

# Regulation of endonuclease activity by proteolysis prevents breakage of unmodified bacterial chromosomes by type I restriction enzymes

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**ABSTRACT** ClpXP-dependent proteolysis has been implicated in the delayed detection of restriction activity after the acquisition of the genes (*hsdR*, *hsdM*, and *hsdS*) that specify *EcoKI* and *EcoAI*, representatives of two families of type I restriction and modification (R-M) systems. Modification, once established, has been assumed to provide adequate protection against a resident restriction system. However, unmodified targets may be generated in the DNA of an *hsd*<sup>+</sup> bacterium as the result of replication errors or recombination-dependent repair. We show that ClpXP-dependent regulation of the endonuclease activity enables bacteria that acquire unmodified chromosomal target sequences to survive. In such bacteria, HsdR, the polypeptide of the R-M complex essential for restriction but not modification, is degraded in the presence of ClpXP. A mutation that blocks only the modification activity of *EcoKI*, leaving the cell with ≈600 unmodified targets, is not lethal provided that ClpXP is present. Our data support a model in which the HsdR component of a type I restriction endonuclease becomes a substrate for proteolysis after the endonuclease has bound to unmodified target sequences, but before completion of the pathway that would result in DNA breakage.

Within a bacterium that has a classical restriction and modification (R-M) system, the nucleotide sequences that define the targets for attack by the resident restriction endonuclease are concealed by the modification of appropriate bases within them. For some systems this modification is achieved by the methylation of specific adenine residues, and for others it is achieved by methylation of cytosine residues. The restriction endonuclease has the potential to attack DNA from different strains of the same species because foreign DNA generally lacks the protective imprint of the relevant methyltransferase (for reviews see refs. 1 and 2). Restriction of the host cell's newly synthesized DNA normally is avoided, because the unmethylated strand of each target sequence produced by DNA replication is methylated before the next round of replication. If, however, resident DNA were to acquire unmodified target sequences, would it, like foreign DNA, become a substrate for restriction? In this paper we show that in situations where the modification of the host DNA by a type I R-M system fails, an alternative level of protection impairs the endonuclease activity of the restriction system and the bacteria survive.

A type I R-M system is encoded by three genes: *hsdR*, *hsdM*, and *hsdS*. The three polypeptides, HsdR, HsdM, and HsdS, often designated R, M, and S, assemble to give an enzyme (R<sub>2</sub>M<sub>2</sub>S<sub>1</sub>) that modifies hemimethylated DNA and restricts unmethylated DNA. A smaller complex (M<sub>2</sub>S<sub>1</sub>) has only the methyltransferase activity. The S subunit confers target spec-

ificity; hence, both complexes and both activities respond to the same nucleotide sequence.

Type I systems of enteric bacteria have been divided into discrete families by tests for cross-hybridization between genes and cross-reactivity with antibodies raised against the archetypal member of each family (3–5). Four families of distantly related systems have been identified (types IA, IB, IC, and ID), and where complementation tests have been done they indicate that enzymes in the same family can interchange subunits, but those from different families cannot (6, 7).

No transcriptional regulation of type I R-M genes has been detected; yet these genes are transferred readily to recipient bacteria devoid of the relevant modification activity (8–10). It is presumed that the cells survive the acquisition of the new R-M system because they become restriction proficient only after the modification activity is established. Experiments in support of this identify a lag of ≈15 generations before the cells become restriction-proficient after the acquisition of *hsd* genes by conjugation (11). The ClpXP protease was shown to be essential for the effective acquisition of genes specifying type IA and IB systems, and for this reason proteolysis has been implicated in the delayed expression of restriction activity (10).

The acquisition of a new specificity system is not the only situation in which a temporary loss of restriction proficiency has been detected. A well documented example, referred to as restriction alleviation (RA), occurs in response to treatments that damage DNA (12–14). UV light, nalidixic acid, and 2-aminopurine (2-AP) have been shown to induce restriction alleviation. It is possible that the temporary loss of restriction proficiency associated with the establishment of a new specificity is an example of RA. If this is so, ClpXP would be required for the alleviation of restriction in response to DNA damage. We have tested this hypothesis and show ClpXP to be a common requirement for RA in response to the various agents that damage DNA. This led us to identify steps in the molecular pathway that protect bacteria against the potentially lethal effects of restriction after DNA damage in a cell with a resident type I system or after the acquisition of a type I system capable of attacking the resident DNA.

## MATERIALS AND METHODS

**Bacterial Strains, Phages, Plasmids, and General Microbial Methods.** Bacterial strains are listed in Table 1. Integration-deficient, *λ*h<sub>sd</sub>cI857 phages were used to transfer *hsd* alleles to bacterial chromosomes: *λ*NM1367 includes *hsdΔRM*(F269G)*S*<sup>+</sup>; *λ*NM1376, *hsdM*<sup>+</sup>*S*<sup>+</sup>; *λ*NM1394, *hsdM*(F269G)*S*<sup>+</sup>; and *λ*NM1384, *hsdR*(A619V) (17). JC9935 was used as the donor of the following derivatives of F'101: F'101–102, *hsd*<sub>KR</sub><sup>-</sup>*M*<sup>+</sup>*S*<sup>+</sup> (11); F'101–301, *hsd*<sub>K</sub><sup>-</sup> *hsd*<sub>A</sub><sup>+</sup> (10);

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Abbreviations: R-M, restriction and modification; RA, restriction alleviation; 2-AP, 2-aminopurine; DSB, double-strand break; EOP, efficiency of plating.

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Table 1. *E. coli* K-12 strains

Strain	Relevant genotype	Source or origin
C600	<i>hsd<sub>K</sub><sup>+</sup></i>	See ref. 10
5K	<i>hsdR514</i>	See ref. 10
CB51	<i>dam-3</i>	C. Boyd
JC9935	<i>recA13</i>	See ref. 10
LE451	<i>rac-0 recA srl::Tn10</i>	Ref. 15
NM477	$\Delta$ ( <i>hsdMS</i> )5	See ref. 10
NM659	$\Delta$ <i>recA::cat</i>	This laboratory
NM679	$\Delta$ ( <i>hsdRMS</i> )	Ref. 16
NM799	<i>hsdR</i> (A619V)	Refs. 17 and 18
NM802	$\Delta$ <i>hsdR4</i>	This laboratory
SG22007	$\Delta$ <i>clpP::cat</i>	Ref. 19
SG22080	$\Delta$ <i>clpX::kan</i>	Ref. 20
SG22129	$\Delta$ <i>clpP::cat</i> $\Delta$ <i>clpX::kan</i>	S. Gottesman
RH6972	<i>dnaQ::miniTn10 (mutD)</i>	D. R. F. Leach
RS2	<i>topA10</i>	Ref. 21
TPC48	<i>zjj::Tn10 dnaC<sup>ts</sup></i>	See ref. 10
NK31	<i>gyrA96</i>	Ref. 10
NK167	<i>hsd<sub>K</sub><sup>-</sup>hsd<sub>A</sub><sup>+</sup></i>	Ref. 10
NK300	<i>rac-0 recA<sup>+</sup>srl<sup>+</sup></i>	LB451 $\times$ P1(C600)
NK301	<i>rac-0 gyrA96</i>	NK300 $\times$ P1(NK31)
NK302	<i>dam</i>	NK301 $\times$ P1(CB51)
NK303	$\Delta$ <i>clpP</i>	NK301 $\times$ P1(SG22007)
NK304	$\Delta$ <i>clpX</i>	NK301 $\times$ P1(SG22080)
NK308	$\Delta$ <i>recA</i>	NK301 $\times$ P1(NM659)
NK309	<i>zjj::Tn10 dnaC<sup>ts</sup></i>	NK301 $\times$ P1(TPC48)
NK310	<i>hsdR</i>	NK301 $\times$ P1(5K)
NK311	$\Delta$ ( <i>hsdRMS</i> )	NK309 $\times$ P1(NM679)
NK312	$\Delta$ ( <i>hsdRMS</i> ) $\Delta$ <i>clpX</i>	NK311 $\times$ P1(SG22080)
NK315	<i>dam</i> $\Delta$ <i>clpX</i>	NK302 $\times$ P1(SG22080)
NK320	$\Delta$ <i>clpX</i>	NK300 $\times$ P1(SG22080)
NK323	$\Delta$ <i>clpX</i> $\Delta$ <i>recA</i>	NK304 $\times$ P1(NM659)
NK324	$\Delta$ ( <i>hsdRMS</i> ) $\Delta$ <i>clpX</i> $\Delta$ <i>recA</i>	NK312 $\times$ P1(NM659)
NK325	<i>hsdR</i> $\Delta$ <i>clpX</i>	NK310 $\times$ P1(SG22080)
NK326	<i>mutD</i>	NK301 $\times$ P1(RH6972)
NK327	<i>mutD</i> $\Delta$ <i>clpX</i>	NK326 $\times$ P1(SG22080)
NK329	<i>topA10</i> $\Delta$ <i>clpP</i> $\Delta$ <i>clpX</i>	RS2 $\times$ P1(SG22129)
NK351	<i>hsdR</i> (A619V)	NK309 $\times$ P1(NM799)
NK352	$\Delta$ ( <i>hsdMS</i> )5	NK309 $\times$ P1(NM477)
NK354	<i>hsd<sub>K</sub><sup>-</sup>hsd<sub>A</sub><sup>+</sup></i>	NK309 $\times$ P1(NK167)
NK355	<i>hsd<sub>K</sub><sup>-</sup>hsd<sub>A</sub><sup>+</sup></i> $\Delta$ <i>clpX</i>	NK354 $\times$ P1(SG22080)
NK378	$\Delta$ <i>hsdR</i> <i>hsdM</i> (F269G)	NM802 $\times$ $\lambda$ NM1367
NK379	$\Delta$ <i>hsdR</i>	NK309 $\times$ P1(NM802)
NK380	$\Delta$ <i>hsdR</i> $\Delta$ <i>clpX</i>	NK379 $\times$ P1(SG22080)
NK382	$\Delta$ <i>hsdR</i> <i>hsdM</i> (F269G)	NK309 $\times$ P1(NK378)
NK383	$\Delta$ <i>hsdR</i> <i>hsdM</i> (F269G) $\Delta$ <i>recA</i>	NK382 $\times$ P1(NM659)
NK384	$\Delta$ <i>hsdR</i> <i>hsdM</i> (F269G) $\Delta$ <i>clpX</i>	NK382 $\times$ P1(SG22080)
NK386	<i>hsdM</i> (F269G)	NK301 $\times$ $\lambda$ NM1394
NK388	<i>hsdR</i> (A619V) <i>hsdM</i> (F269G)	NK386 $\times$ $\lambda$ NM1384

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and F'101-103, *zjj::Tn10 hsd<sub>K</sub>R<sup>+</sup> $\Delta$ (MS)5*. F'101-103 was selected after plasmid-chromosome allele exchange, as described for F'101-301 (10). pNK3 was made by transferring the *HindIII-SmaI* fragment containing *hsdR* from pBg3 (22) to pACYC184 (23) digested with *HindIII* and *NruI*. Media and general methods were as described previously (10).

**Restriction Alleviation.** 2-AP (400  $\mu$ g/ml) was added to midlogarithmic cultures grown at 37°C in LB medium. Intensive aeration was provided before and during the treatment. After 1 h, the cells were washed, resuspended in fresh broth, and tested for restriction. UV-induced RA was measured as described in ref. 24, and RA in response to nalidixic acid was measured as described by (13).

**Analysis of Proteins.** Polypeptides were separated by electrophoresis through SDS/polyacrylamide gels (25). Western

blots used rabbit antisera against *EcoKI* or *EcoAI* and the chemiluminescence detection system (POD) of Boehringer Mannheim.

The stability of proteins was monitored after pulse-labeling with [<sup>35</sup>S]methionine. Bacteria were grown at 37°C with intensive aeration to an OD<sub>600</sub> of 0.2–0.3 in minimal medium supplemented with thiamin and all amino acids except methionine and cysteine. Chloramphenicol (20  $\mu$ g/ml) maintained the presence of pNK3. Each culture was divided, and 2-AP (400  $\mu$ g/ml) was added to one aliquot. After 1.5 h, a 1-min pulse of [<sup>35</sup>S]methionine (25  $\mu$ Ci/ml) was given. Labeling was stopped by diluting each culture with an equal volume of prewarmed LB supplemented with L-methionine (15  $\mu$ M) or with L-methionine and 2-AP (400  $\mu$ g/ml). Intensive aeration was maintained, and samples were taken at appropriate intervals. Bacteria were collected by centrifugation, resuspended in SDS sample buffer, and boiled for 5 min, and samples were applied to SDS/polyacrylamide gels for the separation of polypeptides by electrophoresis.

## RESULTS

**ClpXP Is Necessary for RA.** A simple quantitative test for restriction relies on the fact that most unmodified  $\lambda$  phages are killed when they infect *Escherichia coli* K-12; the phage genome is a substrate for *EcoKI*, the resident restriction system. The titer of an unmodified phage lysate ( $\lambda$ .0) on a restricting host relative to that on a nonrestricting derivative is referred to as the efficiency of plating (EOP). Therefore, the inverse of EOP quantifies restriction. RA is detected as a temporary reduction in restriction (hence, an increased EOP) after treatment of genetically restriction-proficient cells with agents that damage DNA.

We examined RA for Clp<sup>+</sup> and Clp<sup>-</sup> strains in response to each of three treatments; UV light, nalidixic acid, and 2-AP. For each treatment, ClpX was essential for efficient RA (Fig. 1). A *clpP* strain was tested for RA in response to 2-AP, and it also was deficient in RA (data not shown). The results support our hypothesis that RA, in response to agents that damage DNA, and the delayed expression of restriction activity after the acquisition of *hsd<sup>+</sup>* genes by an *hsd<sup>-</sup>* recipient are both the outcome of a common ClpXP-dependent process. RA for the *EcoAI* system in response to 2-AP also was shown to be dependent on ClpX (data not shown).

**"Constitutive" RA.** Restriction is alleviated in *dam* strains (26). It is known that the Dam-methylase identifies the parental DNA strand during mismatch repair, and in *dam* mutants mismatch repair leads to double-strand breaks (DSBs) (27). This alleviation of restriction in *dam* strains led us to question whether other mutations that impair the efficiency or fidelity of DNA replication might induce RA. If such a phenotype occurred, would it be dependent on ClpXP? We tested *topA*, *mutD*, and *dam* strains.

Mutants deficient in topoisomerase I, like wild-type cells treated with nalidixic acid, have problems in DNA replication; DSBs may occur when the replication forks stall (28). In

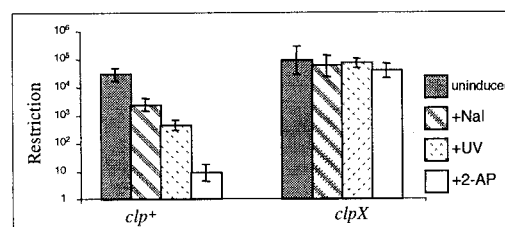


FIG. 1. Restriction of unmodified phage  $\lambda$  by *clp<sup>+</sup>* (NK301, NK300 for nalidixic acid) and *clp<sup>X</sup>* (NK304, NK320 for nalidixic acid) bacteria. Only *clp<sup>+</sup>* cells show restriction alleviation.

contrast, a *mutD* mutation enhances the error rate of DNA polymerase III (29) and the increased frequency of mismatches may mimic the effect of 2-AP, an analogue of adenine that causes base pair transitions.

Restriction by *dam*, *topA*, or *mutD* strains was at least 100-fold less efficient than restriction by wild-type *E. coli* K-12 (Fig. 2). If this poor restriction is the result of constitutive expression of RA activated in response to either DNA damage or mismatches, then a mutation in *clpX* or *clpP* should restore restriction. Consistent with this prediction, the efficiency of restriction was enhanced by approximately 100-fold in the absence of ClpXP protease (Fig. 2).

**ClpXP-Deficient, Restriction-Proficient Bacteria Die During Prolonged Exposure to 2-AP.** After prolonged treatment with 2-AP (3–4 h at 400  $\mu\text{g/ml}$ ), *clp*<sup>-</sup> (NK303 and NK304) but not *clp*<sup>+</sup> (NK301) bacteria become filamentous, a phenotype characteristic of the SOS response. 2-AP does not normally activate the SOS response but, in the absence of ClpXP, it could induce a chain of events that leads to DNA damage. The relevance of a RecA-dependent repair pathway is supported by the observation that *recA clpX* double mutants (NK323) are supersensitive to 2-AP and do not survive low concentrations (40  $\mu\text{g/ml}$ ) of 2-AP in the medium. In contrast, a *recA clp*<sup>+</sup> *hsd*<sup>+</sup> strain (NK308) is no more sensitive to 2-AP than its *rec*<sup>+</sup> counterpart (NK301); *recA* strains resemble *rec*<sup>+</sup> in their RA response to 2-AP.

Is ClpXP needed in the presence of 2-AP to prevent DNA damage by the resident restriction endonuclease? We made the *clpX* bacteria deficient in restriction both by deleting the *hsd* genes (NK312) and by including a mutation in *hsdR* (NK325), the gene essential for restriction. The restriction-deficient bacteria were not sensitive to 2-AP. Similarly, the hypersensitivity of the *recA clpX* strain was relieved by inactivation of the endonuclease activity. We suggest that during prolonged treatment with 2-AP, the ClpXP-dependent pathway is essential to prevent *EcoKI* from causing DNA damage and consequent cell death.

**RA Induced by 2-AP Is Associated with a Deficiency of HsdR.** RA is not correlated with a loss of modification activity (14, 30). It could, therefore, be the result of a deficiency in HsdR and the consequent depletion of *EcoKI* (R<sub>2</sub>M<sub>2</sub>S<sub>1</sub>), but not the modification enzyme (M<sub>2</sub>S<sub>1</sub>).

The HsdR and HsdM subunits were monitored by Western blots after the addition of 2-AP to both *clp*<sup>+</sup> and *clpX* bacteria (Fig. 3). After a lag of 20 min, a reduction in the concentration of HsdR, but not HsdM, was detected. This deficiency of HsdR was found only in *clp*<sup>+</sup> cells in response to 2-AP. RA, therefore, correlated with a ClpX-dependent reduction in the concentration of HsdR, the polypeptide essential for restriction, but not modification.

**HsdR Is Degraded in *clp*<sup>+</sup> Cells Treated with 2-AP.** The very low concentration of HsdR detected in Clp<sup>+</sup> cells after a period of growth in the presence of 2-AP (Fig. 3) is consistent

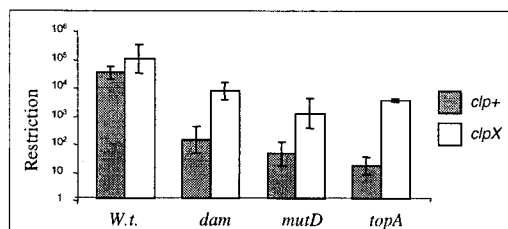


FIG. 2. Restriction of unmodified phage  $\lambda$  by *dam* (NK302), *mutD* (NK326), and *topA* (RS2) strains and their *clpX* derivatives (NK315, NK327, and NK329). It is known that *topA* strains accumulate compensatory mutations in *gyrA* or *gyrB* (21), but the *topA10* strain (RS2) is not known to have a compensatory mutation (21), and the *topA* mutation itself correlates with impaired restriction (G. P. Davies, personal communication).

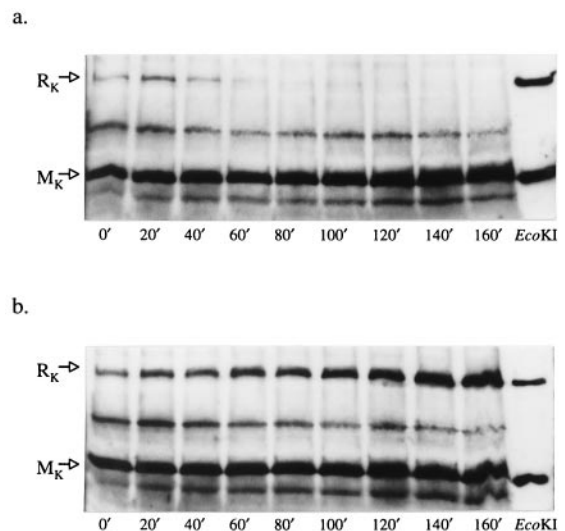


FIG. 3. Assays for HsdR and HsdM polypeptides after treatment with 2-AP. (a) *clp*<sup>+</sup> bacteria (NK301). (b) *clpX* bacteria (NK304). In the absence of 2-AP (data not shown), the assays for *clp*<sup>+</sup> and *clpX* bacteria were indistinguishable from those seen in b. *EcoKI* polyclonal antibody, used in these Western blots, fails to detect HsdS, but detects HsdR and HsdM and some other *E. coli* proteins.

with the degradation of HsdR in the presence of ClpXP, but it could be argued that ClpXP in some way affects the synthesis rather than the degradation of HsdR.

We therefore assayed the stability of HsdR in *clp*<sup>+</sup> and *clpX* cells in response to treatment with 2-AP. The preferred experiment was to rely on the chromosomal *hsdR* gene, but the signal generated from a single copy of *hsdR* was weak compared with those generated by other proteins. Gene dosage was increased by cloning *hsdR* in pACYC184, a low-copy-number vector. *clp*<sup>+</sup> *hsd*<sup>+</sup> (NK301) and *clpX* *hsd*<sup>+</sup> (NK304) bacteria transformed with the *hsdR*<sup>+</sup> plasmid (pNK3) were treated with 2-AP for 90 min to allow the establishment of RA before they were pulse-labeled with [<sup>35</sup>S]methionine. HsdR was unstable in Clp<sup>+</sup> but not ClpX<sup>-</sup> cells after 2-AP treatment (Fig. 4). In the absence of 2-AP (data not shown) the HsdR polypeptide was stable in *clp*<sup>+</sup> and *clpX* cells for at least 180 min.

These results are consistent with 2-AP as the activator of a RA pathway in which HsdR is susceptible to ClpXP-dependent proteolysis.

**Functional *EcoKI* Is Obligatory for the Loss of HsdR That Is Characteristic of RA.** Is active *EcoKI* necessary to generate the signal that leads to ClpXP-dependent degradation of HsdR? To answer this question we tested whether 2-AP-induced depletion of HsdR occurs in restriction-deficient mutants. One of the mutants tested has a missense mutation in *hsdR* (NK351), and the other (NK352) has a wild-type *hsdR* gene, but *hsdM* and *hsdS* are deleted so that HsdR cannot form an *EcoKI* complex.

HsdR was not depleted in either mutant in response to 2-AP (Fig. 5a). This finding implies that a functional endonuclease is required for induction of the pathway that leads to degradation of HsdR. If the products of restriction by a type I enzyme are the stimulus for RA, the endonuclease activity of one R-M system should induce RA for a different system. We tested whether a functional type IB system (*EcoAI*), for which RA is regulated in a ClpXP-dependent manner, induced degradation of the HsdR polypeptide of the inactive type IA system, *EcoKI*.

We transferred F'*hsd*<sub>R</sub><sup>+</sup>M<sup>+</sup>S<sup>+</sup> (F'101–301) to the three strains used in the previous experiment (Fig. 5a): *hsd*<sub>R</sub><sup>+</sup>M<sup>+</sup>S<sup>+</sup>, *hsd*<sub>R</sub><sup>-</sup>M<sup>+</sup>S<sup>+</sup>, and *hsd*<sub>R</sub><sup>+</sup>Δ(MS). The transconjugants, both untreated and treated with 2-AP, were

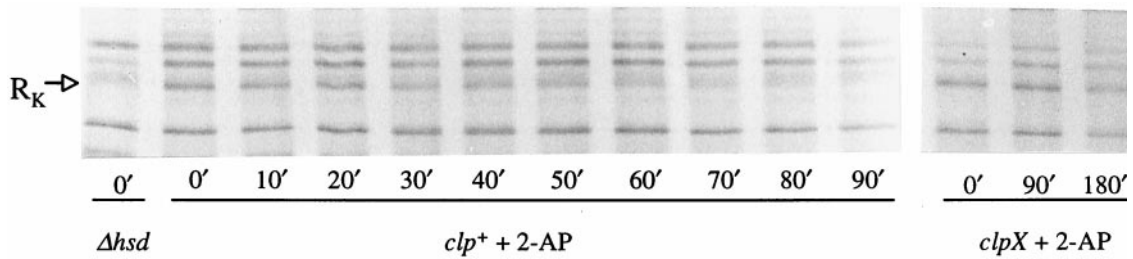


FIG. 4. The stability of HsdR *in vivo* after treatment with 2-AP. Labeled polypeptides separated by electrophoresis through SDS-polyacrylamide gels (6%) were detected by autoradiography. An extract from a strain lacking HsdR (NK311/pACYC184) was analyzed in the first track. Samples from *clp*<sup>+</sup> and *clpX* bacteria containing pNK3 were taken at the time intervals indicated after pulse labeling.

assayed for *EcoAI*- and *EcoKI*-dependent restriction *in vivo* and for the presence of HsdR polypeptides. 2-AP caused RA of functional R-M systems, and HsdR from any restriction-proficient complex was lost (Fig. 5*b*). However, for the non-functional *EcoAI* complex, R<sub>K</sub> remains even in the presence of functional *EcoAI*. These data require that the stimulus for RA is family-specific and therefore is not simply the product of restriction.

**Mutations Predicted to Confer a Restriction-Proficient, Modification-Deficient (*r*<sup>+</sup>*m*<sup>-</sup>) Phenotype Cause Restriction Alleviation.** It is logical to expect that a mutation conferring an *r*<sup>+</sup>*m*<sup>-</sup> phenotype would be lethal. We chose to investigate a mutation in *hsdM* (F269G) that abolishes methyltransferase activity but has no effect on the binding of the cofactor *S*-adenosylmethionine and therefore is predicted to leave a functional endonuclease (31). This *hsdM* mutation was transferred from a *λhsd* phage (λNM1367) to the chromosome of an *hsdR* strain. The presence of *hsdM*(F269G) (NK378) was associated with the anticipated *m*<sup>-</sup> phenotype. We tested the naive prediction that the acquisition of an F' with a functional *hsdR* gene would generate *r*<sup>+</sup>*m*<sup>-</sup> transconjugants and these would die. However, we found no difference between the survival of the recipients upon acquisition of F'*hsdR*<sup>+</sup> and the survival of recipients receiving the control F' lacking an *hsdR*<sup>+</sup>

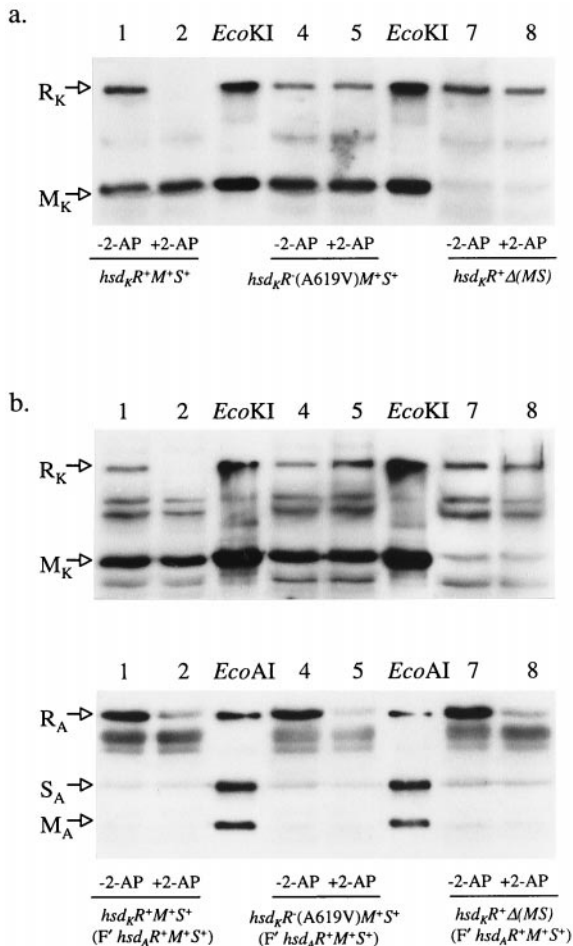


FIG. 5. Hsd subunits were monitored, after treatment with 2-AP, using antibodies raised against the relevant R-M complex. HsdR is degraded only when it is a part of a functional complex. (a) Degradation of HsdR is prevented by a missense mutation in *hsdR* (track 5) or by the absence of HsdM and S (track 8). (b) The presence of functional *EcoAI* has no effect on the degradation of the HsdR subunit of *EcoKI* (Upper), even though the HsdR subunit of *EcoAI* itself is degraded (Lower, lanes 2, 5, and 8). The control tracks for *EcoAI* contain a mixture of polypeptides in which HsdM and HsdS are present in molar excess to give strong signals with antibody.

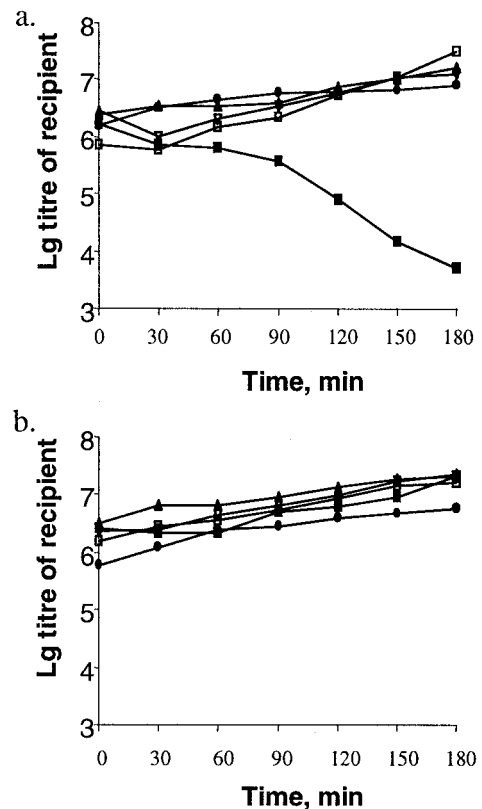


FIG. 6. The survival of *hsdR*<sup>+</sup>*M*<sup>-</sup>(F269G)*S*<sup>+</sup> cells was assessed after the conjugative transfer of *hsdR*<sup>+</sup> to *hsdR*<sup>-</sup>*M*<sup>-</sup>*S*<sup>+</sup> recipients. (a) The experiment using F'101-103 (*hsdR*<sup>+</sup>). (b) The control experiment with F'101-102 (*hsdM*<sup>+</sup>*S*<sup>+</sup>). Data are plotted for the following recipients: NK379, *hsdR* (▲); NK380, *hsdR clpX* (▽); NK382, *hsdRM* (□); NK384, *hsdRM clpX* (■); and NK383, *hsdRM recA* (●). The data show that *hsdR*<sup>+</sup>*M*<sup>-</sup>(F269G)*S*<sup>+</sup> cells survive only if the recipient is *ClpX*<sup>+</sup>.

allele (Fig. 6). We extended our experiment to include a *recA* recipient in which DSBs would not be repaired; transfer of the *hsdR*<sup>+</sup> allele still occurred efficiently (Fig. 6).

The EOP of  $\lambda.0$  on the *hsdR*<sup>-</sup>*M*<sup>-</sup>*S*<sup>+</sup> (*F'**hsdR*<sup>+</sup>) transconjugants was 10<sup>-1</sup> in contrast to 5 × 10<sup>-4</sup> when the *F'**hsdR*<sup>+</sup> was transferred to an *hsdR*<sup>-</sup>*M*<sup>+</sup>*S*<sup>+</sup> recipient (the EOP of  $\lambda.K$  was 1 in both cases). The low level of restriction by the *m*<sup>-</sup> transconjugants is consistent with induction of the RA response. Therefore, the conjugation experiments were extended to include *clpX* recipients. In the absence of ClpX, transfer of the *F'**hsdR*<sup>+</sup> to the *hsdR*<sup>-</sup>*M*<sup>-</sup>*S*<sup>+</sup> recipient was lethal, consistent with the presence of functional restriction endonuclease (Fig. 6). Our hypothesis predicts that the transconjugant bacteria can survive in the presence of ClpXP because of the activation of the RA pathway. If this suggestion is correct, *hsdR*<sup>+</sup>*M*<sup>-</sup>*S*<sup>+</sup> bacteria would be deficient in HsdR.

We chose to use chromosomal genes in preference to a plasmid-borne *hsdR* to test this prediction. We transferred the *hsdM*(F269G) mutation to the chromosome of NK301, an *hsd*<sup>+</sup> strain. The *hsdM* recombinants were recognized by their *m*<sup>-</sup> phenotype and could not be transduced to give *clpX* derivatives (data not shown). These derivatives restricted  $\lambda.0$  with an efficiency indicative of RA (EOP = 10<sup>-1</sup>). Consistent with the induction of RA, HsdR was missing in the *hsdM*(F269G) strain that encodes a functional restriction enzyme and present in a derivative with a missense mutation in *hsdR* (Fig. 7). Importantly, when *hsdM*(F269G) was replaced with the wild-type allele (see legend to Fig. 7), HsdR was restored. Therefore, the loss of HsdR is a consequence of the *hsdM* mutation. Our experiments with the modification-deficient mutant show that *E. coli* has an extraordinary capacity to protect itself against potential DNA damage elicited by a resident type I R-M system.

## DISCUSSION

The diagnostic feature of RA is an *r*<sup>-</sup> phenotype despite a restriction-proficient genotype (*hsd*<sup>+</sup>). The *r*<sup>-</sup> phenotype that persists for many generations in a transconjugant after the acquisition of functional *hsd* genes by an *hsd*<sup>-</sup> recipient (11, 32) may be viewed as an example of RA. In this case, the establishment of *hsd*<sup>+</sup> genes in a naive bacterium depends on the ClpXP protease (10). We now have shown that RA in response to a variety of stimuli, including external agents and mutations that affect the fidelity of DNA replication, also requires ClpXP. In two quite different situations the presence of subunits of *EcoKI* was monitored after the induction of RA. In the first, the bacteria were treated with 2-AP, and in the second, a mutation in *hsdM* (F269G) was introduced that blocks only the methyltransferase activity of *EcoKI* (31). In both these examples of ClpXP-dependent RA, a negligible level of HsdR remained. We propose a general pathway for RA in which ClpXP is necessary for the degradation of HsdR and the consequent *r*<sup>-</sup> phenotype. According to this scheme, unmodified chromosomal DNA targets would

be a signal for the cell to protect its own DNA from restriction. We believe that all the stimuli for RA examined by us rely on the presence of unmodified target sequences.

A particularly severe stimulus is provided by the mutation in *hsdM* (F269G) that results in a modification-deficient, restriction-proficient *EcoKI* complex (Fig. 6a). For this mutant to survive, despite an unmodified chromosome, restriction alleviation must be extraordinarily effective. A more common stimulus is DNA damage that elicits RecA-dependent repair. UV irradiation and mutations in *dam* can cause DSBs (26, 33); nalidixic acid and mutations in *topA* are likely to generate DSBs by stalling replication. Damage by UV light also leads to lesions in one strand that are repaired postreplicatively (34). RecA-dependent repair relies on homologous recombination. If homologous recombination involves two segments of hemimethylated DNA, the annealing of unmethylated strands or DNA synthesis may generate a localized region of unmethylated DNA. In contrast, both 2-AP and *mutD* increase the frequency of base pair transitions (29, 35). Some mutations will generate new target sequences, all of which will be unmodified.

Our experiments have shown a ClpXP-dependent loss of HsdR in response to 2-AP. It seems likely that the ClpXP protease itself degrades HsdR, rather than being necessary to maintain or activate another protease. The only protease-deficient mutants found to affect the transmission of the genes encoding *EcoKI* were *clpX* and *clpP* (10). Our experiments also show that HsdR is lost only in cells in which HsdR could produce functional *EcoKI*. Thus, in the absence of HsdM and HsdS, wild-type HsdR is not degraded; likewise, in the presence of HsdM and HsdS, a missense mutation in *hsdR* prevents degradation of the nonfunctional polypeptide. The requirement for unmodified targets and functional *EcoKI* might suggest that DNA breakage initiates the RA response. We argue that DSBs are not involved in the initiation of RA. One reason for doubting this idea is our observation that a *recAclp*<sup>+</sup>*hsd*<sup>+</sup> bacterium is no more sensitive to 2-AP than its *rec*<sup>+</sup> counterpart. This finding is not consistent with the creation of DSBs in response to 2-AP. Second, we tested whether active *EcoAI*, a member of the type IB family of enzymes is sufficient to induce loss of the HsdR subunit of *EcoKI* in response to treatment with 2-AP. It is not, although it is susceptible to ClpXP-dependent RA. If DSBs are the signal for RA, those made by *EcoAI* do not provide a signal for degradation of the HsdR subunit of *EcoKI*. Finally, even in the absence of RecA we readily made strains in which *EcoKI* is defective in methyltransferase activity (Fig. 6). Because DSBs cannot be repaired in a *recA*<sup>-</sup> strain (36), it would appear that in this *hsdR*<sup>+</sup>*M*(F269G) *S*<sup>+</sup> bacterium DSBs are avoided, despite the presence of ≈600 unmodified targets and the coding potential for restriction-proficient, modification-deficient *EcoKI*. We conclude that ClpXP-dependent degradation of HsdR is able to prevent cutting of the bacterial chromosome. In the absence of ClpXP, however, even *rec*<sup>+</sup> cells fail to survive because *EcoKI* cuts their chromosomes.

If DSBs are not the stimulus for RA, why does a missense mutation in *hsdR* prevent degradation of HsdR? The amino acid substitution (A619V) is associated with a defect in the hydrolysis of ATP and probably, therefore, with the ATP-dependent translocation of DNA that precedes the generation of DSBs (18). The missense mutation does not prevent either the binding of *EcoKI* to its target sequence or the associated ATP-dependent conformational change that is a prerequisite for the restriction pathway (18, 37). Other missense mutations in HsdR also prevent degradation of HsdR (V.A.D. and N.E.M., unpublished observations); therefore, it seems probable that the functional defect, rather than the amino acid substitution *per se*, determines whether the enzyme is a substrate for ClpXP. We conclude that HsdR is recognized only after the *EcoKI* complex has embarked on its restriction pathway. It remains to be determined what renders the HsdR

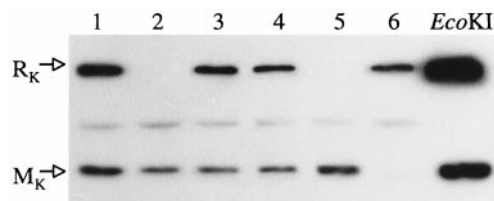


Fig. 7. The effect of *hsdM* (F269G) on the level of HsdR. The mutation *hsdM* (F269G) destroys only the modification activity of *EcoKI*. The level of HsdR was monitored by Western blots by using antibody against *EcoKI*. Lanes 1–6 include extracts of strains. Lanes: 1, NK301 (*hsd*<sup>+</sup>); 2, NK386 [an *hsdM* (F269G) derivative of NK301]; 3, an *hsd*<sup>+</sup> derivative of NK386; 4, an *hsdR*(A619V) derivative of NK386 (NK388) in which alleles of *hsd* genes were replaced by using  $\lambda$ *hsd* phages that included only *hsdMS* or *hsdR*, respectively; 5, NM802 (an *hsdR* deletion strain); and 6, NK352 (an *hsdMS* deletion strain).

subunits susceptible to proteolysis. Nevertheless, the present experiments promote the concept of a remarkably specific control mechanism, effective only once the relevant restriction pathway has been initiated, but able to act before any damage is inflicted on unmodified chromosomal DNA.

The RA response can protect the bacterial chromosome from restriction in the complete absence of modification, but the alleviation is not entirely complete when analyzed by infection with  $\lambda.0$  ( $EOP = 10^{-1}$ ). These facts raise two new, but probably related, problems. First, why does phage DNA entering the cell show some susceptibility to restriction whereas the resident bacterial chromosome does not? Second, why do unmodified targets on the chromosome, but not those on incoming phage DNA, stimulate the RA response? At present, it should be borne in mind that the two substrates differ in their location and their association with other proteins.

Our current experiments document the disappearance of HsdR under conditions of RA, and we interpret this as ClpXP-dependent degradation of HsdR. Initial but unsuccessful attempts to detect degradation *in vitro* used purified HsdR, or EcoKI, as substrate. The *in vivo* experiments indicate that the substrate is unlikely to be protein alone but, rather, a functional protein-DNA complex.

The role of ClpXP in the disassembly and degradation of the Mu transposase already is known to be complex. MuB apparently protects the MuA-DNA complex from recognition by ClpX and, hence, from disassembly and potential degradation by the protease activity of ClpP (38). These authors suggested "that a protein-complex architecture that uses overlapping sequences for subunit interactions and for targeting a protein for remodeling or destruction provides a useful design for this type of regulation." By analogy we would suggest that some step in the ATP-dependent DNA translocation by EcoKI leads to the exposure of the target sequence for ClpX.

Our investigation of the relevance of ClpXP to RA has been confined to the type IA and IB families of R-M systems. There is evidence for Dam-mediated RA of a type III system (26). Members of the type IC and ID families are susceptible to RA in response to 2-AP (unpublished results), but transmission of the plasmid-borne type IC *hds* genes by conjugation is not dependent on ClpXP (10, 32). Although the assembly pathway of the  $R_2M_2S_1$  complex may provide a lag in the production of the endonuclease after plasmid transfer (39), it would not prevent the cutting of unmodified targets created in cells in which functional endonuclease is already assembled. It is not known whether RA can involve other proteases or other mechanisms, but RA is found for some methylation-dependent restriction systems (24), where DNA damage would not generate target sequences. RA has not been detected for any type II system; rather, RA appears to be characteristic of complex R-M systems.

Our experiments demonstrate that control of the restriction activity of EcoKI is extraordinarily sensitive. It not only copes with the acquisition of *hds* genes conferring new specificities and the production of unmodified targets created by repair and mutation, but *clp<sup>+</sup>* cells also survive a mutation that destroys the modification activity of the R-M complex. A similar control system could permit the efficient phase variation of type I R-M systems, a phenomenon recently documented for *Mycoplasma pulmonis* (40). Molecular mechanisms of the sophisticated interactions that mediate the proteolytic control remain to be determined.

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