

# Neuroblast pattern formation: Regulatory DNA that confers the *vnd/NK-2* homeobox gene pattern on a reporter gene in transgenic lines of *Drosophila*

(embryonic neurogenesis/epidermogenesis/gene regulation)

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**ABSTRACT** DNA fragments  $-0.57$ ,  $-2.2$ ,  $-2.9$ ,  $-5.3$ , and  $-8.4$  kb in length from the upstream regulatory region of the *vnd/NK-2* gene were cloned in the 5'-flanking region of a  $\beta$ -galactosidase ( $\beta$ -gal) reporter gene in the P-element pCaSpeR-AUG- $\beta$ -gal, and the effects of the DNA on the pattern and time of expression of  $\beta$ -gal were determined in transgenic embryos. Embryos from 11 lines transformed with  $-8.4$  kb of *vnd/NK-2* regulatory DNA expressed  $\beta$ -gal patterns that closely resemble those of *vnd/NK-2*. In embryos from four lines transformed with  $-5.3$  kb of *vnd/NK-2* DNA,  $\beta$ -gal was found in the normal *vnd/NK-2* pattern in the nerve cord but not in part of the cephalic region.  $\beta$ -Gal patterns in embryos from transgenic lines containing  $-0.57$ ,  $-2.2$ , or  $-2.9$  kb of *vnd/NK-2* DNA did not resemble *vnd/NK-2*. Null *vnd/NK-2* mutant embryos containing the homozygous P-element p[ $-8.4$  to  $+0.34$   $\beta$ -gal] expressed little  $\beta$ -gal in contrast to siblings with a wild-type *vnd/NK-2* gene. We conclude that (i) the 8.4-kb DNA fragment from the *vnd/NK-2* gene contains the nucleotide sequences required to generate the normal pattern of *vnd/NK-2* gene expression, sequences that may be involved in the switch between neuroblast vs. epidermoblast pathways of development, (ii) the 5'-flanking region of the *vnd/NK-2* gene between  $-5.3$  and  $-8.4$  kb is required for *vnd/NK-2* gene expression in the most dorsoanterior part of the cephalic region, and (iii) *vnd/NK-2* protein is required, directly or indirectly, for maintenance of *vnd/NK-2* gene expression.

The *vnd/NK-2* homeodomain protein (1–3) initiates the neural pathway of development in part of the ventromedial nerve cord of *Drosophila* embryos by activating the expression of the proneural genes *achaete*, *scute*, and *lethal at scute* (4). A pattern of neuroectodermal cells that express the *vnd/NK-2* gene is generated. Some of these cells give rise to *vnd/NK-2*-expressing medial neuroblasts that are the precursors of neurons that also contain *vnd/NK-2* mRNA (5). Only some neuroectodermal cells that express the *vnd/NK-2* gene develop into neuroblasts; in other cells, repression of the *vnd/NK-2* gene, presumably by lateral inhibition, turns off the neural pathway of development. Hence, part of the information that determines a pattern of neural cells in the CNS of developing embryos resides in the nucleotide sequences that regulate the expression of the *vnd/NK-2* gene.

We have cloned DNA fragments from the upstream, regulatory region of the *vnd/NK-2* gene into the 5'-flanking region of an enhancerless, promoterless  $\beta$ -galactosidase ( $\beta$ -gal) reporter gene in the P-element pCaSpeR-AUG- $\beta$ -gal

(6), and the DNA constructs were used to generate transgenic lines of *Drosophila*. We found that the cis-regulatory elements required to generate the *vnd/NK-2* pattern of gene expression in the CNS during embryonic development resides in  $-8.4$  kb of DNA from the upstream region of the *vnd/NK-2* gene, that the DNA between  $-5.3$  and  $-8.4$  kb is required for the most dorsoanterior expression of the *vnd/NK-2* gene, and that *vnd/NK-2* protein, directly or indirectly, is required for maintenance of *vnd/NK-2* gene expression.

## MATERIALS AND METHODS

**Preparation of Transgenic Fly Lines Containing *vnd/NK-2* Genomic DNA–P-Element Reporter Gene Constructs.** From a genomic clone of the *vnd/NK-2* gene obtained by Lan Wang (National Institutes of Health, Bethesda), five P-element constructs were generated (see Fig. 2). The P-element constructs contain  $-8.4$  to  $+0.35$ -,  $-5.3$  to  $+0.35$ -,  $-2.9$  to  $+0.35$ -, or  $-2.2$  to  $+0.35$ -kb fragments of DNA from the 5'-flanking region of the *vnd/NK-2* gene. These were constructed by first generating a building block, based on which larger constructs were made. The building block was made as follows. The *SacII*–*EcoRV* genomic DNA fragment corresponding to  $+45$  to  $+338$  bp of the *vnd/NK-2* gene (Fig. 2) was ligated to two adaptors (the 5'-adaptor contained an *EcoRI* site, and the 3'-adaptor contained nucleotide residues  $+338$  through  $+347$  bp of *vnd/NK-2* cDNA followed by a *BamHI* site) and subcloned into the *EcoRI* and *BamHI* sites of the P-element vector pCaSpeR-AUG- $\beta$ -gal (6). The *SacII* genomic DNA fragment corresponding to  $-8.4$  to  $+0.045$  kb of the *vnd/NK-2* gene then was inserted into this building block. The orientation was determined by restriction enzyme analysis and was confirmed by nucleotide sequencing. The  $-5.3$  to  $+0.35$ -,  $-2.9$  to  $+0.53$ -, and  $-2.2$  to  $+0.35$ -kb *vnd/NK-2* genomic DNA constructs were derived from the  $-8.4$  to  $+0.35$ -kb P-element construct. The  $-0.6$  to  $+0.35$ -kb *vnd/NK-2* genomic DNA construct was generated by PCR and then subcloned into the pCaSpeR-AUG- $\beta$ -gal vector.

The DNA constructs (800  $\mu$ g/ml) and  $p\pi$  25.7 wc DNA (100  $\mu$ g/ml) (7) were co-injected into  $y w$  embryos to generate transgenic lines (8). Each P-element insertion was mapped to a chromosome and made homozygous. Additional lines were generated by mobilization of a primary transformant by using the  $\Delta 2-3$  (99B) genomic source of P-element transposase (9).

**$\beta$ -Gal Staining.**  $\beta$ -Gal activity was detected by using 5-bromo-4-chloro-3-indolyl $\beta$ -D-galactoside (X-Gal) as a substrate. Embryos were dechorionated in 50% Clorox and

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Abbreviation:  $\beta$ -gal,  $\beta$ -galactosidase.

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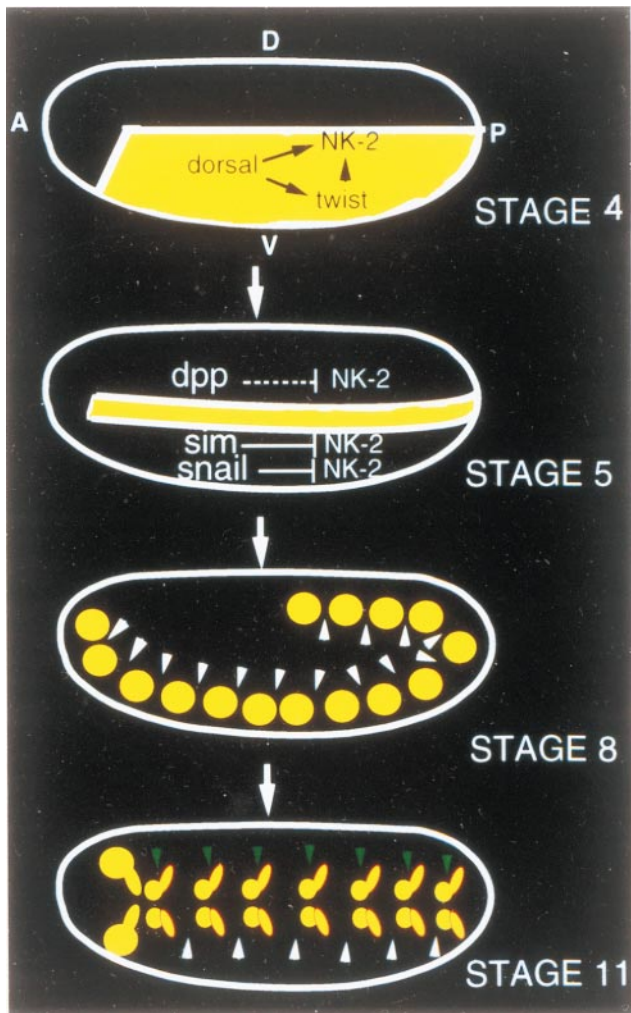


FIG. 1. Dorsal-ventral and anterior-posterior patterning genes regulate *vnd/NK-2* gene expression (5). The *vnd/NK-2* gene is activated initially by dorsal in the ventral half of the embryo (stage 4). Both dorsal and twist are required to activate *vnd/NK-2* gene in the hindgut and posterior midgut primordia. The *vnd/NK-2* gene is not expressed in the mesodermal anlage because of repression by *snail*, not in the mesectodermal anlage because of repression by *sim*, or in part of the lateral neuroectodermal and dorsal epidermal anlagen because of repression mediated by *dpp*. The stripe of neuroectodermal cells that express the *vnd/NK-2* gene is converted into one cluster of *vnd/NK-2*-positive cells per hemisegment by periodic repression (indicated by white arrowheads) of the *vnd/NK-2* gene (stage 8). Another kind of repressor (indicated by green arrowheads) during stages 9–11 converts each cluster into two clusters of neural cells per hemisegment. Arrows represent gene activation, and terminal bars represent gene repression. *dpp* indirectly mediates repression of the *vnd/NK-2* gene in dorsal neuroectoderm via an unidentified repressor. A, anterior; P, posterior; D, dorsal; V, ventral.

fixed according to Hursh *et al.* (10) in 4% formaldehyde (EM Grade, Polysciences) in PBS (150 mM NaCl/1.7 mM  $\text{KH}_2\text{PO}_4$ /5 mM  $\text{Na}_2\text{HPO}_4$ , pH 7.2): n-heptane, 1:1. The fixed embryos were washed thoroughly with PBT (0.2% Triton X-100 in PBS) and stained with 0.2% X-Gal in staining solution [10 mM  $\text{Na}_2\text{HPO}_4$ / $\text{NaH}_2\text{PO}_4$ /150 mM NaCl/1 mM  $\text{MgCl}_2$ /3.3 mM  $\text{K}_4\text{Fe}(\text{CN})_6$ /3.3 mM  $\text{K}_3\text{Fe}(\text{CN})_6$ ] by using procedures described in Blackman *et al.* (11).

$\beta$ -Galactosidase protein was detected by using polyclonal rabbit antibody directed against  $\beta$ -gal (Cappel) and peroxidase-conjugated goat antibody directed against rabbit IgG (Jackson ImmunoResearch). The procedure of Patel *et al.* (12) and the DAB substrate kit (Vector Laboratories) as color-developing reagents were used.

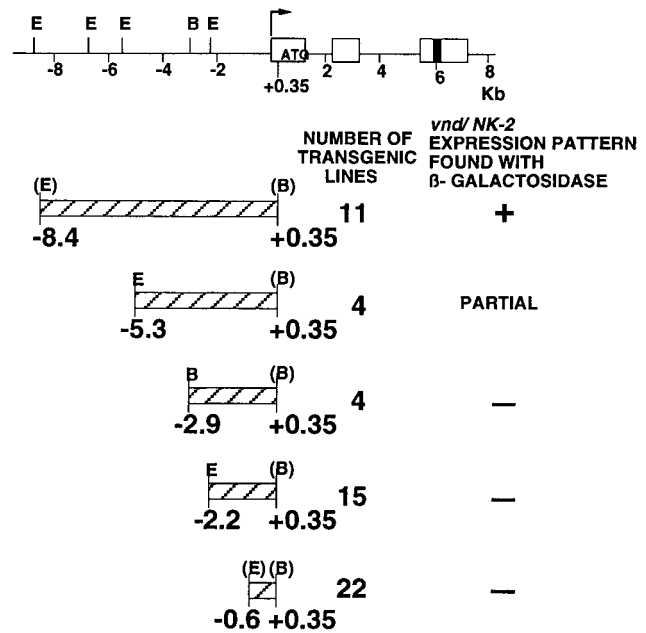


FIG. 2. Schematic representation of the *vnd/NK-2* genomic DNA and P-element constructs used to generate transformants. Exons are shown by white boxes; the black box represents the homeobox. The direction of transcription is indicated by an arrow. The position of the first methionine codon is indicated. Fragments cloned into the P-element vector are shown below the genomic map. E, *EcoRI*; B, *BamHI*. The number of transgenic lines obtained and presence of the normal *vnd/NK-2* expression pattern found with  $\beta$ -gal are indicated.

**In Situ Hybridization.**  $\beta$ -Gal mRNA was detected by using an anti-sense RNA probe corresponding to the 1.5-kb *Xba*-*SacI* fragment of the  $\beta$ -gal. Whole-mount embryo *in situ* hybridizations were performed according to the procedure of Tautz and Pfeifle (13). All embryos were cleared in 70% glycerol and photographed by using the high definition 3D microscope R400 (Edge Scientific Instruments, Santa Monica, CA).

**Fly Stocks.** Standard *Drosophila* husbandry procedures were used. Different alleles of *vnd/NK-2* mutants were used to generate flies with genotype *vnd/NK-2*/FM7c, p[ftz promoter- $\beta$ -gal]; p[-8.4 to +0.35 *vnd/NK-2*- $\beta$ -gal]/p[-8.4 to +0.35 *vnd/NK-2*- $\beta$ -gal] by crossing *vnd/NK-2*/FM7c, p[ftz promoter- $\beta$ -gal]; +/+ flies with a transgenic fly line containing -8.4 to +0.35 kb of DNA from the 5'-flanking region of the *vnd/NK-2* gene inserted in the pCaSpeR-AUG- $\beta$ -gal; the recombinant P-element is inserted in the 2nd chromosome of *Drosophila*. Among the *vnd/NK-2* mutants tested, *vnd*<sup>5</sup>, *vnd*<sup>6</sup>, and *l(1)101*/FM7c were the kind gifts of F. Jimenez (Universidad Autónoma/CSIC, Madrid), and *vnd*<sup>19</sup> was a gift from N. Perrimon (Harvard Medical School, Cambridge, MA).

## RESULTS AND DISCUSSION

**Dorsal-Ventral and Anterior-Posterior Patterning Genes Regulate the *vnd/NK-2* Gene Expression.** Expression of the *vnd/NK-2* gene (4) initiates neural development of ventro-medial neuroectodermal cells in the central nervous system of *Drosophila* embryos. Studies of the pattern of *vnd/NK-2* gene expression in the various mutant lines reveal that the *vnd/NK-2* expression is restricted to cells that give rise to the medial part of the ventral nerve cord by dorsal-ventral patterning genes (5). The *vnd/NK-2* gene is activated initially in the ventral half of the embryo during late stage 4 by *dorsal* and *twist* but is repressed by *snail* in the mesoderm, by *sim* in the mesectoderm, and by *dpp*, mediated by an unknown

repressor in the dorsal neuroectoderm (Fig. 1). The pattern of *vnd/NK-2* gene expression first appears as two longitudinal stripes during stages 4–7. The stripe of neuroectodermal cells that express the *vnd/NK-2* gene is converted into one cluster of *vnd/NK-2*-positive cells per hemisegment by periodic repression of the *vnd/NK-2* gene (stages 6–8). During stages 9–11, each cluster is subdivided into two clusters of neural cells per hemisegment, suggesting a further repression of the *vnd/NK-2* gene expression.

**Generation of *vnd/NK-2* Genomic DNA–P-Element Constructs.** The temporal- and spatial- specific patterns of *vnd/NK-2* gene expression reveal that the expression of the *vnd/NK-2* gene is regulated strictly. To identify the regulatory DNA that confers the pattern of *vnd/NK-2* gene expression, DNA fragments –0.6, –2.2, –2.9, –5.3, and –8.4 kb in length from the upstream regulatory region of the *vnd/NK-2* gene were subcloned in the 5'-flanking region of an enhancerless, promoterless  $\beta$ -gal reporter gene in the P-element pCaSpeR-AUG- $\beta$ -gal (Fig. 2), and the effects of the DNA inserts on the pattern and time of expression of  $\beta$ -gal were determined in embryos from transgenic lines of *Drosophila*.

**Expression of *vnd/NK-2* Genomic DNA–P-Element Constructs.** Embryos from 11 transgenic lines of *Drosophila* transformed with a P-element construct that contains –8.4 kb of regulatory DNA from the *vnd/NK-2* gene expressed  $\beta$ -gal patterns that closely resemble the normal *vnd/NK-2* patterns of gene expression (Figs. 3 and 4). Four transgenic lines of flies with P-elements containing –5.3 to +0.35 kb of *vnd/NK-2* DNA inserts expressed  $\beta$ -gal in the normal *vnd/*

*NK-2* pattern in the ventral nerve cord but lacked the most dorsoanterior expression in the cephalic region of the embryos (Fig. 5). However, transgenic lines of flies with P-elements containing –2.9, –2.2, or –0.6 kb of *vnd/NK-2* DNA inserts exhibited  $\beta$ -gal expression patterns in embryos that did not resemble the *vnd/NK-2* pattern of gene expression. These results show that –5.3 to +0.35 kb DNA from the 5'-flanking region of the *vnd/NK-2* gene contains most of the nucleotide sequences needed to activate and/or repress the *vnd/NK-2* gene to generate the normal endogenous pattern of *vnd/NK-2* gene expression in the ventral nerve cord and that an additional nucleotide sequence between –8.4 and –5.3 kb of *vnd/NK-2* DNA is needed to generate the most dorsoanterior expression of the *vnd/NK-2* gene in the cephalic region of the embryo. Partial *vnd/NK-2* patterns were not detected with –2.9-kb or smaller DNA fragments from the regulatory region of the *vnd/NK-2* gene.

**Expression of the P-Element Construct p[–8.4 to +0.35 *vnd/NK-2*- $\beta$ -gal] in *vnd/NK-2* Mutant Flies.** To determine whether *vnd/NK-2* protein is required for expression of the *vnd/NK-2* gene, the expression of the  $\beta$ -gal reporter gene in the P-element construct p[–8.4 to +0.35 *vnd/NK-2*- $\beta$ -gal] was examined in the presence or absence of a functional *vnd/NK-2* gene. In Fig. 6A and B, a control embryo is shown that expresses  $\beta$ -gal protein in the *ftz* zebra pattern (and ectopically in the ventral midline) due to the presence of a P-element containing the *ftz* zebra stripe promoter ligated to a  $\beta$ -gal reporter gene inserted in an FM7c balancer chromosome that contains the wild-type *vnd/NK-2* gene. Hence, the *ftz* zebra stripe  $\beta$ -gal expression is a marker for the FM7c

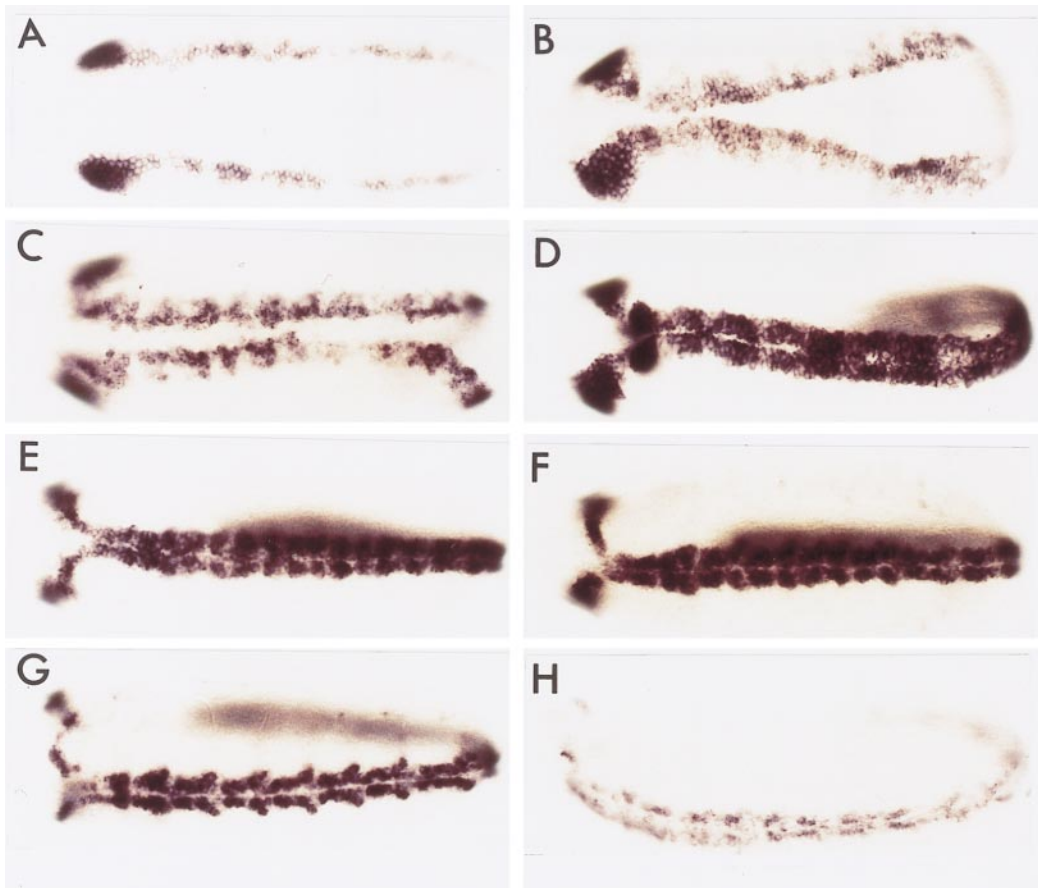


FIG. 3. DNA (–8.4 to +0.35 kb) from the *vnd/NK-2* gene confers the *vnd/NK-2* pattern upon the expression of  $\beta$ -gal mRNA in transgenic fly lines. Embryos were stained for  $\beta$ -gal mRNA by using a  $\beta$ -gal RNA probe. No difference was detected in the pattern of expression of  $\beta$ -gal mRNA and *vnd/NK-2* mRNA; however, during stages 5 through 7, the number of cells that expresses  $\beta$ -gal mRNA may be lower than those that express *vnd/NK-2* mRNA. (A) Stage 5. (B) late Stage 6. (C) Stage 7. (D) Stage 8. (E) Stage 9. (F) Stage 10. (G) Stage 11. (H) Stage 12. (A–F) Ventral view. (G and H) Ventrolateral view.



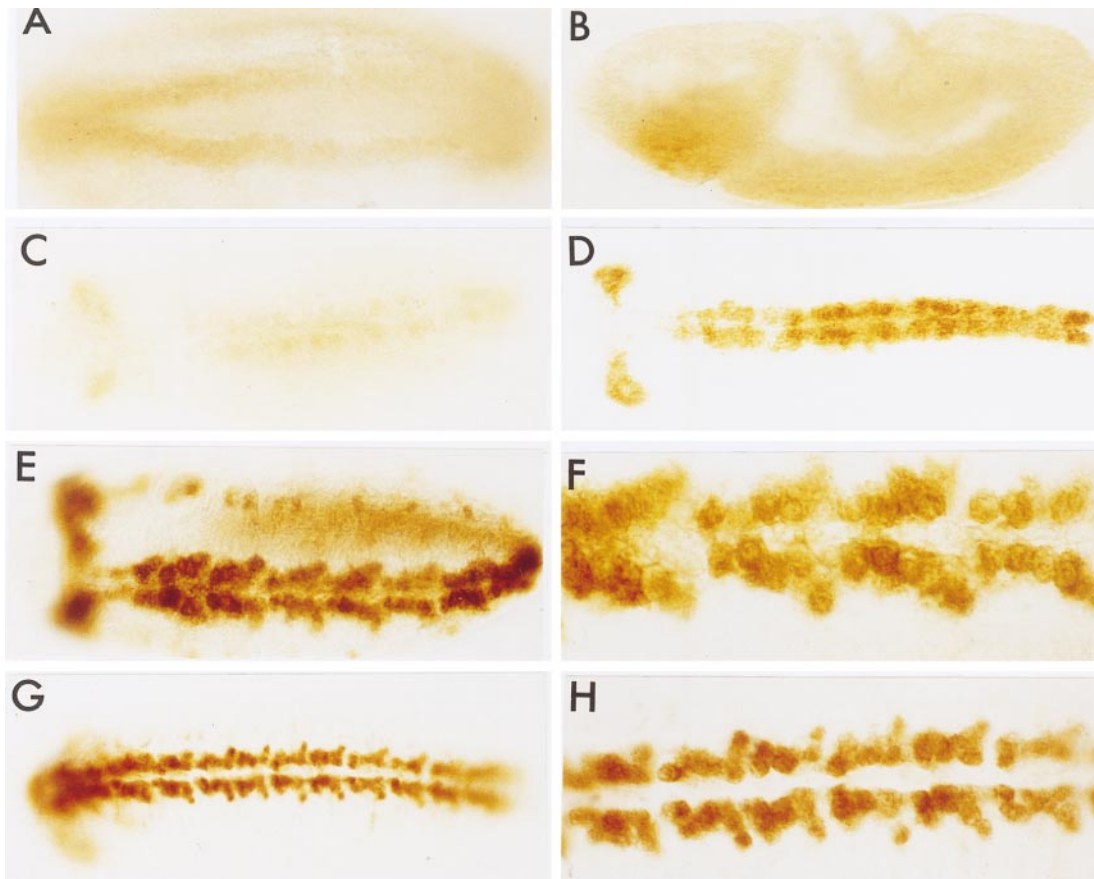


FIG. 4. DNA (−8.4 to +0.35 kb) from the *vnd/NK-2* gene confers the *vnd/NK-2* pattern upon  $\beta$ -gal gene expression in transgenic fly lines. Embryos were stained for  $\beta$ -gal by using antibody against  $\beta$ -gal protein. (A) Stage 6 or 7, ventral view. (B) Stage 8, side view. (C) Stage 9, ventral view. (D) Stage 10, ventral view. (E) Stage 11, ventrolateral view. (F) Higher magnification of the embryo in E. (G) Stage 12, ventral view. (H) Higher magnification of the embryo in G. Embryos shown in the panels are all from the same transgenic fly line except the one in the D, which is from a different transgenic fly line.

chromosome. The other chromosome 1 contains a mutant *vnd*<sup>5</sup> gene. The pattern of  $\beta$ -gal protein shown in Fig. 6 C and D is a composite due to activation of a  $\beta$ -gal gene by the *ftz* zebra promoter inserted in chromosome 1 and expression of another  $\beta$ -gal reporter gene activated by −8.4 to +0.35 kb of DNA from the 5'-upstream region of the *vnd/NK-2* gene ligated to a promoterless  $\beta$ -gal gene in homozygous P elements inserted in the second chromosome that are ex-

pressed in the wild-type *vnd/NK-2* neurogenic pattern. Fig. 6 E–H show two male *vnd/NK-2* embryos. In the absence of functional *vnd/NK-2* protein, little  $\beta$ -gal protein is synthesized. These results show that activation of the  $\beta$ -gal reporter gene by DNA from the 5'-flanking region of the *vnd/NK-2* gene depends, directly or indirectly, on functional *vnd/NK-2* protein, which confirms and extends findings reported by Jimenez *et al.* (3). The same results were obtained with three other *vnd/NK-2* mutants, *vnd*<sup>16</sup>, *vnd*<sup>19</sup>, and *l(1) 101/FM7c*. The results also suggest that the −8.4-kb DNA fragment from the 5'-flanking region of the *vnd/NK-2* gene contains most or all of the nucleotide sequences that regulate *vnd/NK-2* gene expression.

Nucleotide sequence analysis revealed many putative high affinity binding sites for *vnd/NK-2* homeodomain protein in the −8.4-kb DNA from the 5'-flanking region of the *vnd/NK-2* gene (L.-H. Wang, R. Chemelik, X. Shao, and M.N., unpublished results). However, further work is needed to determine whether the positive autoregulation of the *vnd/NK-2* gene is a direct or indirect effect of *vnd/NK-2* protein. Both genetic and molecular analysis have shown that maintenance of expression

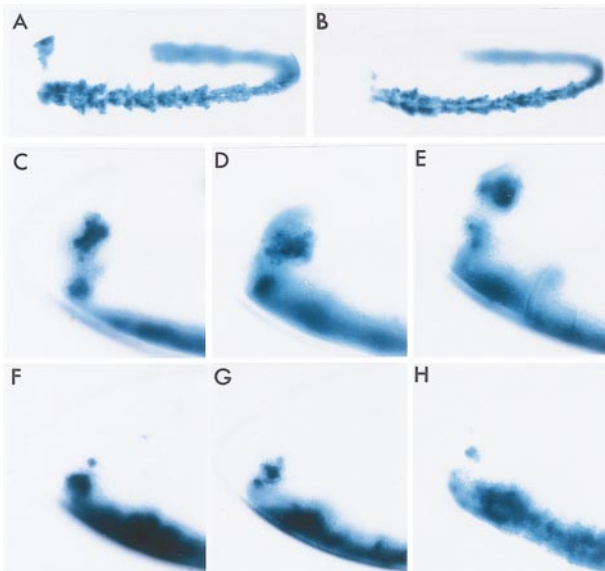


FIG. 5. DNA (−5.3 to +0.35 kb) from the *vnd/NK-2* gene confers a partial *vnd/NK-2* pattern upon  $\beta$ -gal gene expression in transgenic fly lines. Embryos were stained for  $\beta$ -gal activity by using X-Gal as a substrate. (Top) Ventrolateral view of late stage 11 embryos containing −8.4 to +0.35 kb (A) and −5.3 to 0.35 kb (B) DNA. (Middle and Bottom) Magnified, anterior portions of side view embryos containing −8.4 to +0.35 kb (C–E) and −5.3 to +0.35 kb (F–H) DNA at stages 11, 12, and 13 (from left to right).

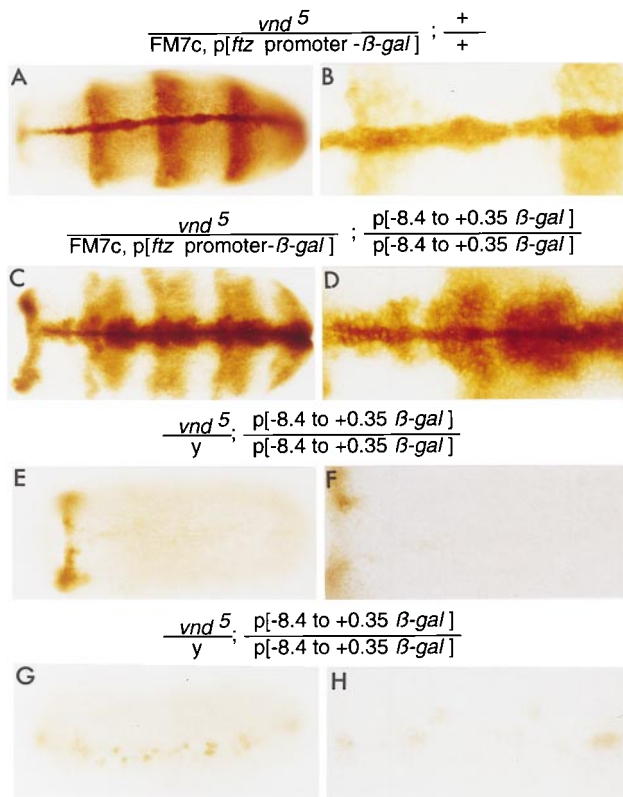


FIG. 6. *vnd*/*NK-2* protein, directly or indirectly, activates the expression of the *vnd*/*NK-2* gene. Expression of the  $\beta$ -gal reporter gene depends on functional *vnd*/*NK-2* protein. (A and B) A female embryo with the genotype *vnd*/*NK-2*/FM7c, p[ftz promoter- $\beta$ -gal]; +/+. The pattern of expression of  $\beta$ -gal is due to the p[ftz promoter- $\beta$ -gal] insert in the FM7c balancer chromosome. (C and D) A female embryo with genotype *vnd*<sup>5</sup>/*NK-2*/FM7c, p[ftz promoter- $\beta$ -gal]; p[-8.4 to +0.35 *vnd*/*NK-2*- $\beta$ -gal]/p[-8.4 to +0.35 *vnd*/*NK-2*- $\beta$ -gal]. The FM7c balancer chromosome contains a wild-type *vnd*/*NK-2* gene and a DNA insert containing regulatory DNA from the *ftz* gene ligated to a  $\beta$ -gal reporter gene. The pattern of expression of  $\beta$ -gal protein is a composite of the *vnd*/*NK-2* and *ftz* patterns of expression and ectopic expression of  $\beta$ -gal along the ventral midline due to a positional effect on  $\beta$ -gal expression from the *ftz* regulatory DNA- $\beta$ -gal reporter gene. (E-H) Male embryos with the *vnd*<sup>5</sup> mutant gene and the homozygous P-element construct p[-8.4 to +0.35  $\beta$ -gal] inserted in the second chromosome express little  $\beta$ -gal protein because mutant embryos lack wild-type functional *vnd*/*NK-2* protein. All embryos are stage 11 embryos except the embryo in G, which is stage 12. The magnification of each embryo is higher in the right panel than in the left panel.

of some homeobox genes such as *Ubx* (14) or *Dfd* (15) depends on the protein encoded by the corresponding gene.

**Effects of the *vnd*/*NK-2* Gene on Proneural and Proepidermal Genes.** Some of the interactions of the *vnd*/*NK-2* with other genes are summarized in Fig. 7. The *vnd*/*NK-2* gene is activated initially in *Drosophila* embryos by dorsal protein and in the posterior part of the embryo by dorsal and/or twist in ventromedial neuroectodermal cells (5). Skeath *et al.* (4) have shown that *vnd*/*NK-2* expression is required for activation of the proneural genes *lethal at scute* (*l'sc*), *achaete*, and *scute*. Kramatschek and Campos-Ortega (16) have shown that expression of proneural genes is required for activation of the enhancer of split complex of genes, which encode similar basic helix-loop-helix proteins whose expression initiates the epidermal pathway of development in *Drosophila* embryos. Hence, activation of the *vnd*/*NK-2* gene results in activation of the neural pathway of development in ventromedial neuroectodermal cells and indirectly activates the epidermal pathway of development in

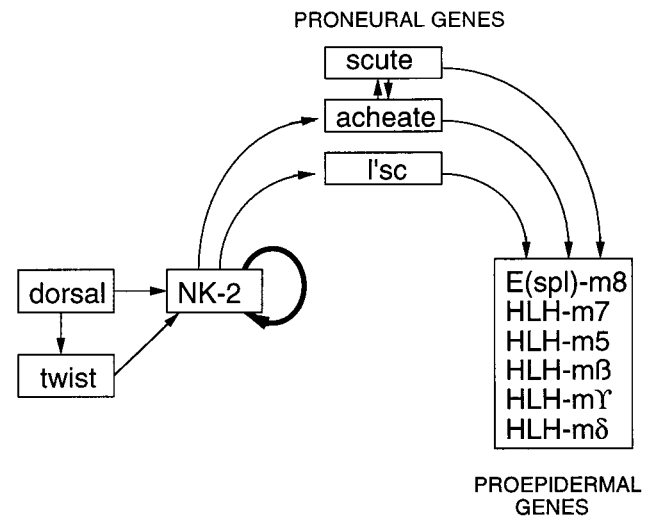


FIG. 7. Schematic diagram of a model for interactions of the *vnd*/*NK-2* gene with proneural and proepidermal genes. Arrows indicate positive interactions.

these cells. Our results suggest that *vnd*/*NK-2* protein also is required, directly or indirectly, for maintenance of *vnd*/*NK-2* gene expression.

All ventrolateral neuroectodermal are programmed to develop as neuroblasts, but during the course of development the neural program of development is turned off and the epidermoblast program is activated in  $\approx 75\%$  of the cells (17, 18). Only 25% of the cells segregate as neuroblasts (19). Probably, factors that regulate the expression of the *vnd*/*NK-2* gene are part of the neuroblast-epidermoblast developmental switch, a process termed "lateral inhibition" (20).

Our present work indicates that the upstream -8.4 kb of *vnd*/*NK-2* regulatory DNA contains the sequence information required to generate the normal pattern of *vnd*/*NK-2* gene expression, as well as the sequence needed for repression of the *vnd*/*NK-2* gene that can turn off the neural pathway of development in cells that develop as epidermoblasts. Hence, the -8.4 kb DNA from the 5'-flanking region of the *vnd*/*NK-2* gene contains a regulatory sequence that may be involved in the developmental switch between the neuroblast and the epidermoblast pathways of development.

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