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TRANSFORMING GROWTH FACTOR BETA MEDIATES HEPATOCTYTE APOPTOSIS THROUGH SMAD3 GENERATION OF REACTIVE OXYGEN SPECIES

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Abstract

TGF β induces hepatocyte apoptosis via reactive oxygen species (ROS) generation, the mitochondrial permeability transition (MPT), and caspase activation. The role of the Smad pathway in these events is unknown. In this study primary hepatocytes were isolated from Smad3 wild-type (+/+) and knockout (-/-) mice, and were treated with TGF β (5 ng/ml) and/or trolox (2 mM). ROS generation, MPT, TGF β -dependent transcription, and apoptosis were assessed in the presence or absence of Smad3 wild-type (WT) and dominant-negative (DN) plasmids. With TGF β treatment, Smad3 (-/-) hepatocytes did not generate ROS activity, exhibit MPT, activate caspases, or undergo apoptosis when compared to Smad3 (+/+) hepatocytes. Similarly, transfection of Smad3 (+/+) hepatocytes with DN-Smad3 inhibited TGF β -mediated transcription, ROS generation, MPT, and apoptosis. However, Smad3 (-/-) cells transfected with WT-Smad3 and treated with TGF β demonstrated increased transcriptional activity, the MPT, and TGF β -induced apoptosis. TGF β -mediated ROS generation occurred through an NADPH-like oxidase pathway since diphenyleneiodonium chloride inhibited ROS induction. In conclusion, TGF β -induced hepatocyte apoptosis occurs through Smad3 dependent activation of ROS with subsequent activation of the MPT and caspases.

Keywords

transforming growth factor beta; hepatocyte; apoptosis; Smad3; reactive oxygen species

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1. Introduction

Transforming growth factor beta 1 (TGF β) is a multifunctional cytokine, which mediates hepatocellular differentiation, growth, and apoptosis [1]. After partial hepatectomy, TGF β has an integral role in terminating liver regeneration secondary to its hepatocyte anti-proliferative effects. *In vitro* and *in vivo* studies have also shown that TGF β controls hepatocyte growth directly by inducing apoptosis [2-4]. Furthermore, TGF β -induced apoptosis occurs through ROS generation, the mitochondrial permeability transition (MPT), and caspase activation [5]. Moreover, Smad3-dependent cleavage of the mitochondrial, pro-apoptotic protein, BAD, results in TGF β -induced apoptosis in FaO hepatoma cells and suggests a distinct role for Smad3 [2]. Thus, these findings suggest that the TGF β apoptotic pathway includes ROS generation, Smad3 activation, mitochondria involvement, and caspase cleavage.

Several mechanisms by which Smad3 initiates cell death signals have been examined in a number of studies. GADD45 β has been identified as a Smad-dependent, early response mediator of TGF β -induced apoptosis [6]. In this study, AML12 murine hepatocytes underwent apoptosis in response to GADD45 β activation of p38. Furthermore, GADD45 β activation was dependent on Smad2, Smad3, and Smad4 activation of the proximal portion of the *GADD45 β* promoter [6]. Additional studies have also identified a TGF β -responsive enhancer in the third intron of *GADD45 β* [7]. The enhancer is responsive to Smad3 and Smad4, but not Smad2. Collectively, these studies show that GADD45 β expression is mediated at multiple genetic loci, and is an important Smad-dependent mediator of TGF β -induced apoptosis that is affected through p38 expression. In addition, Smad3 regulates hepatocyte responsiveness to apoptosis by the down-regulation of the anti-apoptotic protein, Bcl-2 [8]. In a mouse model of carcinogen-induced hepatocellular cancer, forced expression of Smad3 decreased expression of Bcl-2 with resultant apoptosis and decreased cancer cell formation. Interestingly, this study also showed that expression of p38 was required for apoptosis. Furthermore, Akt has been identified as an integral regulator of Smad3 phosphorylation and, subsequently, TGF β -mediated apoptosis [9]. Akt sequestered unphosphorylated Smad3 in the cytoplasm and, thus inhibited nuclear translocation and transcription, ultimately decreasing TGF β -induced apoptosis. The ratio of Smad3 to Akt is an important mechanism that controls TGF β -induced apoptosis, but not growth inhibition.

In addition to altering effector signaling pathways, TGF β also induces ROS. Both early [5] and late activation of ROS in response to TGF β have been identified [10;11]. In lung fibroblasts, TGF β induced NADH oxidase production of hydrogen peroxide begins 8 hours after treatment with peak activity at 16 hours [10]. Additionally, Sanchez et al. demonstrated that TGF β induced ROS occurred 4 hours after TGF β stimulation in fetal rat hepatocytes [11]. Both studies demonstrated that ROS generation was dependent on new protein synthesis. Other studies have demonstrated that early (90 minutes) activation of ROS is important for TGF β -induced apoptosis [5]. However, no study has demonstrated the importance of Smad activation in ROS generation following TGF β stimulation. Moreover, a recent investigation of hepatocyte specific deletion of Smad2 suggests that this TGF β -induced protein is not required for apoptosis [12].

Although Smad proteins have been associated with TGF β -induced hepatocyte growth control, the relationship between ROS generation and activation of Smad proteins has not been examined. Importantly, how ROS and Smad3 affect TGF β -induced apoptosis has not been determined. The purpose of this study was to determine if Smad3 and ROS are required for TGF β -induced apoptosis, and, if so, how these signaling factors influence TGF β -induced cell death. Herein, the role of Smad3 in the TGF β apoptotic pathway was determined in Smad3 wild-type (+/+) and knockout (-/-) primary murine hepatocytes by evaluating the mediators of the apoptotic pathway: ROS, MPT, and caspase activation.

2. Materials and Methods

2.1 Hepatocyte Harvest and Culture

Smad3 (+/+) and Smad3 (-/-) mice were kindly obtained from David Brenner, M.D. [13]. These Smad3 deficient mice have a deletion in exon 1 of the *Smad3* gene [14]. Hepatocytes from Smad3 (+/+) and Smad3 (-/-) mice were isolated by retrograde collagenase perfusion [15]. Briefly, the liver was perfused with 100 ml of Krebs-Ringer-Hepes (KRH) buffer containing 0.25 M HEPES, 115 mM NaCl, 50 mM KCl, 10 mM KH₂PO₄, and 0.5 mM EGTA at pH 7.4. A collagenase buffer (200 ml) containing 1 mM CaCl₂ and 0.4 mg/ml type 1 collagenase was perfused into the liver. The liver was excised and gently combed to manually disperse hepatocytes. Hepatocytes were then selected by differential centrifugation. Cell viability was assessed by trypan blue exclusion (>90% for experiments). Hepatocytes were plated in Waymouth's media supplemented with 10% fetal calf serum, 5 µg/ml insulin, and 100 nmol/L dexamethasone for four hours. The medium was changed overnight to a serum-free, hormonally-defined medium (HDM) consisting of RPMI 1640 containing insulin (5 µg/ml), transferrin (5 µg/ml), selenium (3 µM), and free fatty acid (1.5 µM). Subsequent hepatocyte experiments were performed under these conditions.

In select experiments, hepatocytes were pretreated with trolox (2 mM), actinomycin D (1 µg/ml), cycloheximide, (10 mg/ml) or diphenyleneiodonium chloride (DPI; 1 mM) before TGFβ (5 ng/ml) or tumor necrosis factor alpha (TNFα; 30 ng/ml) treatment was initiated.

2.2 JAR Cell Culture

Smad3 deficient JAR cell line was purchased from ATCC (HTB-144) and cultured in RPMI 1640 medium containing 2 mM L-glutamine, 17.8 mM NaHCO₃, 30 mM glucose, 10 mM HEPES, 1 mM sodium pyruvate, and 10% fetal bovine serum in humidified 5% CO₂ / 95% air at 37°C [16].

2.3 Morphologic Assessment of Apoptosis

Hepatocytes were fixed and permeabilized in a 3:1 methanol/acetic acid for 10 minutes at 4°C, and stained with 2 mg/ml propidium iodide (PI) following 0, 24, 36, and 48 hours of incubation with TGFβ. Hepatocytes were visualized under green UV light using an IX-70 Olympus microscope (Olympus, Tokyo, Japan), and the number of condensed nuclei indicative of apoptotic hepatocytes was quantified in 5 high powered fields (hpf) at 400× magnification [17].

2.4 Caspase-3 and Caspase-8 Activity

As described previously, caspase-3 and caspase-8 activities were determined with the substrates DETD-AFC and LEVD-AFC, respectively, by fluorometric analysis on a Perkin Elmer Luminescence Spectrometer LS50B (Perkin Elmer; Norwalk, CT) [3]. Hepatocytes were plated at a density of 1.5×10^6 in a 60 mm dish and lysed in a 1× lysis buffer (10 mM HEPES, 2 mM EDTA, 0.1% CHAPS, 5 mM DTT, 1 mM PMSF, 10 µg/ml pepstatin A, 10 µg/ml aprotinin, 20 µg/ml leupeptin) by five rapid freeze/thaw cycles. Lysates were incubated in the dark at 37°C for four hours with 25 µM of the caspase substrate. The rate of caspase cleavage was determined by a standard curve, and then normalized for protein concentration.

2.5 Reactive Oxygen Species Activity

Hepatocytes and JAR cells were plated at 1.8×10^5 per well in a 12-well plate, rinsed with PBS, and incubated with 10 µM 2',7'-dichlorodihydrofluorescein diacetate (Molecular Probes, Eugene, OR) for 20 minutes at 37°C in the presence of TGFβ (5 ng/ml), trolox (2 mM), actinomycin D (1 µg/ml), and/or cycloheximide (10 mg/ml). Fluorescence activity was

determined on a fluorometric BMG Labtechnologies plate reader (Durham, NC) with a gain of 30 nm and 529 nm emission and 485 nm excitation wavelengths, respectively.

2.6 Mitochondrial Permeability Transition (MPT)

The MPT was assessed by confocal microscopy with the dyes tetramethylrhodamine methyl ester (250 nM; TMRM, Molecular Probes) and calcein (1 μ M Molecular Probes) as described previously [5]. Hepatocytes (1×10^6 in a 60 mm dish) plated on collagen-coated coverslips were loaded with the dyes for 20 minutes at 37°C, and then rinsed with PBS. Calcein and TMRM fluorescence was captured with an argon laser at 488 nm and 568 nm, respectively, using a 60 \times objective.

2.7 Plasmid Transient Transfections

Hepatocytes were plated at 1.8×10^5 per well on 6-well plates, and transfection with 1 μ g DNA accomplished with 2.5 μ l of Targafect F-1 (Targeting Systems, San Diego, CA) in 1 ml Opti-Mem1 (or DMEM for apoptosis experiments) for 2 hours. We assessed transfection efficiency using Targafect F-1 and a GFP expressing plasmid with 30% transfection efficiency.

Full-length human FLAG-tagged Smad3 and dominant negative Smad3 cloned into a pRK5 vector were generous gifts from Dr. Xing Zhang (UCSF and Genentech, South San Francisco, CA). DN-Smad3 is truncated at the carboxy terminus. The polylinker region of pcDNA3.1(-) (Invitrogen, Carlsbad, CA) was modified to introduce a unique *Cla*I site by inserting a novel oligonucleotide pair with *Bam*HI and *Hind*III overhangs into the *Bam*HI and *Hind*III sites. The sequences of the oligonucleotides were as follows: 5' GAT CCG CAA TCG ATG CAG CAA 3'. The oligos were annealed at 65°C for 10 minutes and ligated into the *Bam*HI and *Hind*III digested vector. FLAG-tagged Smad3 in pRK5 was digested with *Cla*I and *Hind*III, gel purified and cloned into the *Cla*I/*Hind*III digested vector. FLAG-tagged DN-Smad3 in pRK5 was digested with *Eco*RI and *Hind*III, gel purified and cloned into the *Eco*RI/*Hind*III digested pcDNA3.1.

2.8 Transcriptional Activity

TGF β -induced transcriptional activity was assessed with the luciferase assay system (Promega, Madison, WI) by transiently transfecting the p3TP-luciferase reporter plasmid containing multiple Smad binding elements in the promoter region. Hepatocytes were treated with TGF β for 24 hours and luciferase activity was assessed via a luminometer.

2.9 Statistical Analysis

Each experiment was performed with hepatocytes from a minimum of three mice. Assays were performed in triplicate. Outcomes are reported as the mean plus or minus the standard error of the mean. Statistical analysis was determined using the Student's t-test and significance was set at $p < 0.05$.

3. Results

3.1 Smad3 (-/-) Hepatocytes Resist TGF β -Induced Apoptosis

To determine the necessity of Smad3 in TGF β -induced apoptosis, Smad3 (+/+) and Smad3 (-/-) hepatocytes were treated with or without TGF β for 48 hours, and apoptosis was assessed by PI stain. After 48 hours of treatment, $43\% \pm 0.06\%$ of Smad3 (+/+) hepatocytes had morphologic evidence of apoptosis (Fig. 1A & 1B). However, Smad3 (-/-) hepatocytes treated with TGF β showed only $7.6\% \pm 0.01\%$ apoptosis ($p < 0.05$), which was similar to untreated Smad3 hepatocytes (Fig. 1A & 1B).

Caspase-3 and caspase-8 activities were measured to confirm TGF β -induced apoptosis in Smad3 (+/+) hepatocytes, and to assess caspase activation in Smad3 (-/-) hepatocytes. Smad3 (+/+) hepatocytes treated with TGF β had a 3.4-fold \pm 1.2 increase ($p < 0.05$) in caspase-3 activity at 36 hours as compared to untreated Smad3 (+/+) hepatocytes (Fig. 1C). Smad3 (-/-) hepatocytes did not generate caspase-3 activity over control values following treatment with TGF β , thus confirming the lack of caspase-induced apoptosis (Fig. 1C). Similarly, caspase-8 activity was increased in Smad3 (+/+) hepatocytes with a 1.7-fold \pm 0.41 increase at 36 hours as compared to the control treated Smad3 (+/+) hepatocytes. Smad3 (-/-) hepatocytes had no caspase-8 activity induction with TGF β treatment as compared to untreated controls (Fig. 1C). These findings suggest that Smad3 (-/-) hepatocytes do not undergo apoptosis in response to TGF β treatment because of lack of activation of the caspase pathway.

To determine if Smad3 (-/-) hepatocyte resistance to apoptosis was specific to TGF β , we treated Smad3 (+/+) and Smad3 (-/-) hepatocytes with TNF α following inhibition of NF κ B with actinomycin D. Both Smad3 (+/+) and Smad3 (-/-) hepatocytes were susceptible to TNF α -induced apoptosis. At 12 hrs, TNF α /ActD-treated Smad3 (+/+) hepatocytes showed 50.9% \pm 13.3% apoptosis versus 8.4% \pm 4.2% for untreated controls, and Smad3 (-/-) hepatocytes exhibited 46.3% \pm 13% apoptosis versus 5.7% \pm 1.7% for untreated controls (Fig. 1D). These findings suggest that the requirement for Smad3 is specific for TGF β -induced hepatocyte apoptosis.

Typically, TGF β causes hepatocyte MPT prior to apoptosis [5]. In these experiments, MPT was assessed by confocal microscopy using the dyes TMRM and calcein. Seven to 10 hours after TGF β treatment, Smad3 (+/+) hepatocytes underwent MPT, visualized by TMRM exiting the mitochondria and calcein entering the mitochondria with the opening of the conductance pore. Untreated Smad3 (+/+) hepatocytes (data not shown) did not undergo the MPT nor did Smad3 (-/-) hepatocytes treated with TGF β (Fig. 1E).

3.2 Role of ROS in TGF β -Mediated Apoptosis

Following TGF β treatment, ROS generation was examined in Smad3 (+/+) hepatocytes by fluorometric analysis for cleavage of the probe H₂DCFDA. Compared to untreated Smad3 (+/+) cells, those treated with TGF β demonstrated a 39% to 81% increase ($p < 0.05$) in ROS with maximal activity between 30 min and one hour of treatment. Smad3 (-/-) hepatocytes treated with TGF β had no increase in ROS, but demonstrated up to a 51% decrease in ROS activity at 60 minutes, suggesting that ROS increase after TGF β is dependent on Smad3 (Fig. 2A). Furthermore, ROS activity remained increased in Smad3 (+/+) hepatocytes for 4 hours (Fig. 2A).

The importance of Smad3 in TGF β -mediated ROS increase was also investigated in Smad3 deficient JAR cells [7]. JAR cells did not increase ROS in the presence of TGF β (Fig. 2B), further suggesting the need of Smad3 for TGF β -induced ROS generation.

In addition, Smad3 (+/+) hepatocytes were pretreated with the anti-oxidant, trolox (2 mM), and ROS activity and apoptosis were assessed. Smad3 (+/+) hepatocytes pretreated with trolox with subsequent TGF β administration showed no increase in ROS compared to TGF β -only treated hepatocytes, which had a 1.38 \pm 0.15-fold increase in ROS activity at 60 minutes (Fig. 2C). Apoptosis was assessed in Smad3 (+/+) hepatocytes pretreated with trolox, and only 6.4% \pm 1.5% of the cells were apoptotic in response to TGF β as compared to 55% \pm 11% apoptosis in Smad3 (+/+) hepatocytes that were not pretreated with trolox (Fig. 2D). Trolox also decreased TGF β -induced caspase-3 activity by 55% at 48 hours (data not shown).

Because it appeared that Smad3 is required for TGF β -induced acute generation of ROS, we wished to determine if this finding was dependent on protein synthesis. Smad3 (+/+) hepatocytes

hepatocytes were pretreated with actinomycin D (1–10 $\mu\text{g/ml}$) or cycloheximide (10 $\mu\text{g/ml}$) one hour before TGF β treatment and ROS generation was determined. A dose-response study revealed that lower concentrations of actinomycin D (1–10 $\mu\text{g/ml}$) and cycloheximide (1–10 $\mu\text{g/ml}$) did not compromise hepatocyte viability, whereas higher concentrations were cytotoxic (data not shown). At the 10 $\mu\text{g/ml}$ doses used in this study, hepatocytes were viable and multiple previous studies show that transcription and translation are inhibited at these concentrations in hepatocytes [18;19]. Smad3 (+/+) hepatocytes pretreated with actinomycin D prior to TGF β treatment did not have decreased ROS following treatment (Fig 2E). This observation demonstrated that Smad3 transcriptional activity is not necessary for TGF β -induced ROS (Fig. 2E). Similar findings were obtained with cycloheximide pretreatment.

In order to investigate whether a NADPH-like system is involved in Smad3-dependent ROS increase after TGF β treatment, ROS formation was fluorometrically evaluated in the presence and absence of 1 μM of diphenyleioidonium chloride (DPI), a flavoprotein inhibitor of NADPH oxidase [20]. Pretreatment of hepatocytes with DPI prevented TGF β -induced ROS increase, suggesting that this system is required for TGF β -induced ROS generation (Fig. 2F).

To demonstrate Smad3 involvement in TGF β -induced gene transcription and assess the function of wild-type and dominant-negative Smad3 plasmids, Smad3 hepatocytes were transiently transfected with p3TP-Luc, a TGF β responsive reporter plasmid that contains multiple Smad binding elements. TGF β treatment induced transcriptional activity of p3TP-Luc was 6.21 ± 2.08 -fold in TGF β -treated Smad3 (+/+) hepatocytes as compared with untreated cells (Fig. 2G). Smad3 (-/-) hepatocytes showed a decreased response as compared to Smad3 (+/+) hepatocytes to transfection with the reporter plasmid.

Transfection of Smad3 (+/+) hepatocytes with a dominant-negative Smad3 (DN) plasmid inhibited transcriptional activity in response to TGF β treatment (Fig. 2G). In Smad3 (-/-) hepatocytes, transfection with Smad3 WT-plasmid transfection increased TGF β responsive reporter activity compared to untreated and TGF β treated Smad3 (-/-) hepatocytes (Fig. 2G).

3.3 DN-Smad3 Plasmid Inhibits TGF β -Induced Apoptosis in Smad3 (+/+) Hepatocytes

To confirm that the TGF β apoptotic signaling pathway is Smad3 dependent, Smad3 (+/+) hepatocytes were transiently transfected with a DN-Smad3 expressing plasmid and apoptosis was subsequently determined. After 48 hours of TGF β treatment, Smad3 (+/+) hepatocytes transiently transfected with the DN-Smad3 plasmid demonstrated $22.7\% \pm 6.1\%$ apoptosis versus $56.6\% \pm 15.8\%$ apoptosis in those cells transiently transfected with the control vector (Fig. 3A).

Furthermore, Smad3 (+/+) hepatocytes transiently transfected with the DN-Smad3 plasmid blocked important mediators of the TGF β apoptotic pathway including ROS generation and occurrence of MPT. Smad3 (+/+) hepatocytes transfected with the control vector and treated with TGF β had a 56% increase in ROS activity at 60 minutes, whereas Smad3 (+/+) hepatocytes transfected with DN-Smad3 did not differ from controls (Fig. 3B). Moreover, the MPT, which normally occurs between 7 and 10 hours of TGF β treatment in Smad3 (+/+) hepatocytes was not present through 12 hours of TGF β treatment in hepatocytes transfected with DN-Smad3 (Fig. 3C).

3.4 WT-Smad3 Plasmid Mediates TGF β -Induced Apoptosis in Smad3 (-/-) Hepatocytes

To determine if Smad3 replacement was sufficient enough to permit TGF β -induced apoptosis in Smad3 (-/-) hepatocytes, these cells were transiently transfected with WT-Smad3 plasmid and apoptosis was then determined. Smad3 (-/-) hepatocytes transiently transfected with WT-

Smad3 plasmid alone had $22.7\% \pm 7.3\%$ apoptosis after 48 hours, while the addition of TGF β increased the percent of apoptosis to $40.6\% \pm 4.6\%$ (Fig. 4A).

To determine if ROS and MPT were altered by the WT-Smad3 plasmid, Smad3 (-/-) hepatocytes were transiently transfected with WT-Smad3 and ROS, and MPT was subsequently assessed. As expected, Smad3 (-/-) hepatocytes did not generate increased ROS activity with TGF β treatment, but the introduction of WT-Smad3 into hepatocytes treated with TGF β increased ROS activity by 55% as compared to cells treated with the control vector (Fig. 4B).

Similar to non-transfected Smad3 (-/-) hepatocytes, those cells transiently transfected with the control vector did not undergo MPT with or without TGF β treatment (data not shown). However, TGF β treatment for 12 hours induced MPT in Smad3 (-/-) hepatocytes transfected with the WT-Smad3 plasmid, but cells treated with TGF β only did not exhibit the MPT (Fig. 4C).

4. Discussion

Hepatocyte apoptosis is one of the mechanisms by which TGF β regulates liver growth. Alterations in the TGF β signal transduction pathway, including Smad defects, may result in carcinogenic mutations. Examining the role of the Smad protein family in TGF β -induced apoptosis may provide further insight into the growth capabilities of abnormal cells and cancers. In the present study, a direct relationship between Smad3 and mediators of the TGF β apoptotic pathway were examined in primary murine hepatocytes from Smad3 (+/+) and Smad3 (-/-) mice. These data support a critical role for Smad3 in TGF β -induced apoptosis because Smad3 (-/-) hepatocytes failed to undergo programmed cell death. Furthermore, Smad3 appears to be critical in the initiation of apoptosis since caspase-8 activity was absent in the Smad3 (-/-) hepatocytes. Smad3 (-/-) hepatocytes also failed to generate ROS, a necessary intermediary for TGF β -induced hepatocyte apoptosis. Importantly, these early events were transcription independent and could be reversed by introducing Smad3 into Smad3 (-/-) hepatocytes with a wild-type Smad3 expressing plasmid. Therefore, Smad3 appears to play a crucial role in the TGF β -induced hepatocyte apoptotic pathway.

Previous work has demonstrated that TGF β -induced hepatocyte apoptosis occurs in a ROS, MPT, and caspase-dependent apoptotic pathway that has no clear association with the Smad signal transduction pathway [5]. These experiments provide evidence that the TGF β apoptotic pathway is dependent on Smad3. Smad3 (+/+) hepatocytes treated with TGF β displayed ROS generation, MPT, caspase activation, and apoptosis while Smad3 (-/-) hepatocytes did not. In addition, Smad3 (+/+) hepatocytes transiently transfected with DN-Smad3 showed decreased apoptosis compared to controls whereas Smad3 (-/-) hepatocytes transiently transfected with WT-Smad3 exhibited an increase in apoptosis, thus indicating that Smad3 is critical in the TGF β -induced apoptotic pathway. These findings are also consistent with previous studies demonstrating a role for Smad3 in apoptosis in non-primary cell lines [2;21].

Although the necessity of Smad3 in TGF β -induced apoptosis has been established, the mechanism of action or point of interaction in the apoptotic pathway has not been previously elucidated. Smad3 appears to be critical to the generation of ROS because Smad3 (-/-) hepatocytes did not demonstrate acute generation of oxygen intermediates. Furthermore, in JAR cells that lack Smad3, there was no generation of ROS in response to TGF β suggesting that Smad3 is required for ROS generation in response to this cytokine. ROS generation is an early upstream event in TGF β -induced apoptosis, and inhibition of ROS with trolox prevents apoptosis, MPT, and caspase activity [5]. Oxidative stress is a known mediator of apoptosis, including TGF β -induced apoptosis, and to more closely exam a potential source of ROS we

treated hepatocytes with DPI, an inhibitor of the NADPH-like oxidase system. DPI inhibited the generation of ROS in response to TGF β suggesting that Smad3 interacts with the NADPH-like oxidase system to produce ROS after treatment with TGF β . The mechanism(s) through which Smad3 interacts with NADPH-like oxidase remain unknown, but previous work has demonstrated that Smad2/3, NADPH-oxidase, and ERK1/2 may interact to alter ROS [22]. These findings are also supported by previous work that demonstrates the role of the NADPH-like oxidase system in hepatocyte ROS generation [20]. Furthermore, in TGF β -mediated apoptosis, ROS generation in L1210 leukemic cells occurs at 60 minutes, similar to our findings in primary murine hepatocytes [23]. Likewise, Herrera *et al.* found an increase in ROS production by confocal microscopy at 8 hours of TGF β treatment in fetal hepatocytes [24].

Acute ROS generation is an early event (30 minutes) in this model of TGF β -induced apoptosis. Importantly, this work also demonstrates that ROS generation 30–60 minutes following TGF β treatment is independent of new protein synthesis. Our experiments with actinomycin D and cycloheximide demonstrate that the early ROS peak after TGF β treatment persists with inhibition of new protein synthesis. This finding differs from the previous work of other authors [10;11], which demonstrates that ROS production required protein synthesis. However, these two studies examined ROS generation from 4–16 hours after treatment, and this time lag may explain, in part, why the current study differs from the previously published work. Additional work in our laboratory demonstrates that new protein synthesis is not required for early TGF β mediated transcriptional activation. However, protein synthesis is required for sustained TGF β induced Smad3 expression. Nonetheless, the time course of apoptosis is long and likely dependent on additional factors. In addition, because MPT occurs at ~10 hours and caspase activation is not evident until 36–48 hours after treatment; other factors are likely to be necessary for the convergence of ROS generation, MPT, and caspase activation. Therefore, the time lapse between ROS generation, MPT, and cytochrome c release may be due to mitochondrial-dependent events.

5. Conclusion

In summary, Smad3 is a primary mediator of TGF β -induced hepatocyte apoptosis through ROS generation with subsequent mitochondrial depolarization and caspase activation. Smad3-dependent activities do not require protein synthesis, and ROS generation appears to be through a NADPH-like oxidase pathway. These findings suggest interactions between the traditional apoptotic pathway including receptor activation, mitochondrial depolarization, and caspase activation. The TGF β -induced Smad signal transduction pathways are complex and may involve direct interactions rather than transcriptionally-regulated events.

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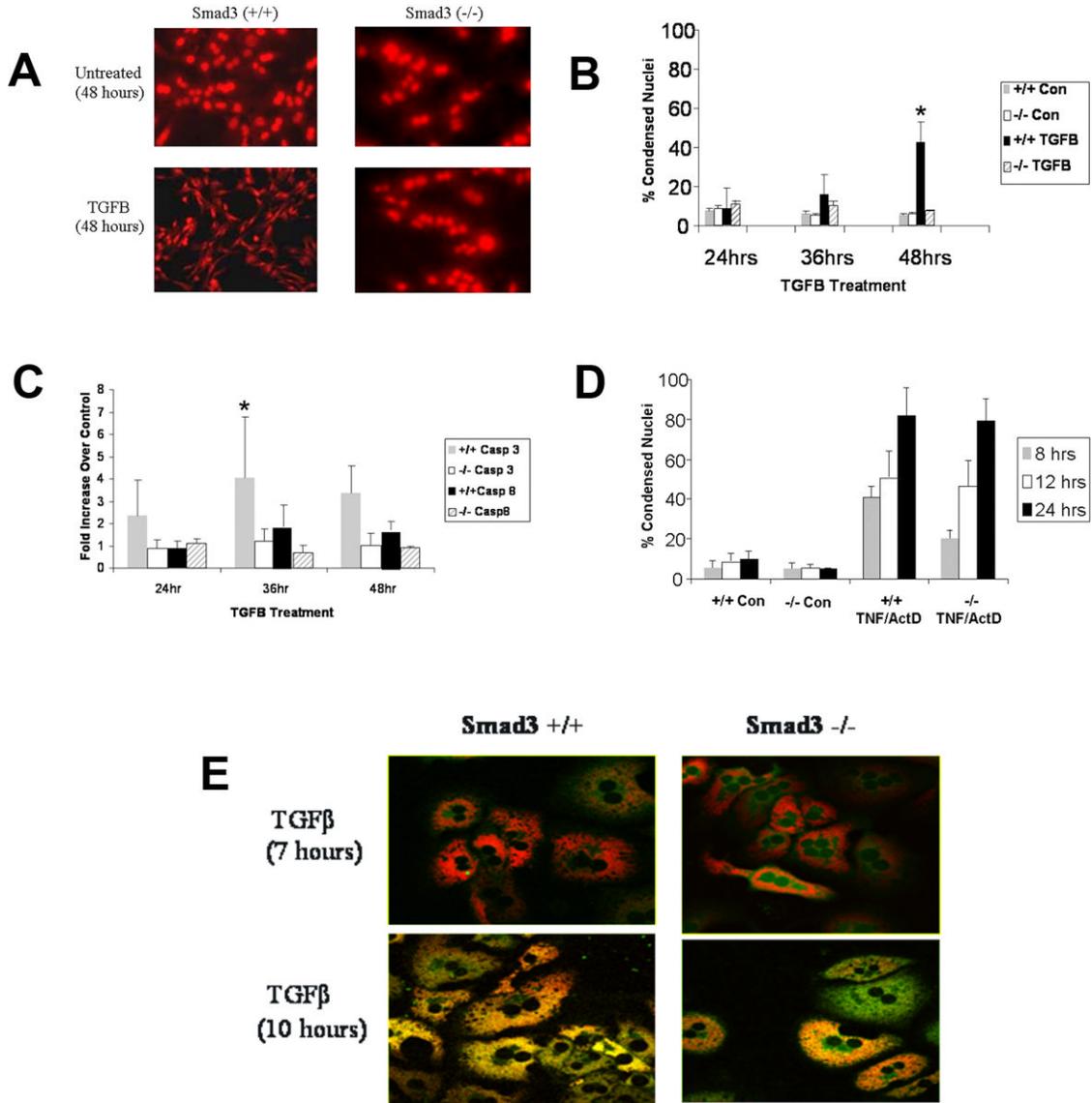


Figure 1. Smad3 (+/+) or (-/-) hepatocytes were treated with or without TGFβ (5 ng/ml), TNFα (30 ng/ml), or actinomycin D (1 μg/ml). Apoptosis was determined morphologically by propidium iodide staining and biochemically by caspase activity. (A) Smad3 (+/+) and (-/-) hepatocytes were untreated or treated with TGFβ and morphology was assessed at 48 hours by PI staining. Smad3 (+/+) hepatocytes displayed condensed nuclei and cell shrinkage consistent with apoptosis whereas Smad3 (-/-) hepatocytes appeared similar to controls. (B) Hepatocyte apoptosis was quantified by counting the number of condensed nuclei per 5 high power fields and expressed as percent condensed nuclei over time. Smad3 (+/+) hepatocytes had significantly increased (*p<0.05) apoptosis at 48 hours of TGFβ treatment compared to Smad3 (-/-) hepatocytes. (C) Apoptosis was assessed by caspase activity assay for caspase-3 and caspase-8 activation expressed as fold increase over control at 24, 36, and 48 hours. Caspase-3 activity was increased significantly (*p<0.05) in Smad3 (+/+) hepatocytes compared to Smad3 (-/-) hepatocytes at 36 hours. Caspase-8 activity demonstrated a similar pattern, but was less robust. (D) To determine if inhibition of apoptosis in Smad3 (-/-) mice was specific for TGFβ, Smad3 (+/+) and (-/-) hepatocytes were treated with or without TNFα and actinomycin

D (ActD) and apoptosis was determined. Both Smad3 (+/+) hepatocytes and Smad3 (-/-) hepatocytes readily underwent TNF α -induced apoptosis (no significant difference). (E) To determine if Smad3 (+/+) and (-/-) hepatocytes underwent MPT in response to TGF β treatment; these hepatocytes were loaded with the fluorophores TMRM (red) and calcein (green). After 10 hours of treatment, Smad3 (+/+) hepatocytes demonstrated mitochondrial loss of TMRM and uptake of calcein consistent with the MPT. Smad3 (-/-) hepatocytes, however, maintained mitochondrial integrity indicating resistance to TGF β -induced MPT.

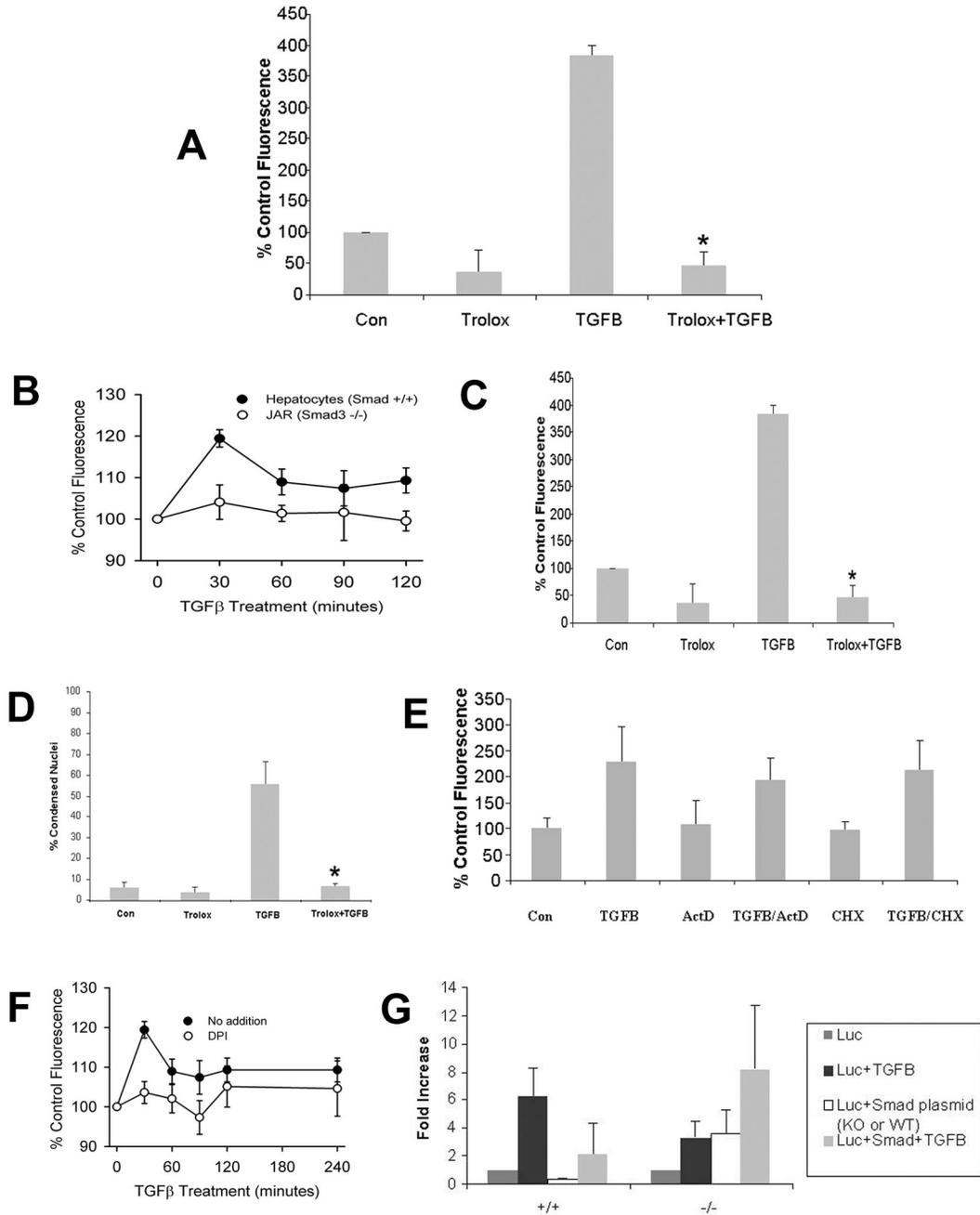


Figure 2.

The effect of ROS generation in Smad3 (+/+) and (-/-) was determined from 30–240 minutes following TGFβ treatment. (A) Smad3 (+/+) hepatocytes demonstrated consistently increased ROS activity compared to Smad3 (-/-) hepatocytes (*p<0.05 for the curves). A consistent burst of ROS activity was noted at 30–60 minutes after treatment, but the magnitude of the activity was variable. (B) ROS generation in Smad3 deficient JAR cells was fluorometrically determined. In contrast to Smad (+/+) hepatocytes, JAR cells did not increase ROS after TGFβ treatment (p<0.05). (C) Smad3 (+/+) hepatocytes were treated with the antioxidant, trolox, alone or in combination with TGFβ to determine if TGFβ-induced ROS activity could be inhibited. At 60 minutes, TGFβ treatment increased ROS generation significantly, and pretreatment with trolox attenuated markedly (*p<0.05) this burst of activity compared to

TGF β alone. (D) To determine the effect of inhibition of TGF β -induced ROS on apoptosis, Smad3 (+/+) hepatocytes were treated with trolox, TGF β , or trolox and TGF β and apoptosis was assessed morphologically at 48 hours. Inhibition of ROS generation by trolox decreased significantly (* $p < 0.05$) TGF β -induced apoptosis. (E) The dependence of Smad3-induced ROS generation on transcription and translation was determined by pretreating Smad3 (+/+) hepatocytes with either actinomycin D (10 $\mu\text{g/ml}$) or cycloheximide (10 mg/ml) and measuring H₂DCFDA cleavage at 60 minutes. Neither actinomycin D nor cycloheximide decreased early ROS generation suggesting that gene transcription or protein synthesis is not required for ROS activity following TGF β treatment. (F) The involvement of NADPH oxidase-like system in ROS increase was investigated in the presence of diphenyleneiodonium chloride (DPI). Hepatocytes were treated with 1 μM of DPI for 30 min prior to TGF β administration and ROS generation was determined by H₂DCFDA fluorometry. DPI prevented TGF β -induced increase in ROS. (G) Smad3 (+/+) and (-/-) hepatocytes were transiently transfected with a TGF β -responsive luciferase reporter plasmid, p3TPLuc, and subsequently treated with 5 ng/ml TGF β . In additional experiments, following transfection of the reporter plasmid, Smad3 (+/+) hepatocytes were transfected with a DN-Smad3 plasmid and Smad3 (-/-) hepatocytes transfected with a WT-Smad3 plasmid and luciferase measured after stimulation with TGF β . Treatment of Smad3 (+/+) hepatocytes with TGF β alone resulted in significantly increased luciferase activity compared to Smad3 (-/-) hepatocytes. However, transfection of Smad3 (+/+) hepatocytes with the DN-Smad3 followed by TGF β treatment (light gray bar) decreased markedly transcriptional activity. Conversely, transfection of Smad3 (-/-) hepatocytes with WT-Smad3 followed by TGF β treatment significantly increased luciferase activity (light gray bar).

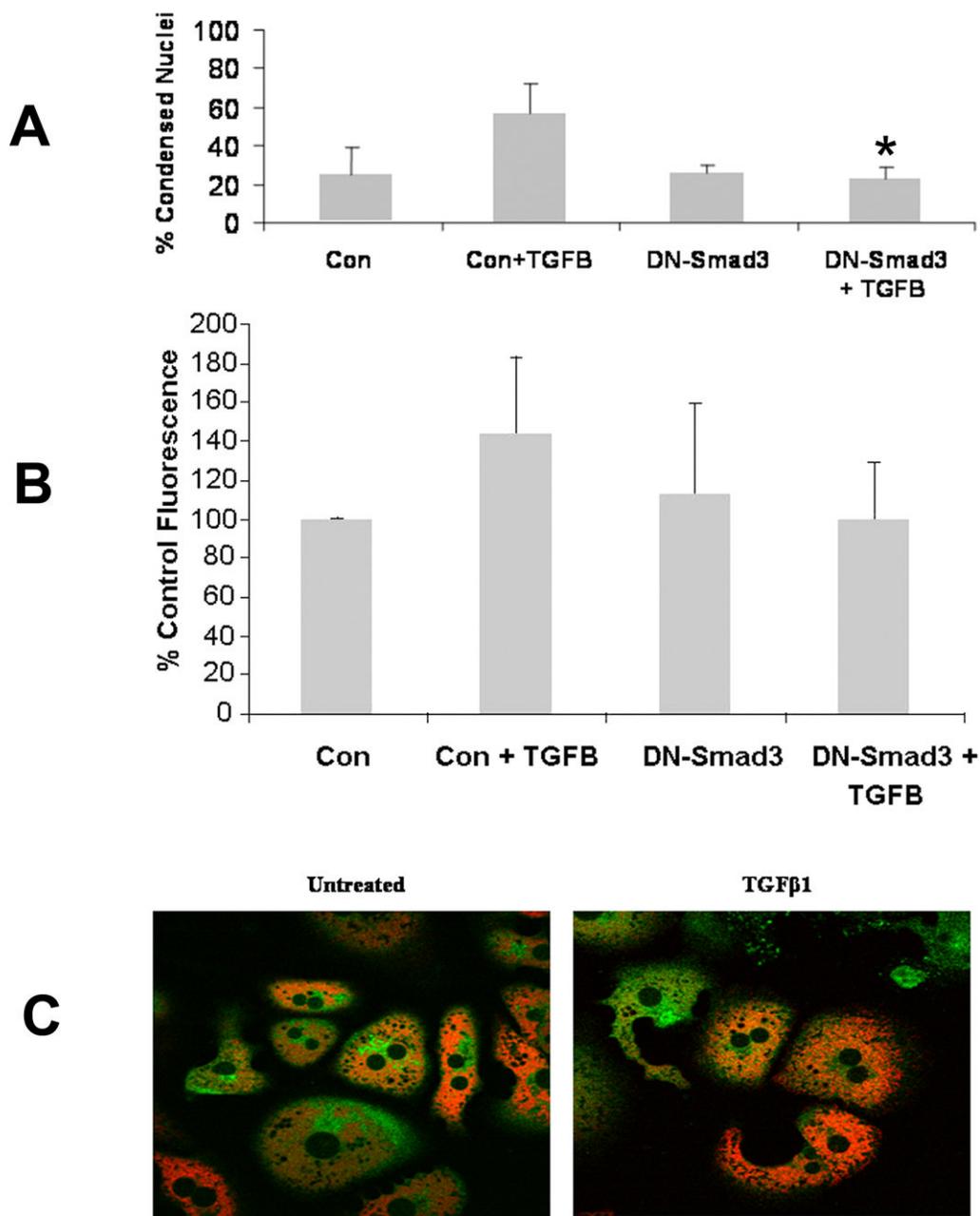


Figure 3. Smad3 (+/+) hepatocytes were transiently transfected with control vector or the DN-Smad3 vector and subsequently treated with or without TGF β prior to assessment of apoptosis, ROS generation, and MPT. (A) Smad3 (+/+) hepatocytes treated with 5 ng/ml TGF β following transfection with DN-Smad3 demonstrated significantly decreased apoptosis (* $p < 0.05$) as compared to identical cells transfected with the control vector and treated with TGF β . (B) TGF β -induced ROS in Smad3 (+/+) hepatocytes transfected with DN-Smad3 exhibited ROS generation similar to controls. (C) The MPT was assessed by confocal microscopy in Smad3 (+/+) hepatocytes transfected with the DN-Smad3 vector and subsequently treated with TGF β . Transfection with DN-Smad3 inhibited the MPT following TGF β treatment in Smad3 (+/+) hepatocytes.

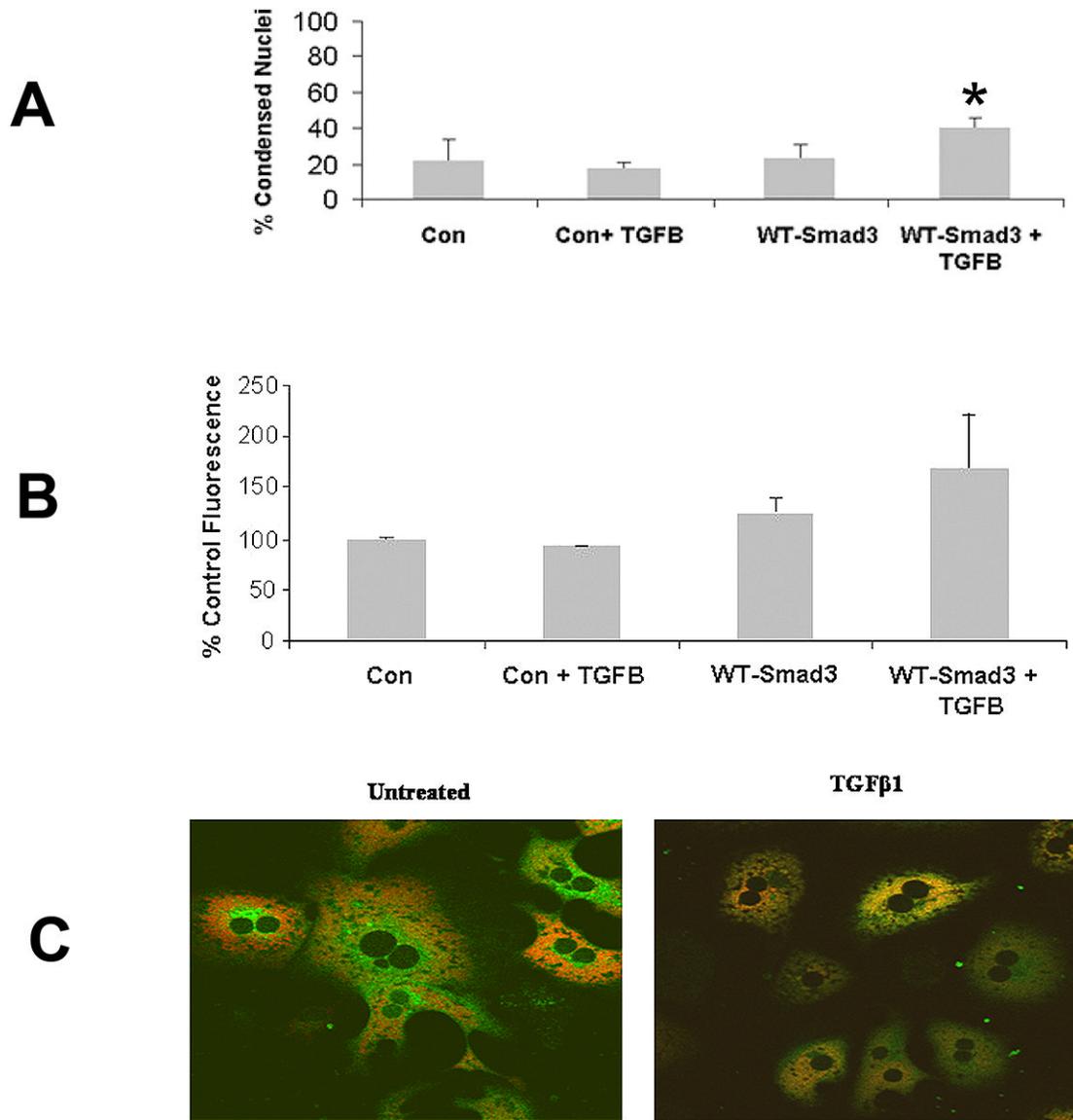


Figure 4.

Smad3 ($-/-$) hepatocytes were transiently transfected with either control vector or vector expressing WT-Smad3. Subsequently, apoptosis, ROS generation, and MPT were determined. (A) Smad3 ($-/-$) hepatocytes transiently transfected with WT-Smad3 and subsequently treated with TGF β demonstrated significantly increased apoptosis ($*p<0.05$) compared to identical cells transfected with control vector and treated with TGF β . (B) ROS was determined in Smad3 ($-/-$) hepatocytes transiently transfected with WT-Smad3 and subsequently treated with TGF β . Transfection with the WT-Smad3 partially restored ROS generation in these hepatocytes. (C) Smad3 ($-/-$) hepatocytes transiently transfected with WT-Smad3 and treated with TGF β showed MPT 12 hours following treatment. Control transfected Smad3 ($-/-$) hepatocytes treated with TGF β failed to undergo to MPT.