## RESEARCH

# Family physicians and smoking cessation

Survey of practices, opinions, and barriers

DAVID L. MOWAT, MB, CHB, MPH, FRCPC DARLENE MECREDY, BSCN, MSC FRANK LEE, BSC RAJU HAJELA, MD, MPH, CCFP RUTH WILSON, MD, CCFP

**OBJECTIVE** To assess what family physicians need to promote smoking cessation by looking at current knowledge, attitudes, and behaviours and to examine the barriers facing physicians in implementing an effective antismoking strategy.

DESIGN Cross-sectional study involving face-to-face interviews and mailed questionnaires.

SETTING Family practices in Kingston, Ont, and surrounding areas.

**PARTICIPANTS** All family physicians (n = 155) in the City of Kingston and the counties of Frontenac, Lennox, and Addington.

**MAIN OUTCOME MEASURES** Knowledge, attitudes, beliefs, and practices concerning smoking cessation; barriers and practices recommended in the literature.

**RESULTS** Response rate was 77%. Many physicians know about smoking cessation, and many actively counsel their patients to quit. Brief advice, nicotine replacement therapy, self-help materials, and follow-up appointments are the most common methods. Although many report that they are already knowledgeable, many are willing to learn more. Many physicians have unrealistically high estimates of the probability of success, and many find poor compliance among patients to be the greatest barrier.

**CONCLUSIONS** Family physicians in this area recognize the need to help their patients to quit and are identifying and counseling smokers in their practices. The main educational need could be to appreciate smoking as an addictive behaviour.

**OBJECTIF** Évaluer les besoins des médecins de famille pour faciliter leur implication dans la cessation du tabagisme en analysant les connaissances actuelles, les attitudes et les comportements et examiner les obstacles auxquels sont confrontés les médecins désireux d'implanter une stratégie antitabac efficace.

**CONCEPTION** Étude transversale utilisant des entrevues interpersonnelles et des questionnaires postaux.

**CONTEXTE** Cliniques de médecine familiale de Kingston, Ont, et des régions avoisinantes.

**PARTICIPANTS** Tous les médecins de famille (n = 155) de la ville de Kingston et des comtés de Frontenac, Lennox et Addington.

**PRINCIPALES MESURES DES RÉSULTATS** Les connaissances, les attitudes, les croyances et les pratiques concernant la cessation du tabagisme ; les obstacles et les pratiques recommandées dans la littérature.

**RÉSULTATS** Le taux de réponse fut de 77 %. Beaucoup de médecins ont des connaissances sur la cessation du tabagisme et nombreux sont ceux qui conseillent activement à leurs patients de cesser de fumer. Les méthodes les plus couramment utilisées sont les conseils brefs, la thérapie par les substituts de la nicotine, le matériel éducatif et le suivi de renforcement. Même s'ils se disent bien informés, nombreux sont ceux qui veulent en apprendre davantage. Bon nombre de médecins surestiment la probabilité de succès. L'inobservance des patients constitue l'obstacle majeur.

**CONCLUSIONS** Les médecins de famille de cette région reconnaissent le besoin d'aider leurs patients à cesser de fumer et s'impliquent pour identifier et conseiller les fumeurs. Le principal besoin éducatif serait de reconnaître le tabagisme comme un comportement qui crée l'accoutumance.

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#### **Dr Mowat** is Medical Officer of Health and **Ms Mecredy** is

Manager of the Health Promotion Division for the Kingston, Frontenac, Lennox, and Addington Health Unit in Ontario. **Mr Lee** is a medical student at Queen's University in Kingston.

Dr Hajela practises

family medicine and is an Addictionist in Kingston.

**Dr Wilson**, a Fellow of the College, is Professor and Head of the Department of Family Medicine at Queen's University. OBACCO SMOKING IS RECOGNIZED AS THE leading cause of preventable disease and death in Canada. Thirty-one percent of Canadians 15 years of age and older smoke,<sup>1</sup> and tobacco is responsible for approximately 20% of the premature mortality in Canada.<sup>2</sup> Two main strategies are needed to reduce the prevalence of smoking: preventing the initiation of the smoking habit, especially among young people, and helping adults to quit. Physicians have an important role in prevention of smoking, especially in helping their patients attempt and accomplish cessation.

Physicians are perceived as a credible source of information, and many patients report a willingness to attempt quitting if advised to by their physicians.<sup>3</sup> Most physicians see assisting in smoking cessation as an important part of their function, and smoking cessation counseling is frequently identified as an important role.<sup>4-6</sup>

Even a brief, simple intervention advising patients who are smokers to quit has a beneficial effect,<sup>3,7,8</sup> although some studies show insignificant effects.<sup>9-12</sup> More intensive interventions show greater effects<sup>7,8</sup> but are not always suitable for widespread use. The term minimal intervention usually refers to brief advice from the physician, together with an offer of self-help literature, setting a quit date, using nicotine replacement therapy (NRT), and one or more return visits. These additions increase the effectiveness of the intervention.<sup>13,14</sup> Transdermal delivery of NRT using transdermal patches is now in widespread use and gives cessation rates at 6 months ranging from 22% to 42%.<sup>15-19</sup> There is a consensus that NRT is relatively ineffective without some form of accompanying instruction or support.<sup>15,18-20</sup> Multiple interventions used together increase the likelihood of successful long-term cessation.<sup>21-23</sup>

Most smokers prefer to quit on their own with help from self-help programs.<sup>24,25</sup> Evidence concerning the effectiveness of these programs and of follow-up visits is inconclusive. Minimal intervention by a physician, properly executed, can induce about 10% of smokers to quit.

In spite of their potential effectiveness, many physicians fail to intervene.<sup>26</sup> Fewer than half of

all smokers in the United States report that they have ever been advised by a physician to quit, although this proportion has increased slightly in recent years.<sup>27,28</sup> Estimates of the proportion of smokers whose status is noted on the chart vary from 11% to 72%.<sup>29,30</sup> This compares with the self-reported practices of physicians, in which about 90% report some form of intervention.<sup>4,6,30,31</sup> Assistance is usually limited to advice, with little use of quit dates, written materials, or follow-up visits.<sup>5,31</sup> Heavier smokers and those with smoking-related disease are more likely to be offered advice.<sup>9,25,28</sup>

Lack of time to counsel patients about smoking is reported frequently.<sup>32-35</sup> The time spent in most encounters is, however, very short, usually less than 2 minutes.<sup>5,36</sup>

Poor motivation among patients and doubts about the efficacy of the physician's intervention are important barriers.<sup>3</sup> Expectations of success can be unrealistic; physicians have been found to expect a success rate of 60%,<sup>5</sup> which is greater than the documented effect of any intervention in the long term.

Some physicians place a low priority on preventing smoking, possibly as a result of training or the greater satisfaction to be gained from the treatment of disease.<sup>32</sup> Characteristics of the physicians themselves, such as age and personal health habits,<sup>3,6</sup> also affect the probability of intervention.

Physicians would like to get help in knowing where to transfer patients for support, help from staff, training, appropriate videotapes, literature to hand to patients, and prepared questionnaires.<sup>4,35</sup> Training for office staff has been shown to improve effectiveness in counseling for smoking cessation, but staff are infrequently used.<sup>4</sup>

We present the results of a survey of the knowledge, attitudes, and practices of physicians regarding smoking cessation by patients. Its purpose was to identify barriers preventing family physicians from participating in smoking cessation. There has been little information on this topic in Canada. This information might be used to plan programs to assist physicians in our area.

#### METHODS

All family physicians in the City of Kingston and the counties of Frontenac, Lennox, and Addington were asked to participate. The area comprises Kingston and its suburbs together with rural areas to the north and west. A list of all family physicians with office addresses in the area is maintained by the local health unit; this list is more current than the *Canadian Medical Directory*. This area was chosen because it was a mixed urban-rural area that included a health sciences centre and because a complete, up-to-date list of family physicians existed.

We developed a 20-item questionnaire to examine knowledge, attitudes, beliefs, and practices regarding smoking cessation. The questionnaire was pilot-tested on five family medicine residents. We randomly selected 53 physicians for face-to-face interviews conducted by one of us (F.L.). The remainder received a mailed questionnaire. We originally thought that the interviews would be a richer source of information than questionnaires, but this was not the case because of the frequency of write-in comments on the questionnaires.

Those originally selected for interview who were not seen after several attempts were mailed a questionnaire. After 1 month, all physicians were sent a self-addressed, stamped postcard reminder and asked to return it to the Health Unit indicating whether they had completed the survey. A second questionnaire was sent to physicians who reported that they did not complete the initial survey or who did not return the postcard.

No significant differences were found between data obtained by interview and by questionnaire: the data were therefore combined for analysis. Descriptive statistics were prepared and bivariate analysis undertaken to determine associations. Student's *t* test and  $\chi^2$  test were used to assess significance of continuous and categorical data, respectively. Characteristics of responding versus nonresponding physicians were compared using the *Canadian Medical Directory*.

Table 1. Characteristics of responding andnonresponding physicians			
RESPONDENT CHARACTERISTICS	PERCENTAGE OF RESPONDENTS (N = 120)	PERCENTAGE OF NONRESPONDENTS (N = 35)	P
Sex (% male)	67	69	NS
Type of practice			< 0.0001
Group	65	100	
Solo	100	0	
Academic appointment			< 0.0001
• Full time	7.5	0	
• Part time	25.0	66	
• None	67.0	33	
Graduated before 1960	19	0	< 0.02

#### RESULTS

One hundred twenty physicians of a possible 155 replied (77.4%). *Table 1* shows the characteristics of respondents and nonrespondents. Only one physician was a current smoker; 56% had never smoked, and 42% were former smokers.

Table 2 shows the main results of the study. Brief advice and NRT were used by almost all physicians (95.8%). However 30% reported the use of other methods, including acupuncture, hypnotherapy, intensive counseling, and group counseling. The questionnaire did not contain a question on other pharmaceutical supports and none were reported in the "other" category.

Almost a third of respondents cited barriers other than those listed. Comments included many references to lack of patient motivation and unwillingness or refusal to quit, or doubts about the effectiveness of their efforts. Comments included, "Some like smoking too much," "I can counsel, but they have to do the work of quitting; that is the real barrier," and "I spend 10 minutes describing quit techniques; it fails as frequently as everything else." Other barriers cited included staff who smoke (1), promotion of cigarette sales by pharmacists (1), patients not returning for follow up (2), language barriers (1), difficulty remembering to address the issue (2), and dying patients (1). Selecting patients who are ready to quit for special attention and differences in success rates when patients were ready to quit were reported by one respondent each.

Seventy-eight respondents estimated their patients' quit rate at 12 months, with a range from 2% to 90% and a mean of 28.2%. The distribution was bimodal with peaks at 10% and 50%. When asked to estimate the quit rate expected from a good program at 12 months, 98 respondents gave replies in the range of 10% to 100%, with a mean of 41.1%.

Only 48% of physicians were able to identify a billing code specifically for smoking cessation counseling. Fifty-seven percent thought the fee was adequate and 32% thought it inadequate or only marginally adequate.

Thirty-six percent of physicians stated that they were already familiar with brief counseling methods and 61% were willing to learn. The preferred methods of learning were articles (42.5%), videotapes (22.5%), and half-day continuing medical education (22.5%)

Bivariate analysis did not reveal many differences in response by sex of respondent, date of graduation, type of practice, or smoking history. Physicians in group practice were more likely to be familiar with *Guide Your Patient to a Smoke Free Future*<sup>37</sup> (29.6% vs 7.0%, P = 0.019) and to use staff in a major role (7.7% vs 1.7%, P = 0.0019). Physicians who had never smoked flagged charts more often than did former smokers (42.4% vs 22.0%, P = 0.035).

Nine physicians added comments stressing the importance of educational, legal, and fiscal controls at the community level.

### DISCUSSION

Most physicians report that they take smoking histories and discuss smoking with their patients who smoke. It is difficult for a survey such as this to produce a complete picture of the extent and types of interventions. Brief counseling and NRT are widely used and many physicians schedule follow-up visits. There are opportunities to increase the use of support activities, such as flagging charts, using office staff, and referring patients to cessation and support programs.

regarding smoking cessation				
PHYSICIAN PRACTICES	NUMBER	%		
Smoking history usually taken				
Yes	119	99.2		
No	1	0.8		
Flag patients' charts				
Yes	40	33.3		
No	80	66.7		
Use assistance of staff				
Substantial	11	9.2		
Little	28	23.3		
No	78	65.0		
Not stated	3	2.5		
Willingness to spend 5 minutes with each smoker				
Yes	89	74.2		
Some time or some patients	22	18.3		
No	7	5.8		
Unsure or not stated	2	1.6		
Willingness to schedule 15-minute return visit				
Yes	80	66.7		
For some patients	24	20.0		
No	9	7.5		
Unsure or not stated	7	5.8		
Potential use of support programs				
Likely	78	65.0		
Possible	27	22.5		
No	4	3.3		
Unsure or not stated	11	9.2		
Familiarity with Cuide Your Patient to a Smake Free Future. <sup>37</sup>				
Yes and intend to use	99	18.3		
Yes but do not intend to use	20	16.5		
No	20 75	62.5		
Other or not stated	3	2.5		
Willingmore to use a simple survey 1 Court 1				
Possible or yerry likely	114	05.0		
No.	114	95.0		
Lingure or not stated	2	1./		
Intermention mathed	4	3.3		
Brief advice	S 115	05.0		
Self hele meteriel	115	95.8		
Sen-neip material	91	/5.8		
Enller and an and a state	115	95.8		
ronow-up appointment	95	/9.2		
Uther	35	29.2		
INot stated	1	0.8		
Barriers to implementing antismoking strat	egy	a		
Not enough time	29	24.2		
"It's the patient's decision to smoke."	14	11.7		
Do not feel you have the skills to intervene	4	3.3		
Do not believe it would contribute to prevention or cessation of tobacco use	1	0.8		
Do not have the resources to	6	5.0 ´		
Other	36	30.0		
No barriers	30 45	30.0		
110 Darriero	тJ	57.5		

Table 2 Reported practices of physicians

Time was not a critical barrier; three quarters of physicians were willing to spend 5 minutes with each smoking patient and two thirds were willing to schedule a return appointment. The fee payable by the provincial plan was cited infrequently; how this compares with opinions about other aspects of the fee schedule is unknown. Many physicians do not know the applicable billing code. These observations may not apply in other jurisdictions, as schedules vary.

The estimate of the prevalence of smoking in the respondents' practices, at 28.3%, was the same as the estimate of 28% (95% confidence interval 26% to 32%) for the same area derived from the 1990 Ontario Health Survey.<sup>59</sup>

An important finding of this survey was that many physicians described noncompliance of patients as an important barrier, and that many provided optimistic estimates of success.

The reported proportion of respondents' patients smoke-free after 12 months ranged from 2% to 90%, with a mean of 28.2%. The bimodal distribution, with peaks at 10% and 50%, suggests that some physicians have an accurate estimate of their success, while others appear optimistic. The estimate of the quit rate expected from a good program was also, at more than 40%, very high. The literature suggests rates of about 10%; although this can probably be improved upon using the approach suggested here, no estimate of the sustained quit rate using the combination of approaches suggested in Guide Your Patient to a Smoke Free Future<sup>37</sup> is available at present. Physicians should have realistic expectations; smoking is an addiction, and the success rate in smoking cessation is considerably lower than that to which physicians are accustomed. It is apparent that some people view smoking as a straightforward lifestyle choice rather than a complex behavioural pattern and an addiction. The best way to support family physicians in smoking cessation might therefore be to help identify smokers who are most motivated to quit.

Some physicians may not be aware of the transtheoretical model or the stages of change theory, as described by Prochaska and DiClemente and colleagues,<sup>60-62</sup> and its relevance

to smoking cessation. This theory describes five stages: precontemplation, contemplation, preparation, action, and maintenance. Smokers are expected to cycle through the stages as they break their habit. The theory helps practitioners to focus interventions on the appropriate stage. The literature shows that, when this theory is applied in physicians' practices, it can increase the success of smoking cessation interventions,<sup>63,64</sup> as efforts are directed toward moving from one stage to the next, rather than toward attempting action with patients who are not ready.

This survey relied on self-reported practices: these were not confirmed by examining charts or interviewing patients. As we were most interested in the perceptions of barriers and successes, this was an appropriate method. Nonrespondents differed from respondents in some respects and could have differed in other, unmeasured, characteristics, such as smoking history.

#### Conclusion

Family physicians can reduce the burden of smoking-related illness by helping patients to quit and to stay smoke-free. Lasting success on a first attempt is uncommon; relapse is the norm, and successful change often involves repeated cycling through the stages of change. This study provides evidence that these topics might profitably be incorporated into programs of continuing education.

Correspondence to: Dr David L. Mowat, Kingston, Frontenac and Lennox, and Addington Health Unit, 221 Portsmouth Ave, Kingston, ON K7M 1V5

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