

# Developmental Regulation of VDJ Recombination By the Core Fragment of the T Cell Receptor $\alpha$ Enhancer

By Joseph L. Roberts, Pilar Lauzurica, and Michael S. Krangel

From the Department of Immunology, Duke University Medical Center, Durham, North Carolina 27710

## Summary

The role of T cell receptor  $\alpha$  enhancer ( $E_\alpha$ ) *cis*-acting elements in the developmental regulation of VDJ recombination at the TCR  $\alpha/\delta$  locus was examined in transgenic mice containing variants of a minilocus VDJ recombination substrate. We demonstrate that the 116-bp T $\alpha$ 1,2 core enhancer fragment of the 1.4-kb  $E_\alpha$  is sufficient to activate the enhancer-dependent step of minilocus rearrangement, and that within T $\alpha$ 1,2, intact binding sites for TCF/LEF and Ets family transcription factors are essential. Although minilocus rearrangement under the control of the 1.4-kb  $E_\alpha$  initiates at fetal day 16.5 and is strictly limited to  $\alpha\beta$  T cells, we find that rearrangement under the control of T $\alpha$ 1,2 initiates slightly earlier during ontogeny and occurs in both  $\gamma\delta$  and  $\alpha\beta$  T cells. We conclude that the core fragment of  $E_\alpha$  can establish accessibility to the recombinase in developing thymocytes *in vivo* in a fashion that is dependent on the binding of TCF/LEF and Ets family transcription factors, but that these and other factors that bind to the  $E_\alpha$  core cannot account for the precise developmental onset of accessibility that is provided by the intact  $E_\alpha$ . Rather, our data suggests a critical role for factors that bind  $E_\alpha$  outside of the core T $\alpha$ 1,2 region in establishing the precise developmental onset of TCR  $\alpha$  rearrangement *in vivo*.

Ordered recombination of TCR variable (V), diversity (D), and joining (J) gene segments is a process that is crucial for the generation of the diverse antigen recognition repertoires that characterize mature  $\alpha\beta$  and  $\gamma\delta$  T cells (1–3). The most immature thymic population has a CD4<sup>low</sup> CD8<sup>-</sup> CD3<sup>-</sup> CD25<sup>-</sup> HSA<sup>+</sup> phenotype and has all TCR genes in unrearranged configuration (4). These cells subsequently lose expression of CD4 to become CD4<sup>-</sup> CD8<sup>-</sup> double negative (DN)<sup>1</sup> cells, which can be further subdivided into four distinct populations on the basis of CD44 and CD25 expression. DN thymocytes mature from CD44<sup>hi</sup> CD25<sup>-</sup> to CD44<sup>hi</sup> CD25<sup>+</sup> to CD44<sup>low</sup> CD25<sup>+</sup> to CD44<sup>low</sup> CD25<sup>-</sup> (5, 6). The CD44<sup>low</sup> CD25<sup>+</sup> DN subset expresses high levels of RAG-1 and RAG-2 and undergoes extensive VDJ recombination at the TCR- $\beta$ , - $\gamma$ , and - $\delta$  loci (7, 8). In-frame TCR- $\beta$  rearrangement directs the synthesis of a TCR- $\beta$  protein which, in conjunction with pT $\alpha$ , forms a pre-TCR (9, 10) that functions to inhibit RAG-1 and RAG-2 expression, to drive thymocyte proliferation, and to drive thymocyte maturation through the CD44<sup>low</sup> CD25<sup>-</sup> DN and immature single positive (ISP) stages to the CD4<sup>+</sup> CD8<sup>+</sup> double positive (DP) stage (7, 8, 11–13). TCR- $\alpha$  rear-

angement is activated as thymocytes transit into the DP stage (5, 7, 14).

The relationship between TCR gene rearrangement events and thymocyte commitment to the  $\alpha\beta$  or  $\gamma\delta$  lineage has been an area of intense interest. As TCR- $\beta$  and TCR- $\gamma$  rearrangements are found in both  $\alpha\beta$  and  $\gamma\delta$  T cells, the initiation of rearrangement events at these loci is not associated with lineage commitment. TCR- $\delta$  gene segments lie within  $V_\alpha$  and  $J_\alpha$  gene segments in the complex TCR- $\alpha/\delta$  locus and are therefore deleted by  $V_\alpha$  to  $J_\alpha$  rearrangement (15–17). Recent reports have identified rearranged TCR- $\delta$  genes in ISP precursors of  $\alpha\beta$  T cells before the onset of TCR- $\alpha$  rearrangement (14) and on  $V_\alpha$ - $J_\alpha$  excision products in  $\alpha\beta$  thymocytes and peripheral T cells (18–20), arguing that TCR- $\delta$  gene rearrangement is initiated in a common precursor of  $\alpha\beta$  and  $\gamma\delta$  T cells as well. Because excised TCR- $\delta$  gene VDJ recombination products are relatively depleted of in-frame rearrangements (18, 19), it appears likely that functional TCR- $\delta$  and TCR- $\gamma$  rearrangement can commit thymocytes towards the  $\gamma\delta$  pathway and away from the  $\alpha\beta$  pathway. However, because at least some  $\gamma\delta$  cells show evidence of selection on the basis of functional TCR- $\beta$  gene rearrangement (11), and late stage CD44<sup>low</sup> CD25<sup>-</sup> DN thymocytes have been shown to include precursors of both  $\alpha\beta$  and  $\gamma\delta$  T cells (21), at least some thymocytes may remain uncommitted until very late in the DN population. The activation of TCR- $\alpha$  rearrangement as thymocytes transit into the DP stage, with concomitant deletion of TCR- $\delta$ ,

<sup>1</sup>Abbreviations used in this paper: DN, double negative; DP, double positive;  $E_\alpha$ ,  $\alpha$  enhancer;  $E_\delta$ ,  $\delta$  enhancer; HMG, high mobility group; ISP, immature single positive.

must irrevocably assign all remaining uncommitted thymocytes to the  $\alpha\beta$  pathway. TCR- $\alpha$  is therefore the only TCR gene whose rearrangement is activated in a lineage-specific fashion. The mechanisms that establish the developmental onset of TCR- $\alpha$  rearrangement are therefore of particular interest.

Numerous studies have demonstrated that transcriptional promoters and enhancers play an important role in the developmental regulation of VDJ recombination at TCR and Ig loci, probably by modulating accessibility of chromosomal recombination substrates to the recombinase machinery (for recent reviews, see references 22, 23). We have examined the role of transcriptional enhancers in the temporal and lineage-specific control of VDJ recombination at the TCR- $\alpha/\delta$  locus by evaluating VDJ recombination in transgenic mice carrying variants of a human TCR- $\delta$  gene minilocus rearrangement substrate that included either the 1.4-kb TCR- $\delta$  enhancer ( $E_\delta$ ) or the 1.4-kb TCR- $\alpha$  enhancer ( $E_\alpha$ ) (24, 25). We found that the developmental regulation of minilocus rearrangement under the control of  $E_\delta$  or  $E_\alpha$  paralleled that found at the endogenous TCR- $\alpha/\delta$  locus. Specifically, in  $E_\delta$ -bearing transgenic lines, the enhancer-dependent VD to J step of minilocus rearrangement began on fetal day 14.5 and was equivalent in  $\alpha\beta$  and  $\gamma\delta$  T cells, much like endogenous  $V_\delta D_\delta J_\delta$  rearrangement. In  $E_\alpha$ -bearing transgenic lines, VD to J rearrangement was delayed until fetal day 16.5 and was limited to  $\alpha\beta$  cells, much like endogenous  $V_\alpha J_\alpha$  rearrangement (25). These results imply that  $E_\delta$  and  $E_\alpha$  play important roles in the developmental regulation of  $V_\delta D_\delta J_\delta$  and  $V_\alpha J_\alpha$  rearrangement, respectively, at the endogenous TCR- $\alpha/\delta$  locus.

An important goal of ours has been to identify the *cis*-acting elements of  $E_\alpha$  that are critical in establishing the precise developmental regulation of  $V_\alpha J_\alpha$  rearrangement at the TCR- $\alpha/\delta$  locus. The core or minimal enhancer fragment of  $E_\alpha$  has been defined as a 116-bp fragment ( $T\alpha 1,2$ ) that contains binding sites for several transcription factors, including ATF/CREB, TCF-1/LEF-1, CBF/PEBP2, and Ets proteins (26–28). This definition as a core or minimal enhancer is based on the ability of  $T\alpha 1,2$  to potentially activate plasmid reporter gene expression in transient transfection experiments and the critical role played by each of the defined factor binding sites for significant enhancer activity. Further supporting the role of this enhancer fragment as a discrete functional unit is its ability to support the cooperative assembly of a stable nucleoprotein complex *in vitro* in the presence of the various transcription factors noted above (28). These transcription factors and their cognate binding sites in  $T\alpha 1,2$  are therefore attractive candidates for contributing to the developmental regulation of VDJ recombination by  $E_\alpha$  *in vivo*. Two of these binding sites were selected for analysis in the present study. The first binds the related TCF-1 and LEF-1 members of the high mobility group (HMG) –1 box family of DNA binding proteins (29–31). These proteins bind to the minor groove of DNA and induce a sharp bend in the DNA helix that, in the case of LEF-1, has been shown to facilitate interactions between Ets-1 and ATF/CREB proteins bound at nonadjacent sites in  $T\alpha 1,2$  to generate a stable and active nucle-

oprotein complex (28, 32). Transient transfection experiments have shown that an intact TCF-1/LEF-1 binding site is essential for  $T\alpha 1,2$  enhancer activity, and that LEF-1 and TCF-1 can both transactivate reporter gene expression by binding to  $T\alpha 1,2$  (28, 29, 31). The second *cis*-acting element studied is the binding site for members of the Ets family of transcription factors. Transient transfection experiments have shown that an intact Ets binding site is also required for  $T\alpha 1,2$  enhancer activity, and that the Ets-1 protein can bind to  $T\alpha 1,2$  and transactivate a  $T\alpha 1,2$ -driven reporter gene as well (28, 33).

In the present study, we examine VDJ recombination of the TCR  $\delta$  gene minilocus under the control of wild-type  $T\alpha 1,2$  sequences and compare it with VDJ recombination mediated by  $T\alpha 1,2$  fragments carrying mutations in either the TCF/LEF- or Ets binding sites. Our results indicate the  $T\alpha 1,2$  core enhancer can activate VDJ recombination in a fashion that is dependent on TCF/LEF and Ets family transcription factors, but that additional  $E_\alpha$  sequences that lie outside of the enhancer core are required for precise developmental control.

## Materials and Methods

**Transgenic Mice.** The Ets binding site mutation ( $T\alpha 1,2mEts$ ) was generated by PCR using the 700-bp BstXI fragment of the human  $E_\alpha$  cloned into the BamHI site of pUC13 ( $E_\alpha 0.7$ ) as a template (26) (provided by J. Leiden, University of Chicago, Chicago, IL). PCR was performed using the mutagenic oligonucleotide Ets (TATTTTAAACTCTTCTTTCCAGAACTTGTTGGCTTCT) and the –40 primer. The PCR product was digested with DraI and EcoRI to generate a 135-bp fragment that was ligated into SmaI and EcoRI cut pBluescript KS+. Dideoxynucleotide sequence analysis of the resultant plasmid confirmed that the insert carried a 3-bp change in the  $T\alpha 1,2$  Ets binding site. The 125-bp  $T\alpha 1,2mEts$  was excised from this plasmid by digestion with BamHI (plus PvuI to further cleave the plasmid and prevent subsequent religation), and the ends were blunted by treatment with the Klenow fragment of *Escherichia coli* DNA polymerase I. The  $T\alpha 1,2mEts$  fragment was then ligated into XbaI-digested, blunted, and phosphatase-treated pBluescript carrying the previously described enhancerless minilocus (24).

$T\alpha 1,2$  with a 2-bp mutation in the TCF/LEF binding site ( $T\alpha 1,2mTCF$ ) was generated by PCR overlap extension (34) using  $E_\alpha 0.7$  template DNA, mutagenic oligonucleotides TCF-1A (GGG-AGAGCTTCTATGGGTGCCCTAC) and TCF-1B (GTAGGG-CACCCATAGAAGCTCTCCC), along with the –40 and reverse primers. The final PCR product was digested with DraI and EcoRI, cloned into SmaI and EcoRI cut pBluescript KS+, and sequenced. The 125-bp  $T\alpha 1,2mTCF$  fragment was then excised from the plasmid with BamHI, blunt ended with Klenow, and ligated into XbaI-digested, blunted, and phosphatase-treated pBluescript carrying the enhancerless minilocus.

The  $T\alpha 1,2$  fragment of  $E_\alpha$  had been previously excised from the  $E_\alpha 0.7$  plasmid using BamHI and DraI digestion, blunt ended with Klenow, and subcloned into EcoRV cut pBluescript KS+. To generate a minilocus containing wild-type  $T\alpha 1,2$ , the insert was excised from this plasmid by digestion with HindIII and SmaI, blunt ended with Klenow, and cloned into XbaI-digested, blunted, and phosphatase-treated pBluescript carrying the enhancerless minilocus.

After confirmation of minilocus construct structures by di-deoxynucleotide sequence analysis, minilocus DNA was purified as previously described (24) and microinjected into fertilized (C57BL/6 × SJL/J)F2 eggs by the Duke University Comprehensive Cancer Center Shared Transgenic Mouse Facility. Progeny tail DNA was initially characterized on Southern blots probed with radiolabeled  $C_{\delta}$  and  $V_{\delta}1$  fragments. Transgene germline copy number was determined by analysis of  $T\alpha 1,2$ ,  $T\alpha 1,2mEts$ , and  $T\alpha 1,2mTCF$  tail DNAs, along with tail DNAs of previously identified single copy integrants, on slot blots (Schleicher & Schuell, Keene, NH). Blots were probed with a radiolabeled  $C_{\delta}$  fragment and the resultant hybridization signals quantified using a Betascope (Betagen, Waltham, MA). Transgenes were maintained on a mixed C57BL/6 × SJL/J background.

**PCR.** With the exception of experiments using sorted  $\alpha\beta$  and  $\gamma\delta$  cells depicted in Fig. 6, genomic DNA PCR templates were prepared from thymi of 4-wk-old animals by standard techniques (35). For single copy transgenic lines, 12 ng of genomic DNA was used as a template for PCR reactions. For multicopy integrants, the quantity of genomic DNA used in PCR was reduced to account for copy number and to insure that all PCR signals were in the linear range. PCR templates from sorted  $\alpha\beta$  and  $\gamma\delta$  thymocytes (as well as unsorted thymocytes from the same animals) were prepared by incubation of  $<1 \times 10^6$  pelleted cells in 200  $\mu$ l lysis buffer (10 mM Tris, pH 8.4, 2.5 mM  $MgCl_2$ , 50 mM KCl, 200  $\mu$ g/ml gelatin, 0.45% NP40, 0.45% Tween-20, and 60  $\mu$ g/ml proteinase K) for 1 h at 56°C and then 15 min at 95°C (36). Sample aliquots containing  $5 \times 10^3$  cell equivalents were immediately used as templates in PCR reactions. All PCR reactions were performed identically using previously described reaction conditions and primers (24).

**Blot Hybridization of Genomic DNA and PCR Products.** Gel electrophoresis, blotting, and hybridization with  $^{32}P$ -labeled probes were performed as previously described (24). Hybridization signals were quantified using a Betascope and reported values for VD and VDJ rearrangement signals were normalized to the  $C_{\delta}$  signal for each template.

**Antibodies.** Biotinylated H57-597 anti-TCR- $\beta$ , phycoerythrin-conjugated GL3 anti-TCR- $\gamma\delta$ , FITC-streptavidin, and unlabeled 2.4G2 anti-Fc $\gamma$ RII/III mAbs for flow cytometry were obtained from PharMingen (San Diego, CA). GK1.5 anti-CD4 (37) and 41-3.48 anti-Lyt-2.2 (38) mAbs were used for cell depletions as culture supernatants.

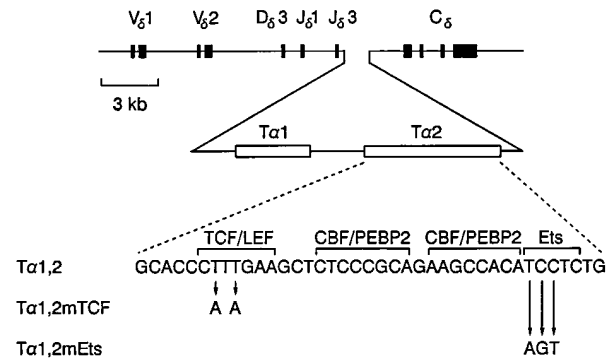
**Flow Cytometric Analysis and Cell Sorting.** Enriched  $CD4^-CD8^-$  cells were prepared from single cell suspensions of thymocytes from 4-wk-old animals by treatment with saturating amounts of GK1.5 and 41-3.48 mAbs and rabbit complement (Cedarlane Laboratories, Ltd., Hornby, ON, Canada) for 60 min at 37°C. Viable cells were collected after centrifugation over Lympholyte-M (Cedarlane Labs. Ltd.). The enriched  $CD4^-CD8^-$  cells and unfractionated thymocytes from the same animal were incubated with saturating concentrations of unlabeled 2.4G2, biotinylated H57-597, and phycoerythrin-GL3 for 40 min at 4°C in PBS with 0.1% BSA and 0.1%  $NaN_3$ , washed twice, and then stained with FITC-Streptavidin for 20 min at 4°C. Cells were subsequently washed and sorted using a FACStar Plus® (Becton Dickinson, Mountain View, CA). H57-597 $^+$   $\alpha\beta$  cells were sorted using stained unfractionated thymocytes as a starting population while GL3 $^+$   $\gamma\delta$  cells were sorted using identically stained, enriched  $CD4^-CD8^-$  cells as a starting population. Immediate reanalysis of sorted populations by two-color flow cytometry revealed contamination with  $<1\%$  of cells expressing the inappropriate cell surface TCR in all cases.

**Fetal Thymus Samples.** Fetal mice were obtained from timed matings of homozygous transgenic males and 6–8-wk-old (C57BL/6 × SJL/J)F1 females (Jackson Laboratory, Bar Harbor, ME). The day of detecting a vaginal plug was designated as day 0.5 of embryonic development. Genomic DNA prepared by standard techniques from pooled fetal thymi of individual litters was analyzed for minilocus rearrangement by PCR.

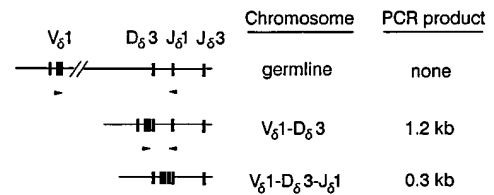
## Results

**The 116-bp Core  $E_{\alpha}$  Fragment  $T\alpha 1,2$  Is Sufficient to Activate Minilocus Rearrangement In Vivo.** The rearrangement substrate used in the present study has been previously described as a 22.5-kb human TCR- $\delta$  gene minilocus consisting of germline  $V_{\delta}1$ ,  $V_{\delta}2$ ,  $D_{\delta}3$ ,  $J_{\delta}1$ ,  $J_{\delta}3$ , and  $C_{\delta}$  gene segments (24). Frameshift mutations within the  $V_{\delta}1$  and  $V_{\delta}2$  coding segments prevent the rearranged transgene from encoding a functional TCR polypeptide that could alter normal T cell development in transgenic mice. The initial step of transgene rearrangement, V to D, is enhancer independent (24). The second step of transgene rearrangement, VD to J, is dependent on the presence of a functional enhancer in the  $J_{\delta}3$ - $C_{\delta}$  intron. Thus, we infer that the enhancer is required to promote J segment accessibility to the recombi-

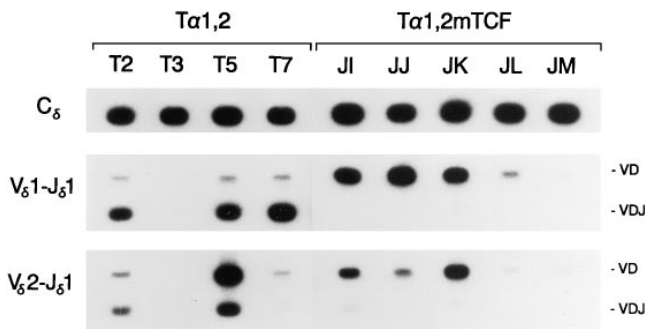
### A Constructs:



### B $V_{\delta}1$ rearrangements:



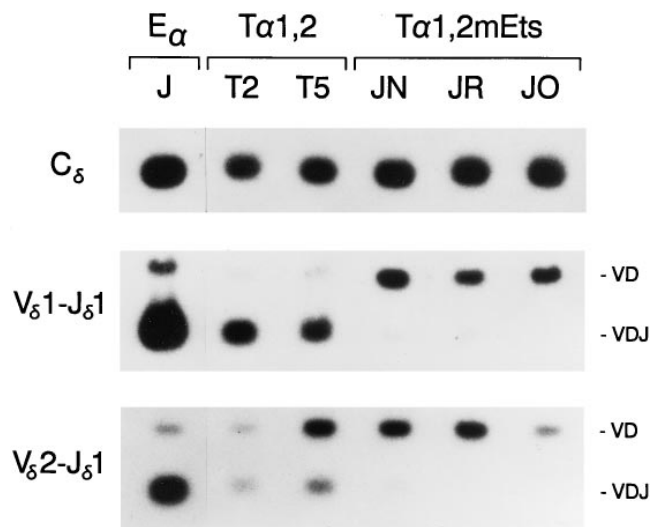
**Figure 1.** Human TCR- $\delta$  gene minilocus. (A) Diagram of the three  $T\alpha 1,2$ -containing minilocus constructs. Solid boxes, exons, open boxes, protein binding sites. Wild-type and mutant  $T\alpha 2$  sequences are shown. (B) PCR products generated from  $V_{\delta}1$  rearrangements are depicted along with the  $V_{\delta}1$  and  $J_{\delta}1$  primers (arrows) used. Similar products are generated using  $V_{\delta}2$  and  $J_{\delta}1$  primers. Specific PCR products are not generated from unrearranged templates because of the large distances between primers. The primers do not amplify products from the endogenous murine TCR- $\delta$  locus.



**Figure 2.** PCR analysis of T $\alpha$ 1,2 and T $\alpha$ 1,2mTCF minilocus rearrangement. Genomic DNA templates from unfractionated thymocytes of T $\alpha$ 1,2 mice from lines T2, T3, T5, and T7, and of T $\alpha$ 1,2mTCF mice from lines JI, JJ, JK, JL, and JM (all 4 wk old) were amplified by PCR using the indicated primers. Southern blots were probed with radiolabeled C $\delta$ , V $\delta$ 1, or V $\delta$ 2 DNA fragments. The positions of 1.2-kb VD and 0.3-kb VDJ rearrangement products are indicated.

nase (24). The 1.4-kb E $\alpha$  has been shown to efficiently activate VD to J rearrangement in this system (25). To determine whether T $\alpha$ 1,2, the 116-bp core fragment of E $\alpha$ , was also sufficient to activate minilocus VDJ recombination in vivo, we constructed a new TCR- $\delta$  gene minilocus containing this fragment in place of E $\alpha$  (Fig. 1 A). Four independent transgenic lines denoted T2, T3, T5, and T7 were established and determined by slot blot analysis to carry 28, 1, 3, and 4 transgene copies, respectively.

VDJ recombination in the four T $\alpha$ 1,2 transgenic lines was assessed by quantitative PCR of thymic genomic DNA templates that were amplified using primers specific for minilocus V $\delta$ 1, V $\delta$ 2, and J $\delta$ 1 gene segments (24). PCR using primer combinations V $\delta$ 1-J $\delta$ 1 or V $\delta$ 2-J $\delta$ 1 yields a 0.3-kb product resulting from transgene VDJ rearrangement and a 1.2-kb fragment resulting from VD rearrangement (Fig. 1 B), both of which can be detected on Southern blots probed with radiolabeled V $\delta$ 1- or V $\delta$ 2-specific DNA fragments. Amplification of a 0.3-kb rearrangement-independent product with a pair of C $\delta$  primers serves as an internal control for PCR efficiency and allows quantitative comparison of rearrangement patterns between different templates. As seen in Fig. 2 and Table 1, low levels of V $\delta$ 1-D $\delta$ 3 and high levels of V $\delta$ 1-D $\delta$ 3-J $\delta$ 1 rearrangement were observed in three of four T $\alpha$ 1,2 lines (T2, T5, and T7). Levels of V $\delta$ 1-D $\delta$ 3-J $\delta$ 1 rearrangement in thymocytes from lines T2 and T5 were 60 and 38%, respectively, of that found in thymocytes from E $\alpha$  line J, which includes the intact 1.4-kb E $\alpha$  (Fig. 3, Table 2). However, VD and VDJ rearranged products were barely detectable in T $\alpha$ 1,2 line T3 (Fig. 2, Table 1). Similar variability in rearrangement phenotype among different lines of animals bearing an identical construct has been noted in our previous studies (24, 39) and is likely due to inherent differences in transgene integration sites. We suggest that the minilocus is integrated into a relatively inactive region of chromatin in line T3; in support of this notion, preliminary in vivo footprinting studies have demonstrated markedly diminished protection of protein binding sites within T $\alpha$ 1,2 in thymus DNA of T3 mice as compared



**Figure 3.** PCR analysis of E $\alpha$ , T $\alpha$ 1,2, and T $\alpha$ 1,2mEts minilocus rearrangement. Genomic DNA templates from unfractionated thymocytes of an E $\alpha$  mouse from line J, of T $\alpha$ 1,2 mice from lines T2 and T5, and of T $\alpha$ 1,2mEts mice from lines JN, JR, and JO (all 4 wk old) were amplified by PCR and probed as in Fig. 2.

with thymus DNA of T2, T5, or T7 mice (Hernandez-Munain, C., personal communication). Taken as a whole, our results demonstrate that the 116-bp T $\alpha$ 1,2 fragment of E $\alpha$  is, in most contexts, sufficient to mediate activation of the enhancer-dependent VD to J step of minilocus rearrangement. The apparently less efficient conversion of V $\delta$ 2-D $\delta$ 3 to V $\delta$ 2-D $\delta$ 3-J $\delta$ 1 in these lines is probably related to our previous observation that V $\delta$ 2 rearrangement is only ~10% as efficient as V $\delta$ 1 rearrangement in this system (24).

T $\alpha$ 1,2 and E $\alpha$  minilocus rearrangement was also analyzed directly by genomic Southern blot (Fig. 4) as an independent means of corroborating results obtained by quantitative PCR. Analysis of V $\delta$ 1 rearrangements in PstI plus EcoRI-digested thymus DNA from E $\alpha$  line L revealed low levels of 1.0-kb germline V $\delta$ 1 and 0.9-kb V $\delta$ 1-D $\delta$ 3 rearranged fragments, and higher levels of a 1.7-kb species resulting from V $\delta$ 1-D $\delta$ 3-J $\delta$ 1 rearrangement (Fig. 4). Similar results were obtained with E $\alpha$  line J (data not shown).

**Table 1.** Minilocus Rearrangement in Thymocytes of T $\alpha$ 1,2 and T $\alpha$ 1,2mTCF Mice

	T $\alpha$ 1,2				T $\alpha$ 1,2mTCF				
	T2	T3	T5	T7	JI	JJ	JK	JL	JM
V $\delta$ 1-D $\delta$ 3	0.16	0.01	0.15	0.20	0.97	2.77	1.17	0.15	0.03
V $\delta$ 1-D $\delta$ 3-J $\delta$ 1	1.00	0.03	0.88	1.88	0.03	0.07	0.05	nd	nd

Blot hybridization signals from the experiment shown in Fig. 2 were quantified using a Betascope. Reported values are normalized to the C $\delta$  signal for each sample. *nd*, not detected.

**Table 2.** Minilocus Rearrangement in Thymocytes of  $E\alpha$ ,  $T\alpha 1,2$ , and  $T\alpha 1,2mEts$  Mice

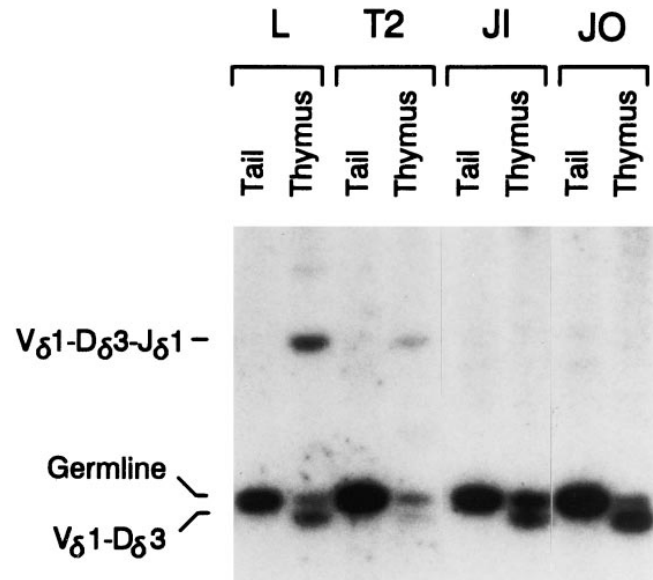
	$E\alpha$		$T\alpha 1,2mEts$			
	J	T2	T5	JN	JR	JO
$V_{\delta}1-D_{\delta}3$	0.30	0.15	0.19	1.09	0.77	0.99
$V_{\delta}1-D_{\delta}3-J_{\delta}1$	4.52	2.67	1.72	0.14	0.19	0.11

Blot hybridization signals from the experiment shown in Fig. 3 were quantified using a Betascope. Reported values are normalized to the  $C_{\delta}$  signal for each sample.

Analysis of similarly digested thymocyte DNA from  $T\alpha 1,2$  line T2 also revealed levels of the fully rearranged  $V_{\delta}1-D_{\delta}3-J_{\delta}1$  fragment that were more prevalent than the 0.9-kb partially rearranged ( $V_{\delta}1-D_{\delta}3$ ) species (Fig. 4). Hence, these results are consistent with those obtained by PCR and provide confirmation that  $T\alpha 1,2$  alone can efficiently activate minilocus VDJ recombination.

The conspicuous variations in total  $V_{\delta}1$  hybridization signal intensities seen in this experiment (Fig. 4) result in part from differences in germline transgene copy number between the various transgenic lines ( $T2 = 28$ ,  $L = 13$ ). A diminution of total  $V_{\delta}1$  signal intensity in thymus DNA relative to tail DNA isolated from the same animal is also apparent, particularly in line T2 (Fig. 4). Slot blot quantification revealed  $C_{\delta}$  copy number to be 13 in line L tail and 8 in L thymus, and although 28 in T2 tail, only 4 in T2 thymus (data not shown). Such copy number loss has been noted in some other multicopy lines as well, and is likely due to thymocyte rearrangement events between V, D, and J gene segments within different, presumably concatameric, copies of the minilocus, with resultant loss of intervening sequences. These differences in thymus transgene copy number are not apparent in PCR experiments where thymus template amounts were adjusted for  $C_{\delta}$  copy number.

*An Intact TCF/LEF Binding Site Is Required for Efficient Activation of Minilocus VD to J Rearrangement by  $T\alpha 1,2$ .* To determine whether the activation of minilocus VDJ recombination by  $T\alpha 1,2$  in vivo is dependent on TCF/LEF family transcription factors, a variant of the  $T\alpha 1,2$  minilocus containing a 2-bp mutation in the TCF-1/LEF-1 binding site ( $T\alpha 1,2$  mTCF) was constructed (Fig. 1 A). Five independent lines of  $T\alpha 1,2mTCF$  transgenic mice were generated. Slot blot analysis revealed that  $T\alpha 1,2mTCF$  lines JL and JM each carry one copy of the minilocus, whereas lines JJ, JJ, and JK carry 5, 12, and 9 copies, respectively (data not shown). Analysis of  $T\alpha 1,2mTCF$  minilocus VDJ recombination was performed by quantitative PCR, as described above. Notably, as assessed using both  $V_{\delta}1-J_{\delta}1$  and  $V_{\delta}2-J_{\delta}1$  primer combinations, all five  $T\alpha 1,2mTCF$  lines displayed dramatically reduced levels of VDJ rearranged products as compared with wild-type  $T\alpha 1,2$  lines T2, T5, and T7 (Fig. 2). Quantification revealed levels of  $V_{\delta}1-D_{\delta}3-$

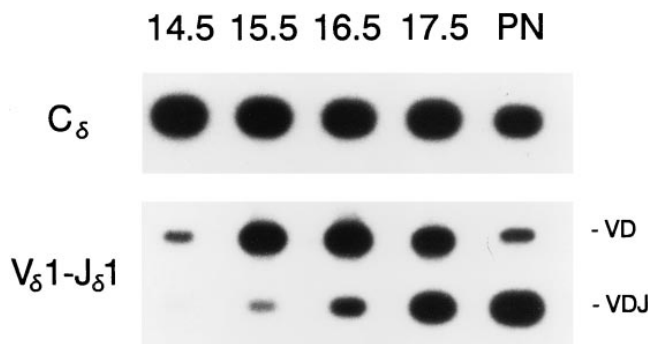


**Figure 4.** Analysis of minilocus rearrangement by genomic Southern blot. PstI plus EcoRI-digested tail and thymus genomic DNA samples from  $E\alpha$  line L,  $T\alpha 1,2$  line T2,  $T\alpha 1,2mTCF$  line JI, and  $T\alpha 1,2mEts$  line JO mice (all 4 wk old) were analyzed by Southern blot using a radiolabeled 1.0-kb  $V_{\delta}1$  genomic PstI fragment. Positions of the expected 1.0-kb germline, 0.9-kb  $V_{\delta}1-D_{\delta}3$ , and 3.2-kb  $V_{\delta}1-D_{\delta}3-J_{\delta}1$  rearranged fragments are indicated.

$J_{\delta}1$  rearrangement in  $T\alpha 1,2mTCF$  lines JI, JJ, and JK that were only 3, 7, and 5%, respectively, of that observed in wild-type  $T\alpha 1,2$  line T2 (Table 1). In lines JL and JM,  $V_{\delta}1-D_{\delta}3-J_{\delta}1$  rearrangement was undetectable. Because V to D rearrangement was readily detectable in most transgenic lines, these results argue that mutation of the TCF/LEF site significantly and specifically impairs the VD to J step of minilocus VDJ recombination. Verification of these results was obtained by genomic Southern blot analysis which revealed an abundance of germline  $V_{\delta}1$  and  $V_{\delta}1-D_{\delta}3$  rearranged fragments, but no detectable  $V_{\delta}1-D_{\delta}3-J_{\delta}1$  rearrangement in PstI plus EcoRI digested thymus DNA from  $T\alpha 1,2mTCF$  line JI (Fig. 4).

The relatively low level of V to D rearrangement in  $T\alpha 1,2mTCF$  lines JL and JM may, in part, be a reflection of unique properties of the transgene integration sites. However, it is interesting that all three transgenic lines examined in this study displaying very low levels of total rearrangement ( $T\alpha 1,2$  line T3, and  $T\alpha 1,2mTCF$  lines JL and JM) are all single copy. This suggests an effect of copy number as well. Nevertheless, multicopy integrants are not required for efficient transgene VDJ recombination, as evidenced by the previously characterized  $E_{\delta}$ -containing lines A, B, and C (24), and the  $T\alpha 1,2mEts$  line JN (see below). It may be that while a single copy transgene experiences the full negative impact of integration into a relatively inactive region of chromatin, internal copies of a concatameric multicopy integrant might be relatively protected from such effects.

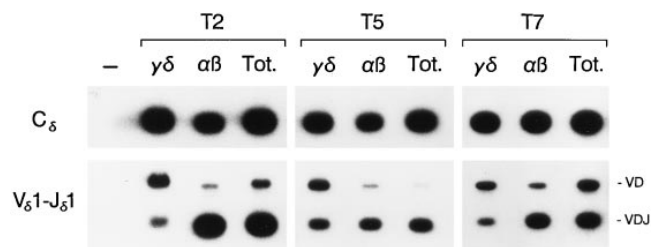
*An Intact Ets Binding Site Is also Necessary for Efficient Activation of Minilocus VD to J Rearrangement By  $T\alpha 1,2$ .* To determine



**Figure 5.** Time course of T $\alpha$ 1,2 minilocus rearrangement during fetal ontogeny. Genomic DNA samples from T $\alpha$ 1,2 line T2 thymi isolated on days 14.5–17.5 of gestation, as well as from a postnatal (PN) T2 mouse (4 wk old), were amplified by PCR and probed as in Fig. 2.

whether the activation of minilocus VDJ recombination by T $\alpha$ 1,2 in vivo is dependent on Ets family transcription factors, a second variant of the T $\alpha$ 1,2 minilocus containing a 3-bp mutation in the T $\alpha$ 2 Ets binding site (T $\alpha$ 1,2mEts) was constructed. The three independent lines of transgenic mice generated, JN, JO, and JR, were found to carry 1, 13, and 21 copies of the minilocus, respectively, as assessed by slot blot analysis. VDJ rearrangement in thymocytes from T $\alpha$ 1,2mEts animals was compared with that of wild-type T $\alpha$ 1,2 and E $\alpha$  mice by quantitative PCR. This analysis revealed that the VD to J step of transgene rearrangement was dramatically curtailed in all three lines of T $\alpha$ 1,2mEts transgenic animals (Fig. 3). Specifically, the levels of V $\delta$ 1-D $\delta$ 3-J $\delta$ 1 rearrangement in T $\alpha$ 1,2mEts lines JN, JR, and JO were only 5, 7, and 4% of that of wild-type T $\alpha$ 1,2 line T2 (Table 2); V $\delta$ 2-D $\delta$ 3-J $\delta$ 1 rearrangement was only barely detectable (Fig. 3). Nevertheless, VD rearrangement was high in all three lines (Fig. 3). These results were also corroborated by genomic Southern blot of digested thymus DNA from T $\alpha$ 1,2mEts line JO, which failed to reveal a discernible V $\delta$ 1-D $\delta$ 3-J $\delta$ 1 fragment despite readily detectable V $\delta$ 1-D $\delta$ 3 rearrangement (Fig. 4). From these data we conclude that the presence of an intact Ets binding site within T $\alpha$ 1,2 is a prerequisite for efficient activation of the VD to J step of minilocus rearrangement in vivo.

*Temporal and Lineage-specific Control of Minilocus Rearrangement By T $\alpha$ 1,2 Is Distinct from that of E $\alpha$ .* The 1.4-kb E $\alpha$  has been previously shown to confer physiologically appropriate developmental control to the enhancer-dependent VD to J step of minilocus rearrangement. Because the 116-bp T $\alpha$ 1,2 core enhancer fragment proved sufficient to activate minilocus rearrangement, we asked whether the core enhancer fragment is sufficient to impart precise developmental control as well. Accordingly, we examined the timing of minilocus VDJ rearrangement during ontogeny in T $\alpha$ 1,2 transgenic animals. PCR analysis of fetal thymus genomic DNA templates from line T2 timed pregnancies revealed that the VD to J step of minilocus rearrangement began on fetal day 15.5 (Fig. 5), one day earlier than that previously noted in E $\alpha$  line J (25). Identical results were obtained from



**Figure 6.** T $\alpha$ 1,2 minilocus rearrangement in  $\alpha\beta$  and  $\gamma\delta$  thymocytes. Genomic DNA templates from sorted  $\alpha\beta$  and  $\gamma\delta$  thymocytes and total unfractionated thymocytes (Tot.) of T $\alpha$ 1,2 line T2, T5, and T7 mice (4 wk old), and a no DNA control (–) were amplified by PCR and probed as in Fig. 2.

analysis of T $\alpha$ 1,2 line T5 (data not shown). Thus, T $\alpha$ 1,2 appeared to be activated slightly earlier during fetal thymic ontogeny than the intact E $\alpha$ .

We then compared minilocus VDJ recombination in sorted  $\alpha\beta$  and  $\gamma\delta$  T cell populations from adult T $\alpha$ 1,2 animals. As expected, PCR analyses revealed abundant VD and VDJ rearrangement in lines T2, T5, and T7  $\alpha\beta$  thymocytes (Fig. 6). Strikingly, however,  $\gamma\delta$  thymocytes from these animals also exhibited substantial VDJ rearrangement (Fig. 6). Quantification of these data revealed that the level of V $\delta$ 1-D $\delta$ 3-J $\delta$ 1 rearrangement in  $\gamma\delta$  cells relative to  $\alpha\beta$  cells was 8% in line T2, 65% in line T5, and 19% in line T7 (Table 3). These results cannot be explained by contamination of cell populations, since flow cytometric reanalysis demonstrated that <1% of the cells in the sorted populations bore the inappropriate TCR. The results from all three lines contrast dramatically with previous observations in E $\alpha$  mice; the level of V $\delta$ 1-D $\delta$ 3-J $\delta$ 1 rearrangement in  $\gamma\delta$  cells was negligible (2.5, 0.0, and 1.3% of the signal in  $\alpha\beta$  thymocytes in E $\alpha$  lines J, L, and M, respectively, levels that are probably within the limits of purity of the sorted  $\gamma\delta$  populations [25]). From these results, we conclude that truncation of E $\alpha$  results in partial dysregulation of VDJ recombination during development. Specifically, the slightly premature activation of VDJ recombination with relaxed lineage

**Table 3.** Minilocus Rearrangement in  $\alpha\beta$  and  $\gamma\delta$  Thymocytes of T $\alpha$ 1,2 Animals

	T2		T5		T7	
	$\gamma\delta$	$\alpha\beta$	$\gamma\delta$	$\alpha\beta$	$\gamma\delta$	$\alpha\beta$
V $\delta$ 1-D $\delta$ 3	1.67	0.34	2.04	0.30	1.21	0.45
V $\delta$ 1-D $\delta$ 3-J $\delta$ 1	0.63	7.77	1.32	2.07	0.54	2.90

Blot hybridization signals from the experiment shown in Fig. 6 were quantified using a Betascope. Reported values are normalized to the C $\delta$  signal for each sample. Because quantification of T2, T5, and T7 samples was performed on separate blots probed at different times, the values for VD/C and VDJ/C are useful for comparison of the  $\gamma\delta$  and  $\alpha\beta$  samples within a line, but are not useful for comparisons between different lines.

control that is directed by T $\alpha$ 1,2 suggests that E $_{\alpha}$  elements that lie outside of T $\alpha$ 1,2 are critical for the tightly regulated and physiologically appropriate activation of TCR- $\alpha$  gene rearrangement in vivo.

## Discussion

In this study, we examined the roles of *cis*-acting elements of E $_{\alpha}$  in the developmental regulation of VDJ recombination at the TCR- $\alpha/\delta$  locus. We found that the 116-bp T $\alpha$ 1,2 core enhancer fragment of the 1.4-kb E $_{\alpha}$  is sufficient to activate the enhancer-dependent VD to J step of transgenic minilocus rearrangement, and that intact TCF/LEF and Ets binding sites within T $\alpha$ 1,2 are required. Investigation of the temporal and lineage-specific control of VDJ recombination afforded by T $\alpha$ 1,2 revealed that thymocyte VD to J rearrangement begins on fetal day 15.5 and occurs in both  $\alpha\beta$  and  $\gamma\delta$  cells. This contrasts with previous results obtained in transgenic lines carrying the 1.4-kb E $_{\alpha}$ , in which VD to J rearrangement was found to begin on fetal day 16.5 and to be limited to  $\alpha\beta$  cells (25). Taken together, these data indicate that the core fragment of E $_{\alpha}$  can establish accessibility to the recombinase in developing thymocytes in vivo in a fashion that is dependent on the binding of TCF/LEF and Ets family transcription factors, but that these and other factors that bind to the E $_{\alpha}$  core cannot account for the precise developmental onset of accessibility that is provided by the intact E $_{\alpha}$ . Rather, our data suggests a critical role for factors that bind E $_{\alpha}$  outside of the core T $\alpha$ 1,2 region in establishing the precise developmental onset of TCR- $\alpha$  rearrangement in vivo.

Previous studies identified the 116-bp T $\alpha$ 1,2 as the core fragment of E $_{\alpha}$  on the basis of its ability to potently activate plasmid reporter gene expression in transient transfection experiments, and its ability, as naked DNA, to support the assembly of a stable multiprotein complex consisting of ATF/CREB, LEF-1, Ets-1, and CBF/PEBP2 (26–28). Our experiments are the first to test the core fragment of E $_{\alpha}$  in a chromosomally integrated context. Our data indicates that this fragment is capable of modifying chromatin structure in vivo over a distance of at least 2 kb, as measured by its ability to modify the accessibility of the J $\delta$ 1 gene segment to the VDJ recombinase. Furthermore, our data are the first to provide evidence that TCF/LEF and Ets family transcription factors are important regulators of E $_{\alpha}$  in a chromosomal environment, suggesting that the multiprotein complex that was previously documented to assemble in vitro (28) may have an important role in regulating chromatin structure in vivo.

TCF-1 and LEF-1 are members of the HMG box family of transcription factors that bind at the same site within T $\alpha$ 1,2 (Fig. 1 A) (29–31). The roles of both of these factors have been analyzed in vivo by targeted gene disruption. Analysis of LEF-1 $^{-/-}$  mice has revealed that, despite early postnatal lethality due to impaired development of multiple organs, TCR- $\alpha$  rearrangement and T cell development proceed normally (40). TCF-1 $^{-/-}$  animals, on the other hand, are healthy and appear morphologically normal, but

exhibit reduced numbers of apparently normal peripheral T cells and a significant impairment in thymocyte differentiation at the transition from the ISP to DP stages of development (41). TCR- $\beta$  rearrangement appears to be unaffected in these animals (41). However, since thymocyte TCR rearrangement and expression normally begins around the ISP to DP transition that is inhibited by TCF-1 gene disruption, it is difficult to judge whether TCR- $\alpha$  rearrangement is inhibited, albeit incompletely, as a primary consequence of the mutation.

Our experiments, which tested the effects of a disrupted TCF-1/LEF-1 binding site within T $\alpha$ 1,2 in a phenotypically neutral recombination reporter construct, clearly implicate TCF-1, LEF-1, or related factors in the developmental activation of minilocus VD to J rearrangement, and by implication, in the developmental activation of V $_{\alpha}$  to J $_{\alpha}$  rearrangement at the endogenous TCR- $\alpha/\delta$  locus. There are several reasons why our results may appear to contrast with those obtained by targeted gene disruption. First, our results may be easier to interpret unambiguously because there is no confounding effect on T cell development clouding the interpretation of perturbed transgene rearrangement. Second, our experimental approach, in which the binding site is mutated, accounts for the possibility that TCF-1 and LEF-1 might play important but redundant roles in regulating TCR- $\alpha$  gene rearrangement. Such redundancy might allow apparently normal TCR- $\alpha$  rearrangement and expression in gene targeted animals that lack either TCF-1 or LEF-1, but not both. Third, our experimental approach would detect an effect even if a related HMG family member, rather than TCF-1 or LEF-1, were the critical regulator of TCR- $\alpha$  gene rearrangement in vivo. Finally, our test of the TCF/LEF binding site mutation in the context of the T $\alpha$ 1,2 core enhancer, rather than the 1.4-kb E $_{\alpha}$ , may represent a more sensitive measure of the effect of protein binding to this site, as it eliminates the contribution of potentially redundant *cis*-acting E $_{\alpha}$  elements that lie outside of T $\alpha$ 1,2. Such elements might mask an effect in a TCF-1 or LEF-1 knockout, or in mutated versions of an otherwise intact E $_{\alpha}$ . Indeed, in transient transfection experiments, the DraI-ApaI E $_{\alpha}$  fragment containing the T $\alpha$ 3 and T $\alpha$ 4 nuclear protein-binding sites can partially compensate for deleterious mutations in T $\alpha$ 1,2 (27). The nature of our experiment does not allow us to conclude that TCF/LEF family members are strictly required for the activation of TCR- $\alpha$  gene rearrangement within the endogenous TCR- $\alpha/\delta$  locus in vivo. We can reasonably conclude, however, that these factors are likely to contribute to the developmental activation of TCR- $\alpha$  gene rearrangement in vivo.

Ets transcription factors constitute a large family of DNA binding proteins, among which, Ets-1, Ets-2, GABP $\alpha$ , Elf-1, Fli-1, and Spi-B are all expressed in T cells (42, 43). Ets-1 in particular has been thought to be a regulator of T $\alpha$ 1,2 on the basis of its ability to transactivate gene expression in transient transfection experiments, and its ability to interact with ATF/CREB and CBF/PEBP2 to form a stable multiprotein complex on T $\alpha$ 1,2 in vitro (28, 33). Ets-1 is prefer-

entially expressed in resting lymphocytes of adult mice, and its expression in fetal and postnatal thymocytes roughly parallels that of TCR- $\alpha$  (44, 45). Nevertheless, although gene disruption experiments have revealed diminished numbers of mature thymocytes and peripheral T cells with impaired activation and survival characteristics in *Ets-1*<sup>-/-</sup> animals, TCR expression appears to be normal (46, 47).

Our results, which clearly establish that an intact binding site for Ets family members is required for efficient activation of minilocus VD to J rearrangement by T $\alpha$ 1,2 *in vivo*, might imply that another Ets family member is the crucial regulator of  $E_{\alpha}$  or compensates for the loss of *Ets-1* in *Ets-1*<sup>-/-</sup> mice. The only other Ets-related transcription factor that is expressed in the T lineage and whose role has been examined genetically is Fli-1. Mice expressing an altered Fli-1 allele display reduced numbers of all thymocyte subsets; however, TCR- $\alpha$  rearrangement and expression was not specifically examined (48). Fli-1 has been shown to bind to and transactivate gene expression via T $\alpha$ 1,2 in transient transfection experiments. However, the magnitude of transactivation was substantially less than that observed using *Ets-1*, and unlike *Ets-1*, Fli-1 did not interact with ATF/CREB proteins (28). Elf-1, on the other hand, has a distinct binding specificity and does not stably interact with T $\alpha$ 1,2 (49). Thus, the physiologically relevant factor that interacts with the T $\alpha$ 1,2 Ets site *in vivo* remains uncertain. Finally, as noted above for the TCF/LEF binding site mutation, our test of the Ets site mutation in the context of the T $\alpha$ 1,2 rather than the 1.4-kb  $E_{\alpha}$  might, due to redundancy of *cis*-acting elements, detect an effect that would not be readily detected in the *Ets-1* knockout or in mutated versions of the 1.4-kb  $E_{\alpha}$ . While we cannot conclude that the binding of Ets family members is absolutely required for the activation of TCR- $\alpha$  gene rearrangement within the endogenous TCR- $\alpha/\delta$  locus, our data does implicate these factors as potentially important contributors to the developmental activation of TCR- $\alpha$  gene rearrangement *in vivo*.

Although our data demonstrates quite clearly that the accessibility required for the activation of VDJ recombination can be established by the binding of TCF/LEF family, Ets family, and presumably other transcription factors to the core of  $E_{\alpha}$ , our data also indicates that the binding of these factors cannot account for the precise developmental onset of accessibility that is provided by the intact  $E_{\alpha}$ . Rather, we detect both a partial loss of lineage specificity and a partial

loss of temporal or developmental stage specificity in T $\alpha$ 1,2 transgenic lines. We propose that the loss of lineage specificity is a direct consequence of the loss of temporal or developmental stage specificity, as follows. Our observation that an intact  $E_{\alpha}$  activates the VD to J step of minilocus rearrangement exclusively in developing  $\alpha\beta$  T cells implies that the transgenic  $E_{\alpha}$  is developmentally activated either coordinately with, or subsequent to, activation of the endogenous  $E_{\alpha}$ , which, by inducing endogenous  $V_{\alpha}$  to  $J_{\alpha}$  rearrangement, commits developing thymocytes to become  $\alpha\beta$  cells. On the other hand, the relaxed lineage specificity of T $\alpha$ 1,2 implies that in at least a fraction of thymocytes, T $\alpha$ 1,2 is activated before the endogenous  $E_{\alpha}$ . This would result in the activation of at least some minilocus VD to J rearrangement in as yet uncommitted thymic precursors, some of which would give rise to  $\gamma\delta$  cells. The uncommitted thymocyte population in which T $\alpha$ 1,2-dependent minilocus VD to J rearrangement occurs is a matter of speculation, but should be positive for RAG-1 and RAG-2. One candidate would be the CD44<sup>low</sup>CD25<sup>+</sup> subset of DN cells, which expresses high levels of RAG-1 and RAG-2, and is actively rearranging endogenous TCR- $\beta$ , - $\gamma$ , and - $\delta$  genes (7, 14). However, CD44<sup>low</sup>CD25<sup>-</sup> DN and ISP thymocytes may also be candidates if their reduced levels of RAG-1 and RAG-2 maintain permissiveness for at least low level VDJ recombination (7, 13).

The potential for premature activation of  $E_{\alpha}$  directed by factors that interact with T $\alpha$ 1,2 is apparently normally held in check by additional *cis*-elements of  $E_{\alpha}$ . Although the identities of the *cis*-elements that mediate this effect are uncertain at present, we speculate that they might map to the previously defined protein binding sites T $\alpha$ 3 and T $\alpha$ 4 (26, 27). Although little is known about protein binding to these sites, it is interesting that they contain two E box motifs. Restriction of the activity of the immunoglobulin heavy-chain enhancer to B cells has been shown to be due, at least in part, to negative regulation involving two E boxes,  $\mu$ E5 and  $\mu$ E4, present within the enhancer (50–52). In addition, an E box has been implicated in the negative regulation of CD4 gene expression during T cell development by the CD4 transcriptional silencer (53). It is therefore tempting to speculate that the T $\alpha$ 3 and T $\alpha$ 4 E boxes may play similar roles in the developmental activation of  $E_{\alpha}$ , and, as a consequence, the developmental activation of  $V_{\alpha}$  to  $J_{\alpha}$  rearrangement. We are currently investigating this possibility.

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Address correspondence to Michael S. Krangel, Department of Immunology, PO Box 3010, Duke University Medical Center, Durham, NC 27710. The current address of P. Lauzurica is Seccion de Immunologia, Hospital de la Princesa, 28006 Madrid, Spain.

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