Removal of a Single N-Linked Glycan in Human Immunodeficiency Virus Type 1 gp120 Results in an Enhanced Ability To Induce Neutralizing Antibody Responses[∇]

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Glycans on human immunodeficiency virus (HIV) envelope protein play an important role in infection and evasion from host immune responses. To examine the role of specific glycans, we introduced single or multiple mutations into potential N-linked glycosylation sites in hypervariable regions (V1 to V3) of the env gene of HIV type 1 (HIV-1) 89.6. Three mutants tested showed enhanced sensitivity to soluble CD4. Mutant N7 (N197Q) in the carboxy-terminal stem of the V2 loop showed the most pronounced increase in sensitivity to broadly neutralizing antibodies (NtAbs), including those targeting the CD4-binding site (IgG1b12) and the V3 loop (447-52D). This mutant is also sensitive to CD4-induced NtAb 17b in the absence of CD4. Unlike the wild-type (WT) Env, mutant N7 mediates CD4-independent infection in U87-CXCR4 cells. To study the immunogenicity of mutant Env, we immunized pig-tailed macaques with recombinant vaccinia viruses, one expressing SIVmac239 Gag-Pol and the other expressing HIV-1 89.6 Env gp160 in WT or mutant forms. Animals were boosted 14 to 16 months later with simian immunodeficiency virus gag DNA and the cognate gp140 protein before intrarectal challenge with SHIV89.6P-MN. Day-of-challenge sera from animals immunized with mutant N7 Env had significantly higher and broader neutralizing activities than sera from WT Env-immunized animals. Neutralizing activity was observed against SHIV89.6, SHIV89.6P-MN, HIV-1 SF162, and a panel of subtype B primary isolates. Compared to control animals, immunized animals showed significant reduction of plasma viral load and increased survival after challenge, which correlated with prechallenge NtAb titers. These results indicate the potential advantages for glycan modification in vaccine design, although the role of specific glycans requires further examination.

The failure to induce broadly neutralizing antibodies (NtAbs) against primary isolates of human immunodeficiency virus (HIV) remains a major impediment to the development of effective vaccines against AIDS. Although HIV type 1 (HIV-1) envelope proteins have been targeted for vaccine development for over two decades, immunity induced by early vaccines has been effective only against laboratory-adapted isolates (10, 17, 32, 45) or chimeric viruses (SHIV) bearing the homologous env genes (54, 68). In these instances, protection has been correlated with high-titer NtAbs directed to the V3 hypervariable region of gp120. However, the neutralizing activities generated are largely isolate specific and are minimally active against most primary isolates of HIV-1 (8, 19, 53, 71). The failure of subunit gp120 vaccines in phase III clinical trials to protect against HIV-1 acquisition or to lower viral load in those who did become infected (41, 44) underscores the difficulty of the task.

Multiple mechanisms may contribute to the neutralization resistance of primary HIV-1. Studies in simian immunodeficiency virus (SIV) and other lentiviruses indicate that development of neutralization resistance is accompanied by cumulative changes in the hypervariable regions of the envelope antigens (21, 24, 31, 53, 60, 79, 80, 93, 97, 102, 110). Such changes not only alter the tropism and phenotype of the virus but often its pattern of glycosylation (14, 79, 93, 110). The envelope antigens of HIV-1, like those of other lentiviruses, are extensively glycosylated. The surface antigen gp120 contains both N-linked and O-linked glycans, contributing to nearly 50% of its molecular mass (11, 43, 51). These carbohydrates play an important role in the structure and function of the envelope glycoproteins, including virus assembly (49), receptor and coreceptor binding (63, 67, 73), and syncytium formation (52). In addition, multiple studies have shown that carbohydrate moieties on viral envelope modulate its antigenicity and the sensitivity of the virus to NtAbs (5, 28, 58, 64, 74, 92). However, such an effect could be enhancing or interfering, depending on the specific combination of antibody and glycan involved.

Despite considerable evidence indicating the role of glycosylation in modulating Env antigenicity, relatively few have addressed its potential role in influencing the immunogenicity of HIV-1 envelope proteins. Haigwood et al. (50) compared immune responses generated by gp120 produced in mammalian (native and glycosylated) versus those produced in yeast cells (denatured and nonglycosylated) and found that the native structure is superior in inducing broad-spectrum NtAbs in baboons. Benjouad et al. (9) analyzed antibodies raised against

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FIG. 1. N-linked glycan mutants in HIV-1 Env. Potential N-linked glycosylation sites in HIV-1 89.6 surface antigen gp120 are indicated by " \Leftrightarrow " symbols (complex type) and " \times " symbols (high-mannose type). The positions of mutants N1-N7 and NV3 relative to variable loops V1 to V5 are indicated at the top. Amino acid sequence of V2 and V3 loops and the specific sites changed in mutants N6, N7, and NV3 are indicated at the bottom.

native or deglycosylated forms of gp120 generated by various enzymatic reactions and found that only the native or the desialylated form of gp160, but not the alpha-mannosidasetreated form, was able to induce NtAbs against the homologous lab-adapted virus HIV-1 LAI. Both investigators compared immunogens that have global differences in their glycosylation pattern and perhaps overall structure. Others have examined the effects of site-specific deglycosylations but have not observed any major difference between immune responses elicited by wild-type (WT) versus modified envelope proteins (15, 16, 20, 85). On the other hand, Desrosiers and coworkers (90, 91) reported a significant increase in antigenicity and immunogenicity of mutant forms of SIV depleted of N-linked glycans in the V1 region of its envelope proteins. Immunization with a multiply deglycosylated SIVmac239 Env, however, failed to protect against homologous virus challenge (77).

In the present study, we sought to extend earlier observations by focusing on three N-linked glycan mutants in the V2 and V3 regions of HIV-1 gp120 that showed increased neutralization sensitivity. We examined the effect of glycan modifications on viral infectivity, as well as the antigenicity and immunogenicity of the envelope glycoproteins. The results from these studies indicate that specific N-linked glycan modifications may have major effects on viral infectivity, sensitivity to NtAbs, and the ability of the envelope protein to elicit cross-reactive NtAb responses.

MATERIALS AND METHODS

Cells. The U87 human astroglioma cell line stably transduced with human CXCR4 (34) was cultured in Dulbecco modified Eagle medium supplemented with 10% fetal bovine serum (FBS), penicillin (100 U/ml), streptomycin (100 μ g/ml), glutamine (2 mM), and selected with 1 μ g of puromycin/ml. The U87-CD4-CXCR4 cells obtained through the AIDS Research and Reference Reagent Program (ARRRP; catalog no. 4036) were contributed by HongKui Deng and Dan R. Littman (13) and were cultured in the same medium containing 15% of FBS and selected with puromycin (1 μ g/ml) plus G418 (300 μ g/ml). JC53-BL cells (referred to as TZM-bl cells here) contributed by John Kappes and Xiaoyun Wu (111) were also obtained from the National Institutes of Health (NIH) ARRRP (catalog no. 8129). Both TZM-bl and 293T (ATCC catalog no. 11268) cells were cultured in Dulbecco modified Eagle medium supplemented with 10% of FBS, penicillin (100 U/ml), streptomycin (100 μ g/ml), and glutamine (2 mM). The CEMx174 cells (98) were maintained as a suspension culture in RPMI 1640 medium with 10% FBS plus penicillin, streptomycin, and glutamine.

Construction of N-linked glycosylation site mutants. Plasmid pSL1180 containing the rev-env gene of HIV-1 89.6 was used as the template for site-directed mutagenesis with a QuikChange mutagenesis kit (Stratagene). A conservative substitution from asparagine (N) to glutamine (Q) was introduced in potential N-linked glycosylation sites (N-X-S/T) in variable regions V1/V2 and V3 of the env gene (Fig. 1). The primers used for the mutagenesis were as follows: for the N6 mutant, 5'-GTAGTACCAATAGAAAATACTCAGAATACTAAGTATA GG-3' (forward) and 5'-CCTATACTTAGTATTCTGAGTATTTTCTATTGG TACTAC-3' (reverse); for the N7 mutant, 5'-GGTTAATAAGTTGTCAGAC CTCAGTCATTACACAGGCC-3' (forward) and 5'-GGCCTGTGTAATGAC TGAGGTCTGACAACTTATTAACC-3' (reverse); and for the NV3 mutant, 5'-GTACAAGACCCAACCAGAATACAAGAAGAAGGTTATC-3' (forward) and 5'-GATAACCTTCTTGTATTCTGGTTGGGTCTTGTAC-3' (reverse). The N67V3 mutation was made by inserting the BgIII fragment (nucleotides 7164 to 7729) with the NV3 mutation into the N67 containing plasmid. The mutated rev-env genes were then subcloned into mammalian expression vector pCI-neo. The PCR cycling parameters for the mutagenesis included two steps: step 1 (95°C for 30 s) and step 2, 18 cycles of 95°C for 30 s followed by 52°C for 1 min, and then 68°C for 18 min. The results of site-directed mutagenesis were verified by DNA sequencing.

Monoclonal antibodies. The following monoclonal antibodies were obtained from NIH ARRRP: 4E10 (103), 2F5 (18, 83, 84), and 2G12 (18, 108) were from Kermann Katinger; IgG1b12 was from Dennis Burton and Carlos Barbas (6, 22, 23, 94); 447-52D (30, 46–48, 78, 118) was from Susan Zolla-Pazner; and 17b (65, 104, 106, 107, 112, 113) was from James E. Robinson. Recombinant soluble CD4-183, also obtained from the same source, contains the first two domains of human CD4 produced in *Escherichia coli* (42).

Coreceptor usage analysis. A complementation assay with pseudotyped HIV-1 was performed as previously described (67, 74). Briefly, pseudotyped viruses carrying wild-type (WT) or mutant 89.6 envelope glycoproteins were produced by 293T cells after cotransfection with pCI-neo plasmid expressing the rev and the env gene of interest and an env-deficient HIV-1 backbone vector $pNL4.3LucR^-E^-$ expressing the firefly luciferase gene (contributed by N. Landau and obtained from the ARRRP). Two days after transfection, culture supernatants containing pseudotyped virus were collected and filtered through a 0.45-µm-pore-size filter before use for infectivity assays in U87-CXCR4 or U87-CD4-CXCR4 cells. Cells were seeded at 10⁴ cells per well in a 96-well plate overnight and treated with Polybrene (2 µg/ml) for 30 min prior to infection by pseudotyped viruses. Two hours after inoculation, the inoculum was replaced with 200 μl of fresh medium without any antibiotics, and infection continued for a total of 48 h. Infectivity was measured as relative luminescence units (RLU) in infected cell cultures quantified by the SteadyLite HTS luminescence reporter gene assay system (Perkin-Elmer). To compare the relative infectivity of WT and mutant 89.6 in U87-CXCR4 cells, we used inoculum containing the same infectivity (RLU = 2.0×10^5) as determined in U87-CD4-CXCR4 cells.

Infectivity and neutralization assay. Infectivity of replication competent viruses, or with Env-pseudotyped viruses, was measured by luciferase reporter gene expression in TZM-bl cells. Neutralization as measured by reduction of luciferase gene expression was performed as previously described (64, 76). Briefly, indicator virus containing 200 50% tissue culture infective doses $(TCID_{50})$ (unless otherwise indicated) was incubated either with a single dilution

(1:15) or with serial threefold dilutions of serum samples in triplicate in a total volume of 150 µl for 1 h at 37°C in 96-well flat-bottom culture plates. Freshly trypsin-treated cells (10,000 cells in 100 µl of growth medium containing 75 µg of DEAE dextran/ml) were added to each well. One set of control wells received cells plus virus (virus control), and another set received cells only (background control). After a 48-h incubation, 100 µl of cells were transferred to a 96-well black solid plates (Costar) for assays of luciferase activities by using BrightGlo substrate solution as described by the supplier (Promega). Assay stocks of Envpseudotyped viruses were prepared by transfection in 293T cells (66), whereas stocks of the replication-competent viruses SHIV 89.6, SHIV 89.6P-MN, and HIV-1 SF162 were prepared in human peripheral blood mononuclear cells. All assay stocks were titrated in TZM-bl cells as described previously (66). Neutralization activity was expressed either as the percent reduction of RLU for experiments with single (1:15) serum dilutions or as the serum dilution that resulted in 50% reduction of RLU in serial dilution experiments. Values obtained with preimmune sera were subtracted from those obtained with immune sera for each animal.

Immunogens. Four recombinant vaccinia viruses were used in the present study: (i) recombinant v-ELgp160(89.6) expresses the full-length WT env gene of HIV-1 89.6 (29); (ii) v-ELgp160(89.6)N7 expresses the same env gene with a single glycan mutation N7; (iii) v-ELgp160(89.6)N67V3 expresses the env gene with triple glycan mutations N6, N7, and NV3; and (iv) recombinant v-ELgag/ pol(SIVmac239) expresses the native gag-pol genes of SIVmac239. Expression of the transgene was under the control of a synthetic early-late promoter of vaccinia virus (25). The transgene was inserted into the thymidine kinase gene of the WR strain of vaccinia virus. Construction and propagation of these viruses was as previously described (55-57, 115). Expression of the transgene was verified by Western blot analysis. Plasmid DNA expressing codon-optimized SIVmac239 gag gene was kindly provided by J. Shiver (7, 39) and was prepared with EndoFree Maxiprep kit (Qiagen). Protein immunogens used in the present study were produced in African green monkey kidney cells (BSC-40) infected with recombinant vaccinia virus expressing SIVmac239 Gag-Pol particles, or HIV-1 89.6 Env gp140, in WT, N7, or N67V3 forms. SIVmac239 Gag-Pol particles were purified from infected cell supernatant by ultracentrifugation as described previously (56, 82). Recombinant vaccinia viruses expressing HIV-1 89.6 Env gp140 were constructed as described above, with the exception that two stop codons were introduced to substitute amino acids 680 and 681 (isoleucine and arginine) immediately upstream of the hydrophobic anchor sequence in gp41. WT or mutant gp140 was purified from infected BSC-40 cell supernatant by lentil lectin affinity and size exclusion chromatography as described previously (61).

Immunization. Twenty-four juvenile pig-tailed macaques (M. nemestrina), all of which tested negative for simian type D retrovirus by serology and PCR, were used for the present study. Macaques in the experimental arm (total of 18, in three groups of 6 each) were inoculated by skin scarification at weeks 0 and 8 with 108 PFU of two recombinant viruses, one expressing SIVmac239 Gag-Pol and the other expressing one of three forms of HIV-1 89.6 Env (WT, N7, or N67V3). All animals were boosted with DNA plasmid expressing SIV Gag (39) and the cognate gp140 Env protein (WT, N7, or N67V3). DNA (5.0 mg/dose in phosphate-buffered saline) was administered by intramuscular injection at four sites. Env gp140 (100 µg/dose) was adjuvanted with 0.025% Alhydrogel and was also administered intramuscularly. Control animals (n = 6) were primed with parental vaccinia virus WR and boosted with vector plasmid and adjuvant only. To accommodate the large size of the study, we performed the booster immunization (and subsequent challenge) in two stages, separated by 2 months. Animals in groups 1 and 2 were rested for 16 months between the second vaccinia virus inoculation and the final immunization, whereas those in group 3 and the control group were rested for 14 months.

SHIV challenge. Four weeks after the booster immunization, all macaques were challenged intrarectally with SHIV89.6P-MN. The challenge virus was derived from a macaque-passaged SHIV89.6P stock (89) (kindly provided by N. Letvin) after two passages in CD8⁺-depleted peripheral blood monouclear cells from *M. nemestrina* (37). Intrarectal inoculation was performed atraumatically twice, 1 h apart, with 1 ml of the undiluted challenge virus containing 25 50% animal infectious doses. Animals were monitored for body weight, temperature, and general health, and tissue samples were collected periodically for in vitro analyses. Euthanasia was performed according to the following criteria: the presence of AIDS, termination of experiment, or deteriorating physical condition for reasons unrelated to the infection. Euthanasia was considered to be AIDS related if the animal exhibited peripheral blood CD4⁺ T-cell depletion (<200/mm³) and two or more of the following conditions: wasting, untreatable diarrhea, opportunistic infections, proliferative diseases (e.g., lymphoma), and abnormal hematology (e.g., anemia, thrombocytopenia, or leukopenia). All pro-

cedures involving live animals or tissues were performed with the approval of the Institutional Animal Care and Use Committee.

Viral load determination. Plasma viral load was determined by real-time reverse transcription-PCR (RT-PCR) based on methods originally described by Suryanarayana et al. (105). Briefly, viral RNA was prepared from EDTA-anticoagulated, cell-free plasma by using a Gentra Puregene RNA isolation kit according to the manufacturer's instructions (Gentra Systems). RNA was precipitated in the presence of glycogen, resuspended in 50 µl of nuclease-free water, and analyzed immediately. Oligonucleotides were chosen within the SIV gag sequence. The primers GAG5f (5'-ACTTTCGGTCTTAGCTCCATTAGT G-3') and GAG3r (5'-TTTTGCTTCCTCAGTGTGTTTCA-3) and the TaqMan probe GAG1tq (5'-TTCTCTTCTGCGTGAATGCACCAGATGA-3') were all obtained from Applied Biosystems. In the probe the fluorescence reporter dye at the 5' end was FAM (6-carboxyfluorescein), and the quencher dye at the 3' end was TAMRA (6-carboxytetramethyl-rhodamine). A two-step RT-PCR using the TaqMan Gold RT-PCR kit (Applied Biosystems) was performed. The control template is an in vitro transcript containing a KpnI-BamHI SIV gag fragment from SIVmac239, prepared from the plasmid pSIV-BS6 kindly provided by Jeff Lifson. RNA transcripts were diluted in nuclease-free water and stored at -80°C in single-use aliquots. For each run, an RNA standard curve was generated from triplicate samples of purified pSIV-BS-derived in vitro transcript, ranging from 3×10^{6} to nominal copy equivalents/reaction. For each test sample, four reactions were run. Triplicate aliquots were reverse transcribed and amplified, plus one aliquot that was processed without the addition of reverse transcriptase. The performance of the assay showed at least 5 \log_{10} linear dynamic range, with typical R^2 values for plots of threshold cycles versus \log_{10} input copy number of >0.98.

Immunophenotype and hematologic analyses. Absolute numbers of circulating CD3/4⁺ cells are determined via a two part process according to Centers for Disease Control and Prevention regulations for determining circulating CD3/4+ cells. Briefly, 1 ml of whole blood was treated with 14 ml of ammonium chloride lysis solution for 7 min, after which the mixture was centrifuged for 5 min at $700 \times g$, and the supernatant was discarded. The resultant cell pellet was then resuspended in 1 ml of staining medium (RPMI supplemented with 1% FBS and 0.02% NaN₃), and 50-µl aliquots of the cell suspension were then tristained with fluorescein isothiocyanate-labeled anti-CD3 (clone SP34-2), PerCp-Cy5.5-labeled anti-CD4 (clone L200), and allophycocyanin-labeled anti-CD8 (clone SK1) and doubled stained with fluorescein isothiocyanate-labeled anti-CD2 (clone S5.2) and PerCP-Cy5.5-labeled anti-CD20 (clone L27); unstained cells were used as staining controls. All antibodies were purchased from Becton Dickinson Immunocytometry Systems. Cells were incubated in the dark for 20 to 30 min, washed with 200 µl of PBS, and then transferred to fluorescence-activated cell sorting tubes (Falcon) in a final volume of 230 μl of 1% paraformaldehyde and run on a four-color flow cytometer (FACSCalibur; Becton Dickinson Immunocytometry Systems), where a minimum of 10,000 gated lymphocyte events were collected. The absolute numbers of $\text{CD3}^{+}\!/4^{+}$ and other relevant T- and B-cell populations were determined via offline analysis using flow cytometry analysis software according to Centers for Disease Control and Prevention guidelines for T-cell determinations in HIV-infected individuals.

Statistical analysis. SPSS version 10.0 (SPSS, Inc.), S-Plus 2000 (Insightful, Inc.), or Prism (GraphPad Software, Inc.) was employed for all statistical analyses, all using two-sided tests. The Mann-Whitney U test was used to compare NtAb responses in the three experimental groups to those in the control group. The independent sample t test was used to compare the log₁₀ peak viral load, log₁₀ Set point viral load, and log₁₀ CD4⁺ T-cell counts between the experimental and the control groups. Spearman correlation coefficients were used to assess the relationship between prechallenge NtAb levels and various markers of disease progression. Finally, Kaplan-Meier survival analysis, the log-rank test, and Cox proportional hazards regression were used to compare AIDS-free survival rates in all groups.

RESULTS

Neutralization sensitivity of glycan mutants of HIV-1 89.6. To examine the role of specific glycans in the antigenicity and immunogenicity of HIV-1 Env protein, we introduced mutations substituting the asparagine (N) residue with glutamine (Q) in potential N-linked glycosylation sites (N-X-T/S) in the envelope protein of HIV-1 89.6. Our initial effort included all N-linked glycans in the hypervariable V1, V2, and V3 regions of the surface antigen gp120. A detailed analysis of these



FIG. 2. Neutralization sensitivity of WT HIV-1 89.6 and N-linked glycan mutants. Neutralization of pseudotyped virus generated with WT or mutant HIV-1 89.6 Env was performed as described in Materials and Methods. Neutralization was quantified as the percent inhibition of viral infectivity measured by RLU expressed in TZM-bl indicator cells. The data shown are representative results obtained from three individual experiments.

mutants will be described elsewhere (Y. Li et al., unpublished data). For the present study, we focused on mutants that meet the following criteria: (i) mutant protein is expressed and processed normally; (ii) mutant protein retains overall functional integrity as indicated by successful rescue as viable virus; and (iii) mutant virus has enhanced susceptibility to broadly NtAbs. Three such mutants were identified: N6 (N187Q); N7 (N197Q), and NV3 (N301Q) (67). Compared to the WT 89.6, all three mutants showed enhanced sensitivity to a broadly neutralizing monoclonal antibody IgG1b12 (23) and to recombinant sCD4-Ig (1) (Fig. 2A and B). Among all of the mutants tested, N7 showed the most pronounced enhancement in neutralization sensitivity to IgG1b12 (~30-fold) and sCD4-Ig $(\sim 15$ -fold) (Table 1). Combinations of these mutations (N67V3) resulted in even greater sensitivity to these antibodies than single mutants (Fig. 2A and B and Table 1). We further characterized these mutants (three single mutants and one

triple) against a panel of neutralizing monoclonal antibodies. As shown in Fig. 2C, virus pseudotyped with the N7 Env showed increased sensitivity to monoclonal antibody 447-52D, which recognizes the highly conserved sequence in the tip of the V3 loop. Neither the N6 nor the NV3 mutation affected the susceptibility to 447-52D. However, the triple mutant N67V3 showed even greater sensitivity than N7 to the same antibody, indicating the additive effect of the individual mutations (Table 1).

Mutants similar to N7 have been introduced in HIV-1 ADA strain by Kolchinsky et al. (63, 64) and been found to have enhanced sensitivity to a number of neutralizing monoclonal antibodies, including those targeting CD4 binding site, V3, CD4-induced (CD4i), and gp41-specific membrane proximal ectodomain region (MPER) epitopes. We therefore examined mutants described here for their sensitivity to CD4i antibody 17b, carbohydrate-dependent antibody 2G12 (108), and

TABLE 1. IC_{50} of a panel of monoclonal antibodies against HIV-1 89.6 pseudotyped with WT or mutant Env

Env		$IC_{50} \ (\mu g/ml)^a$										
	CD4b		V2 (447 52D)	CD	4i	Managa (2012)	gp41 MPER					
	IgG1b12	CD4-IgG	v3 (447-32D)	17b without sCD4	17b with $sCD4^{b}$	Mannan (2012)	2F5	4E10				
WT	0.46	0.94	3.84	>20.00	>20.00	2.37	4.49	6.62				
N6	0.138	0.52	1.18	>20.00	>20.00	2.64	3.6	8.81				
N7	0.0163	0.065	0.31	1.01	0.63	0.75	2.26	2.22				
NV3	0.143	0.52	>4.84	>20.00	>20.00	4.83	4.48	3.3				
N67V3	0.0069	0.047	0.037	0.24	0.59	1.61	5.2	1.97				

^{*a*} Input virus was normalized by their infectivity at 100 TCID₅₀ in TZM-bl cells. The IC₅₀ is defined as the concentration at which 50% reduction of the viral infectivity was achieved. These results represent the average of two or more experiments. The column subheadings indicate the respective epitopes and monoclonal antibodies. ^{*b*} A subneutralizing concentration of sCD4 was used for these assays. Since the WT and mutant viruses exhibit different sensitivities to sCD4, the concentration of

sCD4 used was determined empirically for each indicator virus: WT, 0.15 µg/ml; N6, 0.02 µg/ml; N7, 0.002 µg/ml; NV3, 0.02 µg/ml; and N67V3, 0.001 µg/ml.

MPER antibodies 2F5 and 4E10 (103). As shown in Fig. 2D, all four mutants tested showed increased sensitivity to 17b compared to WT 89.6 in the absence of sCD4, indicating accessibility of this epitope normally obscured in the unliganded form of gp120. Again, N7 and the triple mutant showed the most pronounced effect. The presence of sCD4 at subneutralizing concentrations augmented the neutralizing activities of 17b against the WT 89.6 but had minimal effect on the sensitivity of the glycan mutants (Fig. 2E). It is of interest that, even in the absence of sCD4, both the N7 and the triple mutants were >20-fold more sensitive to 17b than the WT or the N6 and NV3 mutants in the presence of sCD4 (Table 1), indicating that the N197 glycan plays a major role in controlling access to the epitope recognized by 17b.

The N7 mutant also showed a modest increase (\sim 3-fold) in neutralization sensitivity to monoclonal antibody 2G12 (Fig. 2F), which recognizes a mannan-dependent epitope in gp120. In contrast, the N6 mutant showed little effect, and the NV3 mutant showed a modest decrease (two- to threefold) in sensitivity to the same antibody, a finding consistent with the differential role of N-linked glycans in modulating the sensitivity to 2G12 (99). Together, the triple mutant N67V3 showed little change from WT in its sensitivity to 2G12, indicating the additive effect of these mutations. None of the mutants tested had any major effect on neutralization by 2F5 (Fig. 2G). However, the N7 and the triple mutants consistently showed a \sim 3-fold increase in sensitivity to another MPER epitope in gp41, 4E10 (Fig. 2G and Table 1). The N7 mutant also showed enhanced neutralization sensitivity to polyclonal HIV-1 positive human sera but was not neutralized by monoclonal antibody directed to the V1-V2 loop of gp120 from heterologous isolates (data not shown). Together, these results indicate that all three N-linked glycans that we examined can modulate the neutralization sensitivity of HIV-1 89.6. However, the major effect was exerted by the N197 glycan located just outside of the carboxy terminus of the V2 loop.

CD4-independent infection by the N197Q mutant. The observation that all three glycan mutants tested showed enhanced neutralization by 17b in the absence of sCD4 suggests that these mutants may have acquired a CD4-independent phenotype. This effect was previously reported by Kolchinsky et al. (63) for similar mutants in a CCR5-tropic strain ADA. In the present study, we tested all four glycan mutants described for their ability to mediate infection in the presence or absence of CD4. The infectivity of replication-competent SHIV 89.6 and marker-rescued Env mutant viruses was evaluated in TZM-bl (Fig. 3A). Mutants N6 and N7 retained WT levels of infectivity, as shown by the similar levels of reporter gene expression per unit of p27 input virus. The infectivity of mutants NV3 was reduced by 40%, a finding consistent with our previous observations (67). A significant reduction (80%) in infectivity was observed for the triple mutant N67V3 (Fig. 3A). Because the



FIG. 3. (A) Infectivity of replication competent WT and mutant SHIV 89.6 in TZM-bl cells. The amount of input virus was normalized by the p27 level in the inoculum and was determined to be in the linear range of infectivity as measured by luciferase gene expression. Values on the *y* axis represent RLU (10^4). (B) CD4-independent infection by glycan mutants. The infectivity of HIV-1 pseudotyped with WT or mutant Env was measured in U87 cells expressing CXCR4. The inoculum used for each mutant virus was normalized by the infectivity in U87-CXCR4-CD4 cells measured at 200,000 RLU. Values on the *y* axis in this panel represent RLU (10^3). The results in both panels represent the average and standard deviation obtained from three individual experiments.



FIG. 4. Immunization and challenge scheme. See Materials and Methods for details.

Env of 89.6 uses primarily CXCR4 as the coreceptor, we measured the infectivity of HIV-1 pseudotyped with WT or mutant envelope in U87-CXCR4 cells, using inocula normalized for their infectivity in isogenic CD4-expressing U87-CXCR4-CD4 cells. The results in Fig. 3B indicate that the relative infectivity of the N7 mutant was 10-fold higher than the WT virus in the absence of CD4, confirming the CD4-independent phenotype of the mutant Env. While the N6 mutant inside the V2 loop showed little effect on CD4-dependence, the NV3 mutant consistently showed a two- to threefold increase in infectivity in CD4-negative cells compared to WT. Combination of the N7 and the NV3 mutations (e.g., N67V3) resulted in further increases in infectivity in CD4-negative cells. These findings indicate that glycans at both the N7 and, to a lesser extent, the NV3 sites modulate the accessibility to the coreceptor binding site(s) on gp120 and that their effect appears to be additive.

Immunogenicity of WT and mutant Env. The observations described above suggested that removal of one or more Nlinked glycans results in the unmasking of several important targets in the envelope protein. These include epitopes for broadly NtAbs and receptor-binding site(s). To test whether such glycan mutants can also affect the immunogenicity of HIV-1 89.6 Env protein, we immunized pig-tailed macaques (n = 6/group) with a prime-boost regimen. Since the most pronounced effects in neutralization sensitivity and CD4-independent infection were mediated by the N7 and the triple mutants, we chose to test these two together with WT Env. Animals were inoculated at weeks 0 and 8 with two recombinant vaccinia viruses, one expressing SIVmac239 Gag/Pol, and the other expressing HIV-1 89.6 Env gp160 in one of three forms: WT (group 1), single mutant N7 (group 2), or a triple mutant containing N6/N7/NV3 (group 3). Animals were boosted 14 or 16 months later (see Materials and Methods) with codon-optimized SIVmac239 gag DNA in saline and the cognate gp140 Env protein formulated in alum (Fig. 4). Control animals were primed with vaccinia virus and boosted with vector plasmid and adjuvant only. Immunization with recombinant vaccinia virus alone elicited low but persistent gp120specific antibodies. After the final booster immunization, titers increased 30- to 50-fold to $\sim 10^5$ in all three groups, indicating a long-lasting immune memory (Fig. 5). However, NtAb responses differed among the three groups. On the day of challenge, group 1 animals generated only low levels of NtAbs against SHIV89.6, SHIV89.6P-MN, and HIV-1SF162, with



FIG. 5. HIV-specific antibody responses after recombinant vaccinia and protein immunizations. HIV-1 SF162 gp120 (>95% pure, produced in stably transformed Chinese hamster ovary cells by Chiron Corp. and obtained from the NIH ARRRP, Division of AIDS, National Institute of Allergy and Infectious Disease, NIH) was used as the capturing antigen. Geometric mean endpoint titers of HIV-1 gp120-specific antibody response in immunized animals (n = 6/group) were measured before immunization ("Preimmune," week 0), 2 weeks after the second recombinant vaccinia virus immunization ("After vaccinia," week 10), on the day the animals received the last immunization ("Before last boost; week 77 for groups 1 and 2 and week 66 for groups 3 and controls [see Materials and Methods]), and 2 weeks after the last immunization ("2 wk after last boost," week 79 for groups 1 and 2 and week 68 for groups 3 and controls). Vertical bars indicate the standard deviations within each group.

median titers of 70, 54, and 32, respectively, whereas group 2 animals had significantly higher neutralizing activities against the same viruses, with mean titers of 577, 920, and 1563, respectively (Fig. 6A). There was a significant correlation among the 50% neutralization titers against SHIV89.6, SHIV.6P-MN, and SF162 ($P \le 0.0001$; data not shown). Surprisingly, group 3 animals show only low NtAb against SHIV89.6 and SF162, with little or no activity against SHIV89.6P-MN.

To assess the breadth of response, we tested prechallenge sera from all animals against a standard panel of subtype B primary isolates in a pseudotyped virus neutralization assay as described previously (67, 76). Viruses selected for this panel have neutralization sensitivity profiles typical of primary subtype B HIV-1 isolates (the so-called tier 2 isolates). We first tested sera from all animals at 1:15 dilution for their neutralization activity against seven primary isolates (Table 2). As expected, group 1 animals immunized with WT Env showed little or no neutralization activity. Only three of the six animals showed low or moderate levels (50 to 80% or 80 to 90% reduction in infectivity, respectively) of neutralization against three or more of the seven primary isolates tested, including a highly sensitive strain of primary virus (SS1196.1, SVPB9). In contrast, all six animals in group 2 immunized with mutant N7 Env neutralized one or more primary isolates in addition to SS1196.1. Consistent with results obtained in Fig. 6A, group 3 animals failed to generate any detectable NtAb against this primary isolate panel. Of the six control animals, two showed low levels of inhibitory activity in the pseudotyped virus assay. The nature of this activity is not clear. In subsequent studies, we focused on animals J99204 and J99337 from group 2 (N7 immunized) and evaluated their day-of-challenge sera against pseudotyped viruses representing 12 primary subtype B iso-



FIG. 6. NtAb response on the day of challenge. (A) Neutralization titers were measured as the highest serum dilutions that resulted in 50% reduction of infectivity in the TZM-bl cell assay against replication-competent viruses: homologous WT virus HIV-1 89.6, challenge virus SHIV89.6P-MN, or a heterologous virus HIV-1 SF162. The boxplots indicate the median, as well as the range of responses, including the maximum, minimum, and the 75 and 25% quartiles as indicated. The *P* values for the differences in response between group 1 or group 2 and the control group were ≤ 0.004 and ≤ 0.002 , respectively (Mann-Whitney U test). The *P* value for the differences between groups 1 and 2 was ≤ 0.002 by the same test. (B) Neutralization of viruses pseudotyped with Env from 12 primary HIV-1 subtype B isolates by day-of-challenge serum from two animals immunized with the N7 mutant Env (group 2). Neutralization was expressed as the percent reduction of RLU at a 1:15 serum dilution with the values from preimmune sera subtracted. The data shown represent results obtained from two (B) or three (A) independent experiments.

lates. The results in Fig. 6B confirmed the breadth of the sera from J99204 and J99337, which neutralized 12 of 12 isolates and 11 of 12 isolates tested, respectively.

Reduction of viral load and maintenance of peripheral blood CD4⁺ T cells in immunized animals after SHIV89.6P-MN challenge. To determine whether immune responses elicited by any of the vaccines described could protect macaques against primate lentivirus infection or disease, we challenged all animals 4 weeks after the last immunization with intrarectal inoculations of a chimeric virus SHIV89.6P-MN. Naive controls showed high levels of plasma viremia, with a mean peak viral load of 4.4×10^7 vRNA eq/ml at 2 weeks after infection (Fig. 7G and I). The mean plasma viral load at week 12 after infection was approximately 10^5 vRNA eq./ml, increasing to 3×10^6 vRNA eq/ml by 24 weeks after infection. Animals in groups 1

and 2 showed >30-fold reduction of peak viral load compared to control animals ($P \le 0.007$ and 0.014, respectively, for groups 1 and 2) (Fig. 7A, C, and I). Both groups also showed significant (>200-fold) reduction of mean post-acute plasma viral loads ($P \le 0.01$ for both groups compared to controls at week 16). There were no statistically significant differences between group 1 and 2 animals in their peak and setpoint viral loads after challenge. Although group 3 animals showed a lower mean plasma viral load compared to the control animals, the difference did not reach statistical significance ($P \le 0.2$). As is expected for SHIV89.6P-MN infection, five of the six control animals showed rapid and irreversible CD4⁺ T-cell loss within a month after challenge. In contrast, all immunized animals, with the exception of two animals (T00240 and Z00303) in group 3, maintained

		Percent Reduction of Relative Luminescence Units								
Group	Animal	SS1196.1 (SVPB9)	QH0692.42 (SVPB6)	SC422661.8 (SVPB8)	PVO.4 (SVPB11)	AC10.0.29 (SVPB13)	RHPA.7 (SVPB14)	REJO.67 (SVPB16)		
WT	J99191	83	64	68	36	32	57	70		
	K98306	15	0	0	0	0	0	9		
	L99133	35	32	48	31	39	13	26		
	K99278	83	78	85	71	68	43	62		
	A99225	78	45	62	19	21	41	61		
	J99146	3	0	0	0	0	19	27		
N7	J99244	84	80	83	75	70	47	63		
	T99328	87	63	50	25	18	42	55		
	L00160	77	49	58	15	13	14	36		
	K99195	72	70	79	65	65	29	34		
	J99204	88	87	91	82	76	51	67		
	J99337	85	79	79	63	65	61	0		
N67V3	T00240	0	0	24	12	6	12	0		
	Z00303	10	0	0	0	0	14	16		
	M99189	7	0	0	0	0	32	24		
	A01167	1	0	0	0	0	7	0		
	A02159	19	0	0	0	20	23	0		
	A02161	44	22	9	10	25	23	24		
Control	K00341	9	10	0	8	6	7	0		
	J01045	25	32	27	27	19	15	6		
	A02156	21	32	34	15	32	23	12		
	A02160	65	54	67	57	60	48	58		
	A02162	32	31	33	25	4	20	5		
l l	T98106	46	58	62	55	55	38	38		
	0/ E	eduction	<50%	50-79%	80-80°/-	56055				

TABLE 2. Neutralization of primary subtype B HIV-1 isolates by day-of-challenge sera from immunized and control animals

Table 2: Neutralization of primary subtype B HIV-1 isolates by day-of-challenge sera from immunized and control animals:

peripheral blood CD4⁺ T cells within the normal range for

the first 10 months of the study.

NtAb levels from all animals before and after challenge were examined for potential correlates of protective immunity. There is a significant correlation between the neutralization titer against the challenge virus SHIV89.6P-MN on the day of challenge and reduction in the peak (week 2) and setpoint (week 16) viral loads ($P \le 0.005$ and 0.010, respectively) (Fig. 8A and B). NtAb titers against SHIV89.6P-MN on day of challenge were also significantly correlated with the preservation of peripheral blood CD4⁺ T cells at week 28 ($P \le 0.0001$) (Fig. 8C). Taken together, these results indicate the potential importance of vaccine-induced NtAbs in the protection or control of infection. As expected from their differences in CD4⁺ T cells, animals in all three immunization groups had significantly greater AIDS-free survival compared to the unvaccinated controls ($P \le 0.015$). Proportional hazards analysis indicates that animals in groups 1 and 2 were significantly less likely to die than the control animals (89 and 90% reduction in risk of death for groups 1 and 2 versus controls, respectively; $P \leq 0.04$ for both groups). There was a trend for animals in group 3 to be less likely to die than the control animals (75% reduction in risk), but the difference was not statistically significant ($P \leq 0.1$).

DISCUSSION

Glycan modification has been explored as an approach to enhance HIV NtAb responses. We report here that immunization with a mutant Env with a single glycan removed resulted in enhanced NtAb responses, not only against the mutant virus (data not shown) but also against the homologous WT virus and a panel of heterologous primary ("Tier 2") isolates. This is not predicted by previous findings with glycan-modified envelope proteins. For instance, Bolmstedt et al. (16) compared gp160 and mutant envelope lacking three N-linked glycosylation sites in the V4-V5 region but only observed the preferred homologous NtAb response (i.e., sera from animals immunized with mutant gp160 preferentially neutralized the mutant but not the WT virus). These researchers also immunized mice with DNA lacking the N-terminal V3 (N306) glycan but failed to detect any difference between the WT and the mutant plasmid in their ability to elicit neutralization responses against either the wild-type or the mutant virus (15). Similarly, Quinones-Kochs et al. (85) reported that neither V1 nor V2 glycan mutant was any better than WT HIV-1 89.6 envelope at inducing NtAb. Burke et al. (20) reported an improved NtAb response elicited by HIV-1 SF162 Env gp140 with glycan modification at an N-terminal proximal site (residue 154) in V2 loop. However, the breadth of activities detected appears to be limited, neutralizing a heterologous subtype B virus (HIV-1 89.6) only at a 1:10 dilution. It should be noted that none of the investigators mentioned above examined the same glycan mutant (N197Q) as we did. The effects of glycan modification on Env immunogenicity therefore appear to be highly dependent on the specific glycans examined.

The basis for the enhanced NtAb response elicited by the N7 mutant remains unclear. As was observed by Kolchinsky et al. (63) with a CCR5-using strain ADA, removal of this V2 loop proximal glycan in the dualtropic 89.6 strain enables the mutant envelope to mediate CD4-independent infection. Similar observations have been made by Koch et al. (62) in HIV and Pikora et al. (81) in SIV, indicating that this highly conserved glycan may be important for virus survival by controlling access



Weeks after Challenge

FIG. 7. Plasma viral load (left panels) and peripheral blood $CD4^+$ T cells (right panels) in animals after SHIV89.6P-MN challenge. (A to H) Data for individual animals in each immunization or control group as indicated on the left margin. (I and J) Means and standard deviations of plasma viral load and peripheral blood $CD4^+$ T cells, respectively, in control and experimental groups. The large "**X**" denotes the approximate date of euthanasia due to AIDS.



FIG. 8. Correlate of protection and survival curve of challenged animals. The \log_{10} -transformed 50% neutralization titer of each animal on the day of challenge against the challenge virus SHIV89.6P-MN was plotted against peak plasma viral load at week 2 (A), setpoint viral load at week 16 (B), or peripheral blood CD4⁺ T cells at week 28 (C). (D) Kaplan-Meier analysis of AIDS-free survival after challenge infection. Correlation coefficients (Spearman's *r* value) and *P* values (two-tailed) were calculated by using Prism 4 (GraphPad) software.

to the coreceptor binding sites. The results reported here further demonstrate that this mutation at this glycan site increases exposure not only for the coreceptor binding site but also for epitopes recognized by several key neutralizing monoclonal antibody, including those that target the CD4-binding site, the CD4-induced epitope, the tip of the V3 loop and, to a lesser extent, the mannan-dependent epitope defined by 2G12 and the MPER epitope defined by 4E10. Elucidation of the basis of these observations will likely require better understanding of the structure and function of the envelope glycoproteins. However, it is possible that the removal of the N197 glycan results in greater flexibility for the V2 loop, as suggested by Kolchinsky et al. (63). This flexibility could allow the envelope protein to stabilize in a conformation that is normally induced only upon binding to the CD4 receptor during the infection process. As a result, the CD4 binding site, the CD4-induced epitope, and the V3 loop may become more accessible in the mutant Env. In this regard, our observation lends support to approaches that aim to mimic the gp120-CD4 complex (35), to stabilize the envelope protein in the "liganded form" (27, 65, 112), or to utilize natural isolates that share similar properties with the glycan mutants described here (26, 33, 116, 117).

It is noteworthy that, although the triple-mutant virus (N67V3) showed greater neutralization sensitivity than the single-mutant N7, immunization with the triple-mutant Env elicited no better NtAb response than the WT protein. Thus, antigenicity does not predict immunogenicity. The basis for the difference is not clear. Virus bearing the triple mutant showed \sim 80% reduction of infectivity per microgram of p27 in an infectivity assay compared to the WT or the other mutant viruses (Fig. 3A). The expression level and/or the stability of the triple mutant Env also appeared to be reduced compared to the WT Env in a transient-transfection assay (data not

shown). However, these factors are unlikely to contribute to the reduced NtAb responses elicited by the triple-mutant Env. First, the gp120-specific responses, as measured by enzymelinked immunosorbent assay (Fig. 5) and Western blot (data not shown) analyses, on sera obtained 2 weeks after the second vaccinia virus immunization showed no difference between the three experimental groups. Second, most of the antigen-specific responses were generated after the booster immunization, when equal quantities of the WT or mutant recombinant gp140 protein were used. Third, gp120-binding antibody titers after the booster immunization were similar among the three immunization groups (Fig. 5). Therefore, it is unlikely that the poor NtAb response elicited in N67V3-immunized animals was due to the lack of expression or overall immunogenicity of the mutant Env protein. Because of the additive effects of the glycan mutations on neutralization sensitivity (Fig. 2) and coreceptor usage (Fig. 3), it is possible that combination of these mutations may have cumulative effects on the overall structure of the Env protein, which in turn may influence its immunogenicity. However, until we have a better understanding of the effect of the glycan modifications on the structure of the Env protein and of the relationship between antigenicity and immunogenicity, it is difficult to predict the outcome of combining different glycan mutations. In this regard, it is noteworthy that previous failures to elicit better NtAb responses with glycan-modified Env proteins often utilized multiply deglycosylated proteins (16, 77, 85). It remains to be determined whether multiple changes may obscure the effects of single mutants (as was observed with the sensitivity to monoclonal antibody 2G12 when additional glycan mutations were introduced into the N7 mutant). Information on the structural differences between the single and triple glycan mutants described here and a better understanding of the nature of the NtAb responses generated by the N7 mutant proteins may provide further insights. In this regard, information generated by the work of Dhillon et al. (36) may prove important for further refinement of glycan modification as a vaccine design concept.

Despite the improved NtAb responses generated by the N7 Env, there were no statistically significant differences in peak and setpoint viral loads between WT- and N7-immunized animals after challenge. Several reasons could account for this apparent discrepancy. First, the level of prechallenge NtAb may still be too low to make a significant difference in the outcome of challenge. This is supported by the finding that none of the vaccines tested here was able to afford protection against infection after challenge with SHIV89.6P-MN. Second, NtAb responses measured in the serum compartment may not accurately reflect responses required to protect against a mucosal challenge. Third, anamnestic response after challenge may minimize the differences in prechallenge vaccine-induced NtAb responses. In support of this notion, animals in the three experimental groups generated similar titers of NtAb against the homologous virus 8 weeks after challenge (data not shown), despite the significant differences between them before challenge. Fourth, it is possible that cellular immunity or humoral responses other than NtAb also contributed to the control of infection. Finally, the lack of a statistically significant difference in viral load in group 1 and 2 animals despite their differences in prechallenge NtAb responses may simply be due

to the small sample sizes. Consistent with this notion is the observation that when data from all of the animals in the present study were included in the analysis as shown in Fig. 8, there was a significant correlation between the day-of-challenge NtAb titers against the challenge virus and the reduction of viral load ($P \le 0.005$ and 0.010 for peak and setpoint viral loads, respectively) and preservation of peripheral blood CD4⁺ T cells after challenge ($P \le 0.0001$).

Reduction of viral load and protection against CD4⁺ T-cell loss resulting from SHIV89.6P infection has been achieved by a number of vaccines and immunization approaches (2-4, 12, 37, 38, 40, 59, 69, 70, 72, 75, 86, 87, 95, 96, 100, 101, 109). Reduction of viral load or "partial protection" was commonly associated with vaccine-elicited CD8+ T-cell-mediated responses, most notably against the Gag, Pol, Tat, and Nef antigens. With few exceptions (73, 88), NtAbs against the challenge virus were not elicited by vaccination nor present at the time of challenge but were induced after challenge and were believed to play a role in the control of infection (37, 38, 40, 83, 87, 96, 114). With the N7 mutant Env, we were able to elicit broadly NtAb responses, not only against the challenge virus but also a panel of primary subtype B ("Tier 2") isolates. Although the potency of the response was insufficient to prevent infection, vaccine-induced NtAb responses are likely to play an important role in the control of infection, since a significant correlation was observed between prechallenge NtAb and the reduction of viral load and the protection against peripheral blood CD4⁺ T-cell loss after SHIV89.6P-MN challenge. Experiments with greater sample sizes are likely to be needed to confirm the validity of these observations. It should also be noted that the vaccine was based on 89.6 Env, whereas the challenge virus was based on the macaque-passaged SHIV89.6P, which most likely contains variants that escape immune responses elicited by SHIV89.6. This observation supports the potential breadth of the protective immunity elicited by the vaccines described. It therefore appears warranted that further improvements be made to the N7 Env to optimize antigen presentation and immunization regimen, including the use of more potent adjuvants.

We have not examined in depth the role of non-NtAbs nor the cellular immunity in the present study. It is of interest that even though animals in group 3 had little or no NtAb response at the time of challenge, four of six animals in this group, compared to only one of six in the control group, were able to recover or maintain normal levels of peripheral blood CD4⁺ T cells after acute infection (Fig. 7F to H). Although the difference does not achieve statistical significance, this result indicates a strong trend ($P \leq 0.1$) toward a role for vaccineinduced immunity other than prechallenge NtAb in the preservation and recovery of peripheral blood CD4⁺ T cells. As indicated above, cellular immunity and non-NtAbs (e.g., antibody-dependent cellular cytotoxicity) prior to challenge, as well as anamnestic responses after challenge, may have contributed. Furthermore, NtAb present in anatomic sites where virus replicates (e.g., lymph nodes) may also contribute to the control of virus replication in group 3 animals.

The induction of potent and broadly NtAb against HIV remains a major goal of current AIDS vaccine research. The observation that envelope proteins with a single glycan removed can induce improved NtAb response and control of virus infection indicates a potential role for glycan modification in vaccine design. However, our findings also indicate that the effect may be dependent on the specific glycan modifications studied and that antigenicity per se does not predict immunogenicity. Therefore, the basis for the enhanced immunogenicity of the N197 glycan mutant envelope and the general applicability of glycan modification as a vaccine approach need to be further examined.

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