

Analysis of the *Tribolium* homeotic complex: insights into mechanisms constraining insect Hox clusters

Teresa D. Shippy · Matthew Ronshaugen ·
Jessica Cande · JianPing He · Richard W. Beeman ·
Michael Levine · Susan J. Brown · Robin E. Denell

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Abstract The remarkable conservation of Hox clusters is an accepted but little understood principle of biology. Some organizational constraints have been identified for vertebrate Hox clusters, but most of these are thought to be recent innovations that may not apply to other organisms. Ironically, many model organisms have disrupted Hox clusters and may not be well-suited for studies of structural

constraints. In contrast, the red flour beetle, *Tribolium castaneum*, which has a long history in Hox gene research, is thought to have a more ancestral-type Hox cluster organization. Here, we demonstrate that the *Tribolium* homeotic complex (HOMC) is indeed intact, with the individual Hox genes in the expected colinear arrangement and transcribed from the same strand. There is no evidence that the cluster has been invaded by non-Hox protein-coding genes, although expressed sequence tag and genome tiling data suggest that noncoding transcripts are prevalent. Finally, our analysis of several mutations affecting the *Tribolium* HOMC suggests that intermingling of enhancer elements with neighboring transcription units may constrain the structure of at least one region of the *Tribolium* cluster. This work lays a foundation for future studies of the *Tribolium* HOMC that may provide insights into the reasons for Hox cluster conservation.

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T. D. Shippy (✉) · J. He · S. J. Brown · R. E. Denell
Division of Biology, Kansas State University,
116 Ackert Hall,
Manhattan, KS 66506, USA
e-mail: tshippy@ksu.edu

M. Ronshaugen · J. Cande · M. Levine
Department of Molecular and Cell Biology, Division of Genetics,
Genomics, and Development, Center for Integrative Genomics,
University of California,
Berkeley, CA 94720, USA

R. W. Beeman
USDA-ARS-GMPRC,
1515 College Ave.,
Manhattan, KS 66502, USA

Present address:

M. Ronshaugen
Department of Developmental Biology, Faculty of Life Sciences,
University of Manchester,
Oxford Road,
Manchester M13 9PT, UK

Present address:

J. He
Laboratory of Molecular Immunology, NIAID,
National Institutes of Health,
Bethesda, MD 20892, USA

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Introduction

Hox clusters arose near the origins of the animal kingdom (Larroux et al. 2007; Ryan et al. 2007). The last common ancestor of the protostomes and deuterostomes is thought to have had a cluster of at least seven genes characterized by a common transcriptional orientation and by colinearity in the order of the genes and their expression domains along the anterior–posterior axis (reviewed in Garcia-Fernandez 2005).

In various metazoan lineages, Hox clusters have gained or lost genes by duplication and deletion but often have maintained their chromosomal order, transcriptional orien-

tation, and both spatial and temporal colinearity of expression patterns (reviewed in Ferrier and Minguillon 2003), suggesting that Hox cluster organization has been subject to strong constraints during evolution. Classical model systems, such as *Drosophila* and *Caenorhabditis elegans*, have provided many important insights into the developmental functions of Hox genes but do not provide particularly good examples of Hox cluster conservation. The Hox cluster of *Drosophila melanogaster* is split into two parts (the Antennapedia (ANTC) and bithorax (BXC) complexes), shows changes in transcriptional orientation of some genes, and includes interspersed genes of independent origin as well as Hox-derived genes that have evolved novel developmental roles (reviewed in Ferrier and Minguillon 2003). These alterations suggest that the constraints keeping the Hox cluster intact may have been lost in the lineage leading to *Drosophila*. Additional Hox cluster rearrangements (breaks, microinversions, and gene transpositions) have been found in other *Drosophila* species (Negre et al. 2003; Negre and Ruiz 2007; Von Allmen et al. 1996) as well as in the silk moth *Bombyx mori* (Yasukochi et al. 2004). The Hox genes of *C. elegans* (reviewed in Aboobaker and Blaxter 2003) and the tunicate *Oikopleura dioica* (Seo et al. 2004) have undergone even more extreme loss and rearrangement such that none of their remaining Hox genes are clustered. In most cases, the Hox genes of these organisms still show spatial but not temporal colinearity. Rapid development seems to be the common denominator among most of these organisms, perhaps making temporal colinearity of Hox genes unnecessary, or even undesirable (Ferrier and Holland 2002; Ferrier and Minguillon 2003; Negre et al. 2005).

While studies of disrupted Hox clusters have provided some insights into Hox cluster maintenance, a more complete understanding will require analysis of organisms where they are still intact. Studies of vertebrate Hox clusters have uncovered several potential mechanisms that may promote temporal colinearity and therefore constrain the organization of these clusters (reviewed in Kmita and Duboule 2003). These include progressive changes in chromatin state along the length of the cluster, varying affinity of regulatory elements to a gradient of signal, and the presence of global enhancer elements outside the cluster that regulate multiple genes within the cluster. However, it is not clear whether these mechanisms apply to other organisms.

Duboule (2007) has suggested that the modern vertebrate Hox clusters are actually more organized than the ancestral cluster. Some of the mechanisms constraining the organization of vertebrate Hox clusters likely evolved concomitant with the co-option of Hox genes for functions such as limb development (Duboule 2007; Kmita and Duboule 2003) and, therefore, may not be applicable to other

lineages. Based on this model, we might expect to gain a better understanding of the ancestral constraints on Hox clusters by studying a less organized but still intact cluster. Such clusters have been described in organisms as diverse as the cephalochordate amphioxus (Garcia-Fernandez and Holland 1994; Minguillon et al. 2005), sea urchins (Cameron et al. 2006), and the insects *Apis* (Honey Bee Genome Sequencing Consortium 2006; Dearden et al. 2006) and *Anopheles* (Holt et al. 2002; Negre and Ruiz 2007). Evidence also suggests that the red flour beetle, *Tribolium castaneum*, has an intact Hox cluster. Conventional cloning and sequencing of the portion of the cluster corresponding to the *Drosophila* Antennapedia complex has shown that this region of the homeotic complex (HOMC) is intact in *Tribolium* (Brown et al. 2002). Genetic mapping also suggests that the integrity of the *Tribolium* Hox cluster has been maintained (Beeman 1987). Moreover, the genetic methodologies possible with *Tribolium*, as well as the application of RNAi, have provided a comprehensive description of the full repertoire of Hox genes and their functions (e.g., Beeman et al. 1993; Beeman et al. 1989; Brown et al. 2000; Shippy et al. 2000; Shippy et al. 2006; Stuart et al. 1991; Stuart et al. 1993; Tomoyasu et al. 2005). The *Tribolium* genome has recently been sequenced, giving us the opportunity to explore the structure of its Hox cluster in detail. Here, we present an analysis of several Hox mutations along with the transcriptional profile of the cluster during embryonic development. We discuss these results with respect to potential mechanisms of Hox cluster organization and constraint.

Materials and methods

Sequence and transposable element analysis

Sequence analysis was performed using Vector NTI Advance 10 (Invitrogen). Basic Local Alignment Search Tools (BLASTs) against *Tribolium* genome sequence (Tcas_2.0) were performed at <http://www.hgsc.bcm.tmc.edu/blast/blast.cgi?organism=Tcastaneum> or <http://www.ncbi.nlm.nih.gov/genome/seq/BlastGen/BlastGen.cgi?taxid=7070>, and subsequent analysis was performed using Genboree (<http://www.genboree.org/java-bin/login.jsp>) or NCBI Map Viewer (<http://www.ncbi.nlm.nih.gov/mapview/>). The entire HOMC sequence was used as a BLASTn query against a collection of expressed sequence tags (ESTs) provided by Dr. Yoonseong Park (Department of Entomology, Kansas State University, Manhattan, KS, USA). Transposable elements were identified and classified using Censor to search the arthropod subset of Repbase (Kohany et al. 2006).

Array design and probe synthesis

Sequence for the *Tribolium* HOMC was taken from the Teas_2.0 Baylor HSGC assembly. The tiled region consists of ~810,000 bases from LG2 (2,290,000 to 301,000) stretching between the two non-Hox genes flanking the complex. NimbelGen designed ~50 mer oligos covering this region at two densities: (1) one feature per 91 bp and (2) one feature per 70 bp. An additional region spanning 5 kb on either side of the putative homolog of *dme-miR-iab-4* was tiled at a higher density of one feature every 10 bp. Visualization and scaling of tiling data was performed using Integrated Genome Browser (Affymetrix, http://www.affymetrix.com/support/developer/tools/download_igb.affx).

Tribolium 0–72-h-old embryos, grown at 30°C in standard media, were collected by sieving, dechorionated for 2 min in 100% bleach, and homogenized in 200 µl of Trizol using a teflon pestle. Total RNA was then extracted using the standard Trizol protocol (Invitrogen). dsDNA was prepared from ~10 µg of total RNA with random hexamers according to Kapranov et al. (2002), with the following modifications. Primers were annealed using a 20-min ramp to 15°C, and the first strand reaction was not subdivided for second strand synthesis. The resulting cDNA was used as template by NimbelGen for labeling and hybridization (Squazzo et al. 2006).

Fluorescent in situ hybridization

Probe labeling, embryo fixation, and RNA FISH were performed according to Kosman et al. (2004) with the following modifications. *Tribolium* embryos were dechorionated in 100% bleach for 2 min and agitated for 45 min to 1 h. Embryos were devitellinized by alternating 1 min vortexing with 1 min shaking for 5 min after the addition of cold methanol, followed by passage through an 18-gauge syringe three to five times. Primers used for making the TcNC-1 and *iab-4* probes are as follows: TcNC5': AGATAA GATATAATGAGGTGTAGAGTTG, TcNC3': TGATTAACATG GACGGCTTCATTAG, *iab-4*5': CATCCTATGCACATGCGTTC, *iab-4*3': CGTTTTAATGGGTGCATCGT. Dig-labeled RNA probes were detected using sheepαDIG (Roche) primary and donkeyα-sheep Alexa Fluor 555 (Molecular Probes) secondary antibodies.

Genetics

Beetles were cultured at 30°C on whole wheat flour supplemented with 5% brewer's yeast as described by Beeman et al. (1989). Strains used were: Ga-1 and Ga-2 (wild type); *mxp^{Dch-3}/Ey*; *Cx⁶/A^{Es}*; *ptl^{KT76}/+*; *Cx⁶¹/A^{Es}*; *ptl^{D60}/Ey* and *Dfd¹/A^{Es}*. *Eyeless* (*Ey*; Beeman et al. 1996)

and *Abdominal^{Extra sclerite}* (*A^{Es}*; Beeman et al. 1989) are dominantly marked balancer chromosomes that suppress crossing over within the HOMC.

Cuticle preparations were performed as described by Shippy et al. (2000). For documentation, cuticles were placed in 9:1 lactic acid/ethanol on a depression slide and covered with a coverslip. Images were captured at several focal planes using a Nikon DXM1200F digital camera and combined into a single image using Auto-Montage software (Syncrosopy).

RNAi

Parental RNAi for *ptl/Tc-Antp* was performed by injection of dsRNA into the abdomens of female pupae. Eggs were collected from injected females at 3-day intervals, aged to hatching, and subjected to cuticle preparation.

Analysis of *ptl^{D60}* breakpoints

Eggs were collected after overnight incubation at 30°C and allowed to develop for 3 days. Genomic DNA was isolated from individual *ptl^{D60}* homozygous larvae as described by Gloor et al. (1993).

Polymerase chain reaction (PCR) surveys of the HOMC were used to identify likely breakpoint positions, and fragments spanning these putative breakpoints were amplified using universal PCR (Beeman and Stauth 1997; Sarkar et al. 1993). PCR products were cloned and sequenced at the Kansas State University DNA Sequencing Center. The resulting sequences were compared to the *Tribolium* genome sequence to characterize the breakpoints. GenBank accession numbers for these sequences are as follows: ptlD60 A (EF591668) and ptlD60 B (EF591669).

Analysis of *mxp^{Dch-3}* breakpoints

Tribolium genomic DNA was isolated from *mxp^{Dch-3}/Ey*, *A^{Es}/Ey*, and Ga-1 pupae as described by Brown et al. (1990), with the exception that DNA was not purified on a CsCl gradient. Digested DNAs were separated on a 0.7% agarose gel by field inversion gel electrophoresis and transferred to GeneScreen nylon membrane (NEN Life Sciences). To look for restriction fragment length polymorphisms associated with *mxp^{Dch-3}*, the blot was probed with pBmxp2.1, a 5.2 kb *HindIII* fragment containing the 5' end of the *mxp/Tc-pb* coding region.

Inverse PCR (Ochman et al. 1988) was used to clone breakpoints associated with *mxp^{Dch-3}*. *mxp^{Dch-3}/Ey* genomic DNA was digested with a restriction enzyme (*EcoRI*, *HindIII*, and *RsaI* for breakpoint fragments A, B, and C, respectively). After circularization of the fragments, two rounds of PCR were performed with primers designed from

known sequence. Resulting fragments were cloned using the TOPO-TA Cloning Kit (Invitrogen), sequenced, and submitted to GenBank under the following accession numbers: Dch3 A (EF591670), Dch3 B (EF591671), Dch3 C (EF591672). Sequences were compared to the *Tribolium* genome sequence to determine the location of breakpoints.

Analysis of *ptl*^{KT76} transposon insertion site

The *ptl*^{KT76} piggyBac-insertion site was amplified by vectorette PCR as described by Lorenzen et al. (2007). The resulting product was sequenced by Elim Biopharmaceuticals, Inc. (Hayward, CA, USA) and the sequence was submitted to GenBank as accession number EU056827.

Results

The *Tribolium* Hox cluster has retained an ancestral organization

Several bacterial artificial chromosome (BAC) clones encompassing the ANTC-like region of the *Tribolium* Hox cluster were previously sequenced and annotated (Brown et al. 2002). Using the newly assembled *Tribolium* genome sequence, we have performed a similar analysis of the BXC-like portion of the cluster and find that this region contains the *Tribolium* orthologs of *Ultrabithorax* (*Ubx*; Bennett et al. 1999), *abdominal-A* (*abd-A*; Shippy et al. 1998) and *Abdominal-B* (*Abd-B*). As in *Drosophila*, the transcription units in this part of the complex are larger than those of the ANTC-like portion due to the presence of longer introns.

As expected from previous molecular and genetic studies (Beeman 1987; Brown et al. 2002), all of the *Tribolium* Hox genes map to a single cluster on LG2. This cluster spans approximately 756 Kb within a single scaffold of the assembled genome sequence. A few small sequencing gaps are present in the assembly, but more than half can be filled by other available sequences (i.e., the three BAC clones previously sequenced for the ANTC-like portion of the cluster and four BACs from the BXC-like region sequenced for verification of the shotgun genome assembly; *Tribolium* Genome Consortium 2008). The total length of the filled gaps is approximately 2,635 bp (mismatches in the sequence flanking the gaps lead to some ambiguity), which is only slightly longer than the estimated total length of these gaps (1,938 bp). Thus, estimation of sequencing gaps in the HOMC region appears to be quite accurate.

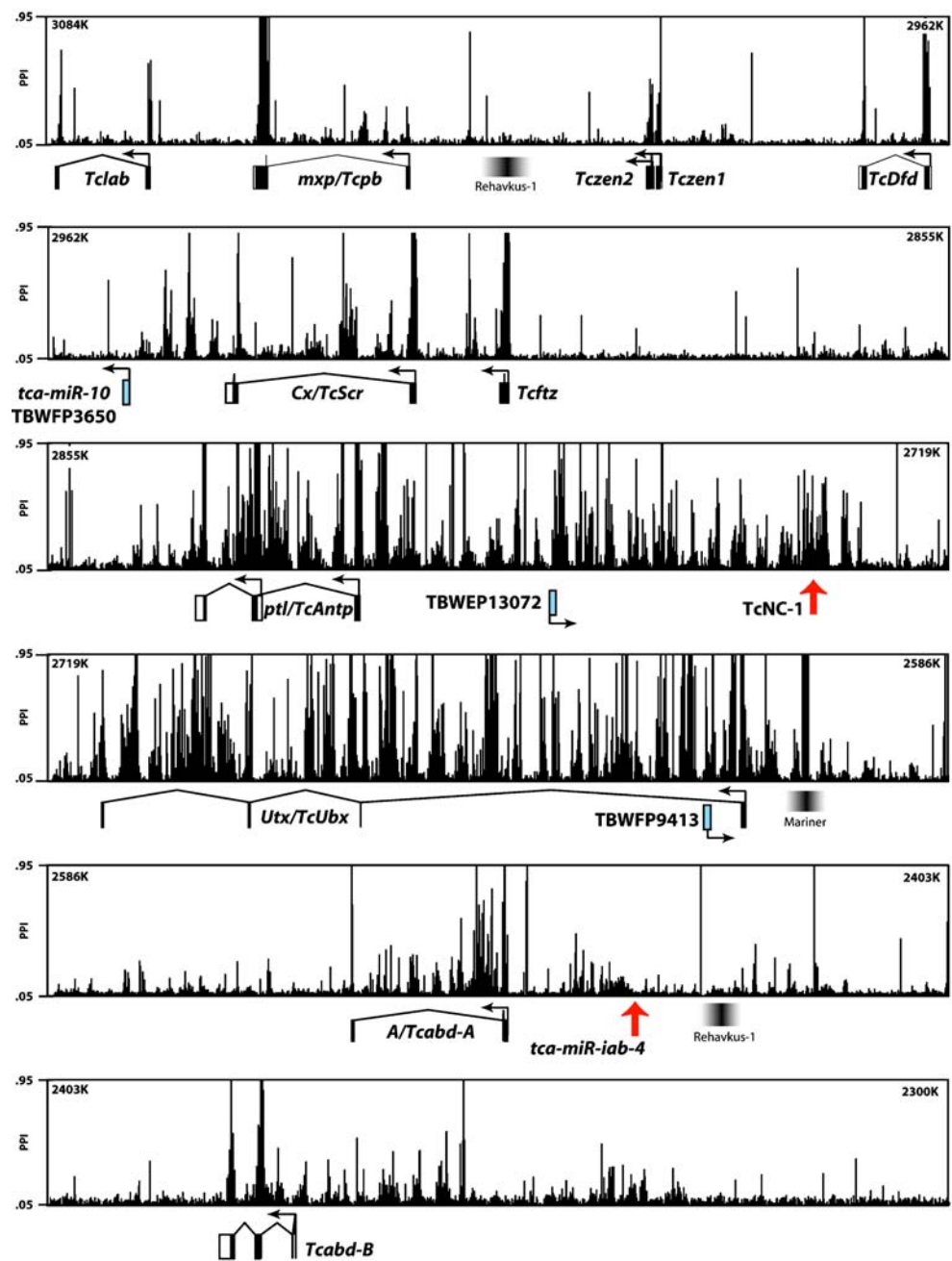
Two of these gaps are immediately adjacent to transposable element insertion sites and may result from difficulties in assembling repetitive DNA. These two sites account for

about 1,300 bp of the total gaps in the HOMC. In two other cases, gaps in the genome assembly are associated with tandem duplications that are not present in the BAC assemblies: an approximately 160-bp duplication between *Tc-Deformed* (*Tc-Dfd*) and *Tc-zen1* and an approximately 8.5-kb duplication between *prothoraxless/Tc-Antennapedia* (*ptl/Tc-Antp*) and *Tc-fushi tarazu* (*Tc-ftz*). We designed primers to amplify across the regions in question using Ga-2 genomic DNA (the same inbred strain that was used for the *Tribolium* genome sequence). In both cases, the size of the resulting fragment is consistent with that predicted from the BAC sequence (data not shown), suggesting that these gaps and duplications are artifacts of the genome assembly process. It is important to note that these artifacts affect only a small fraction of the HOMC sequence, but they underscore the increased quality of finished versus draft sequences.

The single *Tribolium* Hox cluster contains orthologs of all eight *Drosophila* Hox genes, as well as orthologs of the Hox-derived genes, *fushi tarazu* and *zerknüllt* (*zen*; *Tribolium* Genome Consortium 2008 and Fig. 1). (In the case of *zen*, *Tribolium* has two paralogs apparently resulting from a recent duplication in the beetle lineage, independent of the *zen* duplication that occurred in the *Drosophila* lineage (Brown et al. 2002)). These genes are arranged in the same order on the chromosome as their counterparts in other insects. As in *Apis* (Dearden et al. 2006; Negre and Ruiz 2007), but in contrast to *Drosophila* and *Anopheles* (Negre and Ruiz 2007), the Hox and Hox-derived genes in the *Tribolium* cluster are all oriented in the same direction (Fig. 1). In addition, the two miRNA genes (*miR-10* and *miR-iab-4*) that have been described in other insect Hox clusters are found at conserved positions in the *Tribolium* HOMC (Tanzer et al. 2005 and Fig. 1).

The ANTC and BXC clusters of *Drosophila melanogaster* contain a number of non-Hox, protein-coding genes. In contrast, there is no evidence for non-Hox, protein-coding genes in the *Tribolium* HOMC (The *Tribolium* Genome Consortium 2008). Here, we corroborate those findings by using several methods to address whether unrelated genes might be interspersed among the *Tribolium* Hox genes. First, we searched the *Tribolium* genome for orthologs of genes that are located within the *D. melanogaster* clusters and determined that none of these genes are located within the *Tribolium* HOMC. Second, we analyzed predicted proteins within the region to determine whether any of them have recognizable orthologs in other species. Other than the Hox and Hox-derived genes, we found no evolutionarily conserved proteins among either the GLEAN predictions or the GNOMON ab initio predictions that map to the Hox cluster. Third, we searched a collection of *Tribolium* ESTs for expressed sequences within the HOMC. By this approach, we identified three

Fig. 1 Embryonic transcription across the complete *Tribolium* Hox complex. The tiling array consists of ~50,000 50 bp probes that estimate degree of transcription. Relative intensities for each probe are represented as peaks correlated with a consensus annotation of the *Tribolium* Hox complex (*below*). Peak height, shown as Percentile Probe Intensity (PPI), corresponds to the level of transcription for a particular probe. The nucleotide position for each segment is displayed in the *upper left and right corners of the panel* (numbers correspond with linkage group 2, release Tcas_2.0). New ESTs (*cyan*) are displayed in the annotation track along with transposable elements (*gray*). For annotated genes and ESTs, the *arrow* indicates the direction of transcription. *Red arrows* indicate the location of two RNA-FISH probes



non-Hox EST clusters that appear to represent noncoding transcripts as well as evidence for a mariner transposase gene (see below), but no other protein-coding genes were found. Finally, we analyzed the embryonically transcribed sequences identified by a tiling array to determine if any were likely to encode proteins. Again, we found no evidence of non-Hox-related protein-coding genes other than those within transposable elements. Although there are caveats to these analyses (e.g., gene prediction methods are imperfect, the tiling array represents only the embryonic transcriptome and EST coverage is incomplete), our results strongly suggest that the protein-coding genes in the

Tribolium Hox complex (excluding genes within transposable elements) are all either Hox or Hox-derived genes.

Comparison of transposable element density in the Hox complexes of various animals has led to the suggestion that higher abundance of transposable elements in Hox clusters is correlated with loss of structural integrity. Mammalian Hox complexes have a reduced number of transposons compared to other regions of the genome (Ferrier and Minguillon 2003). Moreover, when transposons are present, they seem to be preferentially inserted into nontranscribed regions of the clusters (Mainguy et al. 2007). In contrast, transposons occur fairly frequently in the split *Drosophila*

clusters (Fried et al. 2004). Though the prediction of three transposable elements in the *Tribolium* Hox complex (Fig. 1) may be an underestimate, the same method predicts fivefold more in the *Drosophila* Hox clusters. Additionally, only three transposable elements (all mariners) have been found in the larger but intact *Apis* Hox complex (Dearden et al. 2006). These numbers are consistent with the apparent inverse correlation between transposon number and the level of Hox cluster organization.

Taken together, these observations suggest that with respect to gene content, order, and orientation, the *Tribolium* Hox cluster closely resembles the putative ancestral Hox cluster (Garcia-Fernandez 2005). Thus, the constraints preserving the integrity of the Hox cluster may still be in force in *Tribolium*.

To determine whether these constraints extend outside the *Tribolium* Hox cluster, we examined synteny beyond the cluster itself. As previously described, *Tc-chaoptic* partially overlaps the 3' UTR of *Tc-labial* (*Tc-lab*) on the opposite strand (Nie et al. 2001). Working outward, the first gene on the same strand as the Hox genes is *Tc_00927*, a dolichyl glycosyltransferase orthologous to *D. melanogaster* CG4542. Beyond the *Tc-Abd-B* locus is a cluster of putative serine carboxypeptidase genes (*Tc_00887*, *Tc_00664*, *Tc_00665*, and *Tc_00666*) and the ortholog of *D. melanogaster* CG3909 (*Tc_00886*). We identified the orthologs of these genes in *D. melanogaster*, *Anopheles gambiae*, and *Apis mellifera* and determined their map positions. None of these genes map near the Hox cluster in any of the other insects. Likewise, orthologs of the genes adjacent to *lab* and *Abd-B* in *D. melanogaster* do not map near the Hox clusters of the other three insects. These results suggest that the constraints preserving the Hox cluster act only on the Hox genes themselves and not the surrounding region.

The *Tribolium* Hox cluster produces numerous noncoding transcripts

We developed a tiling microarray covering the *Tribolium* Hox complex to identify the transcription units active during a broad window of *Tribolium* embryonic development. Tiling array signal intensity profiles were compared with previously described Hox cDNA structures. Though the tiling density is not fine-scaled enough to effectively resolve intron–exon boundaries, there is a near-perfect correlation between tiling array-predicted transcription and the position of exons in the well-characterized Hox genes. The only caveat is that the 5' exons of *maxillopedia/Tc-proboscidia* (*mxp/Tc-pb*) and *Tc-Abd-B* exhibit weaker signals than the other exons of these genes. The most likely explanation is that the 5' exon is present only in a small subset of the transcripts derived from the gene (i.e., a minor spliceoform).

During the first 3 days of development, numerous regions of the Hox complex, including intergenic and intronic noncoding regions, are actively transcribed (Fig. 1). Interestingly, neither of the two most likely noncoding candidates, the previously described miRNAs, is robustly identified on the tiling array. Transcription at the *tca-miR-10* locus is not detected, and transcription at the *tca-miR-iab-4* locus is weak. It may be that *tca-miR-10* is not expressed during the stages examined, whereas in situ hybridization assays show that *tca-miR-iab-4* is strongly expressed during part of the developmental window examined (Fig. 2b).

The most intense hybridization signals are detected in the central 250 kb of the Hox complex, encompassing the *ptl/Tc-Antp* and *Ultrathorax/Tc-Ultrathorax* (*Utx/Tc-Ubx*) genes. Strikingly, transcription in this region is almost equally intense for coding and noncoding loci, and for both the introns and exons of the protein-coding genes. There are hundreds of discrete regions (500 bp or longer) where signal intensity is many times greater than for verified Hox gene exons. It is not possible to determine from the single time point we have analyzed if any of these discrete regions are part of larger transcripts. To verify that the observed signals in the tiling array represent authentic transcription, RNA fluorescent in situ hybridization (FISH) was performed with a representative 1-kb region between *ptl/Tc-Antp* and *Utx/Tc-Ubx* (TcNC-1 in Fig. 1). This region is expressed in a Hox-like pattern with distinct anterior and posterior borders in the posterior region of the elongating

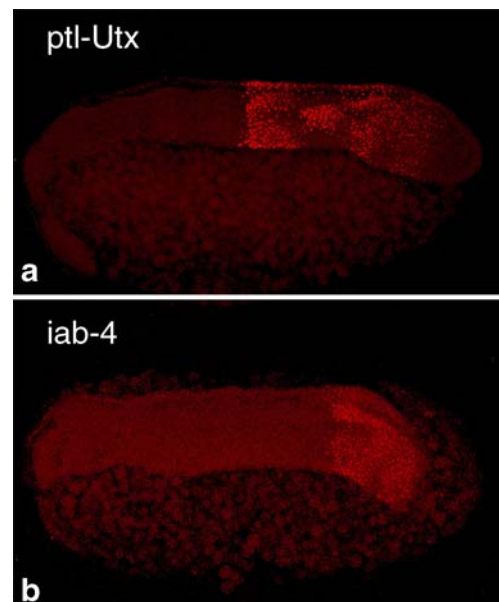


Fig. 2 Expression pattern of two Hox complex noncoding transcripts in *Tribolium* embryos. Probe positions are shown in Fig. 1. **a** Expression pattern from a 1-kb probe located ~86 kb 5' of the start of *ptl/Tc-Antp*. **b** The expression pattern of the *Tribolium* homolog of the *iab-4* miRNA (*tca-miR-iab-4*)

germ band (Fig. 2a). Interestingly, signal is detected primarily in two spots per nucleus, presumably at the sites of nascent transcription. This suggests either rapid degradation or processing of a primary transcript as would be seen for a pri-miRNA or an intron.

In our search for additional genes within the *Tribolium* Hox cluster, we identified three ESTs that appear to represent noncoding transcripts. One seems to be a chimeric artifact, arising from the fusion of a *tca-miR-10* precursor and part of a 28s *rRNA* gene. The second, represented by two independent cDNAs, maps between *ptl/Tc-Antp* and *Utx/Tc-Ubx* while the third is located within the first intron of *Utx/Tc-Ubx*. The last two are transcribed from the strand opposite the Hox genes and are correlated with regions of strong signal in the tiling array analysis.

The *mxp^{Dch-3}* mutation affects regulation of both *mxp/Tc-pb* and *Cx/Tc-Scr*

Because complex regulatory regions may act as a constraining force keeping Hox clusters intact, we analyzed the *mxp^{Dch-3}* mutation, which was shown to have unusual effects on the expression of *mxp/Tc-pb* (Shippy et al. 2000); *mxp^{Dch-3}* homozygotes lack most, if not all, normal *mxp/Tc-pb* expression, but both heterozygotes and homozygotes display strong ectopic *mxp/Tc-pb* expression in a pattern reminiscent of *Cephalothorax/Tc-Sex combs reduced* (*Cx/Tc-Scr*) expression (albeit with an apparent posterior shift of some domains). This ectopic expression is sufficient to rescue some aspects of *mxp/Tc-pb* function so *mxp^{Dch-3}* is not an *mxp/Tc-pb* null (Shippy et al. 2000). Interestingly,

we find that *mxp^{Dch-3}* fails to complement a null allele of *Cx/Tc-Scr* (and, in fact, appears to be null for *Cx/Tc-Scr*) but complements null alleles of *Tc-Dfd* and *ptl/Tc-Antp* (data not shown).

To better understand this complex mutation, we characterized the breakpoints associated with *mxp^{Dch-3}*. *mxp^{Dch-3}* is associated with a chromosomal rearrangement involving at least four breakpoints. Although we have not ruled out the presence of additional breakpoints, the simplest interpretation of our data is that a fragment of the HOMC (including *Tc-zen1*, *Tc-zen2*, *Tc-Dfd*, *Cx/Tc-Scr*, and *Tc-ftz*) has been removed from the HOMC and inserted between a fragment of LG9 and a non-HOMC fragment of LG2 (Fig. 3a). This scenario is consistent with previously reported pseudo-linkage of LG2 and LG9 associated with *mxp^{Dch-3}* (Beeman et al. 1996) and provides an explanation for the mid-embryonic lethality of the *mxp^{Dch-3}* homozygotes (Shippy et al. 2000). That is, non-HOMC breakpoints interrupt both the *TFIIA-L* ortholog, which is a component of the transcriptional machinery (Yokomori et al. 1993), and a homolog of *groucho*, which is an important transcriptional corepressor in *Drosophila* (Jimenez et al. 1997; Paroush et al. 1994). We conclude that the breakpoint between *Tc-ftz* and *ptl/Tc-Antp* is likely to account for the loss of *Cx/Tc-Scr* function associated with *mxp^{Dch-3}*, probably by separating the *Cx/Tc-Scr* transcription unit from some or all of its regulatory units. The rearrangement probably juxtaposes these regulatory elements with the *mxp/Tc-pb* transcription unit, providing a likely explanation for the *Cx/Tc-Scr*-like expression of *mxp/Tc-pb* in *mxp^{Dch-3}* embryos.

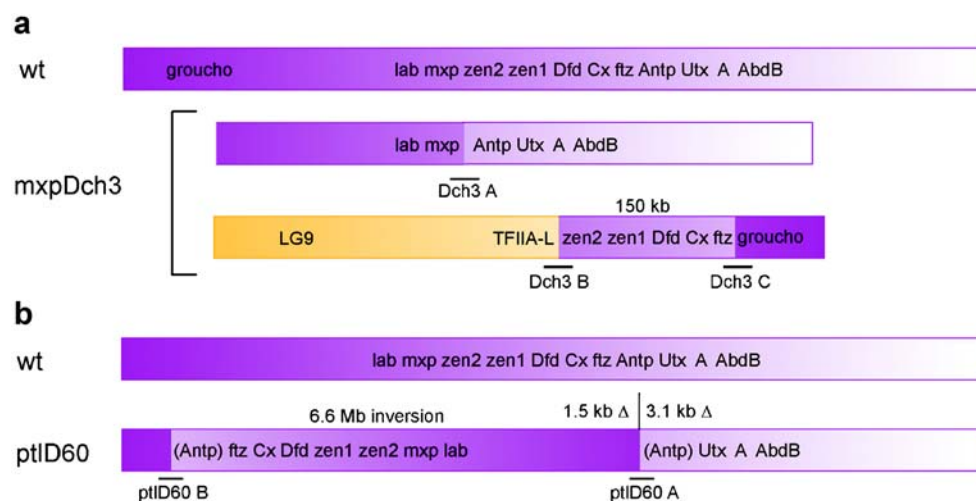


Fig. 3 Rearrangements of the HOMC. In these schematic diagrams, the positions of cloned breakpoint fragments are *underlined*. Wild-type chromosomal position (not to scale) on LG2 (purple) is indicated by a gradient of color to illustrate the effects of inversions. **a** In the *mxp^{Dch-3}* rearrangement, an approximately 150-kb fragment of the

HOMC has been transposed between fragments of LG9 and LG2. **b** The *ptl^{D60}* mutation is a large inversion that splits the Hox cluster into two parts. Small fragments at each end of the inversion appear to have been deleted, including part of the *ptl/Tc-Antp* locus

Cx/Tc-Scr regulatory elements map near or within *ptl/Tc-Antp*

Beeman et al. (1993) observed that mutations in *Cx/Tc-Scr* and *ptl/Tc-Antp* often partially fail to complement one another. Because this is precisely the type of genetic interaction that would be predicted if the separation of Hox genes has deleterious effects, we decided to analyze *ptl^{D60}*, an allele of *ptl/Tc-Antp* that shows such effects. The *ptl^{D60}* mutation, which results in transformation of the larval legs toward antennae as well as reductions of some labial and thoracic tissue, has been proposed to be a null allele of *ptl/Tc-Antp* (Beeman et al. 1993). However, adults transheterozygous for *ptl^{D60}/ptl^{D2}* have a very different phenotype from that produced by larval *ptl/Tc-Antp* RNAi (Tomoyasu et al. 2005) and, instead, resemble adults in

which both *ptl/Tc-Antp* and *Cx/Tc-Scr* have been knocked down (Tomoyasu, personal communication). This result raises the possibility that the *ptl^{D60}* mutation affects the function of both genes. To address this issue, we performed parental RNAi with *ptl/Tc-Antp* and found that the resulting larvae (Fig. 4b) show a phenotype almost identical to that of *ptl^{D60}* homozygotes (Fig. 4c), suggesting that the *ptl^{D60}* mutation primarily affects the function of *ptl/Tc-Antp* during embryonic development. However, there is a subtle difference between the *ptl^{D60}* and *ptl/Tc-Antp* RNAi phenotypes in the positioning of the T1 appendages, which are located near the ventral midline in the RNAi larvae but more laterally in the mutants. This difference is likely attributable to partial loss of *Cx/Tc-Scr* function in *ptl^{D60}* because *Cx/Scr* is responsible for the midline position of the labial appendages in *Tribolium* (Shippy et al. 2006) and

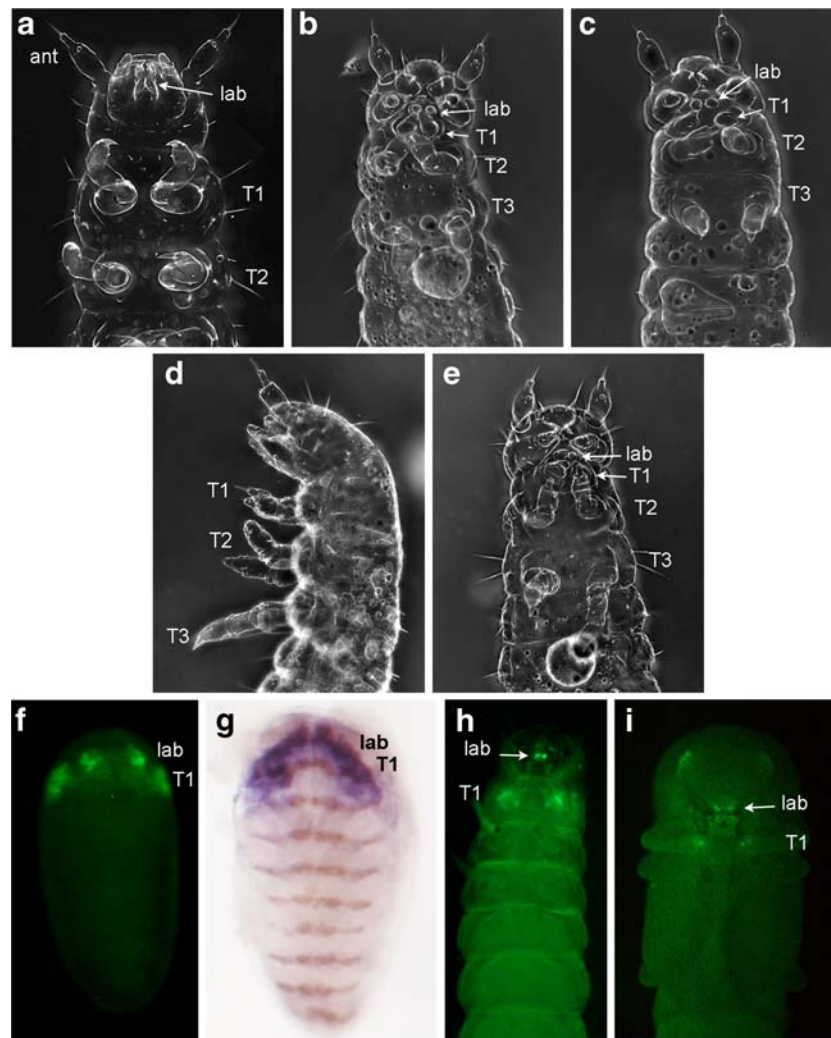


Fig. 4 Cuticle and enhancer trap phenotypes of *ptl/Tc-Antp* mutations. The antennae (*ant*) and the labial (*lab*) and thoracic (*T1–T3*) segments are denoted where relevant. **a–e** Cuticle preps displaying the phenotypes of wild-type (Ga-1; **a**), *ptl/Tc-Antp* RNAi (**b**), *ptl^{D60}/ptl^{D60}* (**c**), *ptl^{KT76}/ptl^{KT76}* (**d**), and *ptl^{KT76}/ptl^{D60}* (**e**) first instar larvae.

Enhancer trap-driven EGFP expression in a *ptl^{KT76}* embryo (**f**) appears in a very similar pattern to *Cx/Tc-Scr* expression (purple) in a wild-type embryo (**g**). A *ptl^{KT76}* larva (**h**) and pupa (**i**) also display EGFP enhancer trap expression in parts of the labial and first thoracic segments

other insects (Hughes and Kaufman 2000; Pattatucci et al. 1991; Rogers et al. 1997). Thus, *ptl^{D60}* appears to be not only a null allele of *ptl/Tc-Antp* but also a hypomorphic allele of *Cx/Tc-Scr*.

To understand why the *ptl^{D60}* mutation affects both *ptl/Tc-Antp* and *Cx/Tc-Scr*, we characterized its mutant lesion(s). We found that *ptl^{D60}* is associated with an inversion of about 6.6 Mb, with breakpoints in *ptl/Tc-Antp* and a distant region of LG2 (Fig. 3b). In addition, there are small deletions at each end of the inversion (approximately 3.1 kb of the *ptl/Tc-Antp* transcription unit including all of exon 2 and approximately 1.5 kb at the other end). Consistent with this conclusion, we find that *ptl^{D60}* can act as a crossover suppressor for LG2, reducing recombination between *Reindeer* (a mutation near one end of LG2) and the HMC from its normal value of 35–40 cM (Beeman et al. 1996) to approximately 20 cM. These results suggest that breakpoints within the *ptl/Tc-Antp* gene affect the function of both *ptl/Tc-Antp* and *Cx/Tc-Scr*, probably by disrupting the function of *Cx/Tc-Scr* regulatory elements (see “Discussion”).

Additional evidence for the presence of *Cx/Tc-Scr* regulatory elements in the vicinity of *ptl/Tc-Antp* comes from a piggyBac-insertion line recovered during an insertional mutagenesis project. The KT076 line carries a homozygous lethal insertion in the last intron of *ptl* (Fig. 5). Crosses between KT076 heterozygotes produce a class of embryos (putative homozygotes) with the T1 and T2 legs partially transformed toward antennae (Fig. 4d), a phenotype consistent with partial loss of *Ptl/Tc-Antp* function. However, individuals carrying the insertion display an embryonic enhancer trap expression pattern (Fig. 4f) very similar to the expression pattern of *Cx/Tc-Scr* (Curtis et al. 2001; Fig. 4g), despite the fact that the insertion site is about 87 kb upstream of *Cx/Tc-Scr*. KT076 larvae and pupae also show weak enhancer trap patterns consistent with predicted *Cx/Tc-Scr* domains (Fig. 4h–i). As expected from the phenotype of homozygotes, KT076 fails to complement *ptl^{D60}* as assayed by adult viability, and crosses of KT076 to *ptl^{D60}* heterozygotes produce embryos with a phenotype similar to, but slightly weaker than, that of *ptl^{D60}* homozygotes (Fig. 4e). In contrast, KT076 fully complements both the embryonic phenotype and the adult

viability of *Cx⁶¹*, a null allele of *Cx/Tc-Scr* (Shippy et al. 2006), indicating that *Cx/Tc-Scr* function is not compromised by the insertion (data not shown). These results suggest that KT076 is a hypomorphic allele of *ptl/Tc-Antp*, and it will, hereafter, be referred to as *ptl^{KT76}*. Together with the data from the *mxp^{Dch-3}* and *ptl^{D60}* mutations, the *Cx/Tc-Scr*-like enhancer trap phenotype of *ptl^{KT76}* provides strong evidence that *Cx/Tc-Scr* regulatory elements are located near, and probably within, *ptl/Tc-Antp*. Although additional experiments will be necessary to pinpoint the location of regulatory elements and verify this conclusion, it is intriguing to think that overlap of regulatory elements of one Hox gene with the transcription unit of another Hox gene might be an important mechanism of Hox cluster constraint.

Discussion

The Hox clusters of several insects have now been completely sequenced. While breaks in the cluster seem to have occurred several times in the *Drosophila* lineage, the clusters of *Apis*, *Anopheles*, and *Tribolium* are intact. This suggests that many insect clusters are still subject to constraints to maintain their organization. Of the insects with intact clusters, *Tribolium* is, by far, the most genetically tractable and has a strong history of Hox gene studies, thus offering the best system for understanding the constraints acting on an intact insect Hox cluster. Below, we discuss insights provided by our analysis of the *Tribolium* HMC into mechanisms that might be responsible for Hox cluster integrity.

Temporal colinearity

Among organisms for which both Hox cluster sequence and expression data are available, the presence of an intact cluster appears to be correlated with temporal colinearity of Hox gene expression, while disrupted clusters are associated with lack of temporal colinearity (Monteiro and Ferrier 2006). This observation has pushed temporal colinearity to the forefront of discussions about Hox cluster maintenance.

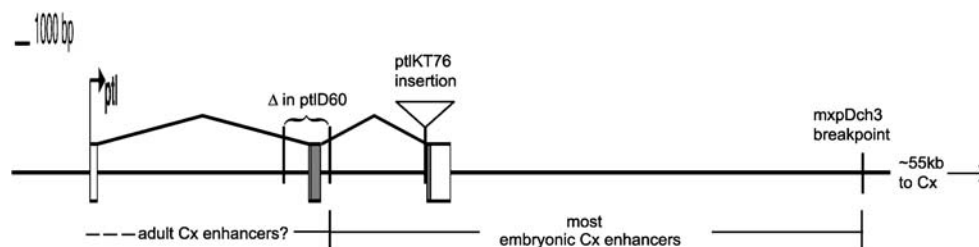


Fig. 5 Overlap of *Cx/Tc-Scr* regulatory elements with the *ptl/Tc-Antp* locus. The gene structure of *ptl/Tc-Antp* (coding sequence is shaded gray) and the positions of mutant lesions are shown in the diagram.

The inferred positions of *Cx/Tc-Scr* regulatory elements in the *ptl/Tc-Antp* region are indicated below the diagram

However, several questions remain to be answered. Does temporal colinearity really require an intact Hox cluster? Is temporal colinearity required for proper Hox gene function in organisms with intact clusters? If temporal colinearity is a key constraint on *Tribolium* Hox cluster integrity, we might expect rearrangements to affect the function of most or all Hox genes. However, our analysis of *Tribolium* Hox cluster mutations provides no evidence for such global effects. The *ptl^{D60}* inversion splits the complex into two parts but all of the Hox genes except *ptl/Tc-Antp* and *Cx/Tc-Scr* appear to function normally. In addition, the *mzp^{Dch-3}* rearrangement results in the translocation of several HOMC genes (*Tc-zen*, *Tc-Dfd*, *Cx/Tc-Scr*, and *Tc-ftz*) to a new chromosomal location. At least one of these genes, *Tc-Dfd*, is functional because *mzp^{Dch-3}* fully complements a *Tc-Dfd* null allele. Likewise, the genes remaining in the HOMC (with the exception of *mzp/Tc-pb*) apparently function normally. These limited effects of Hox cluster rearrangements are similar to what has been reported for *Drosophila* (e.g., Abbott and Kaufman 1986; Pultz et al 1988). Although additional experiments will be required to determine whether *Tribolium* Hox genes exhibit temporal colinearity, our results suggest that constraints on the *Tribolium* HOMC are more likely to act locally.

Regulatory elements

The reported breaks and transposition sites in the Hox clusters of *Drosophila* species are all located in intergenic regions near the 3' end of a gene (Negre et al. 2005; Negre and Ruiz 2007) and, thus, are presumably less likely to separate a gene from its regulatory elements, which are predominantly located 5' of each *Drosophila* Hox gene. Interestingly, the one exception to this rule is the region between *abd-A* and *Abd-B*, which contains regulatory regions for both genes and is not split in any of the *Drosophila* species examined so far (Negre and Ruiz 2007). These observations led to the conclusion that *Drosophila* Hox clusters have a modular organization (with each gene and its regulatory elements representing a separate module) and that *Drosophila* Hox genes are still partially clustered simply because the regulatory regions are so large that there are relatively few positions where breaks can occur without disturbing a module. That is, most of the remaining linkage in *Drosophila* Hox clusters (with the possible exception of *abd-A* and *Abd-B*) is due to “phylogenetic inertia,” and, given enough time, the clusters will completely disperse (Negre and Ruiz 2007).

The question naturally arises whether phylogenetic inertia could also be the reason for the intact Hox clusters of insects like *Tribolium*. Lewis et al. (2003) suggested that unusual features of recombination (Ranz et al. 2001) may make drosophilids more tolerant of Hox cluster rearrange-

ments than are most insects. If this is the case, intact clusters may just be the consequence of slower rates of chromosomal rearrangement (Negre and Ruiz 2007). Alternatively, constraints on Hox cluster maintenance may still be functional in *Tribolium*.

Our analysis of mutant breakpoints in the *ptl/Tc-Antp* region indicates that, in at least one case, *Tribolium* Hox genes are not modular. That is, at least some of the regulatory elements controlling *Cx/Tc-Scr* expression are apparently located within the *ptl/Tc-Antp* gene (Fig. 5). Most embryonic enhancers of *Cx/Tc-Scr* are predicted to lie between the *mzp^{Dch-3}* and *ptl^{D60}* breakpoints because the *mzp^{Dch-3}* allele appears to lack all *Cx/Tc-Scr* function, while *ptl^{D60}* has almost normal embryonic *Cx/Tc-Scr* function. This conclusion is further supported by the expression of *mzp/Tc-pb* in a *Cx/Tc-Scr*-like pattern in *mzp^{Dch-3}* mutants, presumably due to juxtaposition of the *mzp/Tc-pb* transcription unit with sequence between *Tc-ftz* and *ptl/Tc-Antp*. Adult *Cx/Tc-Scr* regulatory elements are likely located within, or 5' of, *ptl/Tc-Antp* because the *ptl^{D60}* rearrangement seems to have a stronger effect on the adult functions of *Cx/Tc-Scr*. The presence of *Cx/Tc-Scr* regulatory elements near, or within, *ptl/Tc-Antp* is supported by the observation that a *piggyBac* insertion within the *ptl/Tc-Antp* transcription unit (*ptl^{K76}*) shows an enhancer trap phenotype that appears to be driven by *Cx/Tc-Scr* regulatory elements.

In *Drosophila*, elements which drive *Scr*-like expression patterns have been found in the region between *ftz* and *Antp* (Gorman and Kaufman 1995). However, these elements are apparently redundant because breakpoints in this region only slightly reduce *Scr* expression levels within its normal domain. Moreover, a deficiency which removes most, if not all, of the *Antp* transcription unit has no effect on embryonic *Scr* expression. Thus, it is possible that the modularity of the *Drosophila* Hox cluster is a recent innovation resulting from changes in the position of cis-regulatory elements. This newly acquired modularity might have allowed breaks in the Hox cluster in the *Drosophila* lineage. In contrast, overlap of regulatory elements with neighboring genes might still act as a constraint on the integrity of the Hox cluster in *Tribolium*.

Noncoding transcripts

Although noncoding transcripts within Hox clusters have been recognized for many years (Cumberledge et al. 1990; Lipshitz et al. 1987; Sanchez-Herrero and Akam 1989), there has recently been renewed interest in these enigmatic RNAs. At least two of these ncRNAs, *miR-196* and *miR-iab-4*, can have homeotic function and attenuate the actions of protein-coding Hox genes (Hornstein et al. 2005; Ronshaugen et al. 2005).

In addition to the miRNAs, numerous long noncoding RNAs have been identified within the Hox clusters of both flies and mammals (Bae et al. 2002; Rinn et al. 2007). ncRNAs are implicated in a vast array of processes, including regulation of transcription, translation, epigenetic control of chromatin, mono-allelic expression, dosage compensation, and silencing (reviewed in Mattick and Makunin 2006). In the *Drosophila* BXC, these transcripts have been implicated in the control of Hox gene expression, although there is some controversy as to whether they promote (Sanchez-Elsner et al. 2006) or repress (Petruk et al. 2006) Hox gene transcription. Mainguy et al. (2007) found evidence for extensive noncoding transcription in the mammalian Hox clusters and proposed that polycistronic and antisense transcription might play a role in keeping Hox genes clustered. Our transcriptional profiling data demonstrates that *Tribolium* also shows considerable noncoding transcription in the Hox complex. While the tiling array has provided a revealing snapshot of transcription levels during embryonic development, much additional work will be necessary to characterize the actual transcripts. For example, the high levels of transcription in the *ptl/Tc-Antp* and *Utx/Tc-Ubx* regions could be the result of several individual transcripts or one long transcript. Interestingly, dicistronic transcripts spanning the *Antp* and *Ubx* orthologs have been reported in crustaceans (Shiga et al. 2006) and centipedes (Brena et al. 2006). If such transcripts have an important function, they might constrain linkage in at least some parts of the Hox cluster.

The data available thus far indicate that noncoding transcripts are prevalent in both intact and broken Hox clusters. However, it is not clear whether these transcripts perform the same functions in all organisms. Perhaps, noncoding RNAs play a different or more critical role in organisms with intact clusters. Future studies in this area are likely to provide important insights into Hox gene function and possibly into Hox cluster conservation.

Conclusions

The results presented here provide a foundation for further studies of the constraints acting on Hox clusters. While it is important to keep in mind that multiple factors may have contributed to the maintenance of Hox clusters during evolution (Kmita and Duboule, 2003), the intact structure of the *Tribolium* Hox cluster and the suite of tools now available for this insect makes it an ideal candidate for such research.

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