

# A Yeast Mutant Showing Diagnostic Markers of Early and Late Apoptosis

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**Abstract.** A *Saccharomyces cerevisiae* mutant in cell division cycle gene *CDC48* shows typical markers of apoptosis: membrane staining with annexin V, indicating an exposure of phosphatidylserine at the outer layer of the cytoplasmic membrane; intense staining, using the terminal deoxynucleotidyl transferase-mediated dUTP nick end labeling method, indicating DNA fragmenta-

tion; and chromatin condensation and fragmentation. The coordinate occurrence of these events at different locations in the cell, which have no obvious connection except their relation to apoptosis, implies the presence of the molecular machinery performing the basic steps of apoptosis already in yeast. *Saccharomyces cerevisiae* may prove a suitable model to trace the roots of apoptosis.

**A**POPTOSIS is a form of programmed cell death with an important role in development and homeostasis of metazoan organisms. Apoptosis allows the rapid removal of unwanted or damaged cells that could otherwise inflame the surrounding cells with their cytoplasmic contents. In contrast, during necrosis, a form of cell death that results from overwhelming cellular injury, cells lyse and release cytoplasmic material. The apoptotic program is switched on in irreparably damaged or potentially dangerous cells such as self-reactive lymphocytes or cells that have been infected by viruses. Furthermore, it is involved in tumor suppression and in a wide range of diseases such as AIDS, neurodegenerative processes, and ischemic stroke (Steller, 1995).

Apoptotic cells are characterized by a set of distinct morphological changes (Kerr et al., 1972; Wyllie, 1980; Wyllie et al., 1980). An early marker of apoptosis is the exposition of phosphatidylserine on the cell surface, whereas it is normally concentrated in the luminal layer of the cytoplasmic membrane (Martin et al., 1995). DNA is cleaved between nucleosomes (Wyllie, 1980) and the chromatin condenses (Kerr et al., 1972), typically starting as a ring at the inner side of the nuclear envelope (Clifford et al., 1996). Finally, cells break up into membrane-enclosed fragments, the apoptotic bodies (Kerr et al., 1972), which are rapidly phagocytosed and digested by macrophages.

The initiation of apoptosis is a highly coordinated and regulated process. It can be induced or suppressed by a lot of different intracellular and extracellular signals such as

Bcl-2 family proteins (Bax, Bak, and Bcl-2), IL-1 $\beta$ -converting enzyme (ICE)<sup>1</sup> proteases (caspases), and p53. p53 is the most frequently altered gene in human cancers. DNA damage induces p53 expression, which leads to cell cycle arrest at G<sub>1</sub> or to induction of apoptosis (Donehower and Bradley, 1993). Bax induces apoptosis in mammalian cells by the activation of ICE proteases (Chinnaiyan et al., 1996), which mediate the cleavage of several proteins including those of the nuclear matrix and nuclear envelope, finally leading to DNA fragmentation.

Though no proteins homologous to any of these apoptotic regulators have been detected in the yeasts *Schizosaccharomyces pombe* and *Saccharomyces cerevisiae*, the expression of Bax or p53 causes a severe effect on cell proliferation. Overexpression of human p53 inhibits cell growth of *S. pombe* and *S. cerevisiae* as in higher eukaryotes, however it does not result in an apoptotic phenotype (Bischoff et al., 1992). Expression of Bax in the yeast *S. pombe* is lethal, coexpression of the anti-apoptotic protein Bcl-2 suppresses this effect. As in mammals, Bcl-2 appears to inactivate Bax by forming mixed dimers: a mutant of Bcl-2 that fails to heterodimerize with Bax does not rescue yeast cell growth (Jürgensmeier et al., 1997). However, Bax-induced cell death in *S. pombe* is not accompanied by any classical morphological feature of apoptosis. Neither evidence of nuclear fragmentation nor of chromatin margination against the nuclear envelope, nor internucleosomal DNA fragmentation was observed. Jürgensmeier et al. (1997), therefore labeled the Bax-induced effect "cytotoxicity," to distinguish it from apoptosis.

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1. *Abbreviations used in this paper:* ICE, IL-1 $\beta$ -converting enzyme; TUNEL, terminal deoxynucleotidyl transferase-mediated dUTP nick end labeling.

We investigated a mutant of baker's yeast in cell division cycle gene *CDC48* for markers of apoptosis. Cdc48p plays an important role in the homotypic fusion of the endoplasmic reticulum; in vitro, Cdc48p is the only soluble protein necessary for the fusion of ER-derived vesicles (Latterich et al., 1995). A defect in *CDC48* results in a cell cycle arrest as a large budded cell with the nucleus located in the neck between the mother and the daughter cell at 16°C (Moir et al., 1982; Fröhlich et al., 1991).

Cdc48p is a member of the AAA family, which is characterized by a highly conserved element of ~230 amino acid residues, containing an ATP binding consensus sequence that can be present singly or in two copies. A database of the AAA family is available on the World Wide Web at <http://yeamob.pci.chemie.uni-tuebingen.de/>.

We describe a *cdc48* mutant of baker's yeast displaying several of the characteristic morphological markers of apoptosis. This is the first indication that the basic machinery of apoptosis is already present in unicellular lower eukaryotes.

## Materials and Methods

### Yeast Plasmids and Strains

YEp52/*CDC48* was constructed by adding HindIII sites to *CDC48* (directly before start ATG and 205 bp 3' of the stop codon) and cloning the gene into the HindIII site of YEp52 (Broach et al., 1983). The Chameleon™ site directed mutagenesis kit (Stratagene, Heidelberg, Germany) was used for site-directed mutagenesis of plasmid YEp52/*CDC48*. All mutations were confirmed by DNA sequencing. The codon of serine 565 of *CDC48* was mutagenized to a glycine codon (allele *cdc48*<sup>S565G</sup>, plasmid YEP52/*cdc48*<sup>S565G</sup>) with oligonucleotide 5'-GGTATGGTGAAGGGGAACTCAACATCC-3'. For selection of mutant plasmids, a unique BglI site in the *bla* gene of the vector was destroyed with oligonucleotide 5'-CCCTTCCAGCCGGCTGGTTTATGC-3'.

YEp52/*CDC48* or YEP52/*cdc48*<sup>S565G</sup> were transformed into strain KFY247 (*MAT a/MAT α CDC48/cdc48::URA3 his4-619/his4-619 leu3-3,112/leu2-3,112 ura3-52/ura3-52*) and the transformants were sporulated; segregants containing the *cdc48::URA3* disruption were recognized by their ability to grow without external uracil. Segregant KFY437 (*MAT a cdc48::URA3 his4-619 leu3-3,112 ura3-52 YEp52/cdc48*<sup>S565G</sup>) was used for all cytologic experiments. Segregant KFY417 (*MAT a cdc48::URA3 his4-619 leu3-3,112 ura3-52 YEp52/CDC48*) with a wild-type *CDC48* on YEp52, and isogenic strain KFY439 with intact *CDC48* (*MAT a CDC48 his4-619 leu3-3,112 ura3-52*) were used as wild-type controls. Cell division cycle mutants used as controls were Hartwell (1973) strains LH12021 (*cdc31*<sup>ts</sup>), arresting with an elongated nucleus in a budded cell; LH369 (*cdc1*<sup>ts</sup>), LH395 (*cdc19*<sup>ts</sup>), LH321 (*cdc25*<sup>ts</sup>), and LH17048 (*cdc29*<sup>ts</sup>), all arresting unbudded; LH370 (*cdc2*<sup>ts</sup>), LH244 (*cdc9*<sup>ts</sup>), and LH386 (*cdc20*<sup>ts</sup>), and strains DBY2028 (*MAT a ade2 lys2 leu2 ura3 cdc46*<sup>ts</sup>), and rE24-15 (*MAT α his4-619 cdc48-3*<sup>ts</sup>; Moir et al., 1982), all arresting with a large bud. Strains with a disrupted chromosomal copy of *CDC48* and with *cdc48* alleles, *cdc48*<sup>S565T</sup> (strain KFY438), *cdc48*<sup>Y834E</sup> (strain KFY415), or *cdc48*<sup>Y834F</sup> (strain KFY416), expressed from vector YEp52 were used as controls. Strains KFY438 and KFY415 grow like wild type, KFY416 shows a doubled generation time on glucose-containing media, resembling *cdc48*<sup>S565G</sup> strain KFY437 in this respect.

Cells were grown on YEP (1% yeast extract, 2% Bacto peptone) containing 4% glucose or 4% galactose, respectively. Cultures were inoculated to reach the stationary phase after 36–48 h of fermentation.

For nuclear staining, cells were washed with PBS, incubated with 1 μg/ml diaminophenylindole (DAPI) in PBS for 10 min, and then rinsed three times with PBS.

### Electron Microscopy

Yeast cells were fixed with phosphate-buffered glutaraldehyde, cell walls were removed, and the cells were postfixated with osmium tetroxide and uranyl acetate, and then dehydrated as described by Byers and Goetsch (1991) for stationary phase cells. After the 100% ethanol washes, cells

were washed with 100% acetone, infiltrated with 50% acetone/50% Epon for 30 min and with 100% Epon for 20 h. Cells were transferred to fresh 100% Epon and incubated at 56°C for 48 h before cutting thin sections and staining with lead acetate.

### Terminal Deoxynucleotidyl Transferase-mediated dUTP Nick End Labeling (TUNEL) Staining

DNA strand breaks were demonstrated by labeling free 3'-OH termini with FITC-labeled deoxyuridine, which was detected with alkaline phosphatase-coupled, anti-fluorescein antibody, and the formation of a dye precipitate with a phosphatase substrate (In Situ Cell Death Detection Kit, AP; Boehringer Mannheim, Mannheim, Germany). Yeast cells were fixed with 3.7% formaldehyde, digested with lyticase, and applied to a polylysine-coated slide as described for immunofluorescence (Adams and Pringle, 1984). The slides were rinsed with PBS, incubated in permeabilization solution (0.1% Triton X-100, 0.1% sodium citrate) for 2 min on ice, rinsed twice with PBS, incubated with 10 μl TUNEL reaction mixture (200 U/ml terminal deoxynucleotidyl transferase, 10 mM FITC-labeled dUTP, 25 mM Tris/HCl, 200 mM sodium cacodylate, 5 mM cobalt chloride; Boehringer Mannheim) for 60 min at 37°C, rinsed three times with PBS, incubated with 50 μl Converter AP solution (alkaline phosphatase-labeled, anti-FITC antibody; Boehringer Mannheim) for 30 min at 37°C, rinsed three times with PBS, and stained by incubation with 50 μl naphthol AS-MX phosphate (Sigma Chemical Co., Munich, Germany), 0.8 mg/ml, fast red TR salt (Sigma Chemical Co.), 1 mg/ml, 2% dimethylformamide, 1 mM levamisole in 100 mM Tris/HCl, pH 8.2, for 30 min at room temperature. A coverslip was mounted with a drop of Kaiser's glycerol gelatin (Merck, Darmstadt, Germany).

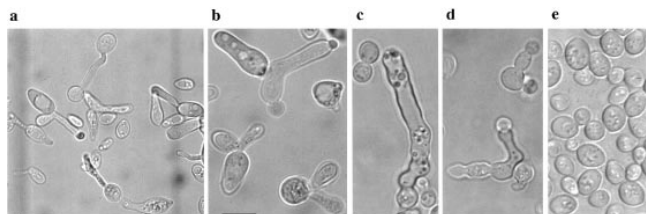
### Annexin V Staining

Exposed phosphatidylserine was detected by reaction with FITC-coupled annexin V (ApoAlert Annexin V Apoptosis Kit; CLONETECH Laboratories, Inc., Palo Alto, CA). Yeast cells were washed in sorbitol buffer (1.2 M sorbitol, 0.5 mM MgCl<sub>2</sub>, 35 mM potassium phosphate, pH 6.8), digested with 5.5% glucosylase (Boehringer Mannheim) and 15 U/ml lyticase (Sigma Chemical Co.) in sorbitol buffer for 2 h at 28°C, harvested, washed in binding buffer (10 mM Hepes/NaOH, pH 7.4, 140 mM NaCl, 2.5 mM CaCl<sub>2</sub>; CLONETECH Laboratories, Inc.) containing 1.2 M sorbitol buffer, harvested and resuspended in binding buffer/sorbitol. 2 μl annexin-FITC (CLONETECH Laboratories, Inc.) and 2 μl propidium iodide (500 μg/ml) were added to 38 μl cell suspension, and then incubated for 20 min at room temperature. The cells were harvested, suspended in binding buffer/sorbitol, and applied to a microscopic slide.

## Results

### A Yeast Mutant in Cell Division Cycle Gene *CDC48* Accumulates Cells with Disrupted Chromatin

To evaluate the importance of various conserved residues of Cdc48p, we changed a serine residue in the COOH-proximal AAA box of Cdc48p to a glycine residue (allele *cdc48*<sup>S565G</sup>) by oligonucleotide-directed mutagenesis, and put the mutated gene under the control of a *GALI* promoter. (The *GALI* promoter is induced by galactose in the growth medium and repressed by glucose. *CDC48* however, expressed from a glucose-repressed *GALI* promoter, enables cell proliferation, indicating a leaky repression.) The plasmid was transformed into a diploid yeast (KFY247), heterozygously disrupted in *CDC48*, and transformants were sporulated. Spores containing the disrupted *cdc48::URA3* do not germinate on glucose medium and need 7 d to form visible colonies on galactose medium. Control spores containing the same vector carrying a wild-type allele of *CDC48* in addition to a disrupted chromosomal copy, form visible colonies within 2–3 d on both glucose and galactose media. On glucose medium, *cdc48*<sup>S565G</sup> segregants grow slowly (generation time 185 min instead



**Figure 1.** Morphology of yeast mutant strain KFY437. Stationary phase cells of KFY437 (a–d) and of control strain KFY417 (e) grown on YEP/glucose for 5 d after inoculation (phase contrast). Bars: (a) 10  $\mu$ m; (b–e) 10  $\mu$ m.

of 90 min for the control), and cease proliferating at 37°C (ts phenotype). On galactose medium the segregants grow like a *CDC48* wild-type control.

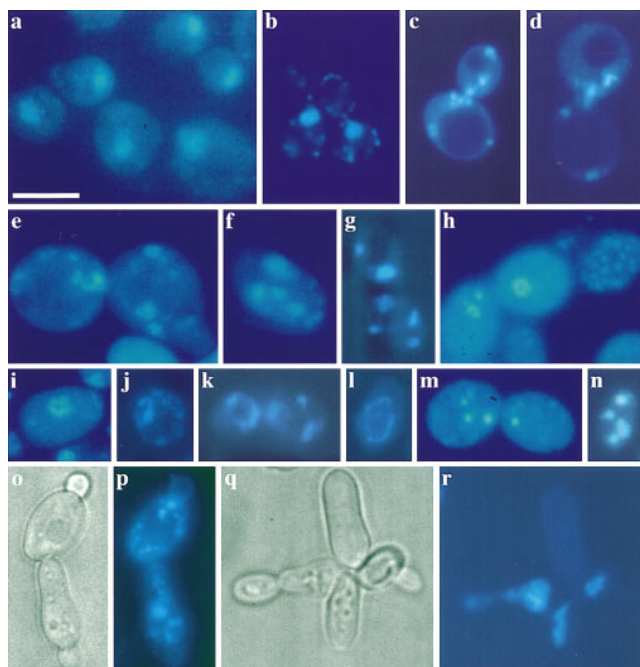
Microscopic observation of stationary phase cultures of these segregants shows abnormally elongated and misformed cells (Fig. 1) with the nuclear chromatin disrupted into several fragments, reminiscent of apoptotic metazoan cells. We systematically investigated the *cdc48*<sup>S565G</sup> strain, KFY437, under different growth conditions for diagnostic markers of apoptosis.

Chromatin condensation and fragmentation is a typical marker of apoptosis. Often, fragments are aligned as a ring close to the nuclear envelope (Clifford et al., 1996). DAPI-stained KFY437 cells from exponentially growing as well as from stationary cultures on glucose medium show a continuous DNA ring within the nucleus in 1–10% of the cells, DNA fragments arranged as a ring at the inner side of the nuclear envelope in 1–20% of the cells, and several randomly distributed nuclear fragments in 10–50% of the cells (Fig. 2). Fragmentation increases with incubation time and after 7 d on glucose medium, 80% of the cells have an abnormal chromatin distribution. In KFY437 cells grown exponentially on galactose, <10% of the cells show chromatin fragmentation. In stationary cultures from galactose medium, 40% of the cells have a fragmented nucleus. Stationary wild-type strains show the nucleus as a single round spot in all cells, their morphology does not change markedly during prolonged incubation (Fig. 2 a). *cdc48* point mutants, KFY415, KFY416, and KFY438, show unfragmented nuclei both during exponential growth and in the stationary phase. *cdc48-3<sup>ts</sup>* strain rE24-15 arrested at 37°C shows an unfragmented nucleus in the neck between mother and daughter cells (Fig. 2 b). Cell division cycle mutants in genes *cdc1*, *cdc19*, *cdc20*, *cdc25*, *cdc29*, and *cdc31* that were arrested at 37°C show unfragmented nuclei. Mitochondria appear as dots of far less brightness and size than the nuclei or most nuclear fragments, and are predominantly located near the border of the cells.

Electron microscopic investigation of exponentially grown KFY437 cultures shows extensive chromatin condensation along the nuclear envelope (Fig. 3, b–d), as well as cells containing nuclear fragments (Fig. 3, e and f). Endoplasmic reticulum is more prominent and its lumen appears wider than in wild-type controls (Fig. 3 a).

### **DNA Is Fragmented in *cdc48* Mutant KFY437 after Prolonged Growth**

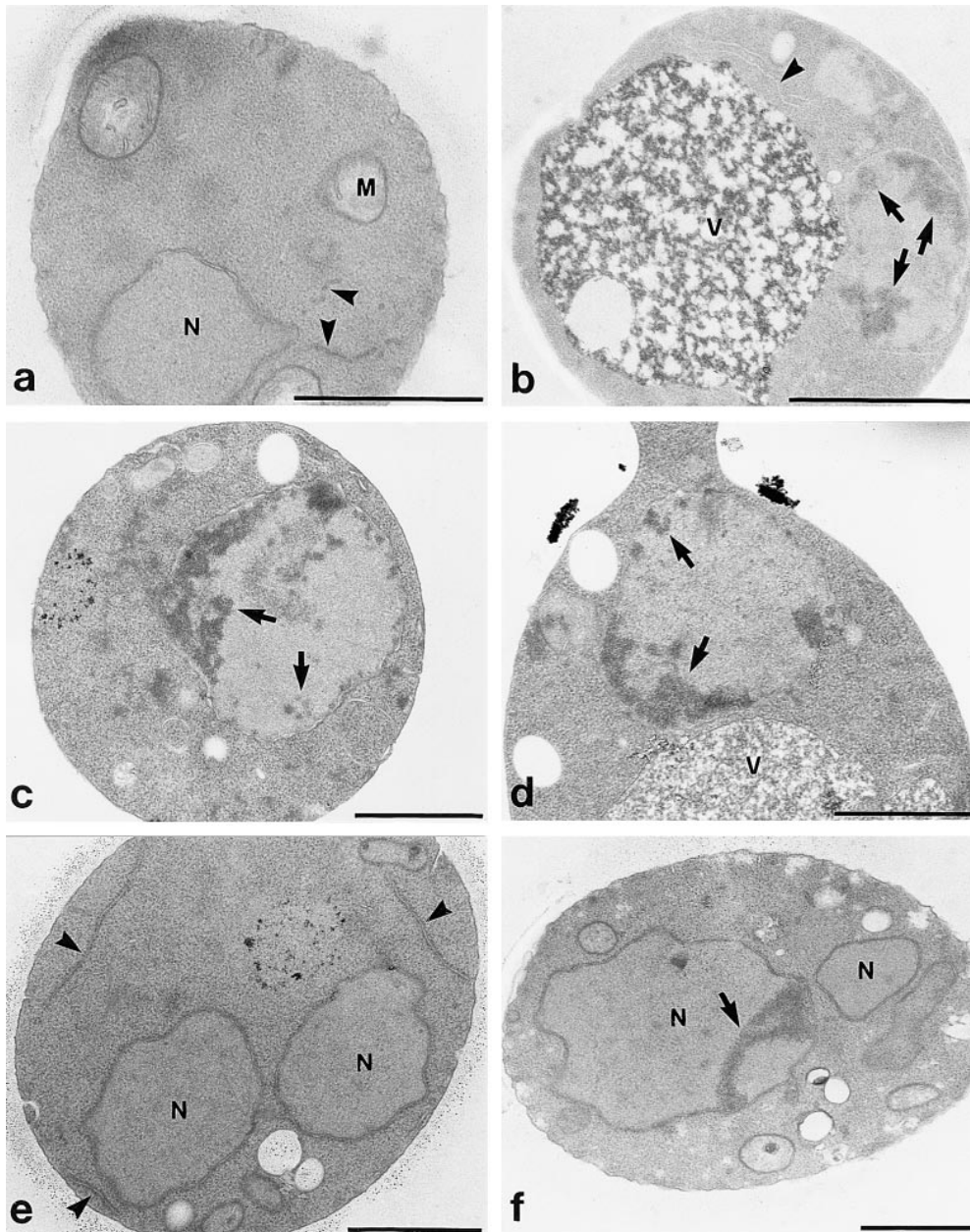
Apoptotic DNA cleavage produces free 3'-OH termini,



**Figure 2.** Chromatin fragmentation. DAPI stain (c–n, p, and r) and phase contrast representation (o, and q) of KFY437 cells, and DAPI stain of KFY417 (a) and of *cdc48* mutant rE24-15 (b) as controls. i–k were cells grown exponentially on YEP/glucose; a, c–h, and l–n are stationary cells from glucose medium, harvested 2 d after inoculation; o/p and q/r are stationary cells from glucose medium, harvested 5 d after inoculation; b shows exponentially growing cells of rE24-15 that were cell division cycle arrested by a 3-h incubation at 37°C. Bar, 10  $\mu$ m.

which can be detected by labeling with modified nucleotides (e.g., DIG-dUTP) catalyzed by terminal deoxynucleotidyl transferase. The TUNEL (Gavrieli et al., 1992; Gorczyca et al., 1993) method is a fast and sensitive way to visualize the amount of DNA fragmentation in individual cells. At the end of the exponential growth phase, both on galactose and on glucose containing media, >80% of KFY437 cells have an intensive red nuclear stain with the TUNEL assay, corresponding to a strong fragmentation (Fig. 4 a). Early exponential cultures of KFY437 contain ~20% TUNEL-positive cells. Control cultures of wild-type cells (Fig. 4 b), of *cdc48* point mutants KFY415, KFY416, and KFY438, of *cdc48-3<sup>ts</sup>* strain rE24-15 arrested at 37°C, and of mutants in cell cycle genes *cdc2*, *cdc9*, *cdc19*, *cdc31*, and *cdc46* arrested at 37°C, show unstained or only slightly red nuclei. An aliquot of the protoplasts used for TUNEL staining was tested for integrity by incubation with 23  $\mu$ g/ml propidium iodide. Less than 3% of the protoplasts became stained, proving that the DNA fragmentation is not a result of cell necrosis.

Analysis of the isolated chromosomal DNA by agarose electrophoresis did not show a DNA ladder (not shown), that has been found in many apoptotic systems as the result of DNA cleavage between nucleosomes. This may be caused by the *S. cerevisiae* chromatin structure with approximately no linker DNA between the nucleosomes (Lowary and Widom, 1989). In addition, a number of cell types undergo apoptosis without the occurrence of a DNA



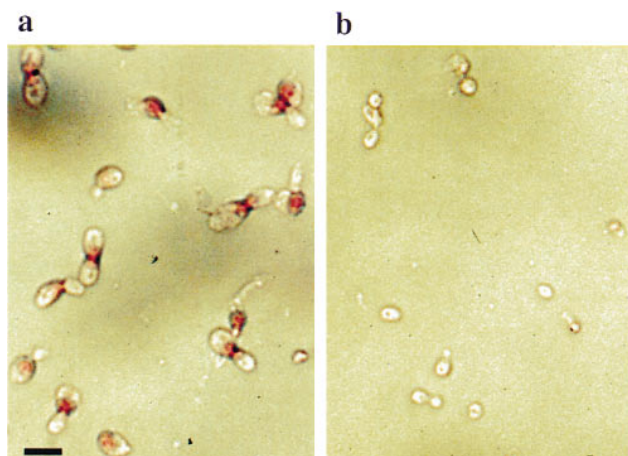
**Figure 3.** Electron micrographs of yeast mutant strain KFY437. Wild-type control KFY417 (*a*) and mutant KFY437 (*b–f*) grown exponentially on YEP/glucose for 24 h. *N*, nucleus; *V*, vacuole; and *M*, mitochondria. Endoplasmic reticulum is marked by arrowheads; chromatin condensation is marked by arrows. Bars, 1  $\mu$ m.

ladder, even when chromatin condensation occurs (Oberhammer et al., 1993).

#### ***cdc48* Mutant KFY437 Exposes Phosphatidylserine at the Cytoplasmic Membrane**

In mammalian cells, phosphatidylserine is predominantly located on the inner leaflet of the plasma membrane, and is translocated to the outer leaflet when apoptosis is induced (Martin et al., 1995). Phosphatidylserine exposure serves as a sensitive marker for early stages of apoptosis. It can be detected with annexin V, which binds to phosphatidylserine with high affinity in the presence of  $\text{Ca}^{2+}$ . As in mammalian cells, *S. cerevisiae* has an asymmetric distribution of phospholipids within the cytoplasmic membrane, 90% of the phosphatidylserine is oriented towards the cytoplasm (Cerbón and Calderón, 1991).

For detection of phosphatidylserine in the outer phase of the cytoplasmic membrane, the cell wall was removed by digestion with lyticase, and the spheroblasts were incubated with FITC-labeled annexin V. More than 70% of the KFY437 cells grown exponentially on glucose medium (Fig. 5 *a*) and ~50% of stationary phase cells grown on galactose medium show a strong fluorescence around the whole circumference of the cell. Control cultures of wild-type strains (Fig. 5 *c*), of *cdc48* point mutants KFY415, KFY416, and KFY438, of *cdc48-3<sup>ts</sup>* strain rE24-15 arrested at 37°C, and of mutants in cell cycle genes *cdc2*, *cdc19*, and *cdc31* arrested at 37°C only show weak staining in the cell lumen or no detectable fluorescence. 5–20% of the protoplasts, both from wild-type and mutant strains, take up propidium iodide indicating membrane damage. These cells all show a strong annexin V staining of the whole cell and no peripheral ring (Fig. 5 *b*).



**Figure 4.** DNA strand breakage visualized by TUNEL staining. Cells of KFY437 (a) and of control strain KFY417 (b) grown on YEP/glucose to the end of the exponential growth phase (36 h after inoculation) were stained for DNA strand breaks with TUNEL. Bar, 10  $\mu$ m.

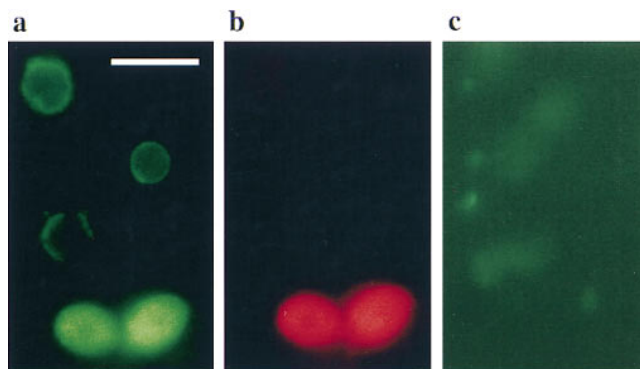
## Discussion

A yeast mutant in cell division cycle gene *CDC48* shows a number of morphological and molecular features that are considered typical indicators of apoptosis in metazoan cells: exposure of phosphatidylserine on the outer leaflet of the cytoplasmic membrane, DNA breakage, chromatin condensation and fragmentation, and even the abnormal cell morphology with a series of tiny buds, most of them lacking chromatin (Fig. 2 *o/p*, and *q/r*), can be considered the equivalent of apoptotic bodies. The coordinate occurrence of these markers caused by a single point mutation implies their connection even in yeast. None of the other alleles of *CDC48*, and none of the cell division cycle-arrested mutants investigated shows any of these phenomena, indicating that they are not the inevitable consequence of any cell division cycle arrest.

Apoptosis serves the purpose of removing unused or potentially harmful cells in higher organisms (Raff, 1992). Even in a unicellular organism like yeast, such a mechanism would be of evolutionary advantage. If a cell sensing impending fatal damage stopped proliferating and consuming resources, it would improve the chances for its clonal relatives. However, the fact that similar observations with yeast have not been published before suggests that this is a rare event. In addition, a database search of the complete *S. cerevisiae* genome shows no potential homologue for genes involved in the triggering of apoptosis in metazoans: yeast contains neither members of the ICE protease family (caspases), nor p53, nor genes with similarity to *ced-4*, *bcl-2*, or *bax*.

It appears more likely that the connection between nuclear condensation, DNA fragmentation, the inversion of the cytoplasmic membrane, and possibly the formation of cell fragments is evolutionarily old, and was used for the development of apoptosis by linking it to signaling pathways in metazoan organisms.

In mammals, exposition of phosphatidylserine serves as bait for macrophages that then deal with the disposal of the



**Figure 5.** Exposition of phosphatidylserine at the cytoplasmic membrane. Cells of KFY437 (a and b) and of control strain KFY417 (c) grown exponentially for 12 h on YEP/glucose were stained with FITC-labeled annexin V for detection of exposed phosphatidylserine (a and c) and propidium iodide for detection of damaged cells (b). Bar, 10  $\mu$ m.

apoptotic bodies (Fadok et al., 1992; Martin et al., 1995). Our observation in yeast indicates a more fundamental connection between phosphatidylserine exposure and other events of apoptosis. Therefore, the exposure probably has not been developed to attract macrophages, but rather phagocytic cells throughout evolution have learned to interpret the phenomenon as a signal of attraction.

*CDC48* participates in processes ensuring the integrity of the endoplasmic reticulum and the nuclear envelope. Aberrations in these structures caused by *cdc48*<sup>S565G</sup> might trigger the processes observed. In wild-type cells of *S. cerevisiae*, endoplasmic reticulum is only scarcely seen in electron micrographs (Schwencke, 1991). An electron microscopic observation of the mutant shows that endoplasmic reticulum accumulates and that its lumen appears dilated as compared to wild-type endoplasmic reticulum. This could be a direct effect of the mutation, ultimately leading to the apoptotic phenotype. The phenotype is allele specific; none of the other *cdc48* mutant alleles investigated cause any of the apoptotic effects.

The tools of yeast genetics and molecular biology are a powerful means to identify the components of complex physiological pathways. There is no clear picture of the events following the action of the ICE proteases in apoptosis yet. Yeast might be a suitable model for clarification.

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