

Dissection of mechanisms of Chinese medicinal formula Realgar-*Indigo naturalis* as an effective treatment for promyelocytic leukemia

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To enhance therapeutic efficacy and reduce adverse effects, practitioners of traditional Chinese medicine (TCM) prescribe a combination of plant species/minerals, called formulae, based on clinical experience. Nearly 100,000 formulae have been recorded, but the working mechanisms of most remain unknown. In trying to address the possible beneficial effects of formulae with current biomedical approaches, we use Realgar-*Indigo naturalis* formula (RIF), which has been proven to be very effective in treating human acute promyelocytic leukemia (APL) as a model. The main components of RIF are realgar, *Indigo naturalis*, and *Salvia miltiorrhiza*, with tetraarsenic tetrasulfide (A), indirubin (I), and tanshinone IIA (T) as major active ingredients, respectively. Here, we report that the ATI combination yields synergy in the treatment of a murine APL model *in vivo* and in the induction of APL cell differentiation *in vitro*. ATI causes intensified ubiquitination/degradation of promyelocytic leukemia (PML)-retinoic acid receptor α (RAR α) oncoprotein, stronger reprogramming of myeloid differentiation regulators, and enhanced G₁/G₀ arrest in APL cells through hitting multiple targets compared with the effects of mono- or biagents. Furthermore, ATI intensifies the expression of Aquaglyceroporin 9 and facilitates the transportation of A into APL cells, which in turn enhances A-mediated PML-RAR α degradation and therapeutic efficacy. Our data also indicate A as the principal component of the formula, whereas T and I serve as adjuvant ingredients. We therefore suggest that dissecting the mode of action of clinically effective formulae at the molecular, cellular, and organism levels may be a good strategy in exploring the value of traditional medicine.

traditional chinese medicine | active ingredient | tetraarsenic tetrasulfide | synergism | systems biology

The complexity of medicine suggests that treatment protocols should be carefully designed, and the construction of a prescription is an art in fighting disease. Increasing evidence demonstrates that, in treating illnesses, including cancer (1) and HIV/AIDS (2), treatment regimens containing multiple drugs with distinct but related mechanisms can usually amplify the therapeutic efficacies of each agent, leading to maximal therapeutic efficacy with minimal adverse effects. Interestingly, combination therapy has been advocated for >2,500 years by prescriptions called formulae (3, 4) in traditional Chinese medicine (TCM), a unique medical system assisting the ancient Chinese in dealing with disease. Typically, formulae consist of several types of medicinal herbs or minerals, in which one represents the principal component, and others serve as adjuvant ones to assist the effects or facilitate the delivery of the principal component (3, 4). It is believed that, at least in some formulae, multiple components could hit multiple targets and exert synergistic therapeutic efficacies (3, 4). However, essential compounds have

not been identified in most formulae, whereas precise mechanisms of formulae remain to be addressed by using molecular approaches, thus hampering the modernization of TCM.

Acute promyelocytic leukemia (APL), the M3 type of acute myeloid leukemia (AML), is characterized by the accumulation of immature promyelocytes in bone marrow (BM) and the presence of a specific chromosome translocation t(15;17)(q22;q21), which generates the leukemogenic PML-RAR α fusion gene in a vast majority of patients. APL is uniquely sensitive to the differentiation inducer *all-trans* retinoic acid (ATRA), which causes the modulation and catabolism of PML-RAR α oncoprotein (5, 6). Interestingly, arsenic trioxide (ATO) (7, 8), an ancient remedy in both TCM and Western medicine, has been proven effective in treating APL, and the key molecular mechanism has been revealed to be related to the degradation of promyelocytic leukemia (PML)-retinoic acid receptor α (RAR α) (9). A recent report on the ATRA/ATO combination yields the 4-year disease-free survival of >90% of APL patients (10). Of note, in parallel to this advancement in the understanding and treatment of APL, accomplished mainly by the hematology/oncology community in China and other countries, a group of TCM doctors in China designed a Realgar-*Indigo naturalis* formula (RIF) in the 1980s (11) entirely based on TCM theories, in which mined ore, realgar, is the principal element, whereas *Indigo naturalis*, *Salvia miltiorrhiza*, and *Radix pseudostellariae* are adjuvant components to assist the effects of realgar. Intriguingly, recent multicenter clinical trials showed that a complete remission rate of 96.7% (12) to 98% (11) and a 5-year overall survival rate of 86.88% (13) were achieved in APL patients receiving RIF, with moderate adverse effects such as gastrointestinal discomfort and rash. Realgar, in combination with *Indigo naturalis*, also showed anti-APL activity (14). The literature documents the antitumor activity of *S. miltiorrhiza* (15), whereas *R. pseudostellariae* is believed to be able to strengthen immune activity and seems not to be essential for RIF. Studies showed that tetraarsenic tetrasulfide (As₄S₄, A) (8), indirubin (I) (16), and tanshinone IIA (T) (17) are the major active ingredients of realgar, *Indigo naturalis*, and *S. miltiorrhiza*, respectively (18). These results not only demonstrate the clinical efficacy of, but also suggest the possible synergistic effects

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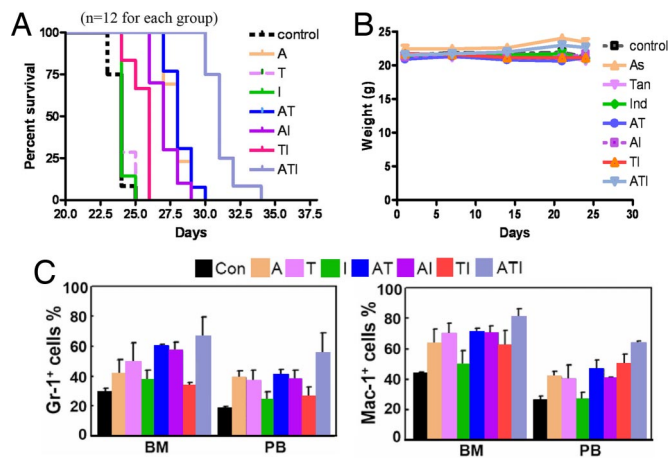


Fig. 1. *In vivo* therapeutic efficacies of ATI on an APL murine model. (A) ATI significantly prolongs the life span of mice bearing PML-RAR α -positive leukemic cells compared with those treated with mono- or bitreatment of A, T, and I ($P < 0.001$). (B) ATI does not cause loss of body weight, suggesting that ATI might probably not cause severe toxicity. (C) Treatment with ATI results in cell maturation revealed by an accumulation of Gr-1 and Mac-1-positive cells in BM and peripheral blood (PB). (Dosage of agents used: A, 10 mg/kg; T, 50 mg/kg; I, 50 mg/kg. A is administrated by i.v. injection, whereas T and I are given orally.)

among, the three components of RIF, warranting the mechanistic exploration of this formula.

In trying to approach the rationale of formula design in TCM, here we use the treatment of APL with RIF as a working model. A, I, and T were used as active compounds of realgar, *Indigo naturalis*, and *S. miltiorrhiza*, respectively, and the efficacies and mechanisms of ATI combination on APL were tested *in vivo* and *in vitro*.

Results

***In Vivo* Therapeutic Efficacies of ATI on a Murine APL Model.** In FVB/NJ mice injected with 1×10^5 cells expressing PML-RAR α (19, 20), a statistically significant prolongation of median overall survival was observed in mice treated with ATI combination compared with animals treated with vehicle control or mono- or bitherapy of A, T, and I (Fig. 1A). In the monotherapy groups, A showed the most potent therapeutic efficacy. The ATI protocol decreased the weight of spleen and liver [supporting information (SI) Fig. 6] but did not cause weight loss in animals (Fig. 1B). Terminally differentiated granulocytes dominated the bone marrow (BM) and spleen of ATI-treated mice but were rare or occurred much less frequently in other treatment groups (SI Fig. 7). Consistent with this, cells expressing granulocytic differentiation antigens Gr-1 and Mac-1 in BM and spleens of mice treated with ATI were higher than in other treatment groups (Fig. 1C). A or T alone also induced some APL cell differentiation. Unlike vehicle control, the ATI regimen significantly reduced disseminated disease and prevented destruction of tissue architectures (SI Fig. 8). These results suggest the ATI combination intensifies therapeutic efficacies, but not adverse effects, on murine APL model.

ATI Causes Synergistic Effects on Human APL Cell Differentiation *in Vitro*. We found that A or T but not I alone induced a certain degree of morphological features of cell differentiation in a human APL cell line NB4 (21) and primary culture of fresh APL cells, whereas the ATI combination induced a much higher level of maturation not only in these cells but also in the ATRA-resistant NB4-R2 cells (22) (Fig. 2A). Cells, upon ATI, showed higher activity to produce oxidative bursts detected by the NBT

reduction assay and showed up-regulated CD11b/CD14 expression compared with those treated with vehicle or mono- or bitreatment of A, T, and I (Fig. 2B and C). To test whether A and/or T and/or I exert synergistic or additive effects on APL cells, the dose-effect curves of single or combined drug treatment were analyzed by the median-effect method (23), where the combination indexes (CI) of less than, equal to, and more than 1 indicate synergistic, additive, and antagonistic effects, respectively. We found that A, T, and I at concentrations ranging from 0.25 to 1.0 μ M had CI values < 1 (Fig. 2D), indicating synergistic effects among the agents.

ATI Causes Enhanced Ubiquitination and Degradation of PML-RAR α Oncoprotein.

Like ATO, As $_4$ S $_4$ is able to trigger the degradation of the PML-RAR α oncoprotein (Fig. 3A). Interestingly, T or I augmented A-triggered catabolism of PML-RAR α , whereas the ATI combination further enhanced this effect (Fig. 3A). Previous studies showed that ATO shifts PML/PML-RAR α from the nucleoplasm onto the nuclear matrix and induces their degradation via the proteasome pathway (24). By Western blot analysis of the PML-RAR α contents of RIPA (the cytoplasm and most of the nucleoplasm) and pellet (the nuclear matrix and some chromatin components) fractions (24) in PML-RAR α -expressing 293 cells pretreated with proteasome inhibitor MG-132, we found that A, but not T and/or I, induced a clear shift from the nucleoplasm to the nuclear matrix and an apparent ubiquitination of PML-RAR α (Fig. 3B), whereas TI increased A-triggered relocalization and ubiquitination of the oncoprotein (Fig. 3B). Immunofluorescence staining using an anti-PML antibody showed that untreated NB4 and NB4-R2 cells exhibited hundreds of micropunctates in the nuclei and cytoplasm, whereas A down-regulated PML-RAR α and restored the normal PML speckles (SI Fig. 9). Although T, I, or a TI combination did not affect the staining pattern, these two compounds enhanced the effects of A (SI Fig. 9), suggesting that degradation of PML-RAR α by A can be synergized by T and I. That MG-132 could induce a significant accumulation of PML-RAR α (Fig. 3B) provided strong evidence that the synergistic effect of A, T, and I occurred at the level of protein ubiquitination. These data, together with the *in vivo* and cell biology data, suggest that A is the principal ingredient in this formula, whereas T and I could serve as adjuvant components.

ATI Triggers Relief of Transcription Suppression. Transcription factors, e.g., CCAAT/enhancer-binding proteins (C/EBPs) and PU.1, are critical for normal myelopoiesis and granulocytic maturation and are suppressed in APL. We found that in NB4 cells treated with the ATI combination, C/EBP α at protein level was up-regulated on day 2 and subsequently decreased, whereas C/EBP β 1/2 and C/EBP ϵ were up-regulated (Fig. 3C and D). Further analysis showed that A or T alone modulated these proteins, and combined use of ATI intensified the effect (Fig. 3C). The oncogene *C-Myc* blocks myeloid differentiation, and its down-regulation is critical for myeloid cell differentiation (25). Interestingly, down-regulation of *C-Myc* at both the protein and mRNA levels was detected in cells treated with ATI compared with cells treated with mono- or biagents (Fig. 3C and D). *RAR β 2* is an RAR target gene that contains the strongest natural RA response element so far (β RARE) in its promoter (26, 27). We found that both T and A up-regulated *RAR β 2* at the mRNA level, whereas the ATI combination significantly intensified *RAR β 2* up-regulation (Fig. 3E Upper). Using the ChIP assay, we identified the dissociation of *RAR β 2* from histone deacetylase 1 (HDAC1) (Fig. 3E Lower), which might contribute to the activation of *RAR β 2* transcription. Suppression of transcription factors by the dominant-negative effect of PML-RAR α is involved in APL pathogenesis, and the ATI combination might relieve the repression of the normal function of transcription

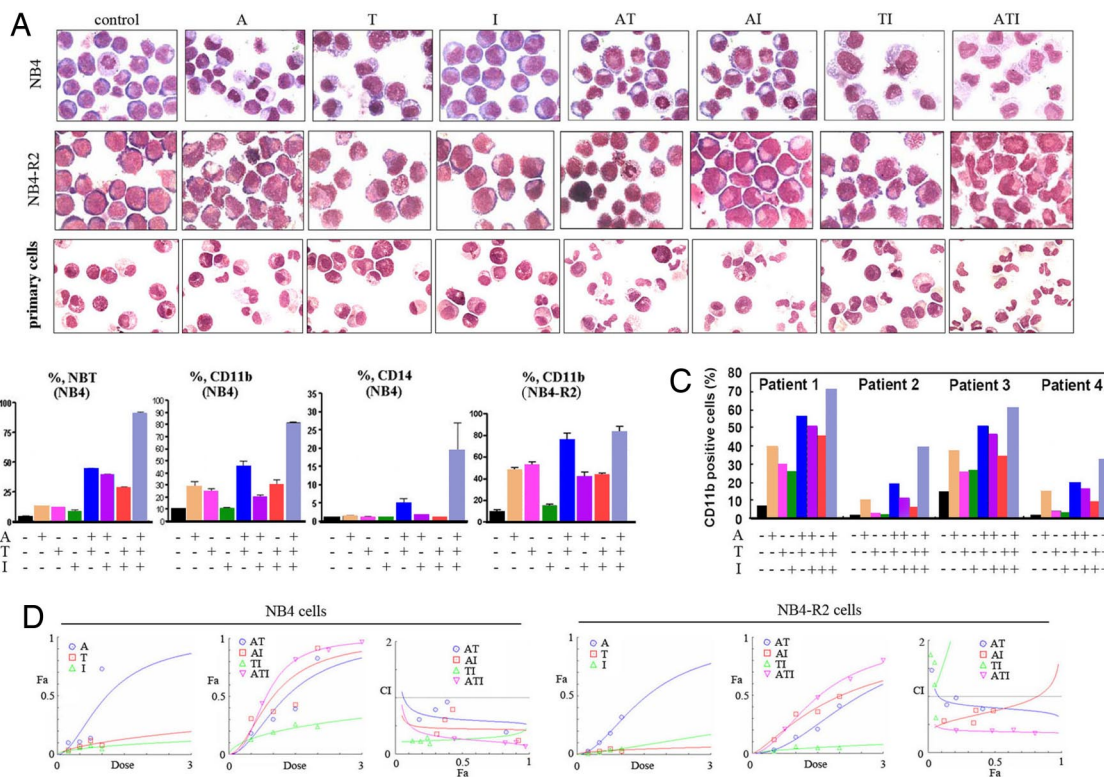


Fig. 2. ATi induces terminal differentiation of NB4, NB4-R2, and primary leukemic cells harvested from APL patients. (A) Morphological examination of APL cells treated with ATi. (B) Detection of NBT reduction activity and CD11b/CD14 expression of cells treated with ATi. (C) Expression of CD11b in primary leukemic cells treated with ATi *in vitro*. Concentration of agents used: A, 0.375 μ M; T, 0.75 μ M; I, 0.75 μ M. (D) Combination of A, T, and I exerts synergistic effects on NB4 and NB4-R2 cells, as reflected by the median-effect method of Chou and Talalay (23).

machinery in APL cells, suggesting the rationality of RIF in treating APL.

ATi Induces G₁/G₀ Arrest of APL Cells with Effects on Key Regulators of Cell Cycle Progression. We tested whether ATi treatment could act on cell cycle progression and found that T alone increased cellular compartment in the G₁/G₀ phase, whereas AT, AI, and TI combinations further caused G₁/G₀ blockage (Fig. 4A). Intriguingly, 83.7% \pm 6.7% of NB4 cells treated with ATi were at the G₁/G₀ phase, demonstrating an enhanced effect of the compounds in inhibiting the G₁/S transition (Fig. 4A). Similar results were observed in NB4-R2 cells treated with ATi (Fig. 4A). It has been shown that I is a potent inhibitor of cyclin-dependent kinase 2 (CDK2), which is critical for cell cycle progression from the G₁ to S phase (16). We found that A and I down-regulated CDK2 in NB4 and NB4-R2 cells, whereas the ATi combination further enhanced this effect (Fig. 4B). CDK2 has been shown to be able to phosphorylate histone H1 (28), whereas phosphorylated H1 is increased during the transition from the G₁ to S phase (29). Regimens containing I markedly decreased phosphorylated H1 (Fig. 4C). P27 is an important CDK inhibitor, which negatively regulates the progression from G₁ into S phase. We showed that the protein but not the mRNA level of P27 was dramatically increased during A, T, I, and the combinatory treatment on NB4 cells (Figs. 3D and 4B). The ATi combination also up-regulated P27 in NB4-R2 cells (Fig. 4B Right). Normally, Rb governs cells' entry into the S phase (30). We found that the expression of the Rb protein was slightly increased in treatment groups containing T (T, AT, TI, and ATI) in NB4 cells, whereas hypophosphorylated Rb (^{hyp}oP_{Rb}, the functional form of Rb as a tumor suppressor in blocking the cell cycle) was significantly up-regulated in the ATi treatment group

(Fig. 4B). These observations indicated that A, T, and I had a synergistic/additive effect on inducing G₀/G₁ arrest of APL cells.

T and I Increase Cellular Uptake of Arsenic Through Cooperating with A in Inducing Up-Regulation of the Transmembrane Protein Aquaglyceroporin 9 (AQP9). It has been shown that AQP9 serves as the transmembrane transporter of arsenic compounds (31). A question can thus be raised: could T and/or I exert any effect on the transportation of A through AQP9? To address this, NB4 cells were pretreated with different protocols for 4 days, washed, and then incubated with 2.5 μ M A for 4 h. The intracellular arsenic concentration ([As]_i) was carefully evaluated by an atomic absorption spectrometer system. Intriguingly, cells treated with ATi had the highest [As]_i, whereas [As]_i in cells treated with AT and AI but not TI was higher than in cells treated with A (Fig. 5A), demonstrating that T and/or I assist arsenic uptake. It has been reported that MRP-1 is involved in arsenic efflux (32), and recently, AQP9 has been shown to mediate arsenic uptake by leukemic cells and determine arsenic sensitivity (31). We found that expression of MRP-1 was not changed in NB4 cells treated with these compounds (data not shown). Interestingly, ATi treatment induced strong expression of AQP9 after 24–96 h (Fig. 5B and C). Further analysis revealed T, I, or Indirubin-3'-monoxime (3m), an analog of I, cooperated with A in up-regulating AQP9 at both the mRNA (Fig. 5B) and/or protein (Fig. 5C) levels, whereas TI or T3m moderately caused the elevation of AQP9. The immunofluorescence assay confirmed the cooperation of T and I with A in up-regulating AQP9 expression, whereas the strongest effect was seen in the ATi combination (Fig. 5D).

To validate the importance of AQP9 in arsenic uptake, RNA interference (RNAi) was used to suppress AQP9 gene expres-

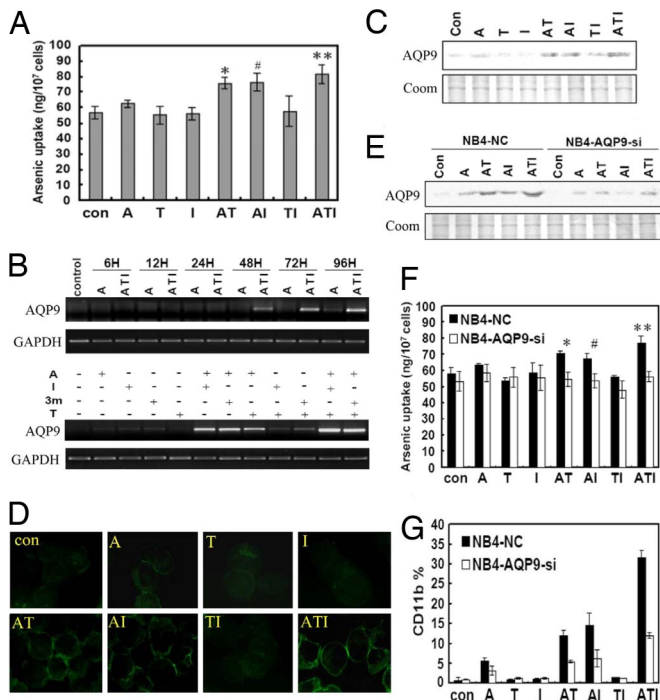


Fig. 5. T and I facilitate arsenic uptake by malignant promyelocytes via up-regulation of AQP9. (A) Combinatory use of T and/or I increases intracellular arsenic concentration ([As]_i) in NB4 cells (*, $P = 0.002$; #, $P = 0.004$; **, $P = 0.002$). (B and C) T and/or I in combination with A up-regulate AQP9 expression at both the mRNA (B) and protein (C) levels (Coom, stained with Coomassie blue). (D) Immunofluorescence analysis of AQP9 expression in NB4 cells treated with the ATI combination. (E) AQP9-specific siRNA down-regulates AQP9 expression by approximately one-half in NB4 cells (NB4-AQP9-Si) compared with cells treated with nonsilencing siRNA control (NC). (F) Treatment with AQP9-specific siRNA reduces [As]_i in NB4-AQP9-Si cells upon ATI compared with NB4-NC cells (*, $P = 0.018$; #, $P = 0.037$; **, $P = 0.009$). (G) Treatment with AQP9-specific siRNA inhibits differentiation of NB4 cells on ATI, revealed by analysis of CD11b expression.

observed in the clinic. These observations are in agreement with the rationality of the formula: mutual reinforcement of the compounds and reduction of adverse effects.

A large body of evidence indicates that PML-RAR α is the causative oncoprotein of APL. Interestingly, A, which exhibits the strongest therapeutic effects in the murine APL model, has also been shown to be the principal agent of RIF in targeting PML-RAR α . Furthermore, the TI combination enhances A-induced ubiquitination and degradation of PML-RAR α , augmenting the effects of A. Thus, the RIF formula, although designed by TCM doctors in the pre-molecular era of APL, proves its rationale by modern biochemical analysis. In addition, PML-RAR α acts as a dominant-negative mutant over wild-type RAR α and prevents the activation of key target genes essential for myeloid differentiation (6). In this study, the ATI combination is found to be able to act on some key transcription factors in myelopoiesis and results in the up-regulation of C/EBP α , C/EBP β 1/2, C/EBP ϵ , PU.1, and RAR β 2 and the down-regulation of C-Myc. Using the ChIP assay, we show that dissociation of RAR β 2 from HDAC1 might contribute to the activation of RAR β 2 transcription. These results indicate that ATI not only targets PML-RAR α but also at least partly restores the normal function of the myeloid cell transcriptional machinery, leading to the terminal differentiation of APL cells.

Maintenance of normal cell cycle progression requires a balance of positive and negative regulators. The effects of ATI on cell cycle distribution are quite interesting, in that a high

percentage of cells arrested at the G₁/G₀ phase can be reached when the three compounds are used simultaneously in both ATRA-sensitive NB4 and ATRA-resistant NB4-R2 cells. In the ATI treatment group, CDK2, a critical regulator of S phase entry (34), is greatly down-regulated, and consequently phosphorylated H1 is eliminated. In contrast, P27, a well established inhibitor of the cyclin/CDK complex at the transition of the cell cycle, can be significantly induced by A, T, and I, particularly when the three compounds are used in combination. In addition, the functional status of the Rb protein, a pivotal factor in the negative control of the cell cycle through repressing the transcription of the gene required for the transition from G₁ to S phase, can also be regulated by ATI. Of note, in untreated NB4-R2 and NB4 cells, hypophosphorylated Rb is undetectable, whereas the ATI combination, but not any single agent of the three, significantly induces its expression. This phenomenon not only suggests that ATI might trigger protein modification but also indicates that formulae might exert therapeutic effects through mechanisms beyond each component.

Finally, it is worth pointing out that, in the principle of designing a formula in TCM, some adjuvant components should be considered to facilitate the delivery of the principal element to the disease site in the body. It may be an interesting observation in this work that ATI treatment increases [As]_i of NB4 cells compared with arsenic treatment alone, whereas A combined with T and/or I significantly up-regulates AQP9, a transmembrane protein playing an essential role in arsenic uptake and determining cellular arsenic sensitivity (31). Because AQP9 was up-regulated 24 h after ATI treatment, some other events might also be involved in the enhancement of arsenic action by T and I at the earlier stage. Interestingly, ATI treatment could not up-regulate AQP9 in HL60 or K562 cells (date not shown), indicating a cell context-based selectivity of AQP9 modulation by ATI. Furthermore, the silencing of AQP9 by specific siRNA inhibited arsenic uptake, decreased [As]_i, and inhibited the differentiation of NB4 cells induced by ATI. These results suggest that AQP9 mediates, to a significant extent, the therapeutic effects of RIF, and that T and I might assist A in the formula through modulating this key transporter.

In summary, we show that the dissection of the mode of action of clinically well established TCM formulae such as RIF should be possible by combined application of both analytical and synthetic research approaches at the molecular, cellular, and organism levels. This study may be considered a useful pilot trial in exploring the value of traditional formulae on a larger scale and in helping to bridge Western and the Eastern medicines in the era of systems biology.

Materials and Methods

Reagents. Details on A, I, T, and other materials used can be found in [SI Materials and Methods](#).

Animals. Mice were bred and maintained in a specific pathogen-free environment. Six- to eight-week-old FVB/NJ mice were i.v. injected with 1×10^5 cells expressing PML-RAR α (20) via the tail vein. When they became moribund, the mice were killed, and splenic cells were isolated and inoculated into secondary recipients for passage (19). Five days after leukemic cell transplantation, the mice were treated with A and/or T and/or I at the doses indicated in the legend for Fig. 1.

Cell Culture, Cell Viability, Cell Differentiation, and Cell Cycle. NB4 and NB4-R2 cell lines were kindly provided by M. Lanotte from Hôpital Saint-Louis, Paris, France. Fresh leukemia cells were obtained from four APL patients with informed consent. Cells were cultured, and their major biological features were assayed as described (19). The dose-effect curves of single or combined drug treatment were analyzed by the median-effect method (23) by using CalcuSoft Software (Biosoft).

Semiquantitative RT-PCR. Semiquantitative RT-PCR was performed by using the primers listed in [SI Table 1](#), according to a method described in ref. 19.

Immunofluorescence, Western Blot Assays, and ChIP. Immunofluorescence and Western blot assays were performed as described (9) by using the antibodies indicated. ChIP was conducted as described (19). Semiquantitative RT-PCR was performed by using the primers listed in [SI Table 1](#).

Immunoprecipitation (IP) and CDK2 Kinase Assay. Cells were lysed and proteins purified for IP and kinase assay of CDK2 according to protocols provided by the reagent manufacturer (Santa Cruz Biotechnology).

Measurement of Arsenic Concentration. Cells were pretreated with A, T, and I alone or in combination, as indicated, for 4 days. After being washed with PBS, cells were resuspended in RPMI medium 1640 supplemented with 10% FBS and 2.5 μ M As₂S₃ for 4 h. After being washed with PBS, cell samples were broken by ultrasonic wave, and total inorganic trivalent As(III) were determined as described (9).

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