysis was performed at different gestation times from E6.0 to E7.5 (Fig. 2). The genotype was determined by PCR amplification of DNA of the microdissected embryonic samples from tissue sections using primers as indicated in Fig. 1*a*. *Stat3*<sup>-/-</sup> embryos could be detected at the expected Mendelian ratios from E6.0 to E7.5 (Fig. 1*c*; Table 1). At E6.0, both *Stat3*<sup>-/-</sup> and wild-type embryos showed elongation of the egg cylinder, a double-layered structure in which two layers of ectodermal and endodermal cells enclose the proamniotic cavity, although *Stat3*<sup>-/-</sup> embryos were smaller than wild-type embryos (Fig. 2*a* and *b*). This finding suggests that *Stat3* does not play a critical role in the early postimplantation development, especially in the formation of the egg cylinder. However, by E6.5, *Stat3*<sup>-/-</sup> embryos began to degenerate, in contrast to wild-type embryos, which developed to advanced egg cylinder embryos (Fig. 2*c* and *d*). By E7.0, wild-type embryos developed to a primitive streak with the formation of mesoderm between ectoderm and endoderm. In contrast, *Stat3*<sup>-/-</sup> embryos were being degenerated with no sign of mesoderm formation (Fig. 2*e* and *f*). By E7.5, all of five *Stat3*<sup>-/-</sup> embryos examined were completely resorbed, indicating that *Stat3*<sup>-/-</sup> embryos die around E7.0, the day at which gastrulation initiates (Fig. 2*g* and *h*).

***In Vitro* Growth of *Stat3*<sup>-/-</sup> Blastocysts.** *Stat3* is shown to be expressed in ES cells and tyrosine-phosphorylated in response to IL-6 family of cytokines, including LIF. LIF is known to be essential for the maintenance of ES cells in the undifferentiated state. ES cell clones are established from *in vitro* culture of blastocysts. To directly know the effect of *Stat3* deficiency on the growth of blastocysts, E3.5 blastocysts from heterozygous intercross were collected by uterine flushing and cultured *in vitro*. *Stat3*<sup>-/-</sup> blastocyst showed a normal phenotype, indicating that *Stat3* deficiency does not affect the development of preimplantation period. After 5 days of culture, both *Stat3*<sup>-/-</sup> and wild-type blastocysts produced trophoblast giant cell outgrowth. Furthermore, the inner cellular mass (ICM) of *Stat3*<sup>-/-</sup> blastocyst did show the outgrowth, although the size of outgrowth was smaller than that of wild type (Fig. 3). These results indicate that *Stat3* deficiency does not critically affect the outgrowth of blastocysts in spite of the fact that it causes the early embryonic lethality.

## DISCUSSION

This study demonstrates the functional importance of *Stat3* in early embryonic development of the mouse. *Stat3*<sup>-/-</sup> embryos developed to the egg cylinder-stage embryos like wild-type embryos until E6.0. This was confirmed by *in vitro* culture experiment of *Stat3*<sup>-/-</sup> blastocysts, which displayed the outgrowth of ICM. But the sizes of E6.0 *Stat3*<sup>-/-</sup> embryos and the outgrowth of ICM of *Stat3*<sup>-/-</sup> blastocysts were smaller than those of wild type. These findings indicate that *Stat3* is not essential for the formation of the egg cylinder, but in some extent it is responsible for the cell growth in this period. *Stat3*<sup>-/-</sup> embryos developed until E6.0, however, rapidly degenerated with no obvious mesoderm formation between E6.5 and E7.5. Our preliminary data from *in situ* hybridization analysis of embryos between E5.5 and E7.5 showed that *Stat3* mRNA began to be expressed exclusively in visceral endoderm around E6.0. In addition to embryonic expression, high levels of *Stat3* expression were detected in the decidua. Visceral endoderm, which covers the upper side of the egg cylinder embryos, is known to have an important function in mediating metabolic exchange with maternal blood (18). The onset of degeneration of *Stat3*<sup>-/-</sup> embryos coincided with the beginning of *Stat3* expression in visceral endoderm. Therefore, we speculate that the lethality may be due to the result of a defect

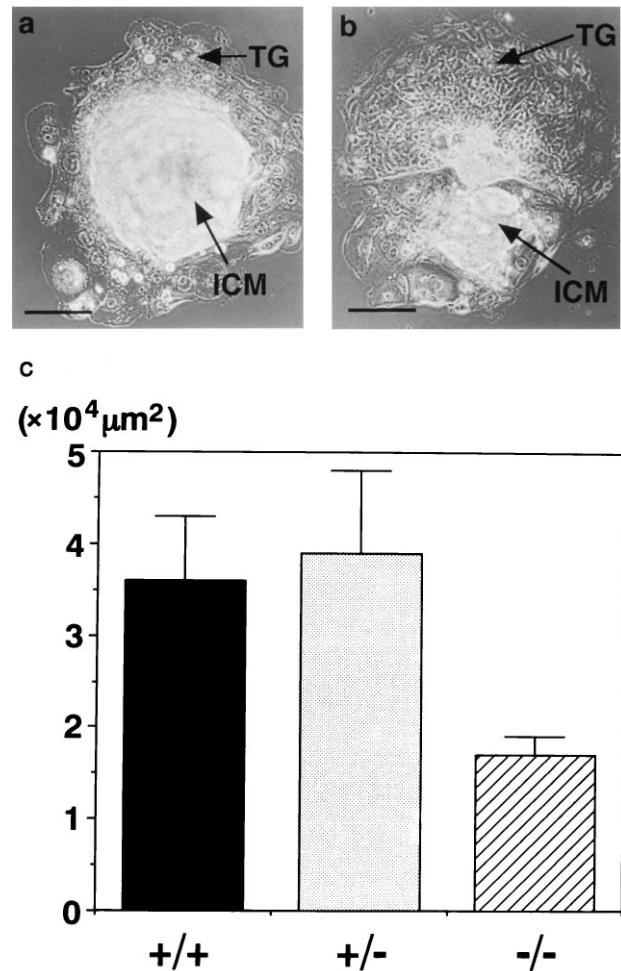


FIG. 3. *In vitro* outgrowth of blastocysts. Blastocysts were cultured for 5 days, then photographed, lysed, and PCR-genotyped. (a) *In vitro* cultured wild-type blastocyst displaying outgrowth of trophoblast giant cells and ICM. (b) Cultured *Stat3*<sup>-/-</sup> blastocyst also displaying outgrowth of trophoblast giant cells and ICM, but the size of the ICM outgrowth was smaller than that of wild type. TG, trophoblast giant cell; ICM, inner cellular mass. (c) Graph of ICM outgrowth size. The shapes of ICM outgrowth were approximately ellipses, and surface areas were measured after 5 days of culture.

in functions of visceral endoderm, such as nutritional insufficiency.

*Stat3* can be activated in response to a number of different cytokines and growth factors, including the IL-6 family of cytokines [IL-6, IL-11, LIF, ciliary neurotrophic factor (CNTF), and oncostatin M], granulocyte colony-stimulating factor, and leptin, all of which use glycoprotein 130 (gp130) or closely related signaling molecules, such as LIF receptor (LIF-R), granulocyte colony-stimulating factor receptor, and leptin receptor (10, 11, 14, 15). *Stat3* is also activated in response to EGF (11). These receptor molecules harbor a common *Stat3* docking motif (YXXQ) in the cytoplasmic domain. Among target inactivation of the cytokines and receptor molecules that have been shown to activate *Stat3*, mice deficient in gp130, LIF-R, CNTF-R, and EGF-R are lethal. However, the period of embryonic death observed with the *Stat3*<sup>-/-</sup> mice is much earlier: LIF-R<sup>-/-</sup> mice and CNTF-R<sup>-/-</sup> mice die shortly after birth (19–21). gp130<sup>-/-</sup> mice die around E15.5–18.5 as a result of abnormal heart, placenta, and hematopoiesis (22). The death of EGF-R<sup>-/-</sup> mice was observed after E11.5 on a 129/Sv × C57BL/6 background (23, 24). Therefore, the early embryonic lethality in *Stat3*<sup>-/-</sup> mice may be due to loss of a combined effect of two or more of these

receptor-mediated signals. Alternatively, Stat3 may be activated through an as yet unidentified signaling molecule critical for early embryonic development. Furthermore, our present study clearly shows that Stat3 plays a unique role in early embryonic development, which cannot be compensated by other STAT family members despite a wide utilization of Stat1, Stat3, and Stat5 by a variety of cytokine receptors. The conditional knockout study of the *Stat3* gene using Cre-loxP system will delineate the cytokines that use Stat3 for their biological actions in later developmental stages and in adult mice.

We thank Y. Kataoka, M. Inoue, F. Ueno, and K. Ishikawa for technical assistance, K. Miki for histological analysis, and K. Kubota, K. Ogishi, and T. Aoki for secretarial assistance. This work was supported by grants from the Ministry of Education of Japan.

1. Schindler, C. & Darnell, J. E., Jr. (1995) *Annu. Rev. Biochem.* **64**, 621–651.
2. Ihle, J. N. (1996) *Cell* **84**, 331–334.
3. Meraz, M. A., White, J. M., Sheehan, K. C. F., Bach, E. A., Rodig, S. J., Dighe, A. S., Kaplan, D. H., Riley, J. K., Greenlund, A. C., Campbell, D., Carver-Moore, K., DuBois, R. N., Clark, R., Aguet, M. & Schreiber, R. D. (1996) *Cell* **84**, 431–442.
4. Durbin, J. E., Hackenmiller, R., Simon, M. C. & Levy, D. E. (1996) *Cell* **84**, 443–450.
5. Takeda, K., Tanaka T., Shi, W., Matsumoto, M., Minami, M., Kashiwamura, S., Nakanishi, K., Yoshida, N., Kishimoto, T. & Akira, S. (1996) *Nature (London)* **380**, 627–630.
6. Shimoda, K., Deursen J., Sangster, M. Y., Sarawar, S. R., Carson, R. T., Tripp, R. A., Chu, C., Quelle, F. W., Nosaka, T., Vignali, D. A. A., Doherty, P. C., Grosveld, G., Paul, W. E. & Ihle, J. N. (1996) *Nature (London)* **380**, 630–633.
7. Kaplan, M. H., Schindler, U. Smiley, S. T. & Grusby, M. J. (1996) *Immunity* **4**, 313–319.
8. Thierfelder, W. E., Deursen, J., Yamamoto, K., Tripp, R. A., Sarawar, S. R., Carson, R. T., Sangster, M. Y., Vignali, D. A. A., Doherty, P. C., Grosveld, G. C. & Ihle, J. N. (1996) *Nature (London)* **382**, 171–174.
9. Kaplan, M. H., Sun, Y.-L., Hoey, T. & Grusby, M. J. (1996) *Nature (London)* **382**, 174–177.
10. Akira, S., Nishio, Y., Inoue, M., Wang, X. J., Wei, S., Matsusaka, T., Yoshida, K., Sudo, T., Naruto, M. & Kishimoto, T. (1994) *Cell* **77**, 63–71.
11. Zhong, Z., Wen, Z. & Darnell, J. E., Jr. (1994) *Science* **264**, 95–98.
12. Minami, M., Inoue, M., Wei, S., Takeda, K., Matsumoto, M., Kishimoto, T. & Akira, S. (1996) *Proc. Natl. Acad. Sci. USA* **93**, 3963–3966.
13. Yamanaka, Y., Nakajima, K., Fukada, T., Hibi, M. & Hirano, T. (1996) *EMBO J.* **15**, 1557–1565.
14. Tian, S.-S. Lamb, P., Seidel H. M., Stein, R. B. & Rosen, J. (1994) *Blood* **84**, 1760–1764.
15. Vaisse, C., Halaas, J. L., Horvath, C. M., Darnell, J. E., Jr., Stoffel, M. & Friedman, J. M. (1996) *Nat. Genet.* **14**, 95–97.
16. Shi, W., Inoue, M., Minami, M., Takeda, K., Matsumoto, M., Matsuda, Y., Kishimoto, T. & Akira, S. (1996) *Int. Immunol.* **8**, 1205–1211.
17. Tanaka, T., Akira, S., Yoshida, K., Umemoto, M., Yoneda, Y., Shirafuji, N., Fujiwara, H., Suematsu, S., Yoshida, N. & Kishimoto, T. (1995) *Cell* **80**, 353–361.
18. Cross, J. C., Werb, Z. & Fisher, S. J. (1994) *Science* **266**, 1508–1518.
19. Ware, C. B., Horowitz, M. C., Renshaw, B. R., Hunt, J. S., Liggett, D., Koblar, S. A., Gliniak, B. C., McKenna, H. J., Papayanopoulou, T., Thoma, B., Cheng, L., Donovan, P. J., Peschon, J. J., Bartlett, P. F., Willis, C. R., Wright, B. D., Carpenter M. K., Davidson, B. L. & Gearing, D. P. (1995) *Development (Cambridge, U.K.)* **121**, 1283–1299.
20. Li, M., Sendtner, M. & Smith, A. (1995) *Nature (London)* **378**, 724–727.
21. DeChiara, T. M., Vejsada, R., Poueymirou, W. T., Acheson, A., Suri, C., Conover, J. C., Friedman, B., McClain, J., Pan, L., Stahl, N., Ip, N. Y., Kato, A. & Yancopoulos, G. D. (1995) *Cell* **83**, 313–322.
22. Yoshida, K., Taga, T., Saito, M., Suematsu, S., Kumanogoh, A., Tanaka, T., Fujiwara, H., Hirata, M., Yamagami, T., Nakahata, T., Hirabayashi, T., Yoneda, Y., Tanaka, K., Wang, W.-Z., Mori, C., Shiota, K., Yoshida, N. & Kishimoto, T. (1996) *Proc. Natl. Acad. Sci. USA* **93**, 407–411.
23. Sibilina, M. & Wagner, E. F. (1995) *Science* **269**, 234–238.
24. Miettinen, P., Berger, J. E., Meneses, J., Phung, Y., Pedersen, R. A., Werb, Z. & Derynck, R. (1995) *Nature (London)* **376**, 337–341.