

## REVIEW

## Occupational risk factors in Alzheimer's disease: a review assessing the quality of published epidemiological studies

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Epidemiological evidence of an association between Alzheimer's disease (AD) and the most frequently studied occupational exposures—pesticides, solvents, electromagnetic fields (EMF), lead and aluminium—is inconsistent. Epidemiological studies published up to June of 2003 were systematically searched through PubMed and Toxline. Twenty-four studies (21 case-control and 3 cohort studies) were included. Median GQI was 36.6% (range 19.5–62.9%). Most of the case-control studies had a GQI of <50%. The study with the highest score was a cohort study. Likelihood of exposure misclassification bias affected 18 of the 24 studies. Opportunity for bias arising from the use of surrogate informants affected 17 studies, followed by disease misclassification (11 studies) and selection bias (10 studies). Eleven studies explored the relationship of AD with solvents, seven with EMF, six with pesticides, six with lead and three with aluminium. For pesticides, studies of greater quality and prospective design found increased and statistically significant associations. For the remaining occupational agents, the evidence of association is less consistent (for solvents and EMF) or absent (for lead and aluminium).

The lack of evidence between AD and occupational exposures might be explained by the problem of validity, given the presence of characteristic biases in epidemiological studies on AD such as the bias derived from use of surrogate informants in retrospective studies, as the cognitive state of the patients makes it necessary to gather relevant information from the family or close friends, and diagnosis misclassification bias due to the difficulties of differential diagnosis for AD.<sup>6–8</sup>

Epidemiological research of occupational risks for AD has also frequent precision problems, because occupational exposures of interest are relatively uncommon and large studies would be required to show even relatively moderate risks. In 1991, under the assumption of an insufficient sample size, 11 case-control studies were reanalysed to assess with increased statistical power potential risk factors, including environmental factors. However the heterogeneity of the studies prevented the pooling of data.<sup>9 10</sup>

Different reanalysis and meta-analysis of observational studies have been carried out, but as far as we know, except for the reanalysis mentioned above, none into occupational risk factors and AD. This study aimed at assessing, with a standardised and systematic approach, the strength of the associations between AD and pesticides, solvents, EMF, lead and aluminium in the workplace, evaluating the quality of published studies.

## METHODS

## Data collection

Epidemiological studies on the association between AD and occupational exposure were located through electronic searches on PubMed and Toxline and further searching the references of relevant articles found.

The search was carried out in June 2003. For the search in PubMed a combination of the MeSH terms "Occupational exposure" and "Alzheimer disease" was used, with a further search with "Alzheimer\*" and "occupatio\*" in free text. In Toxline "Alzheimer\*" and "occupatio\*" in free text were used as searching terms. In both databases no limit was applied to the search strategy. Two hundred and forty-one references were obtained in PubMed and 199 in Toxline. A first selection of relevant articles was made, including all

**Abbreviations:** AD, Alzheimer's disease; APOE4, apolipoprotein E genotype epsilon 4 allele; aRR, adjusted relative risk; EMF, electromagnetic fields; GQI, Global Quality Index

Alzheimer's disease (AD) is the most common cause of dementia in the elderly, accounting for 60–70% of the cases of progressive cognitive impairment. The prevalence of AD is up to 40% in those aged 85 years and older. The population of patients with AD will nearly quadruple in the next 50 years if the current trend continues.<sup>1</sup> The diagnosis of this disease is considered probable when other alternative causes of dementia have been excluded, but only necropsy allows a definitive diagnosis of AD.<sup>2 3</sup>

Several risk factors for AD have been identified in epidemiological studies in addition to age and female sex. The strongest and most consistent risk factor is the apolipoprotein E genotype epsilon 4 allele (APOE4). Other risk factors evaluated include head injury, low serum levels of folate and vitamin B12, raised plasma and total homocysteine levels, a family history of AD or dementia, fewer years of formal education, lower income and lower occupational status.<sup>1</sup> The evidence for increased risk of AD for occupational exposures is generally not consistent.<sup>4 5</sup> The most widely studied occupational agents have been pesticides, solvents, electromagnetic fields (EMF), lead and aluminium.



Appendices I and II are available online at <http://oem.bmjournals.com/supplemental>

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**Table 1** Maximum scores and items for each section in the questionnaires assessing quality of the research in case-control and cohort studies

Questionnaire sections	Design		
	Case-control	Prospective cohort	Retrospective cohort
Definition and follow-up of the cohort			
Maximum score	NA	11	18
Items	NA	1-7	1-8
Losses to follow-up			
Maximum score	NA	13	11
Items	NA	8-12	9-12
Measurement of disease incidence			
Maximum score	NA	6	5
Items	NA	13-16	13-15
Selection of the cases and controls			
Maximum score	25	NA	NA
Items	1-11	NA	NA
Inclusion and exclusion criteria for cases and controls			
Maximum score	9	NA	NA
Items	12-16	NA	NA
Occupational exposure measurement			
Maximum score	18	9	8
Items	17-28	17-20	16-18
Control of confounding variables			
Maximum score	9	9	9
Items	29, 30	21, 22	21, 22
Precision			
Maximum score	2	2	2
Items	31, 32	23, 24	23, 24
Internal and external validity			
Maximum score	8	8	8
Items	33, 34	25, 26	25, 26
Likelihood for biases			
Maximum score	40	32	32
Items	35-39	27-30	27-30
Global Quality Index*			
Maximum score	111	90	93

NA, not applicable.  
\*In the text the Global Quality Index (GQI) for each study is presented as a percentage of these maximum scores.

epidemiological studies with individualised data, written in English, Spanish, French or Italian, in which it was possible to calculate measurements of relative risk for AD between those exposed at least once and those never exposed. Therefore ecological studies or studies focusing exclusively on aetiopathogenic mechanisms were excluded. Studies assessing environmental exposures which did not occur in the workplace were also excluded. Only original articles assessing specific exposures to those most widely studied agents (pesticides, solvents, EMF, aluminium and lead) were considered. Papers in which exposure was related to the starting age of AD or the evolution of the disease, but not to its aetiology, were also excluded. Also, only original papers, in which the effect analysed was a specific diagnosis of AD, were included. The clinical diagnosis of AD was based, therefore, on the application of the criteria of the National Institute of Neurologic and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA), the Diagnostic and Statistical Manual for mental disorders, revised 3rd and 4th editions (DSM III-R, DSM IV), and the International Classification of Diseases, 9th and 10th revisions (ICD-9, ICD-10) or equivalent criteria. Hence, studies not applying diagnostic criteria of AD or studies limited to the assessment of cognitive impairment or presenile dementia were also excluded.

Inclusion and exclusion criteria were applied to the retrieved references, by reading the abstracts or, when necessary, full paper. We found two studies which had carried out reanalysis of previous data. The first one<sup>10</sup> was conducted with data from four previously published studies.<sup>11-14</sup> This reanalysis was excluded, as the original articles complying with our inclusion criteria were already included in our selection. The second

reanalysis<sup>15</sup> was based on three independent studies, unpublished at the time. The quality of these studies was therefore analysed separately in our review.

If there was more than one publication of the same study, we included the most recent, provided that it included the information of the previous studies. If these related papers presented different aspects of the study they were all selected but a note was made in the description explaining that all came from the same research.

### Quality evaluation of the studies

A specially designed questionnaire was applied to each of the selected articles in order to assess the quality of each study and determine the presence of the main types of biases<sup>6-8</sup> which might affect the results. On the basis of the design of the studies (cases and controls or cohorts) appropriate specific questionnaires were drawn up. The questionnaires were designed on the basis of protocols and questionnaires used previously with similar aims.<sup>16-22</sup>

Data collection followed the recommendations of Chalmers<sup>23</sup> and Delgado-Rodriguez and Sillero-Arenas<sup>16</sup> in order to minimise observer bias: each article was allocated an identification number and the details of the journal, authors and affiliations were removed. Every article was evaluated independently by two expert epidemiologists (FB and AMG). In cases of disagreement, the final evaluation was obtained by a consensus meeting between them.

The questionnaire for evaluation of the case-control studies contained 39 items measuring the quality of studies, with a maximum score of 111 points. These items were distributed in seven sections: (1) selection of cases and controls; (2) inclusion

**Table 2** Maximum scores for dimensions and items in the questionnaires assessing the likelihood for biases in case-control and cohort studies

Questionnaire dimensions*	Design		
	Case-control	Prospective cohort	Retrospective cohort
Selection bias			
Maximum score†	44	40	43
Items‡	1-12, 15, 16, 33-35	1,2, 4-6, 8-13, 25-27	1,2,4, 6-12, 25-27
Disease misclassification bias			
Maximum score	23	24	31
Items	12-16, 33, 34, 36	7, 13-16, 25, 26, 28	5-7, 13-15, 25, 26, 28
Exposure misclassification bias			
Maximum score	29	25	32
Items	17-24, 33, 34, 37	17-20, 25, 26, 29	6, 7, 16-18, 25, 26, 29
Bias arising from use of surrogate informants			
Maximum score	21	NA	NA
Items	25-28, 33, 34, 38	NA	NA
Bias arising from confounding			
Maximum score	25	25	33
Items	29, 30, 33, 34, 39	21, 22, 25, 26, 30	6, 7, 21, 22, 25, 26, 30

NA, not applicable

\*Categories of biases assessed through the different items in the questionnaires.

†Maximum score obtained from the sum of scores for items included in each dimension.

‡Items of the questionnaire (Appendices I and II, available at <http://ard.bmjournals.com/supplemental>) included in each dimension.

and exclusion criteria; (3) occupational exposure measurement; (4) control of confounding variables; (5) precision of the study; (6) internal and external validity of the study; and (7) general assessment of the presence or absence of biases (table 1 and Appendix I, available at <http://ard.bmjournals.com/supplemental>). Thirty-seven of these 39 items were distributed in five dimensions. Each dimension assessed a specific type of bias. Some of the items contributed to more than one dimension. The potential of the study for the presence of selection bias (17 items, maximum score of 44 points), disease misclassification (8 items, 23 points), exposure misclassification (11 items, 29 points), bias arising from the use of surrogate informants (7 items, 21 points) and misclassification bias of the confounding variables (5 items, 25 points) was analysed. Lower scores mean more potential for bias, while higher scores point to a smaller potential for bias in the study (table 2 and Appendix I, available at <http://ard.bmjournals.com/supplemental>).

The questionnaire for cohort studies contained some common items with the questionnaire for case-control studies and specific items for prospective and retrospective cohorts, distributed in eight sections: (1) definition and follow-up of the cohort; (2) follow-up losses; (3) measurement of disease incidence; (4) occupational exposure measurement; (5) control of confounding variables; (6) precision of the study; (7) internal and external validity; (8) general assessment of the presence or absence of biases. Thirty items measure the quality of the prospective cohort studies with a maximum score of 90 points, and 28 items measure the quality in retrospective cohorts with a maximum score of 93 points (table 1 and Appendix II, available at <http://ard.bmjournals.com/supplemental>). A total of 27 items (25 in retrospective cohorts) were distributed in four dimensions. The potential of the study for the presence of selection bias (14 items for prospective cohorts and 13 items for retrospective cohorts, with maximum scores of 40 and 43 points respectively), disease misclassification (8 items, 24 points, for prospective cohorts and 9 items, 31 points, for retrospective cohorts), exposure misclassification (7 items, 25 points, for prospective cohorts and 8 items, 32 points, for retrospective cohorts), and misclassification of the confounding

variables (5 items, 25 points, for prospective cohorts and 7 items, 33 points, for retrospective cohorts) was analysed (table 2, Appendix II, available at <http://ard.bmjournals.com/supplemental>).

For each study, a Global Quality Index (GQI) was calculated according to the total sum of the points for each item mentioned above. As a result of the different number of items with different maximum scores, this index is presented as a percentage of the maximum possible value (100%) that each study can achieve ( $GQI = (\text{score obtained}/\text{corresponding maximum score}) \times 100$ ).

To determine the presence or absence of bias in each study, we calculated in the same way the percentage of the possible maximum score in each dimension which assessed each specific type of bias ( $(\text{score obtained in the dimension}/\text{maximum score for that dimension}) \times 100$ ). Then, these percentages were grouped in five categories for each particular dimension (referred to each particular source of bias): highly probable (when the percentage of the maximum score for that dimension was <20%), probable (20-40%), possible (>40-60%), improbable (>60-80%) and highly improbable (>80%).

Lastly, in the last section of the questionnaires the experts reviewing the studies should establish, for each bias identified as probable or highly probable, the pattern for the bias (non-differential or differential for classification biases) and the effect or direction of the bias on the associations observed in the study (Appendices I and II, available at <http://ard.bmjournals.com/supplemental>).

For the data management we used the statistical packet SPSS, version 11.0 and the Excel spreadsheet.

## RESULTS

According to selection criteria, 22 original articles<sup>11-15 24-40</sup> (19 case-control studies and three cohort studies) were included. One of the articles, as mentioned above, is a reanalysis of three independent series of case-control studies on AD and EMF.<sup>15</sup> Therefore, the questionnaires were finally applied to 24 original studies.

**Table 3** Summary of main methodological aspects of the epidemiological studies on the relationship between Alzheimer's disease and selected occupational exposures

Authors/Design/ Year/Place*	Gender/Ascertainment period/ Data collection methods†	Study population‡	Diagnostic criteria§	Exposure assessment¶	Control of confounding variables
Savitz <i>et al.</i> Retrospective cohort. 1998. USA <sup>33</sup>	Men. 1950–86. Based on death certificates	Information for 20 068 workers who worked for at least 6 months in electric companies. In 56 deaths, AD was indicated	ICD-9, code 331.0	Exposure to <b>EMF</b> . Duration of work in exposed jobs was assessed and cumulative exposure at the time of death in five intervals was evaluated	Adjusted for age, calendar year, social class, work status, polychlorinated biphenyl exposure and solvent exposure
Kukull <i>et al.</i> Case-control. 1995. USA- Seattle <sup>27</sup>	Both sexes. 1987–92. Personal interview to proxy respondents for cases and controls through structured questionnaire	193 Probable AD cases and 243 control subjects free of dementia and neurological disease, randomly selected from the study base	DSM III-R and NINCDS-ADRDA probable	Exposure to <b>solvents</b> through specially designed questions that incorporate duration of exposure. Different groups of solvents are assessed separately	Frequency matching for age and sex. Adjusted for age, sex, education, proxy relationship, alcohol consumption
Tyas <i>et al.</i> Prospective cohort. 2001. Manitoba, Canada <sup>38</sup>	Both sexes. 1991/2–1996/7. Subjects free of dementia received the questionnaire to complete and return by mail	694 Subjects who screened as cognitively intact were follow up for 5 years. 36 developed AD	Screening with 3MS. NINCDS/ADRDA possible or probable	Methods to measure exposure to specific agents ( <b>pesticides, solvents</b> ) through questionnaire are not defined. Different groups of solvents are assessed separately	Adjusted for age, sex, education
Baldi <i>et al.</i> Prospective cohort. 2003. Paquid Study- France <sup>40</sup>	Both sexes. 1992–8. Personal interview through structured questionnaire to the cohort	1507 Subjects older than 65 years were followed up for 5 years. 96 AD cases were identified	DSM III-R and NINCDS-ADRDA. Cases were definitively classified by considering the results of jointly available complementary examinations	Exposure to <b>pesticides</b> : insecticides, herbicides, and fungicides was evaluated with a JEM made for 4 experts through detailed occupational histories. Cumulative exposure was calculated and quartiles were considered	Adjusted for age, tobacco consumption and education
Salib and Hillier. Case-control. 1996. UK <sup>28</sup>	Both sexes. 1991–3. Proxy respondents of both case and control groups in a direct interview using a structured questionnaire	198 Cases were compared with 164 controls with other dementias and 176 controls free of dementia	NINCDS-ADRDA possible or probable	Exposure to <b>aluminium</b> through occupational history from questionnaire. Subjects were labelled under "Aluminium occupation" category without additional information on criteria applied	Adjusted for age, sex, age of onset, duration of work, duration of condition and family history of dementia
Savitz <i>et al.</i> Case-control. 1998. USA-25 different states <sup>35</sup>	Men. 1985–1991. Information was obtained from death certificates, available from the National Center for Health Statistics or selected states	256 Male cases who died of AD in 25 states, were compared with controls (ration 1:3) who died from causes other than leukaemia and brain cancer	ICD-9, code 331.0	<b>EMF</b> : occupations reported on the death certificate were classified as electrical and non-electrical occupation according to a previous study. Electrical occupations were additionally classified in 10 different groups	Frequency matching by year of death and age at death. Adjusted for age, calendar year, social class and race
Graves <i>et al.</i> Case-control. 1999. USA- Seattle <sup>36</sup>	Both sexes. 1987–92. Direct interview only to the cases and control spouses	From the same study population base as in ref 27. Only people who have spouses as informants who agreed to collaborate, were considered eligible (89 population cases). 89 Population controls free of dementia.	NINCDS-ADRDA probable	After data collection, ITHs scored each job from detailed occupational history for potential exposure to <b>EMF</b> . Exposures were also classified according to duration and intensity	Matching by age, sex and source of information. Adjusted for age and education
Chandra <i>et al.</i> Case-control. 1987. USA- Denver <sup>13</sup>	Both sexes. 1975–85. Structured interview through standardised questionnaire applied to the next of kin of both patients and controls	64 Hospital cases and 64 non-demented hospital controls	NINCDS-ADRDA probable	Question on "ever exposure" to some metals was included. Specific exposure to <b>lead</b> was collected in the questionnaire	Matching by age, sex, race and type of proxy
Gauthier <i>et al.</i> Case-control. 2001. Canada- Quebec <sup>37</sup>	Both sexes. Ascertainment period. not specified Interview structured through standardised questionnaire to the next of kin of both patients and controls	68 Population cases were matched with 68 non-demented population controls	Screening with 3MS, DSM IV, ICD-10 and NINCDS-ADRDA possible or probable	IH assess exposition to <b>pesticides</b> from detailed occupational history. Cumulative exposures were calculated	Matching by age and sex. Adjusted for education level, presence of family cases of AD, and presence of at least one ApoE epsilon4 allele
CSHA** <i>et al.</i> Case-control. 1994. 10 Canadian provinces <sup>26</sup>	Both sexes. 1991–2. Questionnaire completed by the proxies themselves (usually a close relative) both cases and controls. In seven centres an interviewer administered it	258 Cases with onset of symptoms within 3 years of diagnosis, and 535 population controls confirmed to be cognitively normal	Screening with 3MS. DSM III-R and NINCDS-ADRDA probable	Methods to measure exposure to specific agents ( <b>pesticides, solvents</b> ) through questionnaire are not defined	Frequency matching by study centre residence in community or institution, and age group. Adjusted for age, sex, residence in community or institution, and education



Table 3 Continued

Authors/Design/ Year/Place*	Gender/Ascertainment period/ Data collection method†	Study population‡	Diagnostic criteria§	Exposure assessment¶	Control of confounding variables
O'Flynn <i>et al.</i> Case-control. 1987. England and Wales <sup>24</sup>	Men. 1970–9. From death certificates	557 Cases who died of "presenile dementia" were randomised and compared with the same number of controls	Cases were further selected in order to exclude dementias other than AD	The person's most recent full time paid employment as reported to the Registrar at the time the death was registered. Occupations were graded by one of the investigators and an IH into one of three categories according to probable exposure to organic <b>solvents</b> and to <b>lead</b> '	Matching by age and sex
Graves <i>et al.</i> Case-control. 1998. USA- Seattle <sup>32</sup>	Both sexes. 1987–92. Direct interview only with the cases and control spouses	From the same study population base as in ref 27. Only people who had spouse informants who agreed to collaborate, were considered eligible (89 population cases). 89 Non-demented population controls	NINCDS-ADRDA probable	An IH scored each job from detailed occupational history for potential exposure to <b>aluminium</b> and 5 types of <b>solvent</b> . Exposures were also classified according to duration and intensity	Matching by age, sex and source of information. Adjusted for age and education
Feychting <i>et al.</i> Case-control. 1998. Sweden <sup>34</sup>	Both sexes. 1989–91. Direct interview of cases' relatives (most often spouse or adult offspring) and of controls through structured questionnaire	From a cohort of twins taken by a register-based sample of twins, 55 cases were identified (only a case when more than one twin demented). Cases were compared with non-demented twins controls in two groups of 228 and 238 people	Screening with MMSE. DSM-III-R and NINCDS/ ADRDA possible and probable	Occupations were linked to a JEM for exposure to <b>EMF</b> . Investigators had account of each subject's primary occupation, the last occupation in the person's work life, and the occupation with the highest magnetic field exposure. Exposures were categorised in three levels	Adjusted for age, sex and education
Noonan <i>et al.</i> Case-control. 2002. USA- Colorado <sup>39</sup>	Men. 1987–96. Death certificate data were collected from the Vital Statistics Unit of the Colorado Department of Public Health	1556 Cases older than 60 years, were identified and compared with the same number of controls who died of other causes: leukaemia, brain cancer, or breast cancer	ICD-9, code 331.0	Exposure to <b>EMF</b> was assessed from primary occupation with three different methods: Electrical/no electrical occupation, according to 4 levels with a different probability of exposure, and according to different exposure levels given by a JEM	Frequency matched by 5- year age intervals and year of death. Adjusted for age, race and occupational grouping
Sobel <i>et al.</i> 1996. Case- control. USA- California <sup>29</sup>	Both sexes. Ascertainment period not specified. Data were collected from the ADDC at RLAMC	A clinical series of 326 cases who were at least age 65 at the time of their first examination at RLAMC were compared with 152 controls who were cognitively impaired or presented dementia other than vascular dementia	NINCDS-ADRDA at least probable	Primary occupation was obtained from hospital records. The same method as previous investigation <sup>15</sup> was used to measure exposure to <b>EMF</b> in high/low risk	Adjusted in men for sex and age at onset of symptoms. In women adjusted for education too
Shalat <i>et al.</i> 1988. Case- control. USA- Bedford <sup>14</sup>	Hombres. 1975–85. Questionnaire completed by the next of kin themselves for both cases and controls	98 Cases obtained from hospitals were compared with 162 population controls	DSM III, NINCDS- ADRDA (complete description of the diagnostic procedure reported previously)	Exposure to organic <b>solvents</b> and <b>lead</b> was assessed through specific items from the questionnaire and a detailed occupational history. Three IHs assigned likelihood and a semiquantitative level of exposure	Matched for sex, year of birth and town of residence. Adjusted for years of education
Li <i>et al.</i> Case- control. 1992. China <sup>25</sup>	Both sexes. 1988–9. Direct interview to surrogate informants of both cases and controls through structured questionnaire	70 Cases (54 hospital and 16 population cases) were compared with 140 non- demented controls (neighbours)	Screening with MMSE. ICD-10, NINCDS-ADRDA possible and probable	Method to assess exposure to painting/other organic <b>solvents</b> through questionnaire is not defined	Matched by age and sex. Priority was given to those living closest to the matched patient. Adjusted for solvents is made, but confounding variables are not described
French <i>et al.</i> Case-control. 1985. USA- Minneapolis <sup>12</sup>	Men. 1979–82. Direct interview through structured questionnaire or by telephone (6% of completed interviews) to surrogates respondents (usually next of kin) of both cases and controls	78 Hospital cases. 76 hospital controls and 48 neighbourhood controls. Controls with psychiatric disorders, CNS disorders, and alcoholism were excluded	NINCDS-ADRDA probable. (Histologically confirmed in a subset of study subjects)	Method to assess exposure to <b>pesticides, solvents</b> and <b>lead</b> through questionnaire is not defined	Matching by age, sex and race

Table 3 Continued

Authors/Design/ Year/Place*	Gender/Ascertainment period/ Data collection method†	Study population‡	Diagnostic criteria§	Exposure assessment¶	Control of confounding variables
Gun <i>et al.</i> Case-control. 1997. Australia <sup>30</sup>	Both sexes. 1986–9. Direct interview through structured questionnaire to proxy respondents of both cases and controls	170 Hospital cases were compared with 170 population controls	NINCDS-ADRDA possible and probable	Exposure to <b>solvents, aluminium, lead and pesticides</b> was assessed by a panel of three IHs, using occupational histories and a JEM. Cumulative exposures were calculated	Matching by age and sex. Adjusted for sex, education, family history of AD, early- or late-onset AD cases, and possible versus probable AD cases
Heyman <i>et al.</i> Case-control. 1984. USA- Durham <sup>11</sup>	Both sexes. Ascertainment period unspecified. Direct interview through structured questionnaire to proxy respondents of both cases and controls	46 Hospital cases were compared with 92 population controls free of dementia	Similar to NINCDS-ADRDA. (Histologically confirmed in a subset of study subjects)	Exposure to <b>solvents and lead</b> was directly assessed through an item from questionnaire which asked about "ever exposure" of at least 10 hours at week at least during 6 months in any occupation of the cases or controls	Matched for sex, race and 5-year age intervals
Sobel <i>et al.</i> Case-control. 1995. Finland and USA- California <sup>15</sup>	Both sexes. Series 1: 1982–5, series 2: 1977–8, series 3: 1984–93. Direct interview through structured questionnaire to proxy respondents of cases, but direct interview to controls	Three clinical series analysed globally and independently with different types of controls: 53, 198, 136 cases were compared with 70, 299, 136 controls, respectively	NINCDS-ADRDA, or similar to NINCDS-ADRDA	Exposure to <b>EMF</b> was evaluated by an IH from primary occupations. Exposures were also classified according to intensity in high/low level	Adjusted for age at onset, age at examination, sex, education and social class
Palmer <i>et al.</i> Case-control. 1998. England and Wales <sup>31</sup>	Men. 36–38 months. Short postal questionnaire either to the patient himself, or if he had died, to the next of kin	From CT records, 204 dementia cases (105 AD) were identified, who were compared with 225 controls with brain cancer and 441 controls with other neurological diseases like cerebrovascular disease, benign tumours, migraine or headache	From CT records of neuroradiology centres. Clinical diagnosis not specified	To assess exposure to <b>solvents</b> only occupations for more than 1 year recalled in the questionnaire were examined. These occupations were linked to a classification of occupations by likely exposure to organic solvents into three levels (high exposure, intermediate or uncertain exposure and low exposure)	Adjusted for age at the CT, neuroradiology centre, and distance of residence at the time diagnosis from the neuroradiology centre

\*Papers are listed in decreasing order of their Global Quality Index, see explanation in the text.

†ADDTC, Alzheimer Disease Diagnosis and Treatment Centre; RLAMC, Rancho Los Amigos Medical Centre.

‡AD, Alzheimer's disease; CNS, central nervous system; CT, computed tomography.

§ICD-9 and ICD-10: criteria for Alzheimer's disease from the International Classification of Diseases, 9th and 10th revisions; NINCDS-ADRDA: criteria for Alzheimer's disease from the National Institute of Neurologic and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association; DSM III-R and DSM-IV: criteria for Alzheimer's disease from the Diagnostic and Statistical Manual for mental disorders, revised 3rd and 4th editions; MMSE, Mini-Mental State Examination; 3MS, modified Mini-Mental State Examination.

¶EMF, electromagnetic fields; IH, industrial hygienist; JEM, job exposure matrix.

\*\*CSHA, Canadian Study of Health and Aging investigators.

Table 3 presents a summary of the main methodological aspects of the studies analysed, including population studied, data collection period, assessment of exposure and control of confounding bias.

In Table 4 the results of the expert evaluation of the studies are presented. For the 24 studies, the median for the GQI was 36.6%. The article with the highest score reached a GQI of 62.9%. There was great variability in the quality of the different studies, with a range of 43.4% between the papers with the highest and lowest score. All the case-control studies but one<sup>27</sup> showed a GQI below 50%. Five case-control studies scored below 25%, and the lowest score in the total sample was for a case-control study (GQI = 19.4%). Quality in the three cohort studies was greater and more homogeneous than that seen in the case-control studies. The lowest value in the cohort studies corresponded to a prospective cohort study (GQI = 50.5%).<sup>40</sup>

The most common potential bias is that of misclassification in the exposure, present in 18 of the 24 studies analysed (75.0%). The second in order of frequency is the potential bias arising from the use of surrogate informants, present in 12 of the 17 studies (70.6%). The third potential bias is that of misclassification of the disease, which appeared in 11 of the 24 studies (45.8%), followed by bias of selection present in 10 studies (41.7%). Confounding was considered the less frequent potential type of bias (fig 1).

In only one case, in bias arising from the use of surrogate informants, was it judged that the effect of bias might at least

probably increase the association between AD and the assessed exposure, in this case to EMF. For the remaining studies the experts either judged that the observed association was probably underestimated or they failed to reach a conclusion about the effect of the potential biases under consideration. In 16 of the 18 studies affected by potential misclassification in the exposure (88.9%), it was judged that this effect could give rise to a non-differential misclassification which would bias the associations towards the null (fig 1 and table 4).

In the reviewed papers, for the specific occupational exposures considered, 11 studies explored the relationship of AD with solvents, seven with electromagnetic fields (EMF), six with pesticides, six with lead and three with aluminium. Solvents and pesticides are the exposures with the highest number of high quality studies (five and four studies, respectively, with a score above the median GQI), followed by EMF (three studies) and lead and aluminium (two studies for each exposure) (table 4).

For pesticides, research of greater quality and prospective design found increased and statistically significant associations with AD. Tyas *et al*<sup>38</sup> reported adjusted relative risk (aRR) of 4.35 (95% CI 1.05 to 17.90) for exposure to defoliants and fumigants (a smaller and non-significant association was found for exposure to the wider category of "pesticides, fertilisers": aRR = 1.45, 95% CI 0.57–3.68) and Baldi *et al*<sup>40</sup> found aRR for occupational exposure to pesticides in men of 2.39 (95% CI 1.02 to 5.63). The two case-control studies

**Table 4** Relative risks, quality (Global Quality Index, see explanation in the text) and likelihood for the presence of biases in epidemiological studies on the relationship between Alzheimer's disease and selected occupational exposures

Authors/Design/ Year/ Place*	Studied exposures. RR for exposure classified as ever/never exposed†	Global quality index	Selection bias‡	Disease misclassification bias‡	Exposure misclassification bias‡	Bias due to surrogates informants‡	Misclassification of confounding bias ‡
Savitz <i>et al.</i> Retrospective cohort. 1998. USA <sup>33</sup>	<b>EMF:</b> aRR = 2.1 (95% CI 0.6 to 6.8)	62.9	--	+/-	-	9	-
Kukull <i>et al.</i> Case-control. 1995. USA-Seattle <sup>27</sup>	<b>Solvents:</b> Men: aRR = 6.3 (95% CI 2.2 to 18.1). Women: aRR = 0.6 (95% CI 0.2 to 1.9). Both: aRR = 1.8 (95% CI 1.1 to 3.1)	55.6	-	-	-	+/-	-
Tyas <i>et al.</i> Prospective cohort. 2001. Manitoba, Canada <sup>38</sup>	<b>Solvents:</b> Degreasers: aRR = 0.88 (95% CI 0.31 to 2.50) <b>Pesticides:</b> Defoliants, fumigants: aRR = 4.35 (95% CI 1.05 to 17.90). Pesticides/fertilisers: aRR = 1.45 (95% CI 0.57 to 3.68)	53.8	+/-	-	+ (?)	9	-
Baldi <i>et al.</i> Prospective cohort. 2003. Paquid study-France <sup>40</sup>	<b>Pesticides:</b> Men: aRR = 2.39 (95% CI 1.02 to 5.63). Women: aRR = 0.89 (95% CI 0.49 to 1.62)	50.5	+/-	-	+/-	9	+/-
Salib and Hillier. Case-control. 1996. UK <sup>28</sup>	<b>Aluminium:</b> Demented controls: aRR = 0.95 (95% CI 0.5 to 1.8). Non-demented controls: aRR = 0.95 (95% CI 0.5 to 1.9)	48.1	--	+/-	+ (↓)	+/-	-
Savitz <i>et al.</i> Case-control. 1998. USA-25 different states <sup>35</sup>	<b>EMF:</b> Electrical/non-electrical occupation: aRR = 1.2 (95% CI 1.0 to 1.4)	44.4	-	+ (↓)	++ (↓)	99	+/-
Graves <i>et al.</i> Case- control. 1999. USA- Seattle <sup>36</sup>	<b>EMF:</b> Hygienist 1: aRR = 0.74 (95% CI 0.29 to 1.92); hygienist 2: aRR = 0.95 (95% CI 0.29 to 1.92)	42.6	+/-	-	+/-	+ (↑)	+/-
Chandra <i>et al.</i> Case- control. 1987. USA- Denver <sup>13</sup>	<b>Lead:</b> aRR = 0.25 (95% CI 0.03 to 2.24) <sup>§</sup>	41.7	+/-	+/-	+ (↓)	+ (?)	+ (?)
Gauthier <i>et al.</i> Case- control. 2001. Canada-Quebec <sup>37</sup>	<b>Pesticides:</b> aRR = 0.97 (95% CI 0.38 to 2.41). Herbicides: aRR = 1.07 (95% CI 0.39 to 2.54). Insecticides: aRR = 1.62 (95% CI 0.64 to 4.11)	41.7	+/-	+/-	+/-	+/-	-
CSHA** <i>et al.</i> Case- control. 1994. 10 Canadian provinces <sup>26</sup>	<b>Solvents:</b> aRR = 0.76 (95% CI 0.38 to 1.54) <b>Pesticides:</b> Pesticides/fertilisers: aRR = 1.58 (95% CI 0.81 to 3.10)	39.8	+/-	-	+ (↓)	+ (?)	+/-
O'Flynn <i>et al.</i> Case- control. 1987. England and Wales <sup>24</sup>	<b>Solvents:</b> unadjusted RR = 1.11 (not significant) <b>Lead:</b> unadjusted RR = 0.86 (not significant)	39.8	-	++ (↓)	++ (↓)	99	+ (?)
Graves <i>et al.</i> Case-control. 1998. USA-Seattle <sup>32</sup>	<b>Solvents:</b> aRR = 1.77 (95% CI 0.81 to 3.90) <b>Aluminium:</b> unadjusted RR = 1.46 (95% CI 0.63 to 3.42)	37.0	+/-	-	+ (↓)	+/-	+ (?)
Feychting <i>et al.</i> Case- control. 1998. Sweden <sup>34</sup>	<b>EMF:</b> Control group 1: aRR = 2.4 (95% CI 0.8 to 6.9). Control group 2: aRR = 2.7 (95% CI 0.9 to 7.8).	36.1	+/-	-	+/-	+ (?)	+/-
Noonan <i>et al.</i> Case- control. 2002. USA- Colorado <sup>39</sup>	<b>EMF:</b> aRR = 1.21 (95% CI 0.83 to 1.76)	34.3	+/-	++ (↓)	++ (↓)	99	+/-
Sobel <i>et al.</i> 1996. Case-control. USA- California <sup>29</sup>	<b>EMF:</b> Men: aRR = 4.90 (95% CI 1.3 to 7.9). Women: aRR = 3.40 (95% CI 0.8 to 16). Both: aRR = 3.93 (95% CI 1.45 to 10.56)	34.2	+ (↓)	+/-	++ (↓)	99	+ (?)
Shalat <i>et al.</i> 1988. Case-control. USA- Bedford <sup>14</sup>	<b>Solvents:</b> aRR = 1.0 (95% CI 0.5 to 1.9) <b>Lead:</b> aRR = 0.8 (95% CI 0.3 to 2.0)	33.3	+ (?)	+ (?)	+ (?)	++ (?)	+/-
Li <i>et al.</i> Case-control. 1992. China <sup>25</sup>	<b>Solvents:</b> aRR = 1.17 (95% CI 0.31 to 4.37)	30.6	+ (?)	+/-	+ (↓)	++ (↓)	+ (?)
French <i>et al.</i> Case-control. 1985. USA-Minneapolis <sup>12</sup>	<b>Solvents:</b> aRR = 1.25 (95% CI 0.55 to 2.84) <b>Pesticides:</b> aRR = 0.80 (95% CI 0.29 to 2.19) <b>Lead:</b> aRR = 1.50 (95% CI 0.25 to 8.98)**	28.7	+ (?)	+ (?)	+ (↓)	+ (?)	++ (?)

Table 4 Continued

Authors/Design/ Year/ Place*	Studied exposures. RR for exposure classified as ever/never exposed†	Global quality index	Selection bias‡	Disease misclassification bias‡	Exposure misclassification bias‡	Bias due to surrogates informants‡	Misclassification of confounding bias ‡
Gun <i>et al.</i> Case-control. 1997. Australia <sup>30</sup>	<b>Solvents:</b> unadjusted RR = 1.31 (95% CI 0.83 to 2.07) <b>Pesticides:</b> Organophosphates: unadjusted RR = 2.54 (95% CI 0.41 to 27.06) <b>Lead:</b> unadjusted RR = 1.12 (95% CI 0.63 to 2.0) <b>Aluminium:</b> unadjusted RR = 0.33 (95% CI 0.01 to 4.16)	26.8	++ (?)	+ (?)	+ (↓)	++ (↓)	+/-
Heyman <i>et al.</i> Case-control. 1984. USA-Durham <sup>11</sup>	<b>Solvents:</b> 0 exposed¶ <b>Lead:</b> aRR = 0.78 (95% CI 0.14 to 4.36)¶	24.1	++ (?)	+ (↓)	++ (↓)	+/-	+/-
Series 2. Sobel <i>et al.</i> <sup>5</sup>	<b>EMF</b> (see series 1 data)	24.1	++ (?)	+ (?)	+ (↓)	++ (↓)	+/-
Series 3. Sobel <i>et al.</i> <sup>5</sup>	<b>EMF</b> (see series 1 data)	21.3	++ (?)	+ (?)	+ (↓)	++ (↓)	+/-
Series 1. Sobel <i>et al.</i> Case-control. 1995. Finland and USA-California <sup>15</sup>	<b>EMF:</b> For the three series: Men: aRR = 1.9 (95% CI 0.8 to 5.0). Women: aRR = 3.7 (95% CI 1.7 to 8.9). Both sexes: aRR = 2.9 (95% CI 1.6 to 5.4)	20.4	++ (?)	+ (?)	+ (↓)	++ (↓)	+/-
Palmer <i>et al.</i> Case-control. 1998. England and Wales <sup>31</sup>	<b>Solvents:</b> aRR = 0.3 (95% CI 0.1 to 1.3)	19.4	+ (↓)	++ (↓)	++ (↓)	++ (↓)	+ (?)

\*Papers are listed in decreasing order of their Global Quality Index, see explanation in the text.

†Highest relative risks (and odds ratios) adjusted by the maximum number of variables in each study.

‡For bias: -- denotes highly improbable, - denotes improbable, +/- denotes possible, + denotes probable, ++ denotes highly probable. For each bias identified as probable or highly probable, (↓) denotes the experts either judged that the bias would had decreased association towards the null, (↑) denotes bias would increase the association, and (?) denotes the experts failed to reach a conclusion about the effect of that particular bias. 9: Registry-based retrospective or prospective cohorts in which this bias is not possible; 99: Registry-based case-control studies, in which this bias is not possible.

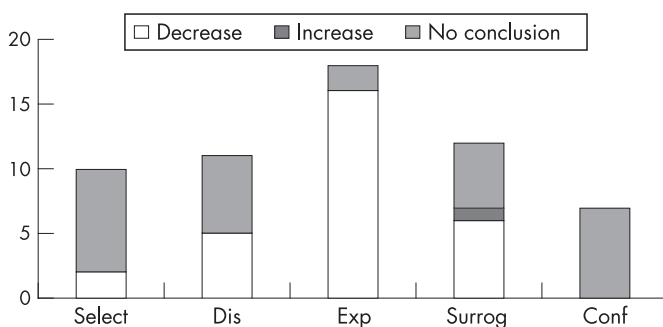
§Information about OR for lead was obtained from a later reanalysis.<sup>10</sup>

¶Information about OR for solvents and lead was obtained from a later reanalysis.<sup>10</sup>

\*\*CSHA, Canadian Study of Health and Aging Investigators.

assessing risk associated with pesticide exposure and with GQI above the median<sup>26, 37</sup> found evidence of smaller and non-significant associations, supporting the hypothesis that potential biases might have affected these results, decreasing the associations towards the null (table 4). Finally, one of the remaining two case-control studies assessing exposure to pesticides<sup>30</sup> found an unadjusted RR of 2.54 (95% CI 0.41 to 27.06) for organophosphates.

For the remaining occupational agents considered in this review the evidence of an association is less consistent. For solvents, only two out of the 11 studies analysing this exposure found a significant association with AD. The two studies focused on the same population base. The first<sup>27</sup> is a high quality case-control study (the case-control study with the



**Figure 1** Number of biased studies (estimated as probably or highly probably biased) and direction of bias (decreasing or increasing association, or when no conclusion was reached about direction) in selected studies on the relationship between Alzheimer's disease and occupational exposures (n = 24). Select, selection bias; dis, disease misclassification bias; exp, exposure misclassification bias; surrog, bias arising from use of surrogate informants; conf, confounding bias.

highest score for GQI), an example of proper selection and diagnostic bias control, where only the aRR in exposed men was significantly increased (aRR = 6.3, 95% CI 2.2 to 18.1). The second paper<sup>32</sup> included only cases with a spouse who was willing to collaborate in the interview, which might increase the likelihood of selection bias. With this restriction the aRR for solvent exposure during more than 18 years reached statistical significance (aRR = 2.62, 95% CI 1.07 to 7.43), although it fell to 1.77 (95% CI 0.81 to 3.90) when exposure was classified as ever/never. However, a prospective cohort study,<sup>38</sup> also assessing exposure to solvents and with a high quality ranking (GQI) in our evaluation, did not find association with this exposure focused in degreasers (aRR = 0.88, 95% CI 0.31 to 2.50), and neither did the other two case-control studies with above the median GQI scores.<sup>24, 26</sup>

There are three studies assessing risk for occupational exposure to EMF with high quality, well above that of the other studies,<sup>33, 35, 36</sup> including the study with the highest GQI score in our ranking. However, the highest odds ratio (OR) values for this exposure correspond to the lower quality studies. Our analysis suggests that these studies are likely to be biased and that selection bias might explain these results.

For lead exposure there are no data supporting any association. All the studies are case-control studies, with a relatively low level of quality according to our classification. For aluminium, one of the three studies about this exposure is the second in the quality ranking of the case-control studies.<sup>28</sup> Results from this study show no association (aRR = 0.95, 95% CI 0.5 to 1.9). In the other two studies associations are also non-significant (table 4).

## DISCUSSION

The meta-analysis protocol recommends evaluation of the quality of the primary studies included in the research<sup>16, 41</sup>



### Main messages

- Epidemiological literature on Alzheimer's disease and occupational exposures is, in general, scarce.
- Some agents have received most of the attention (pesticides, solvents, electromagnetic fields, lead and aluminium), mostly in case-control studies.
- In general, results are consistent with an increased risk of Alzheimer's disease in relation to occupational exposure to pesticides.

### Policy implications

- Protection and surveillance of workers exposed to pesticides should consider the potential risk of Alzheimer's disease.
- Further research, and mostly follow-up studies, can provide more conclusive evidence about this association and other risks from occupational exposures.

through ad hoc developed questionnaires for the assessment. Recommendations have been proposed for quality assessment of observational studies.<sup>16-22</sup> The recent initiative named STROBE (STrengthening the Reporting of OBservational studies in Epidemiology)<sup>42</sup> should help to improve the quality of published epidemiological research, and some of the papers included in this review might have been improved if STROBE recommendations had been considered for their publication.

The quality of the reviewed studies was assessed with a blinded, standardised and systematic approach. Two epidemiologists independently reviewed all the studies and discrepancies were solved through consensus meetings. The percentage of global agreement between the two epidemiologists was 83.5% for all reviewed case-control studies, 93.3% for the two prospective cohort studies and 85.7% for the retrospective cohort study. Therefore, reproducibility was reasonably good.

Considering only the studies with higher quality, occupational exposure to pesticides is the risk for which, according to our analysis, there is the greatest evidence of association with AD. The quantitative synthesis of the data in a meta-analysis, including studies with higher methodological quality, might enable a more accurate quantification of the size of this suggested potential risk. For the remaining occupational agents, the evidence of an association is less consistent. Contradictory results are found among studies assessing occupational exposure to solvents and EMF, and a lack of association in studies for lead and aluminium.

Valid assessment of exposure is always a problem in occupational epidemiology. Most of the studies evaluated did not have a good occupational exposure assessment, with too wide a range for exposure, which can cause no differential bias. Hence, it is necessary to measure occupational exposures of interest with increased specificity according to probability, intensity, and duration and time period of exposure allowing for latency periods for the disease and dose-response relationships. Also, solvents, pesticides and EMF are categories that have too wide an exposure, including exposures with highly different biological effects (e.g., benzene and toluene, organochlorines and organophosphates, radiofrequencies and extremely low-frequency EMF). More specific definition of exposure should also be considered in research. Prospective cohort

studies are a more adequate design for proper consideration of occupational exposure characteristics.

For evidence of an association for occupational exposures according to sex, 15 of the 22 articles included both men and women. In four of these 15 studies<sup>15 27 29 40</sup> the results differed by sex. In the associations with pesticides<sup>40</sup> and solvents,<sup>27</sup> the strongest associations are found among men, which would be compatible with the hypothesis of an association between the exposure and AD, as it is probable that men perform activities with higher exposure to the occupational agents considered. Contrary to these results, in EMF exposures the risk in women is greater than in men in one study,<sup>15</sup> while in another it is greater among men,<sup>29</sup> both associations being statistically significant. These results should be interpreted with caution as the quality of these studies is relatively low. However, the possible role of sex as an interaction variable has not been fully explored.

Lastly, another well established risk factor for AD, as previously mentioned, is the allele APOE4. The genetic characteristics of individual people may modulate the expression of different environmental exposures. In our review, only one study<sup>37</sup> introduces the APOE in the model as a confounding factor. But the interaction of this allele with the different occupational exposures was not investigated in any of the studies. This interaction should be considered for further research, but given the relatively low prevalence of this allele, even in cases of AD, this analysis will require larger samples.<sup>43</sup>

The results of our quality analysis, together with the associations observed in reviewed studies, suggest that there is evidence of an association between AD and occupational exposure to pesticides. The quantitative synthesis of the data in a meta-analysis including studies with higher methodological quality might enable a more accurate quantification of the size of this suggested potential risk.

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