

# Intrinsic sensor of oncogenic transformation induces a signal for innate immunosurveillance

Arun M. Unni, Tanya Bondar, and Ruslan Medzhitov\*

Howard Hughes Medical Institute and Department of Immunobiology, Yale University School of Medicine, New Haven, CT 06520

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**Multiple cell-autonomous mechanisms exist in complex metazoans to resist oncogenic transformation, including a variety of tumor-suppressor pathways that control cell proliferation and apoptosis. In vertebrates, additional mechanisms of tumor resistance could potentially rely on cancer cell elimination by specialized cytotoxic leukocytes, such as natural killer (NK) cells. Such mechanisms would require that cancer cells be reliably distinguished from normal cells. The ligands for NKG2D, an activating NK cell receptor, are expressed on many tumor cell lines and at least some primary human tumors. However, it is unknown whether their expression is induced as a direct result of oncogenic transformation *in vivo*. We provide evidence that NKG2D ligands are induced on spontaneously arising tumors in a murine model of lymphomagenesis and that c-Myc is involved in their regulation. Expression of NKG2D ligands is induced at an early, distinct stage of tumorigenesis upon acquisition of genetic lesions unique to cancer cells, potentially defining a critical step in carcinogenesis. This finding suggests that the regulation of NKG2D ligands depends on a mechanism for intrinsic sensing of oncogenic transformation.**

*Eμ-Myc* | innate immunity | tumorigenesis

The susceptibility of target cells to natural killer (NK)-mediated killing is thought to be determined by the relative expression of ligands for NK-activating and -inhibitory receptors (1). NKG2D is an activating receptor expressed on human and mouse NK cells that recognizes a diverse family of ligands, including the Rae-1 family ( $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ , and  $\epsilon$ ), H60, and MULT1 in the mouse and the MICA and ULBP families in humans (2–9). Engagement of NKG2D triggers the cytotoxic activity of NK cells toward target cells expressing ligand(s) (2, 3, 5). Accordingly, NKG2D ligands are not expressed on the surface of normal cells, but their expression is generally induced on unwanted cells, such as virally infected, stressed, and DNA-damaged cells (2–4, 10, 11). Because primary human tumors, many tumor cell lines, and at least some carcinogen-induced tumors can express NKG2D ligands, it is likely that NKG2D–ligand interactions participate in tumor immunosurveillance (9, 12, 13). In tumor cell lines that fail to express NKG2D ligands, ectopic expression of Rae-1 leads to their elimination *in vivo* (14, 15). Data from murine models have demonstrated an increased incidence of methylcholanthrene (MCA)-induced fibrosarcomas in NKG2D-neutralizing conditions (16). Furthermore, active evasion of immunosurveillance has been observed in individuals with epithelial-derived tumors where soluble, surface-shed NKG2D ligands (MICA) present in the sera result in down-regulation of NKG2D and subsequent impairment of cytotoxic cells (17).

Despite these observations, it is currently unknown whether expression of NKG2D ligands is regulated by pathways implicated in tumorigenesis. Indeed, any “tumor-specific” gene can be up-regulated either as a direct result of oncogenic transformation or as an indirect consequence of tumor growth and evolution. To address this question, we took advantage of a well characterized mouse model of spontaneous B cell lymphoma, whereby the Ig  $\mu$  enhancer drives continuous expression of the Myc oncogene (*Eμ-Myc*) (18–20). *Eμ-Myc* mice develop Burkitt-like lymphoblastic lymphomas with variable kinetics (mean

latency of  $\approx 6$  months) and faithfully recapitulate several aspects of tumor progression (19).

We first examined whether NKG2D ligands are up-regulated on spontaneously arising cancer cells. Splenocytes were isolated from an *Eμ-Myc* mouse with lymphoma and a littermate control. B cells were stained with recombinant mNKG2D/Fc, a reagent that detects cell-surface expression of all known NKG2D ligands (8). As expected, normal wild-type B cells did not express any ligands on their surface as determined by negative mNKG2D/Fc staining (Fig. 1A). In contrast, nearly all spontaneously arising lymphomas analyzed ( $n > 50$ ) expressed ligand(s) for NKG2D (Fig. 1A). This expression was unique to the B cell compartment, defined by B220-positive staining, because T cells within a lymphoma-bearing animal failed to show surface staining (data not shown). We next asked which of the several NKG2D ligands are expressed on cancer cells in this model. H60 and Rae-1 $\alpha$ , -1 $\beta$ , and -1 $\gamma$  are not expressed in the C57BL/6 background (21). An antibody specific for Rae-1 $\epsilon$  largely blocked mNKG2D/Fc staining (Fig. 1B). In addition, primary lymphomas could be directly stained with a Rae-1 $\epsilon$ -specific antibody (Fig. 1B). MULT-1 was not detected on the surface of tumor cells (data not shown). Together, these data suggest that Rae-1 $\epsilon$  is the dominant ligand expressed in this system. However, it is possible that other NKG2D ligands may be induced on cancer cells and contribute to NKG2D-mediated immunosurveillance. There are likely to be several different mechanisms that regulate NKG2D ligand expression, permitting cells to engage in “assisted apoptosis” (22). We assayed for transcriptional induction of Rae-1 $\epsilon$  (*Rae1*) in *Eμ-Myc* preneoplastic B cells and *Eμ-Myc* tumor B cells. *Rae1* mRNA was strongly induced in tumor cells and moderately induced in preneoplastic cells, suggesting transcriptional control as at least one level of regulation (Fig. 1C).

*Eμ-Myc*-driven lymphomas follow a classic multistep model of tumorigenesis where additional, random, and independent mutations are acquired before the eventual manifestation of a clonal/oligoclonal lymphoblastic lymphoma (19, 23). The kinetics of lymphoma onset suggests that one or two additional genetic alterations are required for the transition from a true polyclonal preneoplastic state to disseminated lymphoma (24). Because of the leukemic component of these cancers, we were able to analyze peripheral blood leukocytes (PBLs) for the onset of Rae-1 expression in a given animal over the entire course of tumorigenesis. A representative example (Fig. 2) shows the analysis of Rae-1 expression in the same animal from the preneoplastic stage (8 weeks of age in the example shown) until the animal is terminally ill 6 weeks later. Importantly, these analyses demonstrate that preneoplastic B cells from *Eμ-Myc*

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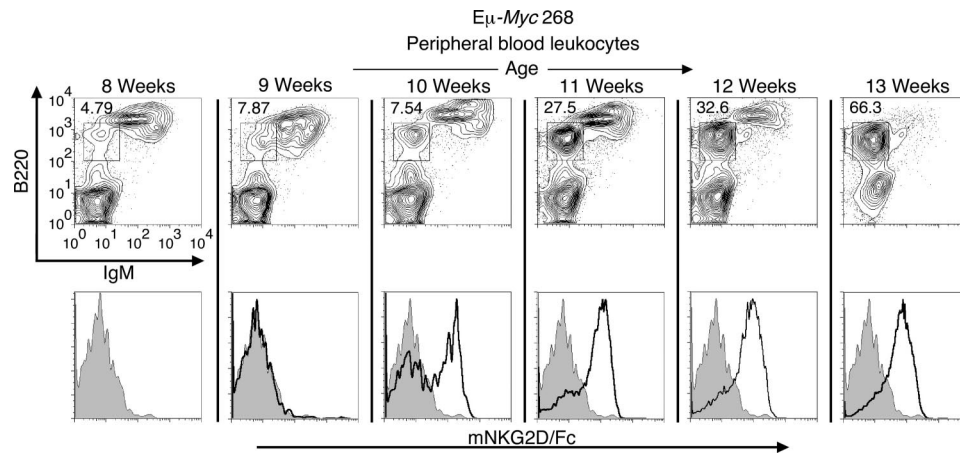
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\*To whom correspondence should be addressed. E-mail: ruslan.medzhitov@yale.edu.

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**Fig. 2.** Rae-1 induction during the course of lymphomagenesis. (Upper) PBLs from an  $E\mu$ -Myc mouse before lymphoma onset were stained as indicated at weekly intervals. Log fluorescence is depicted on the x and y axes. The gated population represents pre-B cells, with a corresponding percentage of total PBLs. Each column represents one time point. (Lower) Histograms are derived from the population gated in Upper. Shaded histogram represents mNKG2D/Fc staining at 8 weeks in all six time points. The heavy black line indicates mNKG2D/Fc staining at subsequent intervals. Data are representative of 10 independent experiments. Individual mouse number is indicated next to genotype in this and subsequent figures.

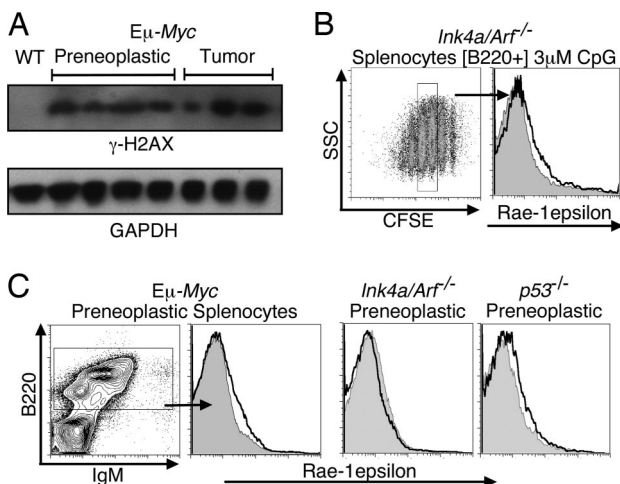
of the p53 pathway in NKG2D ligand expression, we generated  $E\mu$ -Myc; $p53^{+/-}$  mice. These mice also rapidly developed aggressive lymphomas that were Rae-1-positive (Fig. 4A Right).

The *Ink4a/Arf* and *p53* tumor suppressor genes in these animals are initially heterozygous. However, these loci undergo loss of heterozygosity (LOH) during the course of lymphomagenesis, underscoring the importance of the p53 pathway in preventing Myc-induced transformation (33, 35, 36). We tested whether LOH at the *Ink4a/Arf* locus has occurred in the  $E\mu$ -Myc;*Ink4a/Arf*<sup>+/-</sup> lymphomas, which, as mentioned above, are Rae-1-positive. In *Ink4a/Arf*<sup>-/-</sup> mice, exons 2 and 3 are disrupted, preventing expression of both gene products (41). However, it has been established that mutations in *Arf*, not *Ink4a*, play a major role in  $E\mu$ -Myc lymphomagenesis (33, 36). For further analyses, we chose to monitor this locus, rather than

*p53*, because the analysis of LOH at *Arf* is well established (42). We found that in these Rae-1-positive cells, LOH occurred at the *Arf* locus (Fig. 4B) as previously demonstrated in late-stage lymphomas (33, 35, 36).

We next asked whether LOH at the *Arf* locus is required for Rae-1 induction on lymphomas. We found that at early stages of lymphomagenesis in  $E\mu$ -Myc mice, there are both Rae-1-negative (nontumorigenic) and Rae-1-positive (tumorigenic) cells that can be seen in the same animal (Fig. 2, 10 weeks). Thus, this stage in lymphomagenesis would be predicted to exist in  $E\mu$ -Myc;*Ink4a/Arf*<sup>+/-</sup> animals where disease progression is dramatically accelerated. Observing such a stage would permit the analysis of cells that have minimal genetic alterations sufficient for Rae-1 expression. To this end, we analyzed splenocytes from  $E\mu$ -Myc;*Ink4a/Arf*<sup>+/-</sup> mice at a relatively early time point ( $\approx$ 5 weeks) and observed cells that could be morphologically distinguished based on forward scatter (FSC) values (Fig. 4C and SI Fig. 7). We observed high-FSC B cells to be Rae-1 $\epsilon$ -positive, whereas low-FSC B cells were Rae-1 $\epsilon$ -negative within the same animal. Although we did observe heterogeneity in the expression of Rae-1, this finding is not surprising given that these tumors arise independently and thus are inherently dissimilar at some level (SI Fig. 7). Individual tumors analyzed at precisely the same critical time point, as it relates to tumor progression (i.e., LOH), may stain more uniformly.

Because we observed two distinct populations of B cells in the  $E\mu$ -Myc;*Ink4a/Arf*<sup>+/-</sup> mice, we assessed the status of the *Ink4a/Arf* locus at this early stage. We found that the remaining allele of the *Ink4a/Arf* locus was intact in Rae-1 $\epsilon$ -negative B cells but was lost in the Rae-1 $\epsilon$ -positive B cells (Fig. 4D). This finding raised the possibility that *in vivo* Myc overexpression (in  $E\mu$ -Myc) and LOH at the *Ink4a/Arf* locus may be sufficient for Rae-1 expression. To address this possibility further, we assessed the clonality of these Rae-1-positive B cells. Although early  $E\mu$ -Myc;*Ink4a/Arf*<sup>+/-</sup> B cells, before the appearance of two FSC discernible populations, were polyclonal (Rae-1 $\epsilon$ -negative), the high-FSC Rae-1 $\epsilon$ -positive cells were mono- or oligoclonal (Fig. 4E), presumably reflecting a selection stage that accompanies LOH at the *Ink4a/Arf* locus. Thus, both dysregulated Myc expression and LOH appear to be necessary for Rae-1 expression on lymphoma cells. However, based on the mono- or oligoclonal nature of the early Rae-1-positive tumor cells, we cannot exclude the possibility that an additional genetic, epigenetic, or developmental event also is necessary for Rae-1 induc-



**Fig. 3.** Properties of Rae-1 induction. (A) H2AX phosphorylation levels in B cell lysates from wild-type (WT),  $E\mu$ -Myc preneoplastic, and  $E\mu$ -Myc tumors was determined by immunoblotting. (B) (Left) CFSE-labeled *Ink4a/Arf*<sup>-/-</sup> B cells were stimulated with 3  $\mu$ M CpG and analyzed 96 h later. Gated population represents actively dividing cells as measured by CFSE dilution. (Right) Rae-1 $\epsilon$  staining of the gated population (heavy black line). Shaded histogram represents the isotype control. (C) Splenocytes from preneoplastic  $E\mu$ -Myc, *Ink4a/Arf*<sup>-/-</sup>, and *p53*<sup>-/-</sup> mice were stained as described in Fig. 1B. The gate (B220+) depicted for  $E\mu$ -Myc preneoplastic cells was applied to all samples.



against a nascent cancer cell. This early stage is defined by a combination of genetic events unique to cancer cells, a combined alteration of an oncogene and a tumor suppressor gene. A putative intrinsic sensor of oncogenic transformation that activates the expression of Rae-1 detects this abnormal state. The nature of this sensor is puzzling. Myc alone can alter expression of hundreds of genes, yet even sustained activity of Myc is not sufficient for triggering Rae-1 surface expression (Figs. 2 and 3C). Deficiency in either Arf or p53 also is necessary, yet even complete loss in either tumor suppressor gene by itself is insufficient (Fig. 3C). Thus, the putative sensor appears to detect a unique characteristic of tumor cells: The presence of a dominant oncogene and the loss of a tumor suppressor. Triggering the sensor allows tumor cells to “report” their transformed status to the host immune system. Although we have detected transcriptional induction of *Rae1* in lymphomas (Fig. 1C), precisely how the oncogenic state is sensed and relayed to this locus is unclear. At a minimum, our data suggest that Myc is directly involved in this switch. It also appears that posttranscriptional regulation contributes to cell-surface expression of NKG2D ligands (A.M.U., T.B., and R.M., unpublished data).

Although the present analysis was done exclusively on B lymphomas, tumor cells derived from other compartments may phenocopy the stage marked by NKG2D ligand expression. Indeed, we have found that NKG2D ligands also are induced on spontaneous T cell lymphomas (data not shown). The nature of the genetic abnormalities detected in different cancer types may be varied, although the features observed here are likely to be involved in many of them. Tumor immunosurveillance has been studied primarily in the context of adaptive immune responses to tumor-associated or tumor-specific antigens. The innate immune system, however, is not antigen-based, but rather detects signals characteristic of infectious non-self or abnormal self and, in so doing, can reliably identify the origin of the signal. Here, we provide evidence for an innate immunosurveillance mechanism that is causally linked to cancer-specific genetic lesions.

## Methods

**Mice.** All mice were bred and maintained at the animal facility of the Yale University School of Medicine. *E $\mu$ -Myc* hemizygous mice were kindly provided by Scott Lowe (Howard Hughes Medical Institute, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY). *Ink4a/Arf*<sup>-/-</sup> mice were obtained from the Mouse Models of Human Cancers Consortium Repository at the National Cancer Institute. *p53*<sup>-/-</sup>, CD45.1, and C57BL/6 mice were obtained from The Jackson Laboratory. All mice were on a C57BL/6 background. All mouse experiments were performed after approval by and in accordance with regulatory guidelines and standards set by the Institutional Animal Care and Use Committee of Yale University.

**Reagents.** Recombinant mouse NKG2D/Fc chimera (mNKG2D/Fc), recombinant human IgG, Fc (isotype control for mNKG2D/Fc), monoclonal anti-mouse Rae-1 $\epsilon$ , and monoclonal anti-mouse Rae-1 $\alpha/\beta/\gamma$  antibody were purchased from R&D Systems. Biotinylation of these antibodies was performed by using EZ-Link Biotin from Pierce. Purified anti-CD16/CD32, phycoerythrin (PE) anti-CD45R/B220, anti-CD45.1, anti-NK1.1, fluorescein isothiocyanate (FITC) anti-H-2Kb, anti-CD3 $\epsilon$ , anti-IgM, and streptavidin-PE-Cy5 (Cy-Chrome) were all purchased from BD Biosciences. Biotin anti-human IgG (H+L) was purchased from Jackson ImmunoResearch. Biotin anti-NKG2D (CX5) and purified rat IgG2a isotype control were purchased from eBioscience. Anti-CD19 microbeads were purchased from Miltenyi Biotec. Poly(I-C) was purchased from Sigma-Aldrich. Carboxyfluorescein diacetate succinimidyl ester (CFSE) was purchased from Molecular Probes.

**Flow Cytometry.** Erythrocyte-depleted splenocytes were stained with relevant antibodies for 30 min on ice and analyzed on a FACScan or FACScalibur flow cytometer (BD Biosciences). Cells were first incubated with purified anti-CD16/CD32 to block Fc-mediated binding of antibodies. For mNKG2D/Fc staining, cells were stained with biotin anti-human IgG (preadsorbed with 3% normal mouse serum before usage), followed by streptavidin-Cy-Chrome. Data were analyzed by using FlowJo software (Tree Star).

**In Vivo Cytotoxicity Assay.** Splenocytes were prepared from CD45.1 and lymphoma-bearing mice (*E $\mu$ -Myc;Ink4a/Arf*<sup>-/-</sup>). B cells were isolated from

CD45.1 mice by using anti-CD19 microbeads, followed by purification with an AutoMACS sorter (Miltenyi Biotec). Then, >95% of cells were B220+ after purification. Lymphomas were labeled with CFSE. Twenty-four hours before injection, mice were treated with 100  $\mu$ g of poly(I-C) or PBS (vehicle) i.p. (100  $\mu$ l total volume). Fifteen million total cells were injected intravenously at a ratio of 2:1 (lymphoma:CD45.1). Eighteen hours after injection, animals were euthanized and splenocytes stained with anti-CD45.1.

**PCR.** Genomic DNA was isolated from lymph nodes or spleen with DNAzol (Invitrogen). For LOH assays, primer sequences and PCR conditions were kindly provided by Scott Lowe (42). For quantitative LOH analysis, primers for exon 1 $\alpha$  and exon 2 (same as above) were separately used with QuantiTect SYBR Green reagents (Qiagen) and run on an Mx3000 real-time PCR system (Stratagene). Values among separate samples were normalized to exon 1 $\alpha$ . In populations with differential Rae-1/FCS properties, B cells were isolated by cell sorting (DAKO). Total RNA was isolated from wild-type, preneoplastic *E $\mu$ -Myc*, and tumor *E $\mu$ -Myc* B cells with RNA-Bee reagent (Tel-Test). Total RNA was reverse transcribed with oligo(dT) and SuperScript III (Invitrogen). cDNAs were analyzed by quantitative PCR amplification by using SYBR Green Reagents on an Mx3000 real-time PCR System. The abundance of *Rae1* message was normalized to *Ubiquitin* and computed by using the comparative quantitation module of MXPro Software. Primers for *Ubiquitin* were as described previously (45). *Rae1* primers are as follows: forward, CCCCAATGCAGACA-GAAAT; reverse, GAAGCGGGGAAGTTGATGTA.

**Clonality.** Genomic DNA was prepared from sorted B cells (same as above), and PCR analysis was performed as described in ref. 46.

**B Cell Proliferation.** B cell enrichment of splenocytes was performed by complement-mediated T cell depletion with anti-Thy-1/anti-CD4, followed by rabbit complement (Cedarlane Laboratories). Purity was >90% after depletion as assessed by B220+ staining. Cells were stimulated with 3  $\mu$ M CpG DNA1826 (Keck Facility, Yale University, New Haven, CT). Cells were labeled for 10 min at 37° with 5  $\mu$ M CFSE. Cells were cultured at  $1 \times 10^6$  cells per ml in complete media (RPMI medium 1640 supplemented with 10% FCS, 10 mM Hepes, 10 mM sodium pyruvate, 2 mM L-glutamine, 50  $\mu$ M 2-mercaptoethanol, 100 units/ml penicillin, and 100  $\mu$ g/ml streptomycin) (Invitrogen).

**Western Blotting.** Total splenocytes were used fresh. Cells were spun on a Ficoll gradient to remove dead cells, followed by B cell purification by positive selection performed as described above. Purified B cells were lysed in TNG buffer [50 mM Tris-HCl (pH 7.5), 200 mM NaCl, 50 mM  $\beta$ -glycerol phosphate, 1% Tween-20, and 0.2% Nonidet P-40] supplemented with protease and phosphatase inhibitors. Lysates were cleared by centrifugation at  $13,000 \times g$  for 10 min at 4°C, and protein concentration was assayed in the supernatants. Pellets were further extracted with Laemmli SDS loading buffer. Samples were normalized by the protein concentration, and equal amounts of supernatant and pellet fractions were combined and resolved on a 4–12% gradient Tris-Glycine NuPAGE gel (Invitrogen). After standard transfer, Western blotting was performed with mouse anti- $\gamma$ -H2AX (1:2,000; Upstate Biotechnology) and mouse anti-GAPDH (1:10,000; Sigma-Aldrich) antibodies.

**ChIP.** B cells were isolated from wild-type and preneoplastic spleens by T cell depletion as described above. Tumor samples were not depleted because T cells represented a minor fraction of total splenocytes. Purity was >80% as assessed by B220+ staining for all samples. ChIP was performed essentially as described previously (43, 47). Anti-c-Myc (N262) was purchased from Santa Cruz Biotechnology and anti-acetylated H3 from Upstate Biotech. *Rae1 epsilon* locus primers are as follows: forward, GGCCGCTGTAGTCAGTTACC; reverse, CCCCTACAC-CCCATTACTC. *Chrn4* (control) locus primers are as follows: forward, TTGGGTA-AGCCAGGCTAAGA; reverse, TCTCATTCGCTCTGGGGACT. Immunoprecipitated DNA and input DNA were amplified with locus-specific primers by quantitative PCR by using input DNA to establish a standard curve. Data are represented as % input (*Rae1 epsilon* locus)/% input (*Chrn4* locus) and expressed as fold enrichment.

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