

# Estrogen Signaling Multiple Pathways to Impact Gene Transcription

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**Abstract:** Steroid hormones exert profound effects on cell growth, development, differentiation, and homeostasis. Their effects are mediated through specific intracellular steroid receptors that act *via* multiple mechanisms. Among others, the action mechanism starting upon 17 $\beta$ -estradiol (E2) binds to its receptors (ER) is considered a paradigmatic example of how steroid hormones function. Ligand-activated ER dimerizes and translocates in the nucleus where it recognizes specific hormone response elements located in or near promoter DNA regions of target genes. Behind the classical genomic mechanism shared with other steroid hormones, E2 also modulates gene expression by a second indirect mechanism that involves the interaction of ER with other transcription factors which, in turn, bind their cognate DNA elements. In this case, ER modulates the activities of transcription factors such as the activator protein (AP)-1, nuclear factor- $\kappa$ B (NF- $\kappa$ B) and stimulating protein-1 (Sp-1), by stabilizing DNA-protein complexes and/or recruiting co-activators. In addition, E2 binding to ER may also exert rapid actions that start with the activation of a variety of signal transduction pathways (*e.g.* ERK/MAPK, p38/MAPK, PI3K/AKT, PLC/PKC). The debate about the contribution of different ER-mediated signaling pathways to coordinate the expression of specific sets of genes is still open. This review will focus on the recent knowledge about the mechanism by which ERs regulate the expression of target genes and the emerging field of integration of membrane and nuclear receptor signaling, giving examples of the ways by which the genomic and non-genomic actions of ERs on target genes converge.

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## 1. INTRODUCTION

The principle estrogenic hormone, 17 $\beta$ -estradiol (E2), synthesized by testosterone aromatization in the ovary and in other tissues, plays a central role in the control of sexual behavior and reproductive functions. At present it is well recognized that the impact of E2 in human physiology is wider than previously thought impact including the differentiation of several tissues and organs, the modulation of inflammation, and brain and cardiovascular functions as well [see 1-3].

E2 regulates human physiology *via* diffusion through the plasma membrane of target cells and signaling through intracellular hormone-specific estrogen receptors (ERs). Two distinct types of signaling can be mediated, often referred to as genomic and non-genomic or non-genotropic pathways. In the genomic pathway, estrogens bind to ERs in the nucleus, inducing a conformational change in the receptors that cause dissociation from chaperones, dimerization, and activation of the receptor transcriptional domain [4-6].

The canonical model for ER-mediated regulation of gene expression involves the direct binding of dimeric ER to DNA sequences known as estrogen response elements (EREs),

which are specific, inverted palindromic sequences [7]. In addition, ER can indirectly associate with promoters through protein-protein interactions with other DNA-binding transcription factors [8-10]. In either case, interaction of ERs with E2 leads to transcriptional activation of the associated genes *via* recruitment of coactivators and components of the basal transcriptional machinery [11-14]. In addition to the nuclear ERs, plasma membrane-associated ERs mediate the non-genomic signaling pathway [see 15-19], which can lead both to cytoplasmic alterations and to regulation of gene expression [16, 20, 1].

Regulation of transcription by nuclear ER is more complicated than the classical paradigm would predict [5, 18]. The two nuclear ERs, ER $\alpha$  and ER $\beta$ , exhibit distinct transcriptional properties and can form both homodimers and heterodimers [22-24]. Recent studies point to the fact that signaling pathways modulate both ERs and some co-regulatory molecules activities [13, 25].

To understand the connection between physiological and molecular functions of ERs, the field requires an in-depth understanding of the spectrum of genes regulated in each tissue and cell type. This review will focus on the current state of knowledge about the mechanism by which ERs regulate the expression of target genes and the emerging field of integration of membrane and nuclear receptor signaling, giving examples of the ways by which the genomic and non-genomic actions of ERs on target genes converge.

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## 2. THE STRUCTURE OF ESTROGEN RECEPTORS

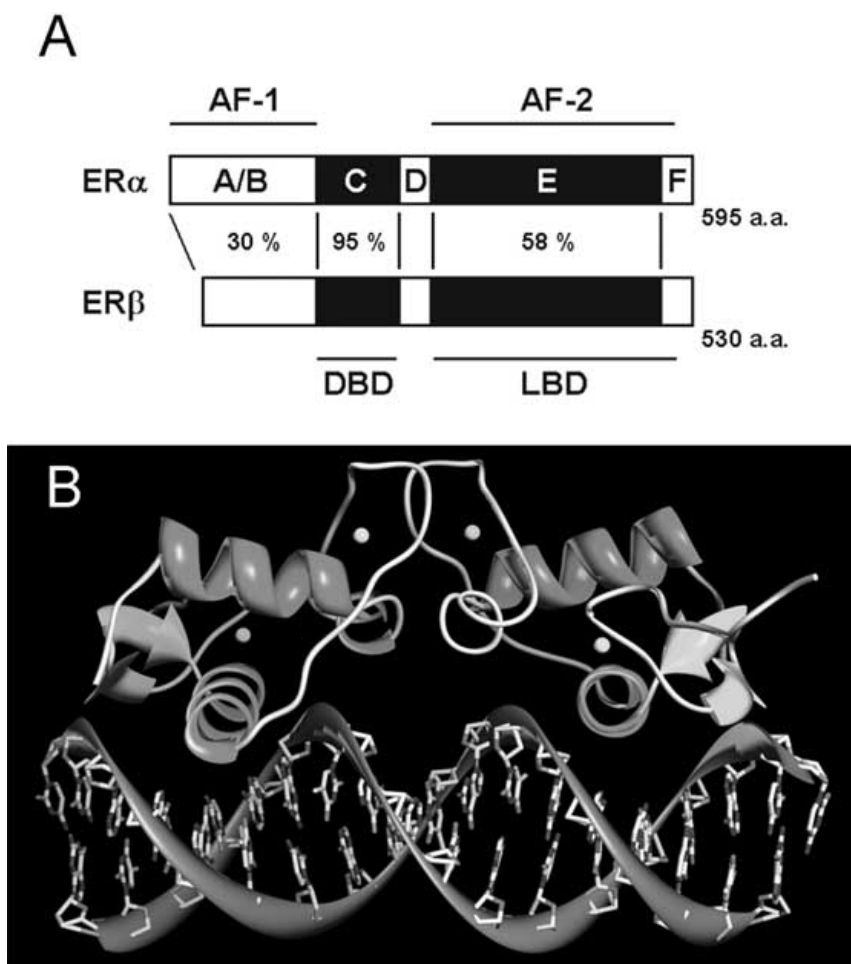
Human ER $\alpha$  and ER $\beta$  are encoded by different genes located on different chromosomes (locus 6q25.1 and locus 14q23-24.1, respectively) [26-29]. ER $\alpha$  and ER $\beta$ , like all the members of the nuclear receptor super-family, are modular proteins sharing common regions, named A/B, C, D, and E/F, as well as a high sequence homology (Fig. (1A)). These regions participate in the formation of independent but interacting functional domains. The *N*-terminal domain (A/B region) is involved in both inter-molecular and intra-molecular interactions as well as in the activation of gene transcription. The DNA binding domain (DBD, C region) allows ER to dimerize and to bind to the specific ERE sequence on DNA through its two "zinc finger" structures (Fig. (1B)). The hinge domain (D region) has a role in receptor dimerization and in binding to chaperone heat-shock proteins (Hsp). The ligand binding domain (LBD, E/F region, *C*-terminal) comprises the E2-binding domain and works, synergistically with the *N*-terminal domain in the regulation of gene transcription [5, 30-32].

ERs contain two regions called activation functions (AFs) important for ligand-dependent transcriptional activity

(Fig. (1A)) [5, 30-32]. AF-1 and AF-2 regions of ERs, interacting with a number of transcription co-activators, can activate transcription independently but in most cases, they synergize with one another in a promoter- and cell-context specific manner [33].

AF-1 could be activated even in a ligand-independent manner, depending on the phosphorylation status of ER. In particular, the Ser118 residue in the AF-1 region of ER $\alpha$ , as well as residues Ser106 and Ser124 in the AF-1 region of ER $\beta$ , are the phosphorylation sites essential for the ligand-independent activation of ERs through the Ras-mitogen activated protein kinase (MAPK) signaling cascade [see 34, 35].

Recent progress in studies on genomic and cDNA sequences has accelerated the identification of gene splice variants in the NR super-family. Numerous mRNA splice variants exist for both ERs and the best-characterized splice variants are ER $\alpha$ 46 and ER $\beta$ cx, which are frequently co-expressed with their wild-type counterparts. The exact function and potential role of these and other ERs splice variants in physiology and human disease remain to be elucidated [see 36].



**Fig. (1).** Domain organization of human ER $\alpha$  and ER $\beta$  (A). ERs consist of the *N*-terminal region involved in transactivation (A/B domains, AF-1), the DNA binding domain (DBD, C domain), the hinge region involved in dimerization (D domain), the *C*-terminal region containing ligand binding domain (LBD, E/F domain, AF-2) and transactivation function-2 (AF-2). The percentage indicates the homology between ER $\alpha$  and ER $\beta$ . (B) Binding mode of ERE to dimeric ER $\alpha$  (PDB ID:1HCQ) [163]. Spheres indicate the zinc atoms. For details, see text.

### 3. ESTROGEN RECEPTOR GENOMIC ACTIVITY

#### 3.1. Direct Association to DNA

The pioneering work by O'Malley and colleagues demonstrated that ERs function as ligand-activated transcription factors [37]. The trans-activation activity of ERs initiate through the ligand-bound receptor to its cognate, *cis*-acting enhancers, ERE [38]. The consensus palindromic element ERE was initially described based on the estrogen-responsive sequence in the *Xenopus laevis* vitellogenin A2 promoter: 5'-GGTCACAGTGACC-3' [39-41]. This "perfect" ERE sequence was shown to function in an orientation- and distance-independent manner, both of which are properties of an enhancer [7, 42]. When ER directly interacts with the promoter/enhancer, binding to a full ERE is apparently the dominant mode of interaction. The human full EREs have a 3-bp spacer between the two half-sites, the exceptions being response elements in the human transforming growth factor (TGF)- $\alpha$  promoter, with a 4-bp spacer, and in the promoter of the rat luteinizing hormone  $\beta$  gene, with a 5-bp spacer [42]. Controversy still exists concerning ER DNA binding *via* ERE half sites, although a number of examples exist [43-46].

Since the identification of a canonical ERE, several computational approaches have been undertaken to identify target genes based on the presence of EREs within promoter proximal regions [47, 48]. For instance, for the 38 estrogen-responsive genes reviewed by Klinge [7], most of the functional EREs located within the promoters or 3'-untranslated regions are not the traditional consensus sequence. Thus, many target genes contain response elements that bear little similarity to consensus EREs. In one of the most comprehensive studies, Bourdeau and coworkers screened for all EREs in the human and mouse genomes and identified in excess of 70,000 EREs within the human genome, over 17,000 of which were within 15 kb of mRNA start sites [48]. Elimination of EREs that were not conserved between the human and mouse genomes reduced the number of gene proximal EREs to 660. A number of these sites were validated as genuine ER interaction sites, supporting the use of computational models to predict putative ER target genes to some degree [49].

The sequence of the response element affects the affinity that a given receptor has for binding DNA. ER $\alpha$  binds with the highest affinity the canonical ERE sequence found within the vitellogenin A2 gene, and less well the imperfect EREs found within the vitellogenin B1 (5'-AGTCACTGTGACC-3') [39], pS2 (GGTCACGGTGCC-3') [50], and oxytocin (5'-GGTCAAGTCAACC-3') [51] genes. This explains, at least in part, how the sequence of the response element can be one important determinant of the extent to which ERs can activate gene expression [52-55].

The conformation of transcription factors can be altered through binding to DNA [see 56]. The specific ERE sequences could exert distinct, allosteric effects on the conformation of ER $\alpha$  and ER $\beta$  [52, 57, 58]. Just as ligand-induced changes in ER conformation influence ER interactions with co-activators, consensus and imperfect EREs also influence the ability of ERs to bind co-activators. Note that the steroid receptor coactivator-2 (SRC-2) interacts better

with ER $\alpha$  bound to EREs from the vitellogenin A2 than from the vitellogenin B1 gene [54].

#### 3.2. Indirect Association to DNA

The ER signaling mechanisms discussed until now provide an explanation for the regulation of genes in which a functional ERE-like sequence can be documented within the promoter. Another category of gene promoters, lacking any ERE-like sequences, requires a second DNA-binding transcription factor to mediate ER association with the DNA [42]. This mechanism is generally referred to as "transcriptional cross-talk" [59-60]. Roughly 35% of the categorized human primary E2-responsive genes are transcribed *via* ER-indirect DNA association [42].

Stimulating protein-1 (Sp-1) is the predominant mediator of ER-DNA indirect binding [42] and increasing numbers of genes are found to be induced by E2 *via* this mechanism including the low-density lipoprotein (LDL) receptor [8], endothelial nitric oxide synthase (eNOS) [61], *c-fos* [62], cyclin D1 [63], and the retinoic acid receptor-1 $\alpha$  genes [64, 65]. In response to estrogenic stimulation, ER enhances the binding of Sp-1 to its site, containing GC-rich promoter sequences [46] and contributes to co-activator recruitment. The DNA-binding domain of ER is dispensable for such activation [42, 66, 67].

Another example is the interaction between ER $\alpha$  and the *c-rel* subunit of the nuclear factor- $\kappa$ B (NF- $\kappa$ B) complex. This interaction prevents NF- $\kappa$ B from binding to and stimulating expression from the interleukin-6 (IL-6) promoter [68]. In this way, E2 inhibits expression of the cytokine IL-6 [68-70].

Other intermediary factors through which ER can associate with promoters/enhancers include: activating transcription factor (ATF)-2/*c-jun* or ATF-2/cAMP response element binding protein (CREB) for the cyclin D1 gene, ATF-1/CREB for the Bcl-2 gene, and nuclear transcription factor-Y for the mouse E2F1 gene [42].

ERs utilize protein-protein interactions also to enhance transcription of genes that contain activator protein-1 (AP-1) sites [71] related, but not identical, to those for the ATF/CREB transcription factors. The AP-1 complex, composed of Jun protein dimers and of Jun/Fos heterodimers, plays an important role in cell proliferation. Notably, ER $\alpha$  activation of IGF-1 and collagenase expression is mediated through the interaction of receptor with Fos and Jun at AP-1 binding sites [42]. Collagenase, insulin-like growth factor (IGF)-1 receptor, ovalbumin, and cyclin D1 are examples of genes activated by the ER $\alpha$ -E2 complex *via* AP-1 [72, 73].

ER $\alpha$  and ER $\beta$  have been shown to signal in opposite ways at AP-1 sites. ER $\alpha$  activates transcription in the presence of E2, whereas ER $\beta$ -E2 inhibits AP-1-dependent transcription [74, 60]. Studies show that ER $\alpha$ -E2 activation of AP-1-responsive elements requires both AF-1 and AF-2 domains of the receptor, which bind and enhance the activity of the p160 components (*e.g.* SRC-1 and SRC-2) of the co-activator complex recruited to the site by Fos/Jun. Interestingly, human ER $\beta$ , which lacks a functional AF-1, is unable to activate transcription of AP-1-regulated genes when bound with ER agonists, indicating the possibility of distinct

physiological actions of the two ERs *via* the regulation of unique subsets of genes [4]. Similar to AP-1, E2 binding to ER $\alpha$  induces transcriptional activation when associated with Sp-1 in GC-rich regions. However, E2 interaction with ER $\beta$  does not result in the formation of a transcriptionally active complex at a promoter containing Sp-1 elements. As an example ER $\alpha$  and ER $\beta$ , in the presence of E2, oppose each other's function in the regulation of the cyclin D1 promoter [75]. There is considerable evidence that cyclin D1, important for progression of cells through the G1 phase of the cell cycle, is a well-defined target for ER $\alpha$ -E2 action in mammary carcinoma cells [76-78], although no detectable "perfect" or ERE-like sequence in the cyclin D1 gene promoter has been reported [79]. Deletion of AP-1 and Sp-1 responsive element motifs in the cyclin D1 gene promoter resulted in attenuation of promoter responsiveness to E2 [72, 80]. Unlike ER $\alpha$ , E2-bound ER $\beta$  represses cyclin D1 expression [81] and blocks ER $\alpha$ -E2-mediated induction when both receptor isoforms are present [22]. Consequently, these differences in transcriptional activity between the ER $\alpha$  and ER $\beta$  may account for the major differences in their tissue specific biologic actions. This complexity is further enhanced by the presence of different ER $\beta$  splicing forms, by the ability of ERs to form homodimers and heterodimers, and by their capacity to interact with different co-regulators [82].

### 3.3. Transcriptional Co-Factors

Both in the direct and indirect action modes, the ligand-activated ERs are not the transcription controllers. In fact, ERs need to interact with co-regulatory proteins (co-activators or co-repressors) to form a platform upon which additional proteins are assembled [12, 13]. Cofactors interact with ERs through their Leu rich motif (*i.e.*, Leu-Xxx-Xxx-Leu-Leu, where Xxx is any amino acid). Several classes of ER cofactors have been identified. The first identified and well-characterized co-activator family consists of three related members SRC-1, which is the founding member of the family, SRC-2, and SRC-3 [see 83]. A large co-activator complex, referred to as thyroid hormone receptor associated protein/vitamin D receptor-integrating protein (TRAP/DRIP) complex, could connect ERs directly to the basal transcription machinery *via* its intrinsic chromatin remodeling functions. In addition, histone acetyl transferase (*e.g.*, CBP/p300), histone methyl transferase (*e.g.*, CARM1 and PRMT1) and the nucleosome remodeling complexes (*e.g.*, SWI/SNF) are necessary to release the chromatin-dependent inhibition of gene transcription [13].

Although there are far fewer nuclear receptor co-repressors, these (macro)molecules serve important roles in negatively regulating ER-dependent gene expression. Two AF-2 interacting proteins, receptor-interacting protein-140 and short hetero-dimer partner, exhibit negative co-regulatory functions because they can antagonize SRC-1 co-activators *in vivo* and compete for AF-2 binding *in vitro* [84-86]. On the other hand, ERs could also associate with specific transcriptional repressors such as the nuclear receptor corepressor and specific histone deacetylase complexes [13].

The relative expression of co-activators and co-repressors, within a cell, influences the ability of ER ligands (*e.g.*, E2 and selective ER modulators (SERMs)) to regulate gene expression [2, 13, 87].

Because of the homology in their AF-2 domains (see Fig. (1A)), ER $\alpha$  and ER $\beta$  should be similar in co-activator recruitment, but certain differences have been reported. For E2-bound receptors, ER $\beta$ , but not ER $\alpha$ , binds well to the receptor-interacting component of the mammalian mediator complex, TRAP220. There are differences between the relative affinities of ER $\alpha$  and ER $\beta$  for members of the p160 co-activator family [13, 88]. More pronounced differences are observed in the case of SERM-bound ERs [see 2, 13]. For ERE-dependent gene expression, the SERM 4-hydroxytamoxifen is a partial agonist of ER $\alpha$ , but is generally unable to stimulate ER $\beta$  transcriptional activity [89-91]. Conversely, when assessing ER activity on AP-1 containing reporter genes, 4-hydroxytamoxifen will stimulate ER $\alpha$  and ER $\beta$  transcriptional activity in a cell-dependent fashion [74].

A mechanism for shutting off transcription involves the covalent post-translational modification of ERs and co-activators (*e.g.*, lysine acetylation and arginine methylation), which can inhibit the binding of co-activators to nuclear receptors or other transcriptional activators by altering critical protein-protein interaction surface [see 13, 92]. Thus, the acetylation of SRC-3 by p300 has been shown to cause a disruption of receptor-co-activator complexes, leading to a decrease in receptor-mediated gene activation [see 92]. Using a variety of biochemical and cell-based assays, Krauss and co-workers have shown ER $\alpha$ , but not ER $\beta$ , is a target for acetylation by p300 and have identified acetylation as modulator of the ligand-dependent gene regulatory activity of ER $\alpha$  [93].

A number of cellular signaling pathways also influence the ER-dependent gene expression modulating ER conformational changes or co-regulators recruitment [5, 13]. It has been recognized only recently that both co-activators and co-repressors are also substrates for kinases, their phosphorylation affects their ability to interact with steroid receptors [94, 95].

## 4. ESTROGEN RECEPTOR NON-GENOMIC ACTIVITY

The "genomic action" of steroid hormones occurs after a time-lag of at least 2 hours after E2 stimulation and explains some of hormone functions in physiological and pathological situations [see 96, 97]. This picture was challenged when a physiological dose of E2 was reported to increase the uterine cAMP level in ovariectomized rats within 15 seconds [98], an effect too rapid to be accounted for genomic action(s). This event was not abrogated by transcriptional inhibitors and was termed "rapid or non-genomic". Actually the term "non-genomic" is not adequate when referring to rapid changes that may also initiate new gene transcription [see 96, 99].

Various signaling pathways are activated upon E2 binding to ERs. These rapid events may be classified into four main signaling cascade: phospholipase C (PLC)/protein kinase C (PKCs) [100-106], Ras/Raf/MAPK [72, 107-113], phosphatidylinositol 3 kinase (PI3K)/AKT [15, 16, 80, 81, 97, 114-118], and cAMP/protein kinase A (PKA) [104, 119-123].

These pathways present numerous interactions with several other pathways. The ER $\alpha$ -E2 complex interacts with the

IGF-1 receptor, leading to IGF-1 receptor activation and hence to MAPK signaling pathway activation [124]. In addition, the ER $\alpha$ -E2 complex activates the EGF receptor by a mechanism that involves activation of guanine nucleotide exchange proteins (G-proteins), Src, and matrix metalloproteinases, leading to an increase in extracellular regulated kinases (ERK) and PI3K/AKT activities [109, 125-129]. In endothelial cells the Src/PI3K/AKT pathway mediates rapid E2-dependent activation of eNOS and the release of nitric oxide. AKT and PKC could also modulate the MAPK pathway through Raf phosphorylation [97, 116, 130, 131].

It is important to note that activation of signaling pathways by E2 is cell type-specific. Indeed, the effect of E2 on PKC activity has been observed in the preoptic area of female rat brain slices, but not in the hypothalamus or cortex [132]. The activation of G-protein/Src/PI3K/MAPK pathway by E2 was evident in late, but not early, differentiated rat pre-adipocytes [109]. The differential requirement of Src/PI3K or intracellular calcium for MAPK activation is also observed in diverse cell types [15, 109, 129]. Different PKC isoforms are rapidly activated by E2 in HepG2 and MCF7 cells [102]. As a whole, these studies indicate that the rapid actions of E2 depend on a number of conditions such as the set of signal transduction molecules and downstream targets present in the target cell, thus the responses are likely to be diverse.

All these results point to the concept that ER $\alpha$  is the primary endogenous mediator of rapid E2 actions. Less information is available on the role played by the ER $\beta$ -E2 complex to activate rapid non-genomic mechanisms. A subpopulation of ER $\beta$  transfected into Chinese Hamster ovary cells is capable of activating inositol tris-phosphate production, ERK and JNK phosphorylation [133]. Gerald and coworkers reported that E2 reduces ERK activity through ER $\beta$  stimulation in porcine smooth muscle cells [134]. We have recently reported the ability of the ER $\beta$ -E2 complex to activate the p38 member of MAPK family, but not ERK or AKT, in human colon cancer cells [81, 135]. Although the scarce information does not allow a complete discussion on the contribution of ER $\beta$  in E2-induced rapid signals, these data indicate that also ER $\beta$  could originate cell-specific signal transduction cascade.

The rapidity by which E2 induces rapid signals as well as the localization of signaling complex raises the requirement of a plasma membrane ER. Debate continues over whether structural changes target nuclear ERs in separate pools localizing them to the membrane [61, 97, 99, 117, 136], or whether membrane ER represents a novel receptor [137-142]. Besides these data, much evidence favors the idea that the membrane-localized ER is the same protein as the nuclear-localized receptor [72, 80, 133, 143, 144]. Even if the definitive proof that membrane and nuclear ER are the same protein requires isolation and "sequencing" of the two receptor pools, ER $\alpha$  and ER $\beta$  must be considered a population of protein(s) which localization in the cell is able to dynamically change, shuttling from membrane to cytosol and to the nucleus, depending on ligand binding [87, 97, 135, 145].

Current evidence indicates that a small population of ER $\alpha$  and ER $\beta$  localize at the plasma membrane exists within caveolar rafts. It is at the plasma membrane that E2-liganded

ER associates with the scaffolding protein caveolin-1 and a variety of signal transduction cascade activation occurs [e.g., PLC, PKC, ERK, PI3K, and nitric oxide synthase (NOS)]. ERs do not contain a *trans*-membrane domain [15, 18], thus the ability of ER $\alpha$  and ER $\beta$  to associate with the plasma membrane could be due to its association with membrane proteins and/or by post-translational addition of lipids to ER $\alpha$  [16, 146].

Fatty acids and isoprenoids are two of the most common lipid moieties found on post-translational modified proteins bound to membranes. No consensus sequences for *N*-acylation (*i.e.*, miristooylation) or *S*-prenylation have been found in ER $\alpha$  and ER $\beta$  [147]. On the contrary, *S*-acylation (*i.e.*, palmitoylation) does not require any consensus sequence, but just reactive Cys residues [148].

Cys residues present in the ER $\alpha$  and ER $\beta$  LBD could undergo *S*-acylation. In particular, the amino acid sequence encompassing the Cys447 residue of ER $\alpha$  and Cys399 of ER $\beta$  is highly homologous to that surrounding the *S*-palmitoylated Cys132 residue of human caveolin-1 [147]. Based on this observation we demonstrated that ER $\alpha$  undergo *S*-palmitoylation which represents the major determinant for its residence at the plasma membrane and in its association with caveolin-1 [146, 147]. It is noteworthy that ER $\beta$  is also a palmitoylable protein [Marino M., unpublished results].

Because ER $\alpha$  has no intrinsic kinase domains the localization of ERs at the plasma membrane facilitate the association between ER and signaling proteins allowing the activation of rapid events. Src, Shc, proline-, glutamic acid-, leucine- rich protein /modulator of non-genomic activity of estrogen receptor (PELP1/MNAR), the p85 $\alpha$  subunit of PI3K, receptor tyrosine kinases (*i.e.*, EGF and IGF-1 receptors), as well as G-protein isoforms (*i.e.*, G $\alpha$ s and G $\alpha$ q) have all been reported to serve as components of large complexes of interacting proteins. Through the mediation of these molecules, E2 activates the MAPK and PI3K/AKT pathways [16, 136, 149-151].

Although the list of signaling and adapter proteins interacting with ER is growing, protein-protein complex formation occurs only 5 to 15 min after E2 stimulation [152]. Thus, the conformational changes of the ER LBD domain, which follows E2 entry into the cell, seems to be important in allowing the ER-E2 complex to detach from the membrane and allocate with growth factor receptors or adapter proteins to activate downstream signals.

#### 4.1. Cell Functions Regulated by Non-Genomic Signals

The rapid activities of ERs are widely accepted and disagreement on the involvement of nuclear receptors is quite settled. However, other controversies in this field are still present and related to whether or not all of these rapid effects are of physiological relevance [153]. The main difficulties are linked to the experimental models used. In fact, the study of signaling pathways can be done mainly on isolated, often immortalized, cells and it is very complicated to obtain similar information on a whole organism in which the use of signaling inhibitors could have many side effects other than to inhibit just one kinase.

Nevertheless, the physiological significance of rapid membrane-starting pathways has been clarified at least for some E2 targets. In the nervous system, E2 affects neural functions (e.g., cognition, behavior, stress responses, and reproduction) in part by inducing such rapid responses [96]. In the skeleton, ER $\alpha$ , present in caveolae of bone-forming osteoblasts, transmits survival signals through activation of the Src/Shc/ERK pathway and prolongs the life span of osteoblasts [21]. At the same time, E2 delivers a pro-apoptotic signal to bone-resorbing osteoclasts, shortening their life span [21]. Although these studies have been done mainly in cell-culture systems, their results suggest that ER rapid signaling actions have also a role *in vivo*. In the liver, rapid E2-induced signals (i.e., PLC/PKC) are deeply linked to the expression of the LDL receptor and to a decreased level of serum LDL-cholesterol [103]. Finally, vascular protection by E2 in ischemia/reperfusion injury *in vivo* requires E2-induced activation of endothelial NOS, as mediated by the PI3K/AKT pathway [117, 130].

The mechanism(s) by which E2 exerts proliferative effects is assumed to be exclusively mediated by rapid membrane-starting actions [72, 80, 101, 102, 114, 115]. E2 treatment of mammary-derived MCF-7 cells triggers the association of ER $\alpha$  with Src and p85 $\alpha$  leading to DNA synthesis [115]. In HepG2 cells multiple and parallel membrane-starting pathways are rapidly activated by the ER $\alpha$ -E2 complex [72, 80, 101] and the blockade of PLC/PKC, ERK, and PI3K/AKT pathways completely prevents the E2-induced DNA synthesis [72, 80]. ERK/MAPK and PI3K/AKT pathways, rapidly activated by the ER $\alpha$ -E2 complex, also have a critical role in E2 action as a survival agent. In fact, these pathways enhance the expression of the anti-apoptotic protein Bcl-2, block the activation of the p38/MAPK, reduce the pro-apoptotic caspase-3 activation, and promote G1-to-S phase transition *via* the enhancement of the cyclin D1 expression [72, 80, 81].

What is the contribution of ER $\beta$  to E2-induced cell proliferation? ER $\beta$  appears to act as a dominant regulator in E2 signaling, and when co-expressed with ER $\alpha$  it causes a concentration-dependent reduction of ER $\alpha$ -mediated transcriptional activation [22] and the repression of ER $\alpha$ -mediated effects including cell proliferation. Consistent with this notion, E2 increases cell proliferation and causes tumor formation in MCF-7 cells expressing only ER $\alpha$  [22]. On the other hand, ER $\beta$  inhibits the E2-induced proliferation of transfected MCF-7 cells and prevents tumor formation in a mouse xenograft model in response to E2 [154]. This effect is linked to the ER $\beta$  repressive effect on ER $\alpha$ -induced gene transcription by binding to other transcription factors (e.g., AP-1, Sp-1) [22]. Recently, ER $\beta$  has been reported to rapidly induce a persistent membrane-initiated activation of p38/MAPK without any interference on survival proliferative pathways, thus impairing the activation of cell cycle components (i.e., cyclin D1 expression) [81].

## 5. INTEGRATION OF NUCLEAR AND EXTRA-NUCLEAR ACTION OF E2

Even though the membrane ERs and associated non-genomic actions is an area of active research, the nuclear effects of membrane ERs has not received much attention

[see 16, 19]. In human vascular smooth muscle cells transiently transfected with ER $\alpha$  an E2-dependent and an E2-independent translocation of ER $\alpha$  from the membrane to the nucleus was observed. The latter was blocked by MAPK inhibitors [155]. The ability of membrane ER and/or the growth factor receptor tyrosine kinases to signal *via* multiple kinases to the nucleus undoubtedly impacts all aspects of cellular function.

E2-induced ERK activation up regulates AP-1 mediated genes (e.g., *c-fos*) [156]. This results in part from serum response factor/elk-1 stimulation by E2, and in part by recruitment of nuclear ER and co-activators to AP-1 sites on gene promoters [16]. Other targets include several members of the signal transducer and activators of transcription (STAT) family such as STAT1, STAT3 and STAT5. In endothelial cells, activation of both STAT3 and STAT5 by E2 was mediated through signaling pathways involving MAPK, PI3K and Src and it functions to regulate  $\beta$ -casein expression [15].

Similarly, PI3K activation by E2-induced signaling from the membrane ER rapidly up regulates hundreds of genes in a target cell [157]. Microarray analysis of gene expression in vascular endothelial cells showed that about 250 genes were up-regulated 40 min after treatment. This effect could be prevented by the PI3K inhibitor, LY294,002 [157]. Interestingly, the transcriptional activity of the ER $\alpha$ -E2 complex is inhibited by a pre-treatment with the ERK inhibitors PD98,059 and U0126 [20, 114]. This suggests that stimulation of some gene expression (i.e., cyclin D1 and prolactin) by E2 occurs through ERK and PI3K activation.

CREB is the most studied of the several transcription factors rapidly activated by E2. In a hippocampal cell line [158], adipocyte cells [109], and colonic carcinoma cells [159], CREB transcriptional activity can be induced by E2 or E2-BSA through MAPK pathway, independently from the PKA pathway. Such activation of CREB induces expression of several genes (e.g., *c-fos*, uncoupling protein-2). In contrast, in neuroblastoma cells activation of CREB by ER-mediated rapid signals is dependent on the cAMP/PKA pathway, leading to neurotensin gene expression [160].

In addition, ERs are possible ER-mediated rapid signal targets. Indeed, it has been long known that E2 treatment can increase the phosphorylation state of ERs, *via* ERK and PI3K, the mutation of important phosphorylation sites reduces their transcription activity [19]. The rapid E2-evoked phosphorylation of ER contributes to the stimulation of ER dimerization and its nuclear translocation. As an example, the phosphorylation of ER $\alpha$  on Ser305 enhances cyclin D1 transcription in breast cancer. E2 also induces phosphorylation of ER $\alpha$  in Ser118, Ser167, and Tyr537 residues through the non-genomic activation of the MAPK signaling pathway [19]. Furthermore, the Ser167 residue of ER $\alpha$  also can be phosphorylated in response to rapid E2-mediated PI3K/AKT activation, whereas E2-induced p38/MAPK phosphorylation of Thr311 promotes ER $\alpha$  nuclear localization and interaction with specific receptor coactivators [19].

Besides these functions, the complexity of the mechanism of ER action suggests a more finely tuned control exerted by E2-induced rapid signals on cellular molecular

events. In particular, the extra-nuclear signals induced by E2 occur before the appearance of nuclear effects and the cell context in which the genomic events occur will be different depending on which signal pathway is activated. Thus, the integration between these molecular events is required to obtain the complete cellular response.

The complex relationship between membrane and nuclear effects induced by E2 also involves membrane-initiating phosphorylation of co-activators recruiting these proteins to the nuclear transcriptosome [13, 16]. This augments the recruitment of co-activator proteins, such as SRC-1 by ER [13]. One can envisage a carefully controlled modulation of nuclear ER-induced transcription, depending upon which signaling pathway(s) are activated by E2 in a given cell context. It is likely that discrete signaling pathways regulate the access of co-repressors to target gene promoters, although this mechanism is not well studied. As a corollary to this, phosphorylation of co-activators at discrete motifs could be inhibitory as well.

The possible convergence of ER genomic and non-genomic activities at multiple response elements provides an extremely fine degree of control for the regulation of transcription by ERs. It has been estimated that more than 500 kinases are encoded within the human genome. The ability of ER-E2 membrane starting signals and/or growth factor receptor to signal through multiple cascades to the nucleus, undoubtedly has an impact on all aspects of cellular function, contributing to E2-induced cell proliferation and survival, all essential features of cell physiology as well as of tumor biology [16].

Examples of such fine-tuned ER multiple control action are cyclin D1 and vascular endothelial growth factor (VEGF) genes. Cyclin D1, a well-defined target for E2 in mammary gland, is important for the progression of cells throughout the G1 phase of the cell cycle. The cyclin D1 promoter is complex and contains binding sites for several transcription factors, but no ERE-like sequences have been identified [79]. It has been suggested that activation of the cyclin D1 gene transcription by E2 results from different ER activities: direct ER $\alpha$ /Sp-1 or ER $\alpha$ /AP-1 interaction [161] as well as ER-dependent non-genomic mechanisms [72, 80]. The cyclin D1 promoter also contains binding sites for STAT5 and NF- $\kappa$ B, and these could be targets for ERs through both genomic and non-genomic actions [15]. The VEGF gene is another example of cross-talk between ERs non-genomic and genomic action. In fact, VEGF gene promoter contains both an ERE-like variant and GC-rich sequences that bind ER and ER-Sp-1 complex [42]. Both must be occupied for the E2 maximal activation [15].

As a whole, these data strongly suggest that E2-induced rapid signaling reaches to the nucleus through these and other, undiscovered, pathways and synergize each other to provide plasticity for cell response to sex steroids (see Fig. (2)).

## 6. CONCLUSION

The regulation of gene expression by E2 is a multifactorial process, involving both genomic and non-genomic actions that converge at certain response elements located in

the promoters of target genes. The final gene responses, however, could depend on a number of conditions such as the combination of transcription factors bound to a specific gene promoter, the cellular localization of ERs, the levels of various co-regulator proteins and signal transduction components, as well as the nature of extra-cellular stimuli. These variables are highly specific for cell types. Thus, E2 could use different signaling pathways depending both on the cellular type and on the physiological status of the cell. In this way E2 evokes distinct gene responses in different types of target cells [15, 16, 97, 162].

The possibility that E2 could act on ER pools localized in different cell compartments (*i.e.*, membrane versus cytosolic) gives rise to questioning the ability of these different ER pools to send parallel or synergic signals to the nucleus. For example, it has been observed that a naturally occurring variant of the metastatic tumor antigen 1 sequesters ER in the cytoplasm of breast cancer cells. The result of this cytosolic retention is the reduction of E2-mediated transcription and the enhancement of E2-initiated ERK activation [136]. These data suggest that the same ER molecule is involved in genomic and in rapid signal transduction cascade. More data are needed to confirm this hypothesis and the use of dynamic imaging in the near future will help to clarify this issue.

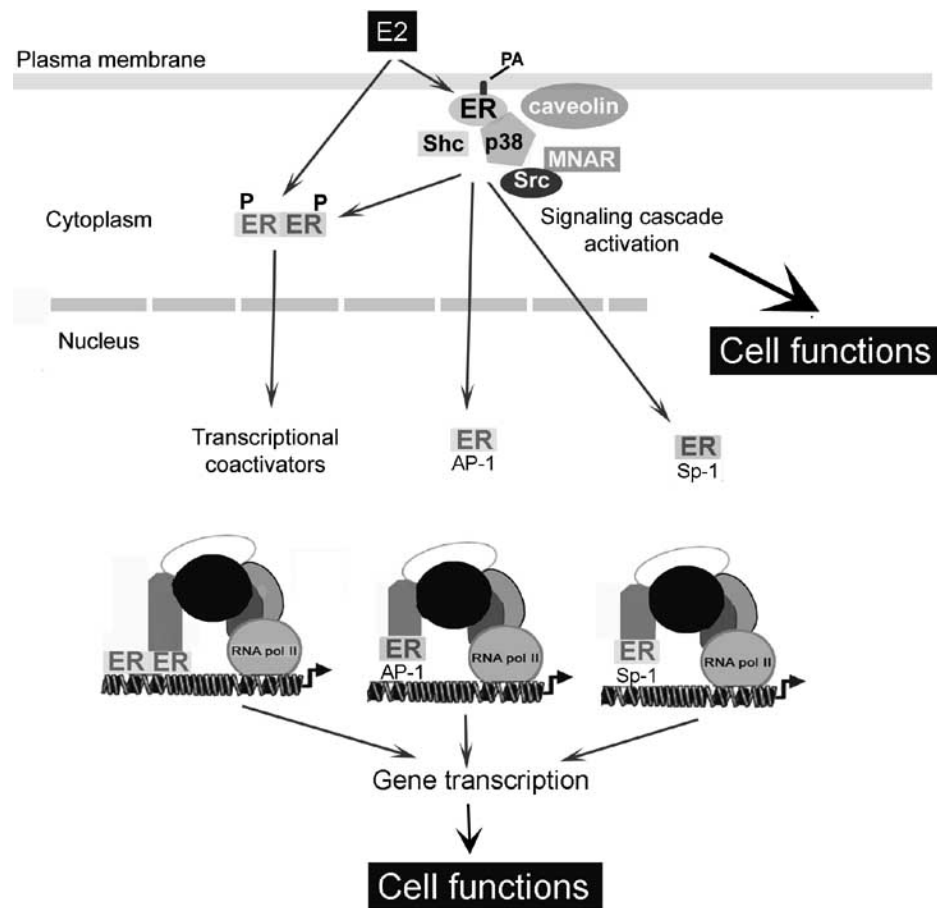
Based upon findings highlighted in this review, one may envisage a dynamic integrated model of action for ERs inside the cell. In this model, ERs would shuttle from cell membrane to the cytoplasm and to the nucleus, in a dynamic equilibrium between different cell compartments. Each could play a different role in a multi step process of target gene activation by ER and co-activators from their upstream non-genomic to their downstream genomic responses would lead to activation of transcription (Fig. (2)).

The cell context specific environment (*e.g.*, differentiation, ER level, and ER co-expression) has an impact on the integration of rapid signaling by E2 from the membrane and on subsequent nuclear transcription. This leads to different signal cascades, different gene expression in response to the same hormone, and different cell biological outcome.

The field is moving quickly. The challenges in the near future are to continue identifying the discrete actions of each ER intracellular pool, in order to clarify the role of ER $\beta$ , and to identify the potential cross-talk between ERs and other nuclear receptors. As we gain a deeper understanding of the complex controls exerted by ER and start identifying the critical players, it is likely that some of these putative molecules might emerge target candidates for therapeutic development in the treatment of hormone-responsive diseases, such as for different types of cancer.

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**Fig. (2).** Schematic model illustrating the relationship between rapid, intermediate, and long term actions of E2 on target cells. Palmitoylation (PA) allows the estrogen receptor (ER) localization at the plasma membrane. 17 $\beta$ -estradiol (E2) binding induces ER re-localization, association to signaling proteins, and triggers the activation of signaling cascades. The kinase activations phosphorylate ER, modulate transcriptional coactivators recruitment, and enhance AP-1 and Sp-1 activation. After dimerization ERs directly interact with ERE on DNA. ERs-DNA indirect association occurs through protein-protein interactions with the Sp-1 and AP-1 transcription factors. AP-1, activating protein-1; MNAR, modulator of non-genomic activity of ER $\alpha$ ; PA, palmitic acid; Sp-1, stimulating factor-1. For details, see text.

#### ABBREVIATIONS

AF	= Activation function	LBD	= Ligand-binding domain
AP-1	= Activator protein-1	MAPK	= Mitogen-activated protein kinase
CREB	= cAMP responsive element binding protein	MNAR	= Modulator of non-genomic activity of estrogen receptor (also named Pro-, Glu-, and Leu-rich protein-1 PELP1)
DBD	= DNA-binding domain	NF- $\kappa$ B	= Nuclear factor $\kappa$ B
EGF	= Epidermal growth factor	PI3K	= Phosphatidylinositol 3-kinase
ER	= Estrogen receptor	NOS	= Nitric oxide synthase
ERE	= Estrogen responsive element	PKA	= Protein kinase A
ERK	= Extracellular regulated kinase	PKC	= Protein kinase C
E2	= 17 $\beta$ -estradiol	PLC	= Phospholipase C
G-proteins	= Guanine nucleotide exchange proteins	SERMs	= Selective estrogen modulators
Hsp	= Heat shock protein	Sp-1	= Stimulating protein-1
IGF-1	= Insulin-like growth factor-1	SRC	= Steroid receptor co-activator family
IL-6	= Interleukin-6	STAT	= Signal transducers and activators of transcription
LDL	= Low density lipoprotein		



TGF- $\alpha$  = Transforming growth factor- $\alpha$   
 TRAP = Thyroid hormone receptor associated protein  
 (also named vitamin D receptor-integrating protein DRIP)  
 VEGF = Vascular endothelial growth factor

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