

# OrthoDB: the hierarchical catalog of eukaryotic orthologs

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## ABSTRACT

**The concept of orthology is widely used to relate genes across different species using comparative genomics, and it provides the basis for inferring gene function. Here we present the web accessible OrthoDB database that catalogs groups of orthologous genes in a hierarchical manner, at each radiation of the species phylogeny, from more general groups to more fine-grained delineations between closely related species. We used a COG-like and Inparanoid-like ortholog delineation procedure on the basis of all-against-all Smith-Waterman sequence comparisons to analyze 58 eukaryotic genomes, focusing on vertebrates, insects and fungi to facilitate further comparative studies. The database is freely available at <http://cegg.unige.ch/orthodb>**

## INTRODUCTION

Identification of orthologous genes is the cornerstone of comparative genomics, which is increasingly becoming an essential part of modern molecular biology. Functions of orthologous genes are often preserved through evolution, as by definition, orthologous genes descend by speciation from the common ancestor gene (1–3). Although the conservation of ortholog functions is not required or guaranteed, it is the most likely evolutionary scenario and provides a strong working hypothesis, particularly when the ortholog copy-number is preserved over a long period of time. Identification of orthologs is intricate as it assumes knowledge of ancestral state of the genes, and it requires knowledge of the complete gene repertoires. It is also complicated by gene duplication, fusion and exon shuffling, as well as pseudonization and loss, which make the problem

particularly challenging with complex eukaryotic genomes. The fast growing number of available complete genomes facilitates a much better resolution of the gene genealogies, while at the same time greatly increasing the computational challenges.

There are two main approaches to delineate orthologous genes: (i) from reconciliation of gene trees with the species phylogeny and (ii) from classification of all-against-all sequence comparisons of complete genomes. The phylogeny approach takes advantage of well-studied evolution of conserved cores of globular proteins using quantitative models of amino acid substitutions (4–6). The notable examples of the tree-based approach to delineate orthologous genes are HOVERGEN (7) and TreeFam (8). The expert curation of the phylogenetic trees and the underlying multiple sequence alignments is both, advantageous, providing better accuracy and disadvantageous, limiting the comprehensiveness, homogeneity of quality and expandability to new species. Although given the appropriate data phylogenetic methods are likely to give more accurate models of ancestral sequences and therefore to yield more accurate orthology prediction, their applicability to current genomic data is hindered by several factors, most importantly: (i) they require substantially more computational resources, (ii) the reconciliation of gene and species trees relies on poorly quantified models of gene duplication and loss, and (iii) they are sensitive to completeness of predicted genes as the evolutionary models are designed for only well-conserved globular cores of proteins and missing data (gaps) render the approach inapplicable. The tree-based approaches also require the knowledge of the species phylogeny, and although the consensus on animal phylogeny seems to be close, it is still constantly challenged. The alternative approach of clustering orthologous genes on the basis of their whole-length similarity around Best-Reciprocal-Hits (BRHs, also known as Symbets, bi-directional BeTs and best–best hits, denoting sequences most similar to each other in between-genome comparisons) was first

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introduced by the database of Clusters of Orthologous Groups (COGs) (9). Triggered by the earlier availability of much smaller and simpler bacterial genomes the database has quickly gained wide recognition and was later extrapolated to eukaryotic genomes (KOGs) (9). The identification of BRHs is widely adopted currently in the field of comparative genomics for its simplicity and feasibility of application to large-scale data. In terms of phylogenetic trees, BRHs could be interpreted as genes from different species with the shortest connecting path over the distance-based tree. The simplest application of this approach using BLAST (10) for interspecies comparisons suffers from inaccuracies of sequence distance estimates and ignores many gene duplications after the speciation that are, in fact, co-orthologs that are difficult to differentiate functionally. However, using these genes as anchors of orthologous groups in different species, additional co-orthologs can be identified as genes that are more similar to them in intra-genome comparisons than to any other gene in the other genomes, as popularized by the pairwise Inparanoid approach (11). There are also a few alternative clustering heuristics with varying compromises between specificity and selectivity (12) that focus on the growing number of available eukaryotic genomes such as the probabilistic approach of OrthoMCL (13) and the vertebrate-centric Ensembl-Compara (14).

Another important feature of orthology and paralogy classification, which is currently underappreciated, is that it is relative to a particular ancestor, as orthology of genes is defined by their descent from a common ancestor gene by speciation (1–3). Therefore, the more distantly related species are considered the more general (inclusive) orthologous groups become, because all lineage-specific duplications since this last common ancestor should be considered as co-orthologs. Inversely, orthologous groups become more fine-grained (more 1:1 relations) when closely related species are considered, as there was less time for gene duplications to occur. The concept of hierarchical orthologous groups has already prompted development of Levels of Orthology From Trees (LOFT) (15) tool to interpret the gene-trees in the context of species tree, COrrelation COefficient-based CLustering (COCO-CL) (16) methodology to refine clusters of homologous genes, and PHOG approach (17) to resolve orthology at each taxonomy node using explicit modeling of the ancestral sequences and relying on PHOG-BLAST (18) profile–profile comparisons.

Aiming to fuel comparative genomic studies we focused here on the most represented eukaryotic phyla, namely, we analyzed 23 fungi, 19 insect (plus one crustacean) and 15 vertebrate species with complete proteomes available (Table 1). For this analysis, we employed our own implementation of COG-like and Inparanoid-like ortholog identification procedures from all-against-all sequence comparisons across multiple species (19–22), and here we explicitly delineate the hierarchy of the orthologous groups, consistently applying the procedure to the sets of species with varying levels of relatedness according to the species tree (Figure 1).

## METHODS

### Orthology delineation

Groups of orthologous genes were automatically identified using a strategy employed previously (19–22) that is based on all-against-all protein sequence comparisons using the Smith-Waterman algorithm as implemented in ParAlign (23) with default parameters, followed by clustering of best reciprocal hits from highest scoring ones to  $10^{-6}$  *e*-value cutoff for triangulating BRH or  $10^{-10}$  cutoff for unsupported BRH, and requiring a sequence alignment overlap of at least 30 amino acids across all members of a group. Furthermore, the orthologous groups were expanded by genes that are more similar to each other within a proteome than to any gene in any of the other species, and by very similar copies that share over 97% sequence identity, which were identified initially using CD-Hit (24). We considered only the longest transcript per gene or the most common as specified in UniProt (25). The outlined procedure was first applied to all species considered, and then to each subset of species according to the radiation of the phylogenetic tree.

### Phylogeny reconstruction

To guide computation of the ortholog hierarchy we produced the multiple alignment of concatenated single-copy orthologs, using well-aligned regions extracted with Gblocks (26) from individually aligned orthologous sequences using Muscle (27). This was used to compute the phylogenetic trees using the maximum-likelihood method as implemented in PHYML (28), employing the JTT model, a gamma correction with four discrete classes, and an estimated alpha parameter and proportion of invariable sites.

## DATABASE CONTENT

### Overview statistics

As detailed in Table 1 we analyzed 23 complete proteomes of fungal species, 19 insects and 15 vertebrates at different levels of the species phylogeny. Overall, this effort spans 870 737 genes, 82% of which have been classified into 10 876 orthologous groups in fungi, 19 835 in insects and 23 940 in vertebrates, providing the first systematic classification of the wealth of data that will provide the basis for further comparative evolutionary analyses.

## WEB INTERFACE

The database is freely accessible from <http://cegg.unige.ch/orthodb>

### Hierarchy of the orthologous groups

Orthology and paralogy classification is relative to the set of species considered [namely, to the particular ancestor (1–3)] and is more general (inclusive) for distantly related species, and more fine-grained (specific) for closely related species. We therefore delineated orthologous groups at each radiation node of the species phylogeny. To clearly

**Table 1.** Sets of covered complete proteomes

Lineage	Species name	Abbreviation	No. of genes*	Classified (%)	Source
Vertebrates	<i>Bos taurus</i>	Btar	21755	88	Ensembl v45 Jun2007
	<i>Canis familiaris</i>	Cfam	19305	94	Ensembl v45 Jun2007
	<i>Danio rerio</i>	Drer	24961	82	Ensembl v45 Jun2007
	<i>Gasterosteus aculeatus</i>	Gacu	20791	88	Ensembl v45 Jun2007
	<i>Gallus gallus</i>	Ggal	16736	83	Ensembl v45 Jun2007
	<i>Homo sapiens</i>	Hsap	22937	96	Ensembl v45 Jun2007
	<i>Monodelphis domestica</i>	Mdom	19520	91	Ensembl v45 Jun2007
	<i>Macaca mulatta</i>	Mmul	21944	90	Ensembl v45 Jun2007
	<i>Mus musculus</i>	Mmus	24496	87	Ensembl v45 Jun2007
	<i>Ornithorhynchus anatinus</i>	Oana	15723	87	Ensembl v45 Jun2007
	<i>Pan troglodytes</i>	Ptro	20965	97	Ensembl v45 Jun2007
	<i>Rattus norvegicus</i>	Rnov	22993	89	Ensembl v45 Jun2007
	<i>Tetraodon nigroviridis</i>	Tnig	28005	71	Ensembl v45 Jun2007
	<i>Takifugu rubripes</i>	Trub	21880	91	Ensembl v45 Jun2007
<i>Xenopus tropicalis</i>	Xtro	18025	81	Ensembl v45 Jun2007	
Insects**	<i>Aedes aegypti</i>	Aaeg	16789	89	AaegL1.1
	<i>Anopheles gambiae</i>	Agam	13133	87	AgamP3.45
	<i>Apis mellifera</i>	Amel	10330	87	GLEAN + curated_set
	<i>Bombyx mori</i>	Bmor	21302	48	SW_ge2k_BGF
	<i>Culex pipiens</i>	Cpip	23165	66	JCVI.CpipJ1.0_5
	<i>Drosophila ananassae</i>	Dana	22551	74	CAP freeze_20061030
	<i>Drosophila erecta</i>	Dere	16880	91	CAP freeze_20061030
	<i>Drosophila grimshawi</i>	Dgri	16901	87	CAP freeze_20061030
	<i>Drosophila melanogaster</i>	Dmel	13733	98	CAP freeze r4.3.FB
	<i>Drosophila mojavensis</i>	Dmoj	17738	84	CAP freeze_20061030
	<i>Drosophila persimilis</i>	Dper	23029	77	CAP freeze_20061030
	<i>Drosophila pseudoobscura</i>	Dpse	17328	91	CAP freeze_20061030
	<i>Daphnia pulex</i>	Dpul	30940	42	FrozenGC_2007_07_03
	<i>Drosophila sechellia</i>	Dsec	21332	81	CAP freeze_20061030
	<i>Drosophila simulans</i>	Dsim	17049	89	CAP freeze_20061030
	<i>Drosophila virilis</i>	Dvir	17679	86	CAP freeze_20061030
	<i>Drosophila willistoni</i>	Dwil	20211	77	CAP freeze_20061030
	<i>Drosophila yakuba</i>	Dyak	18816	86	CAP freeze_20061030
	<i>Pediculus humanus</i>	Phum	11206	82	TIGR.061807
	<i>Tribolium castaneum</i>	Tcas	16616	67	GLEAN + curated_set
	Fungi	<i>Ashbya gossypii</i>	ASHG	4720	97
<i>Aspergillus clavatus</i>		ASPC	9120	95	UniProt v12 Jul2007
<i>Aspergillus fumigatus</i>		ASPF	9629	95	UniProt v12 Jul2007
<i>Aspergillus oryzae</i>		ASPO	12055	84	UniProt v12 Jul2007
<i>Aspergillus terreus</i>		ASPT	10405	90	UniProt v12 Jul2007
<i>Candida glabrata</i>		CANG	5180	95	UniProt v12 Jul2007
<i>Chaetomium globosum</i>		CHAG	11040	78	UniProt v12 Jul2007
<i>Coccidioides immitis</i>		COCI	10435	69	UniProt v12 Jul2007
<i>Cryptococcus neoformans</i>		CRYN	6438	83	UniProt v12 Jul2007
<i>Debaryomyces hansenii</i>		DEBH	6311	89	UniProt v12 Jul2007
<i>Encephalitozoon cuciculi</i>		ENCC	1909	62	UniProt v12 Jul2007
<i>Kluyveromyces lactis</i>		KLUL	5326	92	UniProt v12 Jul2007
<i>Lodderomyces elongisporus</i>		LODE	5781	91	UniProt v12 Jul2007
<i>Magnaporthe grisea</i>		MAGG	12685	71	UniProt v12 Jul2007
<i>Neosartorya fischeri</i>		NEOF	10403	95	UniProt v12 Jul2007
<i>Neurospora crassa</i>		NEUC	10076	75	UniProt v12 Jul2007
<i>Phaeosphaeria nodorum</i>		PHAN	16451	59	UniProt v12 Jul2007
<i>Pichia guilliermondii</i>		PICG	5919	93	UniProt v12 Jul2007
<i>Pichia stipitis</i>		PICS	5797	96	UniProt v12 Jul2007
<i>Schizosaccharomyces pombe</i>		SCHP	5008	88	UniProt v12 Jul2007
<i>Ustilago maydis</i>		USTM	6546	78	UniProt v12 Jul2007
<i>Yarrowia lipolytica</i>		YARL	6525	81	UniProt v12 Jul2007
<i>Saccharomyces cerevisiae</i>		YEAS	6214	88	UniProt v12 Jul2007

\*Only the longest transcript per gene was considered.

\*\*Including one crustacean.

show the hierarchy level of the classifications and to allow easy navigation along the hierarchy we display the interactive species tree (Figure 1). The default level for an initial user query is set to fungi, arthropods or vertebrates and the level can be adjusted afterwards by

selecting a radiation of interest on the phylogeny. Each result page provides a precompiled Bookmarklet, a snippet of JavaScript code that can be easily bookmarked in the user browser, to allow direct query to a particular phylogeny level.



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<http://cegg.unige.ch/orthodb>

OrthoDB Results  
Your search for: [Hsp90 ATPase] returned 85 orthologous groups

1) Hsp90 ATPase

2) Specify copy-number profile (above)

3) --select a common profile--

4)

**Group EGG7V08FB: 9 genes in 8 species**

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Organism	Protein ID
<i>Ashbya goswpii</i>	1. Q750N9 Cation-transporting ATPase
<i>Candida glabrata</i>	1. Q6FKU8 Cation-transporting ATPase
<i>Debaromyces hansenii</i>	1. Q6BYK8 Cation-transporting ATPase
<i>Kluyveromyces lactis</i>	1. P49380 Plasma membrane ATPase (EC 3.6.3.6) (Proton pump)
<i>Lodderomyces elongisporus</i>	1. ASDXX4 Plasma membrane ATPase 1
<i>Pichia quilliermondii</i>	1. ASDFJ8 Plasma membrane ATPase 1
<i>Pichia stipitiz</i>	1. A3LP36 Cation-transporting ATPase
<i>Saccharomyces cerevisiae</i>	1. P05030 Plasma membrane ATPase 1 (EC 3.6.3.6) (Proton pump 1) 2. P19657 Plasma membrane ATPase 2 (EC 3.6.3.6) (Proton pump 2)

**Group EGG79P80H: 13 genes in 8 species**

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Organism	Protein ID
<i>Ashbya goswpii</i>	1. Q750P4 Cation-transporting ATPase
<i>Candida glabrata</i>	1. Q6FM06 Cation-transporting ATPase
<i>Debaromyces hansenii</i>	1. Q6BIM8 Cation-transporting ATPase 2. Q6BVH9 Cation-transporting ATPase
<i>Kluyveromyces lactis</i>	1. Q6CJ82 Cation-transporting ATPase 2. Q6CNS2 Cation-transporting ATPase
<i>Lodderomyces elongisporus</i>	1. ASDVU2 Sodium transport ATPase 5
<i>Pichia quilliermondii</i>	1. ASDHH7 Putative uncharacterized protein
<i>Pichia stipitiz</i>	1. A3GFD3 Cation-transporting ATPase 2. A3LY53 Cation-transporting ATPase
<i>Saccharomyces cerevisiae</i>	1. P13587 Sodium transport ATPase 1 (EC 3.6.3.7) 2. Q01896 Sodium transport ATPase 2 (EC 3.6.3.7) 3. Q12691 Sodium transport ATPase 5 (EC 3.6.3.7)

Text Search

- The database is searchable by the relevant identifiers of proteins or orthologous groups, as well as by keywords associated with the protein annotation in UniProt and Ensembl, e.g. 'Q02449' or 'hsp70'.
- [click 'Search' to execute!]
- You can use '+' and '-' to require presence or absence of the keywords,
- '\*' as the wildcard,
- and quotes to match a phrase literally (e.g. 'cytochrome c').

Hierarchy by tree

- The query is limited with respect to the selected root marked by red.
- Click on the radiation node to select it.

Filter by copy-number

- To specify the copy-number for each species, expand the selectors.
- '?' stands for no restriction ('any'), and '0', '1', '>1' are self explanatory.
- You can also choose a common but more complex to express profile from the dropdown selector.

Sequence search

- You can retrieve orthologous groups by homology to your sequence by blasting it.
- Paste your sequence in any format.
- Only one sequence is considered.
- Top 5 matches from different species are returned and used to retrieve corresponding orthologous groups.

Clear History

CN:"ASHG=1,CAN..." => 1 OGs

KW:"p450" => 6 OGs

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**Figure 1.** Example screenshot of the OrthoDB web interface (<http://cegg.unige.ch/orthodb>). The left panel enumerates the modes to query and browse the database by: (1) a keyword, (2) a user specified phylogenetic gene copy-number profile, (3) a common phylogenetic profile, or (4) sequence homology search; the middle panel is reserved for displaying results; and the right panel accommodates help and query history messages.

## Stable identifiers

We assigned short identifiers using Noid utility to the generated orthologous groups that we will maintain unique across subsequent updates of the database to allow stable references to the data.

## Querying by keywords

The database is searchable by the relevant identifiers used for the proteins or orthologous groups, as well as by keywords associated with the protein annotation in UniProt (25) or Ensembl (14). Currently the search is implemented as MySQL full-text index and the query is interpreted in Boolean mode that allows use of '+' and '-' operators to indicate that a word is required to be present or absent, respectively, for a match to occur; parentheses used to group words into subexpressions; '\*' serves as the wildcard operator; and a phrase is matched literally if it is enclosed within quotes (e.g. 'cytochrome c'). The results always refer to the relevant orthologous groups, not separate genes.

## Filtering by phylogenetic profile

Another feature of the database interface is filtering orthologous groups by a phylogenetic profile. This can

be done by activating the set of selectors next to the phylogenetic tree and specifying the ortholog copy-number requirements in the species of interest, where '?' notation stands for no restriction ('any number') and '0', '1', '>1' are self explanatory. The 'Filter' button in the 'Specify copy-number profile' section will execute the corresponding query. In addition, we provide a set of precompiled queries for phylogenetic profiles of common interest (via the selection list) that are more complicated to express, e.g. 'all but one' type: all single-copy orthologs but allowing for a loss or run-away in one of the species, or multigene orthologs in all but one species, etc. This allows viewing of the gene clusters that have undergone expansions or losses in the specific lineages, which is informative in the evolutionary context (29). These queries, as well as text search, are performed with respect to the selected speciation root, marked by red on the phylogenetic tree.

## Query by sequence homology

Not all protein identifiers are widely known, particularly for automatically annotated genomes, and functional annotations for many genes are still anticipated. We therefore provide data querying by sample sequences,

e.g. a user submitted sequence is matched using Blast against the collected proteomes, and the top five matches from distinct proteomes are shown to the user and used to retrieve the associated orthologous groups, ranking by the number of hits to each group. Please note that if a sequence of an as yet unanalyzed species is used, the query will return the best matching ortholog cluster, however, this may not be sufficient to assume orthology.

### Export of data

All results or particular groups can be retrieved as tab-delimited text or as Fasta formatted protein sequences with annotation of the orthologous group.

### FUTURE PERSPECTIVES

All current approaches to identify orthologous groups of genes have different deficiencies and there are ways to improve their sensitivity and specificity. The implemented infrastructure in principal does not depend on the particular choice of the method, although our own implementation of a COG-like and Inparanoid-like ortholog identification procedure seems to produce reliable results according to extensive checks in the frame of our previous research projects. We plan also to test other available orthology delineation procedures.

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