

# Energetics of neuronal signaling and fMRI activity

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**Energetics of resting and evoked fMRI signals were related to localized ensemble firing rates ( $\nu$ ) measured by electrophysiology in rats. Two different unstimulated, or baseline, states were established by anesthesia. Halothane and  $\alpha$ -chloralose established baseline states of high and low energy, respectively, in which forepaw stimulation excited the contralateral primary somatosensory cortex (S1). With  $\alpha$ -chloralose, forepaw stimulation induced strong and reproducible fMRI activations in the contralateral S1, where the ensemble firing was dominated by slow signaling neurons (SSN;  $\nu$  range of 1–13 Hz). Under halothane, weaker and less reproducible fMRI activations were observed in the contralateral S1 and elsewhere in the cortex, but ensemble activity in S1 was dominated by rapid signaling neurons (RSN;  $\nu$  range of 13–40 Hz). For both baseline states, the RSN activity (i.e., higher frequencies, including the  $\gamma$  band) did not vary upon stimulation, whereas the SSN activity (i.e.,  $\alpha$  band and lower frequencies) did change. In the high energy baseline state, a large majority of total oxidative energy [cerebral metabolic rate of oxygen consumption (CMR<sub>O2</sub>)] was devoted to RSN activity, whereas in the low energy baseline state, it was roughly divided between SSN and RSN activities. We hypothesize that in the high energy baseline state, the evoked changes in fMRI activation in areas beyond S1 are supported by rich intracortical interactions represented by RSN. We discuss implications for interpreting fMRI data where stimulus-specific  $\Delta$ CMR<sub>O2</sub> is generally small compared with baseline CMR<sub>O2</sub>.**

awake | behavior | calibrated fMRI | glucose | glutamate

Noninvasive NMR and electrophysiological methods offer considerably different spatiotemporal results that presumably reflect the same cerebral activity. Localized energy consumption of neuronal and glial populations in MRI voxels has been evaluated (1), initially from <sup>13</sup>C MRS (2) and more recently from calibration of functional MRI (fMRI) (3). *In vivo* electrophysiological measurements of neuronal activity, from single neurons or large ensembles (4), are considered the gold standard of cerebral activity (5). Can measurements from these dissimilar techniques provide complementary insights into the working brain?

A promising convergence between these apparently different results relies on a universal thermodynamic principle, the fundamental relationship between the work done and the energy expended. Cerebral energy comes almost exclusively from glucose oxidation (6). Recent results have shown that the cerebral metabolic rate of oxygen consumption (CMR<sub>O2</sub>) is almost completely dedicated to supporting work associated with synaptic activity (7, 8). Changes in CMR<sub>O2</sub> from calibrated fMRI (9) are linear with changes in firing rates of a representative neuronal ensemble in the same voxel (10). This basic work/energy relationship has been extended by *in vivo* investigations (11, 12) that relate imaging energetics to the underlying neuronal activities.

Neuroimaging methods localize changes of task-induced activity by subtracting the prestimulus, or baseline, signal from the signal during the task period. The incremental signal (i.e.,  $\Delta S = S_{\text{task}} - S_{\text{baseline}}$ ) is generally a small fraction of the baseline signal. Because we now know that the blood oxygenation level-

dependent (BOLD) signal reflects energy (13), and that the baseline energy supports neuronal activity (7), it is necessary to evaluate both incremental and baseline energies when comparing neuronal firing and CMR<sub>O2</sub> (14). The total energy consumption of the stimulated state (i.e., sum of baseline plus increment), which supports neuronal activity of the entire ensemble, thereby designates a privileged role. The incremental energy cannot be used by itself to interpret neuronal functions, because it ignores the large spontaneous baseline energy that also serves undefined neuronal functions (15). Our approach has been to use noninvasive NMR methods to measure the energies of the baseline state and of its increments and then to relate the total energies of both the resting and stimulated states to the activities of a representative neuronal ensemble in the same volume element (16).

To explore neuronal foundations of baseline and incremental energetics, we conducted electrophysiological and fMRI studies in anesthetized rats at two very different anesthetized states, characterized by measurements of their baseline energies. The high energy baseline state, whose cerebral metabolic rate of glucose consumption (CMR<sub>glc</sub>) is  $\approx 10$ –20% lower than the awake state, was achieved by halothane (17). The low energy baseline state, where CMR<sub>glc</sub> is reduced by  $\approx 60\%$  from the awake state, was accomplished by  $\alpha$ -chloralose (18). The same forepaw stimulation was given in both states to excite the contralateral primary somatosensory cortex (S1). There were major differences between the two states in their fMRI activations and in the firing rates of a representative neuronal ensemble. Energetics of the ensemble at the different conditions were proportional to the measured energies of their volume elements. The work/energy relationship was expected from basic findings of neuroscience that oxidative energy supports neuronal activity (8) and from our previous experimental results in anesthetized rats (10). However, the findings of the current results is that the neuronal population could be divided into subgroups of slow and rapid signaling neurons [i.e., slow signaling neurons (SSN) and rapid signaling neurons (RSN), respectively] that correlated with the dissimilar fMRI activations observed between the two states.

## Results

**fMRI Activation Maps.** Fig. 1 shows fMRI activation maps during forepaw stimulation. Although the majority of observed activations were cortical from either state, both the degree of localization in the contralateral S1 and the signal amplitudes [sup-

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**Table 1. SSN and RSN activity of the neuronal ensemble**

	High baseline (halothane)		Low baseline ( $\alpha$ -chloralose)	
	Resting, %	Stimulated, %	Resting, %	Stimulated, %
SSN	34	35	84	87
RSN	66	65	16	13

Spike rate ( $\nu$ ; 10-s bins) grouped from all recordings in S1 for resting and stimulated epochs. SSN and RSN activities were defined as neurons with  $\nu \leq 13$  Hz (i.e.,  $\alpha$  band and lower frequencies) and  $\nu \geq 13$  Hz (i.e., higher frequencies, including  $\gamma$  band), respectively. The partitioning frequency between the two bands was chosen to be 13 Hz, so that the sum of the SSN and RSN components added to the total population. Refer to Fig. 2 for details on RSN and SSN distributions and to SI Fig. 5 for details on induced responses of the ensemble. At high energy baseline state (i.e., halothane),  $\approx 2/3$  of the ensemble was involved with RSN activity, whereas the remaining fraction was dedicated to SSN activity. At low energy baseline state (i.e.,  $\alpha$ -chloralose),  $\approx 1/8$  of the population was occupied with RSN activity, whereas the remaining fraction of the ensemble was devoted to SSN activity.

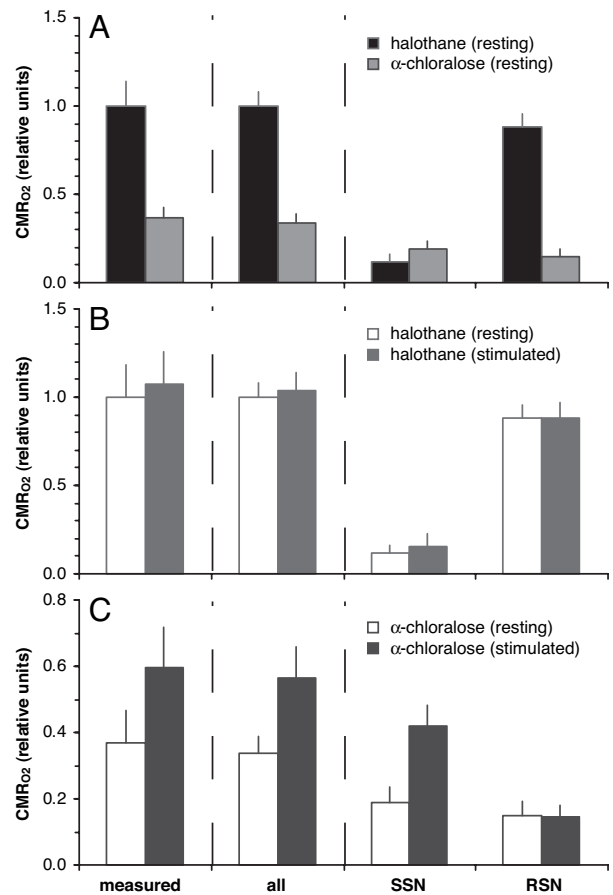
defined by firing rates  $<13$  Hz, whereas rapid signaling neurons (RSN) had firing rates in the range of 13–40 Hz.

Comparison of ensemble behavior between both unstimulated, or baseline, states shows that halothane (Fig. 2A) had slightly higher numbers in RSN than SSN (Table 1), whereas  $\alpha$ -chloralose (Fig. 2B) was heavily weighted by SSN (Table 1). Stimulation produced only minor differences in the histograms for halothane (Fig. 2A), leaving the RSN activity nearly unchanged and only slightly shifting the SSN activity to higher frequencies. In contrast, there was a major difference between resting and stimulated histograms for  $\alpha$ -chloralose (Fig. 2B). With  $\alpha$ -chloralose, RSN activity was practically absent in both resting and stimulated states, whereas upon stimulation, there was a significant shift to higher frequencies of SSN activity. The percentage of SSN activity shift (upon stimulation) for  $\alpha$ -chloralose (Fig. 2B) was similar to that observed with halothane (Fig. 2A); however, the significance of the change with  $\alpha$ -chloralose was much higher, because a larger fraction of the population contributed to SSN activity (Table 1).

**Energetic Demand of Neuronal Activity.** Fig. 3 shows the energetic demand ( $CMR_{O_2}$ ) in the contralateral S1 neuronal ensemble. The “measured” columns were evaluated from 2-deoxyglucose autoradiography and/or NMR measurements (see *Materials and Methods*), whereas the other columns (i.e., “all,” “SSN,” and “RSN”) were calculated from the histograms in Fig. 2 by weighting the number of neurons at any frequency with their firing rates on the assumption that energy expended by a neuron was linear with firing rate (Eq. 1).

The measured  $CMR_{O_2}$  for halothane (17, 19) and  $\alpha$ -chloralose (18, 20) at rest were  $3.86 \pm 0.84$  and  $1.44 \pm 0.30$   $\mu\text{mol/g}$  per min, respectively (see *Materials and Methods*). When normalized to the halothane resting state, the  $\alpha$ -chloralose resting state was  $\approx 63\%$  lower (i.e., “measured” columns in Fig. 3A). The measured  $\Delta CMR_{O_2}\%$  values for halothane and  $\alpha$ -chloralose upon stimulation were  $7 \pm 18\%$  and  $62 \pm 33\%$  (see *Materials and Methods*) from each resting state, respectively (i.e., “measured” columns in Fig. 3B and C).

Using Eq. 1, the firing rates of the ensemble were related to their energy, and  $CMR_{O_2}$  was calculated for all neurons in the histograms. The calculated  $CMR_{O_2}$  using all neurons (i.e., “all” columns in Fig. 3A) for halothane at rest was normalized to  $1.00 \pm 0.08$ , so that the  $\alpha$ -chloralose rest value was  $0.34 \pm 0.05$ . Upon stimulation, the calculated values of  $\Delta CMR_{O_2}\%$  (using all neurons) for halothane and  $\alpha$ -chloralose were  $4 \pm 10\%$  and  $67 \pm 27\%$ , respectively, from each resting state (i.e., “all” columns in Fig. 3B and C). The calculated  $CMR_{O_2}$  for all neurons were then



**Fig. 3.** Total energy demand ( $CMR_{O_2}$ ) in the S1 neuronal ensemble. Comparisons of energetic costs among (A) resting states under halothane and  $\alpha$ -chloralose anesthesia, (B) halothane anesthesia at rest and during stimulation, and (C)  $\alpha$ -chloralose anesthesia at rest and during stimulation. Data for the “measured” columns were estimated from 2-deoxyglucose autoradiography and/or NMR measurements (see *Materials and Methods*). Data for other columns were calculated from the histograms in Fig. 2 using Eq. 1. The “all” columns were calculated by integrating the firing rate for each neuron for all neurons in the ensemble, whereas the “SSN” and “RSN” columns were calculated by integrating only the firing rate for the SSN and RSN portions of the ensemble, respectively. Refer to Table 1 for details. In all cases, good agreement was found between the “measured” and “all” columns. Partitioning of energetic cost between SSN and RSN portions of the ensemble suggests significantly different contributions under halothane and  $\alpha$ -chloralose anesthesia. Energetic cost of RSN activity was almost unaffected by stimulation, whereas the SSN activity was more responsive to stimulation.

partitioned into SSN and RSN activities (i.e., “SSN” and “RSN” columns in Fig. 3).

After normalizing all values to the calculated resting  $CMR_{O_2}$  of halothane, excellent agreement was found between  $CMR_{O_2}$  measured and calculated for all neurons (i.e., compare “measured” and “all” columns in Fig. 3). Comparison of  $CMR_{O_2}$  between resting states for both anesthetics (Fig. 3A) shows that  $CMR_{O_2}$  decreased by  $63 \pm 22\%$  when measured and  $66 \pm 5\%$  when calculated, switching from halothane to  $\alpha$ -chloralose anesthesia. Under halothane (Fig. 3B),  $\Delta CMR_{O_2}\%$  upon stimulation were  $7 \pm 18\%$  when measured and  $4 \pm 10\%$  when calculated. Similarly, under  $\alpha$ -chloralose (Fig. 3C),  $\Delta CMR_{O_2}\%$  upon stimulation were  $62 \pm 33\%$  when measured and  $67 \pm 27\%$  when calculated. Correspondence between  $CMR_{O_2}$  measured and calculated (for all neurons) validated the relationship found between  $\Delta \nu$  and  $\Delta CMR_{O_2}$  (10, 14) and established the validity of using the integration method of multiplying number of neurons with their firing rates (Eq. 1).



(26, 31). On this hypothesis, in the low energy baseline state, RSN activity should be weaker throughout the cortex, which is consistent with  $\gamma$  band correlations not being detected under deep anesthesia (27). Studies are underway to assess the magnitude of RSN activity at other high energy baseline states.

Movement-free high resolution fMRI and electrophysiological data from rodents require, to some degree, restraint of the subject. In animal studies, this is usually achieved by anesthesia. The rats in our studies was also immobilized with paralyzing agents (*SI Text*) and the systemic physiology in the different anesthetized states was tightly controlled (*SI Table 3*). Anesthetics typically reduce brain energy consumption rather uniformly across regions (*SI Fig. 6*) and cortical energy decline is generally monotonic with anesthesia depth. It is generally accepted that most anesthetics, inhaled or injected, depress synaptic field oscillations as well as firing rates (46) and metabolism (47) of excitatory pyramidal cortical neurons possibly by enhancing GABA<sub>A</sub>-mediated synaptic inhibition (48, 49). If halothane influences neurovascular and neurometabolic couplings differently than  $\alpha$ -chloralose, fMRI activations could be different between the two states. Although exact molecular mechanism(s) of action for halothane and  $\alpha$ -chloralose could vary (50), evidence from sensory stimulation studies suggests that neurovascular and neurometabolic couplings similar to the awake state are maintained by both of these anesthetics (*SI Fig. 7*). However, NMR studies of flow-metabolism coupling over a wide range of anesthetized states (51) and high resolution fMRI studies of the whole brain (52) are needed to avoid uncertainties.

In our limited data, acquired from only two anesthetized states, the relationship between RSN activity,  $\gamma$  band signaling, and the degree of fMRI activity localization is seen to vary with energy. We are hypothesizing that this energy/activity relationship will overwhelm any additional effects that might be introduced from other parameters (e.g., type of anesthetic used). Although further studies with different anesthetics are underway, our hypothesis is that the total energy is the dominant parameter that determines the RSN/SSN distribution of a finite neuronal population in a local region.

**Energetic Basis of Baseline Activity.** For a long time it was assumed, partly based on calculations from Creutzfeldt (53), that neuronal signaling requires only a negligible fraction of cerebral energy. This view of low energetic cost for neuronal signaling was further supported by early PET data suggesting that negligible CMR<sub>O<sub>2</sub></sub> increments (in the primary sensory region) were needed for function (54). Thus, the idea that brain spent little energy on function implicitly justifies using difference signals, representing small energy changes, to describe brain function allowing the large energies in the resting state to be ignored (55).

However, the “resting” brain is never at rest. Excitatory and inhibitory neurons in the cortex are never electrically silent, even in the absence of specific sensory (or cognitive) stimuli. High resting neuronal activity has been acknowledged since the earliest microscopic or macroscopic electrical recordings made from anesthetized or awake animals (56, 57). Nonetheless it took years of research, recently guided by <sup>13</sup>C MRS and calibrated fMRI, to establish the high energetic cost of activity at rest and then to show that differencing it away discards a large fraction of the total energy needed for function. Identification of the high energy consumption of neuronal activity for the unstimulated state (7, 8, 58) opened the door to hypotheses about the psychological processes supported by this activity.

We propose a model, based on our results, that relates energy in the SSN and RSN subpopulations to the localization of fMRI signals. At states of high energy baseline, a very large fraction of the energy supports the dominant RSN activity responsible for intracortical signaling across different regions. A sensory task stimulates a small SSN subpopulation which supports stimulus-specific inputs within the primary area. Ubiquitous intracortical interactions leads

to widespread activations in other regions, and as much as possible, these regions have to be assessed without prejudice to preconceived neuroanatomical hierarchy. At states with low energy baseline, the RSN activity consumes a much smaller fraction of the energy reflecting reduced intracortical signaling. A task now stimulates the larger SSN subpopulation and requires much larger incremental energy to support stimulus-specific inputs within the primary area. However, the attenuated intracortical signaling does not spread activations into other regions and thus most of the activity remains within the primary area.

This model does not make *a priori* assumptions about the dedication of activities in the resting state to detailed psychological activities. Instead, it relates the observed SSN and RSN subpopulations to the observed BOLD signal localization (or lack thereof). In the present experiments, the distribution of energy between these two subpopulations, thought to be composed primarily of regular spiking pyramidal neurons (59), is presented as a function of the total energy of the resting state. The magnitude of this energy and its role in the breakdown into neuronal subpopulations are properties of the state and may depend on many factors, such as the anesthetic in use or other unmeasured physiological parameters that could alter the brain state, perhaps even while awake. The present breakdown of activity into two subpopulations of neuronal activity and energetics suggests their correlations with energetics and delocalization of functional activation patterns.

## Materials and Methods

**Animal Preparation for Multimodal MRI and Electrophysiology.** All experiments were conducted on male Sprague–Dawley rats. A block design (off-on-off) electrical stimulation (2 mA, 0.3 ms, 3 Hz) was provided to each forepaw with a pair of copper electrodes. Each forepaw was stimulated separately. The stimulation period (0.5–5 min) was repeated between 2 and 5 times (in each anesthetized state) with at least 10-min resting periods. First functional studies under halothane ( $\approx 1\%$ ) were conducted within  $\approx 1.5$  h. Anesthesia was then switched to  $\alpha$ -chloralose with appropriate delay allowed for halothane clearance. Then functional studies under  $\alpha$ -chloralose ( $45 \pm 9$  mg/kg per hour) began. For each rat, exactly the same stimulation protocol was applied, first under halothane and then under  $\alpha$ -chloralose. Other details of experimental procedures are described in *SI Text*.

**Energy Consumption of Neuronal Activity.** We used literature values of CMR<sub>glc</sub> (from 2-deoxyglucose autoradiography) and/or CMR<sub>O<sub>2</sub></sub> (from NMR) to estimate the energy demand in S1. It was necessary to convert the CMR<sub>glc</sub> to CMR<sub>O<sub>2</sub></sub> by assuming (3) that glucose is fully oxidized. Resting state CMR<sub>glc</sub> for halothane (17, 19) and  $\alpha$ -chloralose (18, 20) in S1 were  $0.64 \pm 0.14$  and  $0.24 \pm 0.05$   $\mu\text{mol/g/min}$ , respectively, and were converted to CMR<sub>O<sub>2</sub></sub> for each resting state value, which agree with <sup>13</sup>C MRS (*SI Table 4*). Previously measured CMR<sub>glc</sub> changes in S1 upon stimulation (19, 20) with halothane ( $5 \pm 21\%$ ) and  $\alpha$ -chloralose ( $48 \pm 26\%$ ) were converted to  $\Delta\text{CMR}_{\text{O}_2}\%$ . With calibrated fMRI, we measured  $\Delta\text{CMR}_{\text{O}_2}\%$  in S1 upon stimulation (*SI Table 4*) with halothane ( $13 \pm 12\%$ ) and  $\alpha$ -chloralose ( $90 \pm 24\%$ ). Because of uncertainties associated with calibrated fMRI (*SI Text*) for the very different baseline states, as determined by their respective CBF/CMR<sub>O<sub>2</sub></sub> baseline value differences (*SI Fig. 7*), we averaged all measured  $\Delta\text{CMR}_{\text{O}_2}\%$  values to minimize experimental bias.

Histograms of firing rate ( $\nu$ ; 10-s bins) from the electrophysiology data in S1 were converted to CMR<sub>O<sub>2</sub></sub> by assuming (8, 10, 14, 60) that oxidative energy is proportional to the number of cells firing at a given rate,

$$\text{CMR}_{\text{O}_2} = G \sum_i N_i \nu_i, \quad [1]$$

where  $i$  spans the entire range of frequencies in the histogram,  $N_i$  is the number of cells at the  $i$ th frequency,  $\nu_i$  is the  $i$ th frequency in the histogram, and  $G$  is a scaling factor that accounts for neuronal density and metabolic rate per neuron (8, 60). The SSN and RSN activity bands were defined as  $\nu \leq 13$  Hz (i.e.,  $\alpha$  band and lower frequencies) and  $\nu \geq 13$  Hz (i.e., higher frequencies, including  $\gamma$  band), respectively, for the energetic calculations using Eq. 1. The separating frequency between the two bands was chosen to be 13 Hz, so that the sum of the SSN and RSN components equaled the total histogram in every case.

