

# Mechanism of blood pressure and R–R variability: insights from ganglion blockade in humans

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Spontaneous blood pressure (BP) and R–R variability are used frequently as ‘windows’ into cardiovascular control mechanisms. However, the origin of these rhythmic fluctuations is not completely understood. In this study, with ganglion blockade, we evaluated the role of autonomic neural activity *versus* other ‘non-neural’ factors in the origin of BP and R–R variability in humans. Beat-to-beat BP, R–R interval and respiratory excursions were recorded in ten healthy subjects (aged  $30 \pm 6$  years) before and after ganglion blockade with trimethaphan. The spectral power of these variables was calculated in the very low (0.0078–0.05 Hz), low (0.05–0.15 Hz) and high (0.15–0.35 Hz) frequency ranges. The relationship between systolic BP and R–R variability was examined by cross-spectral analysis. After blockade, R–R variability was virtually abolished at all frequencies; however, respiration and high frequency BP variability remained unchanged. Very low and low frequency BP variability was reduced substantially by 84 and 69%, respectively, but still persisted. Transfer function gain between systolic BP and R–R interval variability decreased by 92 and 88% at low and high frequencies, respectively, while the phase changed from negative to positive values at the high frequencies. These data suggest that under supine resting conditions with spontaneous breathing: (1) R–R variability at all measured frequencies is predominantly controlled by autonomic neural activity; (2) BP variability at high frequencies ( $> 0.15$  Hz) is mediated largely, if not exclusively, by mechanical effects of respiration on intrathoracic pressure and/or cardiac filling; (3) BP variability at very low and low frequencies ( $< 0.15$  Hz) is probably mediated by both sympathetic nerve activity and intrinsic vasomotor rhythmicity; and (4) the dynamic relationship between BP and R–R variability as quantified by transfer function analysis is determined predominantly by autonomic neural activity rather than other, non-neural factors.

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Rhythmic fluctuations in arterial pressure (BP) and pulse rate have intrigued physiologists since Stephen Hales and Albrecht von Haller first described these phenomena in the eighteenth century (Anrep *et al.* 1936; Koepchen, 1984). Yet, it was not until the advent of modern computing techniques that quantification of BP and R–R interval variability has been used extensively as a probe for cardiovascular control mechanisms in humans (Malliani *et al.* 1991). However, despite extensive study, many long-standing controversies remain. For example, it is still not clear whether these rhythmic fluctuations originate centrally from oscillatory neural activities in the medulla and/or in the spinal cord (Levy *et al.* 1966; Preiss & Polosa, 1974; Koh *et al.* 1994; Cooley *et al.* 1998; Montano *et al.* 2000), or peripherally from baroreflex feedback loops (DeBoer *et al.* 1987; Cevese *et al.* 2001). Moreover, although the role of mechanical effects of respiration on oscillations in cardiac output and intrinsic vasomotor rhythmicity (spontaneous vasomotion in peripheral

vascular beds) has been recognized by many investigators (Guz *et al.* 1987; Bouskela & Grampp, 1992; Toska & Eriksen, 1993; Rizzoni *et al.* 1995), to what extent, in comparison with autonomic neural activity, these factors contribute to the genesis of BP and R–R variability remains unclear. Finally, interactions among cardiovascular variables result in the system being extremely complex. As such, even whether a causal relationship exists between BP and R–R variability is controversial (Baselli *et al.* 1988; Saul *et al.* 1991; Taylor & Eckberg, 1996).

In the present study, we blocked both vagal and sympathetic nerve activities simultaneously via ganglion blockade to dissect contributions of autonomic neural activity *versus* mechanical effects of respiration and intrinsic vasomotor rhythmicity in the genesis of BP and R–R variability in humans. We speculated that (1) if BP variability at high frequencies ( $> 0.15$  Hz) is mediated mainly by the mechanical effects of respiration on

intrathoracic pressure and/or cardiac filling, it should remain unchanged by ganglion blockade; (2) if BP variability at low frequencies ( $< 0.15$  Hz) is mediated by both sympathetic nerve activity and intrinsic vasomotor rhythmicity, it should be reduced, but would not be abolished by ganglion blockade; and (3) the dynamic relationship between BP and R–R variability as quantified by transfer function analysis is determined predominantly by autonomic neural activity.

## METHODS

### Subjects

Ten healthy subjects (8 men, 2 women) with a mean age of  $30 \pm 6$  years, height of  $173 \pm 10$  cm, and weight of  $69 \pm 9$  kg participated in this study. No subject smoked, used recreational drugs, or had known medical problems. Subjects were carefully screened with regard to their medical history and a physical examination with 12-lead ECG was performed. The study was performed in accordance with the Declaration of Helsinki and all subjects signed an informed consent form approved by the Institutional Review Boards of the University of Texas Southwestern Medical Center and the Presbyterian Hospital of Dallas.

### Instrumentation

Heart rate was monitored continuously by ECG. In seven subjects, arterial pressure was measured non-invasively with finger photoplethysmography (Finapres, Ohmeda). In three subjects, pressure was measured simultaneously with a radial artery catheter (18 gauge, Transpac IV, Abbott Critical Care System) and finger photoplethysmography to confirm the reliability of the Finapres during ganglion blockade. The pressure transducer of the intra-arterial catheter was calibrated and zeroed to the mid-axillary line during the experiments. The Finapres transducer was also positioned at heart level. In addition, respiratory excursions were monitored continuously via a piezoelectric transducer during the experiments (Pneumotrace, Morro Bay, CA, USA).

### Protocol

All experiments were performed in the morning at least 2 h after a light breakfast in a quiet environmentally controlled laboratory with an ambient temperature of  $25^\circ\text{C}$ . The subjects were asked to refrain from heavy exercise and caffeinated or alcoholic beverages for at least 24 h before the tests. After at least 30 min of supine rest, 6 min of baseline data were collected during spontaneous breathing. This data collection was repeated again after approximately 1 h to test the reproducibility of BP and R–R variability analysis. Then, the subjects performed a Valsalva manoeuvre with an expiratory strain of 30 mmHg for 15 s (Sandroni *et al.* 1991; Smith *et al.* 1996). The strain pressure during the Valsalva manoeuvre was monitored by a sphygmomanometer (Tycos, Arden, NC, USA). Typical changes in arterial pressure and heart rate during the Valsalva manoeuvre were observed in all subjects before ganglion blockade (Fig. 1A). After performance of the baseline Valsalva manoeuvre, intravenous infusion of trimethaphan (trimethaphan camsylate, Cambridge Laboratories, UK) was begun at a low dose of  $3 \text{ mg min}^{-1}$ . Three minutes after the infusion, a Valsalva manoeuvre was performed again to evaluate the heart rate responses to the changes in pressure. The infusion dose was increased incrementally by  $1 \text{ mg min}^{-1}$  if the heart rate response during the preceding Valsalva manoeuvre was still present. These procedures were

repeated at each level of infusion until the absence of heart rate response was observed (Fig. 1B). The ultimate infusion dose used for ganglion blockade was  $6\text{--}7 \text{ mg min}^{-1}$  in the present study. The efficacy of ganglion blockade was demonstrated not only by the absence of heart rate response, but also by the absence of BP recovery during phase II or BP overshoot during phase IV of the Valsalva manoeuvre, suggesting the blockade of vasoconstrictor sympathetic nerve activity (Sandroni *et al.* 1991; Smith *et al.* 1996).

Once complete blockade was achieved, the infusion of trimethaphan continued at this peak dose throughout the experiments. Six minutes of data were collected after the ganglion blockade. To determine whether changes in vascular resistance and/or vasomotor tone associated with the ganglion blockade influence BP and R–R variability directly, low dose phenylephrine was titrated intravenously in three subjects (2 with intra-arterial catheter, 1 with Finapres) to restore the decreased BP to the pre-trimethaphan level. Six minutes of data were collected again after this intervention.

### Data analysis

ECG and arterial pressure waveforms were sampled at 1 kHz and digitized at 12 bits with an A/D converter (Das-20, Metrabyte). Respiratory excursions were sampled simultaneously with ECG and BP signals at 10 Hz. Digitized signals were stored on a laboratory computer and processed with a custom-designed program for R wave, and systolic and diastolic pressure detection. Beat-to-beat R–R interval, systolic and diastolic pressure, and respiratory excursions were linearly interpolated and then resampled at 2 Hz for spectral analysis. The time series of R–R interval, and systolic and diastolic pressure were first detrended with third-order polynomial fitting and then subdivided into 256 point segments with 50% overlap for spectral estimation. This process resulted in five segments of data over the 6 min period recordings. Fast Fourier transforms were then implemented with each Hanning-windowed data segment and then averaged to calculate auto-spectra, cross-spectra, coherence and transfer functions. The spectral resolution for these estimates is  $\sim 0.0078$  Hz.

The spectral power of R–R interval, systolic BP (SBP) and diastolic BP (DBP) was calculated in the very low (0.0078–0.05 Hz), low (0.05–0.15 Hz) and high (0.15–0.35 Hz) frequency ranges by integrating the corresponding auto-spectra (Koh *et al.* 1994; Taylor & Eckberg, 1996; Cooke *et al.* 1999; Iwasaki *et al.* 2000). Moreover, respiratory frequency was identified from the peak position of the auto-spectrum of respiratory excursions, and the spectral power was calculated in the high frequency range to reflect relative changes in lung volume (Saul *et al.* 1991). For the cross-spectral analysis, mean values of transfer function gain, phase and coherence were calculated in the low and high frequency ranges. In this study, a lower limit of 0.05 Hz was selected for calculation of both low frequency spectral power and the transfer function gain and phase. This selection was based on the consideration that coherence function in general was too low below 0.05 Hz, and may compromise transfer function estimates at lower frequencies (Saul *et al.* 1991; Iwasaki *et al.* 2000).

### Statistics

Mean values and standard deviations of R–R interval, SBP and DBP were calculated first over the 6 min data segments for each individual subject, and then group averaged. Student's paired *t* tests were performed to test the reproducibility of BP and R–R variability and to compare the variables before and after ganglion blockade. The normality of data was confirmed by the

Kolmogorov test (SigmaStat, SPSS Inc.). Logarithmic transformation was performed if the spectral power estimates were not normally distributed. However, this data transformation did not influence the outcome of the statistical analysis before and after ganglion blockade. Data are expressed as means  $\pm$  S.E.M. The significance level was set to  $P < 0.05$ .

## RESULTS

Differences of 8–12 mmHg in the steady-state SBP and 3–4 mmHg in DBP were observed between the arterial catheter and finger photoplethysmography methods. However, the reductions in SBP associated with the ganglion blockade measured by the two methods were similar (13 % in arterial catheter, 11 % in Finapres,  $n = 3$ ). Moreover, a significant linear relationship for the spectral power estimates of SBP and DBP at all frequencies was observed between the two methods ( $r^2 = 0.96$ , slope = 1.28, intercept = 0.12 mmHg<sup>2</sup>). These data, consistent with previous findings (Parati *et al.* 1989; Omboni *et al.* 1993), confirm the reliability of using finger photoplethysmography for measurements of changes in BP under the conditions of the present study. Furthermore, no significant difference in spectral power estimates of BP and R–R variability was found between the repeated baseline measurements, confirming the reproducibility of short-

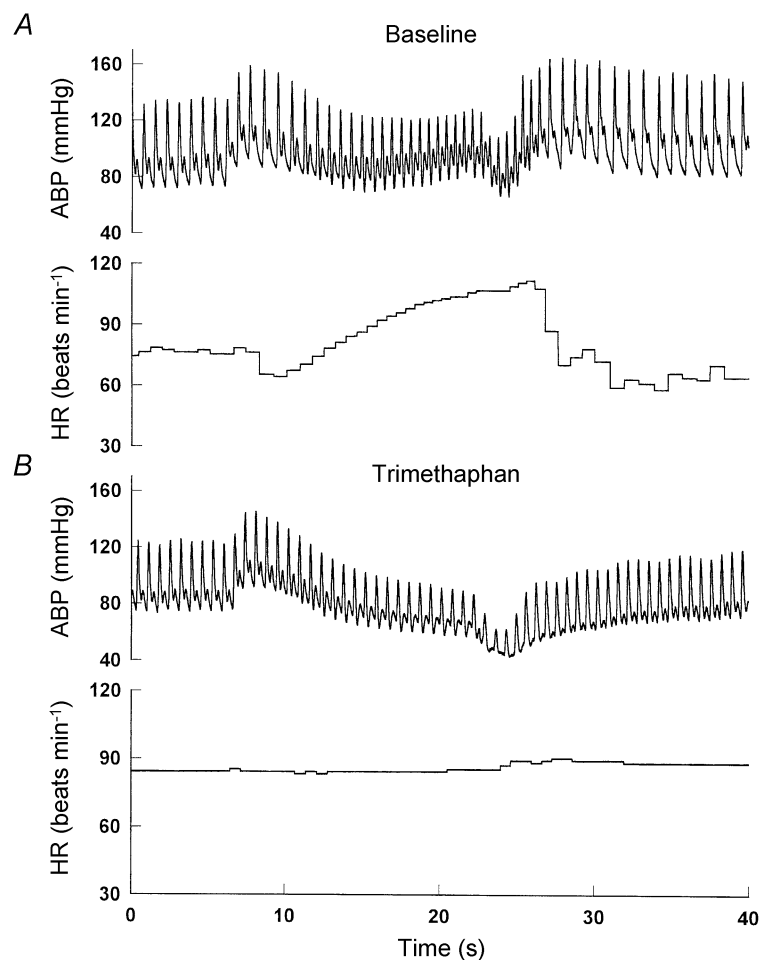
term BP and R–R variability analysis under well-controlled experimental conditions (Dimier-David *et al.* 1994).

After ganglion blockade, R–R interval decreased by 29 % and SBP decreased by 13 % (Table 1). No significant changes were observed in DBP, respiratory frequency or respiratory excursion power (Table 1). These changes in steady-state haemodynamics were associated with an overall reduction of R–R and BP variability in the time domain reflected by the reductions in the signal standard deviation (Table 1).

After ganglion blockade, R–R variability was virtually abolished at all frequencies (Table 2, Figs 2–4). However, small fluctuations synchronized with respiration still persisted (Table 2, Figs 2 and 3). SBP variability decreased substantially by 69 %, and DBP variability decreased by 78 %, at low frequencies; similar reductions in SBP variability (84 %) and DBP variability (69 %) were also observed in the very low frequency range, although the absolute magnitude of these estimates is less certain due to the relatively small number of observations at the lower end of this range (Table 2, Figs 2 and 3). In contrast, no change in BP variability at high frequencies, which was small relative to those at the very low and low frequencies, was observed (Table 2, Figs 2–4).

### Figure 1

Representative changes in arterial pressure (ABP) and heart rate (HR) during the Valsalva manoeuvre. A, before ganglion blockade; B, after ganglion blockade.



**Table 1. Steady-state haemodynamics before and after ganglion blockade**

	Baseline	Blockade	<i>P</i>
R-R (ms)	998 ± 52	712 ± 23	< 0.001
RRSD (ms)	49 ± 5	5 ± 1	< 0.001
SBP (mmHg)	126 ± 3	109 ± 5	0.004
SBPSD (mmHg)	4.4 ± 0.5	2.4 ± 0.2	0.001
DBP (mmHg)	67 ± 2	65 ± 3	0.558
DBPSD (mmHg)	2.7 ± 0.3	1.5 ± 0.2	0.001
Respiratory frequency (Hz)	0.28 ± 0.02	0.27 ± 0.02	0.345
Respiratory excursion power (units)	7 ± 2	8 ± 3	0.312

*n* = 10, values are presented as means ± S.E.M. R-R, R-R interval; RRSD, standard deviation of R-R interval calculated from 6 min data segments; SBP, systolic pressure; SBPSD, standard deviation of SBP calculated from 6 min data segments; DBP, diastolic pressure; DBPSD standard deviation of DBP calculated from 6 min data segments.

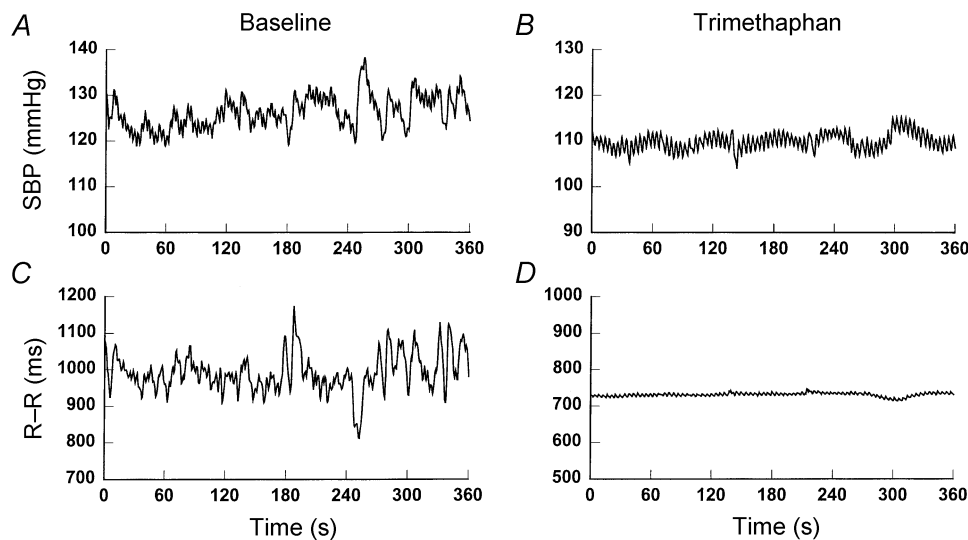
**Table 2. Spectral analysis of R-R interval and arterial pressure variability before and after ganglion blockade**

	Very low frequency			Low frequency			High frequency		
	Baseline	Blockade	<i>P</i>	Baseline	Blockade	<i>P</i>	Baseline	Blockade	<i>P</i>
R-R (ms <sup>2</sup> )	740 ± 162	5 ± 1	0.001	718 ± 130	1 ± 0	< 0.001	367 ± 87	4 ± 1	0.003
SBP (mmHg <sup>2</sup> )	11.4 ± 2.6	1.8 ± 0.4	0.004	4.5 ± 1.0	1.4 ± 0.7	0.003	1.1 ± 0.3	1.2 ± 0.3	0.729
DBP (mmHg <sup>2</sup> )	4.2 ± 1.0	1.3 ± 0.3	0.006	2.3 ± 0.5	0.5 ± 0.2	0.008	0.3 ± 0.1	0.2 ± 0.1	0.343

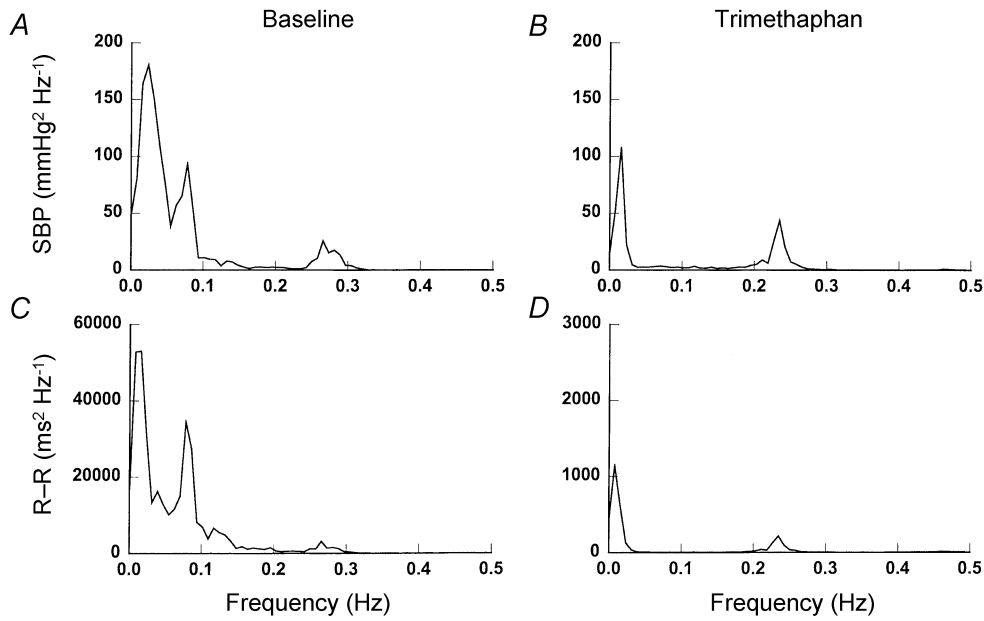
*n* = 10, values are presented as means ± S.E.M. Very low frequency range, 0.0078–0.05 Hz; low frequency range, 0.05–0.15 Hz; high frequency range, 0.15–0.35 Hz.

Transfer function gain between SBP and R-R variability decreased after ganglion blockade by 92 and 88 % at the low and high frequencies, respectively, while the phase changed from negative to positive values at the high frequencies (Table 3, Fig. 5). Interestingly, the coherence function remained unchanged at the low frequencies, and even increased significantly at the high frequencies after ganglion blockade (Table 3, Fig. 5).

Finally, in three subjects, restoring SBP to the baseline level (prior to ganglion blockade) with phenylephrine did not affect the observed changes in BP and R-R variability, suggesting that these changes were not likely to be caused by the reduction of baseline BP associated with the ganglion blockade (Figs 6 and 7).

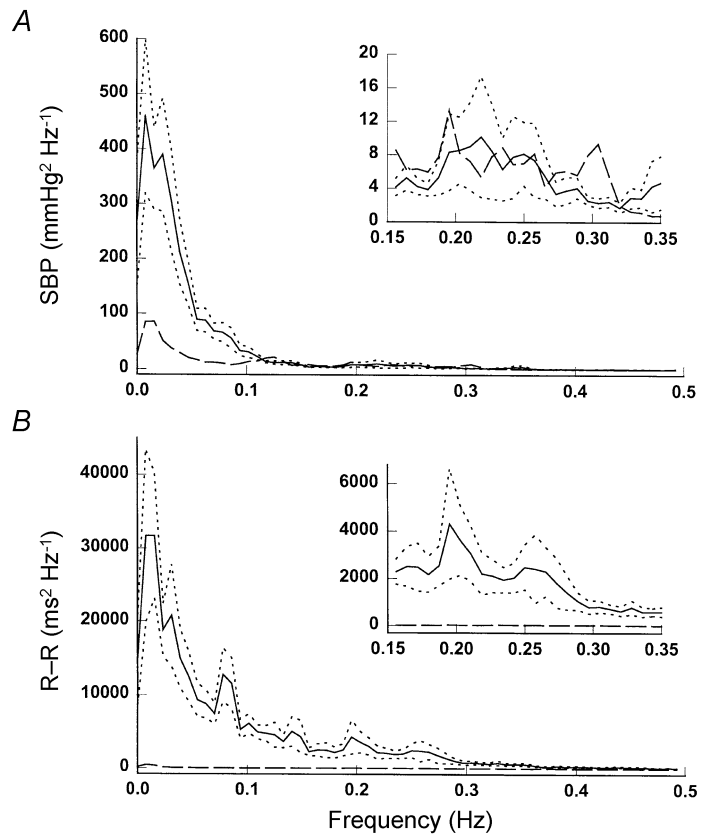
**Figure 2**

Representative time series of systolic pressure (SBP) and R-R interval before (A and C) and after (B and D) ganglion blockade.



**Figure 3**

Representative spectra of SBP and R-R interval variability before (A and C) and after (B and D) ganglion blockade. Data are from the same subject as in Fig. 2. Note that the y-axis scale of D is 1/20 of that of C after ganglion blockade.



**Figure 4**

Group averaged spectra of SBP (A) and R-R interval (B) variability before (continuous lines) and after (dashed lines) ganglion blockade. Dotted lines, S.E.M. Note that the plots in the insets have 'zoomed' scales at the frequencies from 0.15 to 0.35 Hz for the SBP spectrum in A and for the R-R spectrum in B.

**Table 3. Transfer function analysis of systolic pressure and R-R variability before and after ganglion blockade**

	Low frequency			High frequency		
	Baseline	Blockade	<i>P</i>	Baseline	Blockade	<i>P</i>
Gain (ms mmHg <sup>-1</sup> )	11.3 ± 1.4	0.9 ± 0.1	< 0.001	14.3 ± 2.0	1.7 ± 0.3	< 0.001
Phase (rad)	-1.0 ± 0.1	-0.2 ± 0.3	0.08	-0.4 ± 0.1	0.6 ± 0.1	0.001
Coherence	0.6 ± 0.1	0.5 ± 0.1	0.28	0.5 ± 0.1	0.8 ± 0.1	0.002

*n* = 10, values are presented as means ± S.E.M. Low frequency range, 0.05–0.15 Hz; high frequency range, 0.15–0.35 Hz.

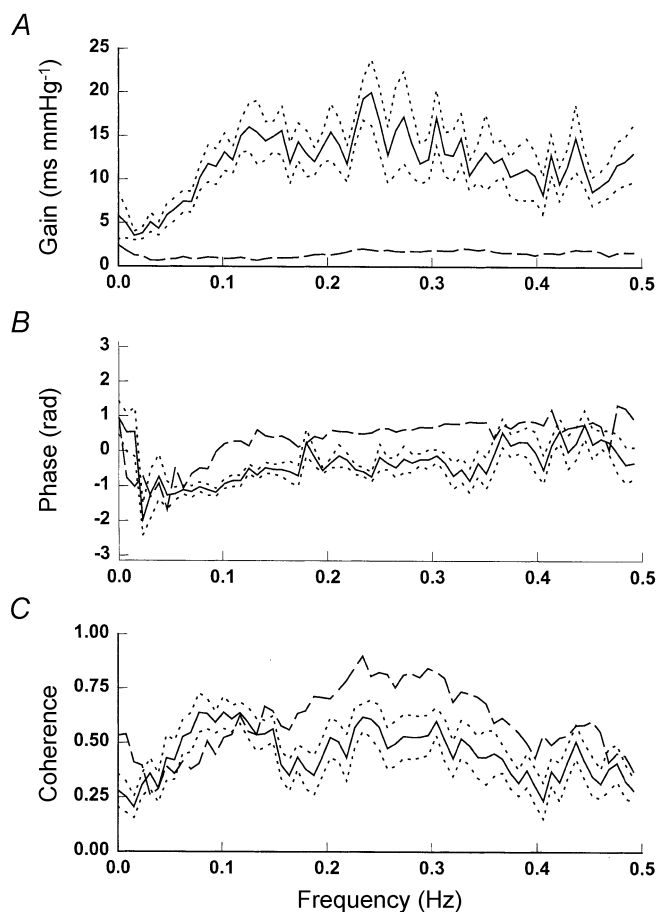
## DISCUSSION

Our study advances the understanding of human physiology in the following ways. (1) We demonstrated for the first time that, under supine resting conditions, BP variability at low frequencies (< 0.15 Hz) was substantially reduced, but still persisted after ganglion blockade. These data suggest that sympathetic nerve activity is a critical determinant of this rhythm; however, intrinsic vasomotor rhythmicity is also likely to play a role. (2) BP variability at high frequencies (> 0.15 Hz) remained unchanged, even though R-R variability was virtually abolished by ganglion blockade, providing further evidence that high frequency BP variability is determined largely, if not exclusively, by mechanical effects of respiration on intrathoracic pressure and/or cardiac filling. (3) Transfer function gain between

BP and R-R variability decreased substantially at both low and high frequencies after ganglion blockade, while the phase changed from negative to positive values at the high frequencies. These data show clearly that the dynamic relationship between BP and R-R variability is determined predominantly by autonomic neural activity rather than by other non-neural factors.

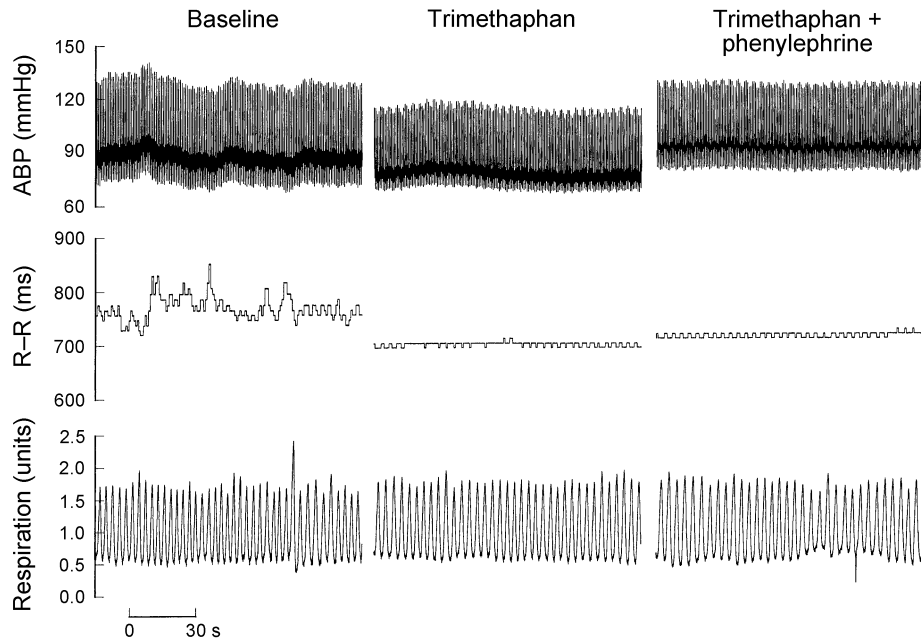
### Low frequency BP variability

Several lines of evidence suggest that sympathetic nerve activity is a critical determinant of low frequency BP variability. First, spontaneous fluctuations of muscle sympathetic nerve activity (MSNA) at low frequencies have been observed similar to those in BP variability (Pagani *et al.* 1997; Furlan *et al.* 2000). Moreover, low frequency BP variability increased simultaneously with

**Figure 5**

Group averaged transfer function gain (A), phase (B) and coherence (C) before (continuous lines) and after (dashed lines) ganglion blockade. Dotted lines, S.E.M.





**Figure 6**

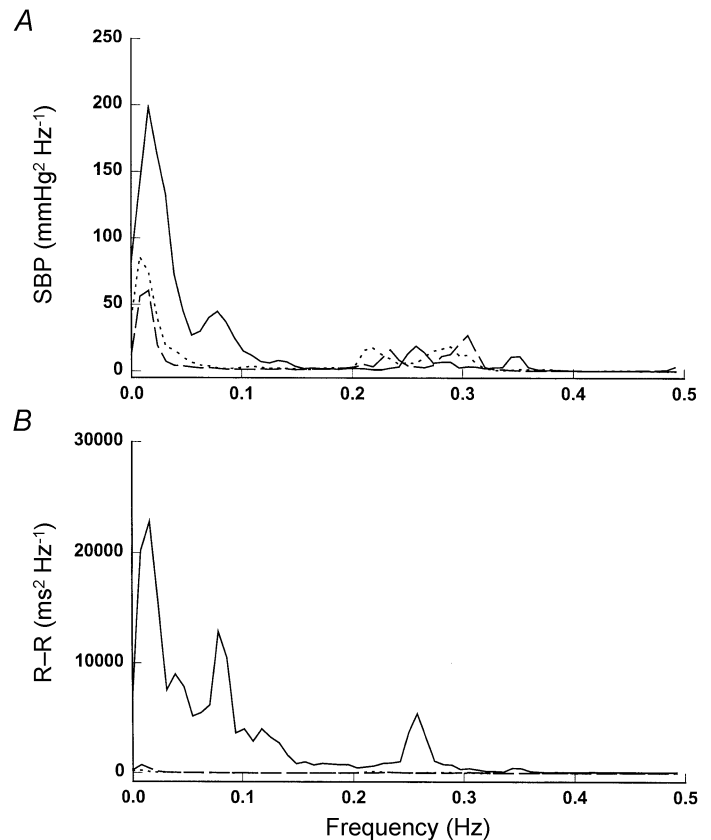
Direct recordings of ABP, R-R interval and respiration at baseline (left), and during trimethaphan (middle) and trimethaphan plus phenylephrine (right) infusion.

MSNA during head-up tilt (Cooke *et al.* 1999), and was substantially reduced by peripheral  $\alpha$ -adrenergic blockade (Cevese *et al.* 2001). Second, with local perfusion pressure maintained constant, blood flow in mechanically isolated vascular beds still fluctuated at low frequencies similar to

those observed in systemic BP (Cevese *et al.* 1995). In addition, low frequency BP variability persisted in dogs when cardiac output was clamped at a constant level (O’Leary & Woodbury, 1996). These findings suggest that low frequency BP variability is mediated by changes in

**Figure 7**

Group averaged spectra of SBP (A) and R-R interval (B) variability from three subjects at baseline (continuous lines), and during trimethaphan (dashed lines) and trimethaphan plus phenylephrine (dotted lines) infusion.



peripheral vascular resistance, which in turn are determined by the changes in sympathetic nerve activity.

The present study extends these previous observations by showing that BP variability at both low (0.05–0.15 Hz) and very low frequencies (0.0078–0.05 Hz) was markedly reduced after ganglion blockade. These data, to the best of our knowledge, document for the first time the obligatory role of sympathetic nerve activity in the genesis of low and very low frequency BP variability in humans. However, the absolute magnitude of the reduction in very low frequency BP variability should be interpreted with caution because of the relatively few samples available at the lower end of this range.

A more novel observation of the present study is that a considerable amount of low frequency BP variability persisted nevertheless after ganglion blockade. We interpret this observation as suggesting a contribution of intrinsic vasomotor rhythmicity to the origin of low frequency BP variability in humans. Intrinsic vasomotor rhythmicity at both very low and low frequencies has been observed ubiquitously in peripheral vascular beds both *in vivo* and *in vitro*, and has been suggested by others to play an important role in the origin of BP variability (Bouskela & Grampp, 1992; Rizzoni *et al.* 1995). Since intrinsic vasomotor rhythmicity is more likely to be controlled by local mechanisms than by autonomic neural activity (Bouskela & Grampp, 1992; Rizzoni *et al.* 1995), we speculate that their contributions to BP variability were still present after ganglion blockade. However, the specific mechanism(s) underlying this process is unknown (Gustafsson, 1993). Moreover, the relative contribution of neural activity *versus* intrinsic vasomotor activity in the genesis of BP variability may vary among individuals and under different experimental conditions. Thus, changes in low frequency BP variability should be interpreted judiciously regarding its use to reflect changes in sympathetic nerve activity (Parati *et al.* 1995).

### High frequency BP variability

In the present study, we observed that BP variability at high frequencies remained unchanged even though R–R variability was abolished by ganglion blockade. These data, consistent with the findings in patients with heart transplantation (Macor *et al.* 1994), and in animals with ganglion blockade (Cerutti *et al.* 1994), provide further evidence that, under supine resting conditions during spontaneous breathing, BP variability at high respiratory frequencies is mediated to a large extent by mechanical effects of respiration on intrathoracic pressure and/or cardiac filling, and is less influenced by ‘feed-forward’ effects of changes in R–R interval on BP variability and/or changes in peripheral vascular resistance (Dornhorst *et al.* 1952; Rosenbaum & Race, 1968; Akselrod *et al.* 1985).

The interpretation of experimental observations regarding the effects of R–R variability on BP variability is controversial

(Saul *et al.* 1991; Toska & Eriksen, 1993; Taylor & Eckberg, 1996). In some studies, R–R variability was abolished either by cardiac autonomic receptor blockade (Saul *et al.* 1991; Toska & Eriksen, 1993), or by atrial pacing (Akselrod *et al.* 1985; Taylor & Eckberg, 1996). Under these circumstances, BP variability at respiratory frequencies has been reported to be either enhanced (Toska & Eriksen, 1993), or attenuated (Akselrod *et al.* 1985; Taylor & Eckberg, 1996). Further analysis also suggests that these effects may be both frequency and posture dependent in humans (Saul *et al.* 1991; Taylor & Eckberg, 1996). These findings appear inconsistent with the absence of changes in high frequency BP variability observed in the present study. We speculate that a fundamental difference, which might lead to this discrepancy, is in the methods used for autonomic blockade. In the present study, trimethaphan infusion blocked both vagal and sympathetic nerve activity simultaneously to the heart and peripheral vascular bed. However, vascular sympathetic nerve activity was not blocked in previous studies with either cardiac autonomic receptor blockade or atrial pacing (Saul *et al.* 1991; Toska & Eriksen, 1993; Taylor & Eckberg, 1996). Moreover, cardiac autonomic receptor blockade may modulate sympathetic nerve activity centrally (Montano *et al.* 1998), and sympathetic nerve activity coupling to peripheral vascular resistance directly (Jacobsen *et al.* 1992). Therefore, it is possible that even though R–R variability was eliminated similarly in these protocols, the presence of sympathetic nerve activity to peripheral vascular beds with either cardiac autonomic receptor blockade or pacing may affect BP variability differently from that of the present study.

### R–R variability

Consistent with previous findings, R–R variability was virtually abolished at all frequencies after ganglion blockade (Casadei *et al.* 1996; El-Omar *et al.* 2001). However, small R–R fluctuations synchronized with respiration were still present. We cannot exclude the possibility that the small R–R fluctuations observed in the present study may signify sinus node responses to extremely small but persistently unblocked vagal activity. However, these data confirm that R–R variability is mediated overwhelmingly by autonomic neural activity (Pomeranz *et al.* 1985); thus any contribution of sinus node stretching associated with respiration to the genesis of R–R variability, if present, must be very small (Bernardi *et al.* 1989; Casadei *et al.* 1996; El-Omar *et al.* 2001).

### Implications of cross-spectral analysis

Transfer function analysis of BP and R–R variability has been used extensively to evaluate baroreflex function in humans (DeBoer *et al.* 1987; Cooke *et al.* 1999; Iwasaki *et al.* 2000; Cevese *et al.* 2001). The fundamental concept of this method was pioneered by DeBoer and his colleagues, assuming that R–R variability originates peripherally from changes in BP mediated via the baroreflex (DeBoer *et al.* 1987). This hypothesis has been tested elegantly in humans



(DeBoer *et al.* 1987; Cevese *et al.* 2001). For example, at low frequencies, removal of BP variability with  $\alpha$ -adrenergic blockade abolished R–R variability, suggesting that low frequency R–R variability is induced by the changes in BP via the baroreflex (Cevese *et al.* 2001). At high respiratory frequencies, although it has been difficult to dissect central origins from peripheral baroreflex mechanisms in the genesis of BP and R–R variability in humans, mathematical model simulation suggests that R–R variability at respiratory frequencies originates primarily from BP variability via the baroreflex (DeBoer *et al.* 1987). These data would suggest that R–R variability at both low and high frequencies is generated by BP variability via the baroreflex.

However, other findings, mostly in patients with severe diseases or injuries, and in animals, are in conflict with the above baroreflex hypothesis (Preiss & Polosa, 1974; Cooley *et al.* 1998; Montano *et al.* 2000). For example, it has been shown that low frequency BP and R–R variability may originate centrally from oscillatory neural activity in the medulla and/or in the spinal cord (Fernandez de Molina & Perl, 1965; Preiss & Polosa, 1974; Koh *et al.* 1994; Cooley *et al.* 1998; Montano *et al.* 2000). Moreover, at high frequencies, phasic changes in respiratory neural activity may modulate motoneuronal activity of the cardiovascular centre and cause respiratory fluctuations in efferent sympathetic and/or vagal nerve activity, and hence R–R variability (Eckberg *et al.* 1980). Consequently, these data would suggest that, in contrast to the baroreflex mechanism, BP and R–R variability may occur coincidentally rather than causally via a central mechanism.

The data of transfer function analysis in the present study are consistent with previous findings in healthy subjects (Cooke *et al.* 1999; Iwasaki *et al.* 2000; Cevese *et al.* 2001). Before ganglion blockade, transfer function gain showed typical properties of a band-pass filter associated with a gradual decrease in negative phase with increases in frequency. After ganglion blockade, transfer function gain decreased substantially at both low and high frequencies and phase changed from negative to positive values at the high frequencies. These data demonstrated convincingly the obligatory role of autonomic neural control of the dynamic relationship between BP and R–R variability at all frequencies in humans.

However, since ganglion blockade blocked both sympathetic and parasympathetic nerve activity regardless of where and how it originated, the changes in transfer function gain and phase after ganglion blockade cannot be taken as proof either for or against the central or the baroreflex mechanism in the genesis of BP and R–R variability at any frequency measured in the present study. This issue is highlighted further by the fact that the coherence function remained unchanged at low frequencies and even increased significantly at high frequencies after

ganglion blockade. These data, consistent with the findings in animals with sinoaortic denervation (Mancia *et al.* 1999), suggest that a high coherence between BP and R–R variability at a given frequency does not necessarily imply causality, and emphasizes the limitations of using this index by itself to indicate statistical reliability of transfer function estimates.

In the present study, we have been cautious not to calculate 'baroreflex latency' based on the estimated phase (DeBoer *et al.* 1987; Taylor & Eckberg, 1996; Cevese *et al.* 2001). As a common practice, identification of a negative phase between BP and R–R variability has been interpreted to indicate that changes in BP lead the changes in R–R interval (DeBoer *et al.* 1987; Cevese *et al.* 2001). In addition, assuming that baroreflex control of heart rate could be modelled by a static function with a pure time delay, the estimated phase has been used to calculate the baroreflex latency (Taylor & Eckberg, 1996; Cevese *et al.* 2001). However, studies both in animals and in humans showed clearly that baroreflex control of heart rate possesses higher order dynamics ( $\geq 2$ ) than that of a simply static function with a pure time delay (Mokrane, 1995; Kawada *et al.* 1996; Zhang *et al.* 2001). Thus, the negative phase observed before, and the phase change after ganglion blockade observed in the present study should not be interpreted to reflect any changes in baroreflex latency and indicate any causal relationship between BP and R–R variability. Rather, these data emphasize that the temporal relationship between high frequency BP and R–R variability, both of which are directly and/or indirectly generated by respiration, is modulated importantly by autonomic neural activity.

### Study limitations

Although it has been shown that ganglion blocking agents have no direct effects on cardiac muscle (Lee & Shideman, 1958; Aviado, 1960), vasodilatation induced by ganglion blockade of sympathetic nerve activity has been reported (Aviado, 1960). Systemic BP may either remain unchanged or fall, depending on how important each individual's vascular resistance and cardiac output are for BP control (Aviado, 1960). In the present study, after ganglion blockade, HR increased, SBP decreased and DBP remained unchanged. These data, consistent with previous findings, suggest a reduction of peripheral vascular resistance and/or vasomotor tone along with the unmasking of intrinsic HR after ganglion blockade (Aviado, 1960; Jose & Taylor, 1969). Since changes in vascular resistance and/or vasomotor tone may affect not only cardiovascular coupling (Nichols & O'Rourke, 1990), but also intrinsic vasomotor rhythmicity, and hence BP variability (Julien *et al.* 1993; Rizzoni *et al.* 1995), phenylephrine was used to restore the reduced SBP to pre-trimethaphan levels in three subjects in the present study. This intervention had no effect on the observed changes in BP and R–R variability.

Therefore, we conclude that with the degree of BP reduction in the present study, alterations in vascular resistance and/or vasomotor tone associated with the ganglion blockade are unlikely to play a major role in mediating the observed changes in BP variability. However, the small number of subjects exposed to this intervention must be acknowledged.

In addition, in the present study, we did not directly measure sympathetic nerve activity or mechanical effects of respiration on intrathoracic pressure and/or cardiac filling. Thus, the interpretation of the data is speculative and limited as to the specific mechanisms underlying the observed changes in BP and R–R variability. However, other investigators have confirmed that MSNA is completely abolished by infusion of trimethaphan in humans at doses similar to those used herein (Shannon *et al.* 1998). Furthermore, failure of BP to recover during phase II and the absence of BP overshoot during phase IV of the Valsalva manoeuvre also suggests blockade of sympathetic nerve activity (Sandroni *et al.* 1991; Smith *et al.* 1996). Finally, mechanical effects of respiration on intrathoracic pressure and/or cardiac filling have been reported by many other investigators (Guz *et al.* 1987; Toska & Eriksen, 1993). Thus, BP may be affected directly by the changes in intrathoracic pressure and/or indirectly by effects of changes in cardiac filling on cardiac output via Starling's law (Toska & Eriksen, 1993; Levine *et al.* 1996). We assume that, since respiration did not change with ganglion blockade, the mechanical effects of respiration on BP and R–R variability remained unchanged in the present study.

In summary, with ganglion blockade, we have demonstrated a critical role of sympathetic nerve activity in the origin of low frequency BP variability. However, persistent BP variability after ganglion blockade also reveals the contribution of intrinsic vasomotor rhythmicity in the genesis of low frequency BP variability in humans. Moreover, we found that high frequency BP variability remained unchanged even though R–R variability was virtually abolished after ganglion blockade. This observation provides further evidence that high frequency BP variability may be mediated largely, if not exclusively, by mechanical effects of respiration on intrathoracic pressure and/or cardiac filling. Finally, the substantially reduced transfer function gain and changes in phase after ganglion blockade reveal an important role of autonomic neural activity, as opposed to other, non-neural factors in the determination of the dynamic relationship between BP and R–R variability.

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